

Fairfax County, Virginia

Fiscal Year 2012 Adopted Budget

Volume 2: Capital Construction and Other Operating Funds



1742

Prepared by the
Fairfax County Department of Management and Budget
12000 Government Center Parkway
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Fairfax, Virginia 22035

<http://www.fairfaxcounty.gov/dmb/>

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1742



GOVERNMENT FINANCE OFFICERS ASSOCIATION

*Distinguished
Budget Presentation
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PRESENTED TO

**Fairfax County
Virginia**

For the Fiscal Year Beginning

July 1, 2010



President

Executive Director

The Government Finance Officers Association of the United States and Canada (GFOA) presented an award of Distinguished Budget Presentation to Fairfax County, Virginia for its annual budget for the fiscal year beginning July 1, 2010.

In order to receive this award, a governmental unit must publish a budget document that meets program criteria as a policy document, as an operations guide, as a financial plan, and as a communications device.

This award is valid for a period of one year only. We believe our current budget continues to conform to program requirements, and we are submitting it to GFOA to determine its eligibility for another award.

BUDGET CALENDAR

For preparation of the FY 2012 Budget

July 1, 2010

Distribution of the FY 2012 budget development guide. Fiscal Year 2011 begins.



September - October 2010

Agencies forward completed budget submissions to the Department of Management and Budget (DMB) for review.



September - December 2010

The County and FCPS solicits public input for the FY 2012 budget through two Community Dialogues, an Employee Forum, and online feedback for public comment to guide the development of a budget framework for the FY 2012 Advertised Budget Plan.



February 3, 2011

School Board adopts its advertised FY 2012 Budget.



February 22, 2011

County Executive's presentation of the FY 2012 Advertised Budget Plan. Board authorization for publishing FY 2012 tax and budget advertisement.



July 1, 2011

Fiscal Year 2012 begins.



June 30, 2011

Distribution of the FY 2012 Adopted Budget Plan. Fiscal Year 2011 ends.



April 26, 2011

Adoption of the FY 2012 budget plan, Tax Levy and Appropriation Ordinance by the Board of Supervisors.



April 12, 2011

Board action on *FY 2011 Third Quarter Review*. Board mark-up of the FY 2012 proposed budget.



March 29, 30 and 31, 2011

Public hearings on proposed FY 2012 budget, *FY 2011 Third Quarter Review* and FY 2012-2016 Capital Improvement Program (with Future Years to 2021) (CIP).



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Board Goals & Priorities

December 7, 2009

By **engaging** our residents and businesses in the process of addressing these challenging times, **protecting investment** in our most critical priorities, and by **maintaining strong responsible fiscal stewardship, we must ensure**:

√ **A quality educational system**

Education is Fairfax County's highest priority. We will continue the investment needed to protect and enhance this primary community asset. Our children are our greatest resource. Because of our excellent schools, businesses are eager to locate here and our children are able to find good jobs. A well-educated constituency is best able to put back into their community.

√ **Safe streets and neighborhoods**

Fairfax County is the safest community of our size in the U.S. We will continue to invest in public safety to respond to emergency situations, as well as efforts to prevent and intervene in destructive behaviors, such as gang activity and substance abuse.

√ **A clean, sustainable environment**

Fairfax County will continue to protect our drinking water, air quality, stream valleys and tree canopy through responsible environmental regulations and practices. We will continue to take a lead in initiatives to address energy efficiency and sustainability and to preserve and protect open space for our residents to enjoy.

√ **Liabile, caring and affordable communities**

As Fairfax County continues to grow we will do so in ways that address **environmental** and **mobility** challenges. We will encourage housing that is affordable to our children, seniors and members of our workforce. We will provide compassionate and efficient services to members of our community who are in need. We will continue to protect and support our stable lower density neighborhoods. We will encourage and support participation in community organizations and other activities that address community needs and opportunities.

√ **A vibrant economy**

Fairfax County has a well-earned reputation as a business-friendly community. We will vigorously pursue **economic development** and **revitalization** opportunities. We will support the business community and encourage this healthy partnership. We will continue to be sensitive and responsive to the needs of our corporate neighbors in the areas of **workforce development** and **availability, affordable housing, regulation and taxation**.

√ **Efficient transportation network**

Fairfax County makes it a priority to connect People and Places. We will continue to plan for and invest in transportation improvements to include comprehensive bicycle and pedestrian initiatives, bus and para transit, road and intersection improvements and expansion of Metrorail and VRE.

√ **Recreational and cultural opportunities**

A desirable community is one where there is a lot going on that residents can enjoy. Fairfax County will continue to provide for athletic, artistic, intellectual and recreational activities, in our communities, parks, libraries and schools.

√ **Taxes that are affordable**

The property tax is Fairfax County's primary source of revenue to provide services. We will ensure that taxes are affordable for our residents and businesses, and we will seek ways to diversify County revenues in order to make our tax base more equitable. We will ensure that County programs and services are efficient, effective and well run.

Fairfax County Vision Elements

To protect and enrich the quality of life for the people, neighborhoods and diverse communities of Fairfax County by:



Maintaining Safe and Caring Communities -

The needs of a diverse and growing community are met through innovative public and private services, community partnerships and volunteer opportunities. As a result, residents feel safe and secure, capable of accessing the range of services and opportunities they need, and are willing and able to give back to their community.



Building Livable Spaces -

Together, we encourage distinctive “built environments” that create a sense of place, reflect the character, history and natural environment of the community, and take a variety of forms – from identifiable neighborhoods, to main streets, to town centers. As a result, people throughout the community feel they have unique and desirable places to live, work, shop, play and connect with others.



Connecting People and Places -

Transportation, technology and information effectively and efficiently connect people and ideas. As a result, people feel a part of their community and have the ability to access places and resources in a timely, safe and convenient manner.



Maintaining Healthy Economies -

Investments in the workforce, jobs, and community infrastructure and institutions support a diverse and thriving economy. As a result, individuals are able to meet their needs and have the opportunity to grow and develop their talent and income according to their potential.



Practicing Environmental Stewardship -

Local government, industry and residents seek ways to use all resources wisely and to protect and enhance the County’s natural environment and open space. As a result, residents feel good about their quality of life and embrace environmental stewardship as a personal and shared responsibility.



Creating a Culture of Engagement -

Individuals enhance community life by participating in and supporting civic groups, discussion groups, public-private partnerships and other activities that seek to understand and address community needs and opportunities. As a result, residents feel that they can make a difference and work in partnership with others to understand and address pressing public issues.



Exercising Corporate Stewardship -

Fairfax County government is accessible, responsible and accountable. As a result, actions are responsive, providing superior customer service and reflecting sound management of County resources and assets.

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How to Read the Budget

Volume 2 contains information on non-General Fund budgets or “Other Funds.” A fund accounts for a specific set of activities that a government performs. For example, refuse disposal is an activity and therefore, a fund that is classified as a Special Revenue Fund.

Each County fund is represented with its own narrative that contains programming and budgetary information. The narratives have several elements including:

- Organization Chart
- Agency Mission and Focus
- Budget and Staff Resources
- FY 2012 Funding Adjustments / Changes to the FY 2011 Adopted Budget Plan
- Cost Centers (funding and position detail)
- Cost Center Specific Goals, Objectives and Key Performance Measures
- Performance Measurement Results
- Fund Statement
- Summary of Capital Projects

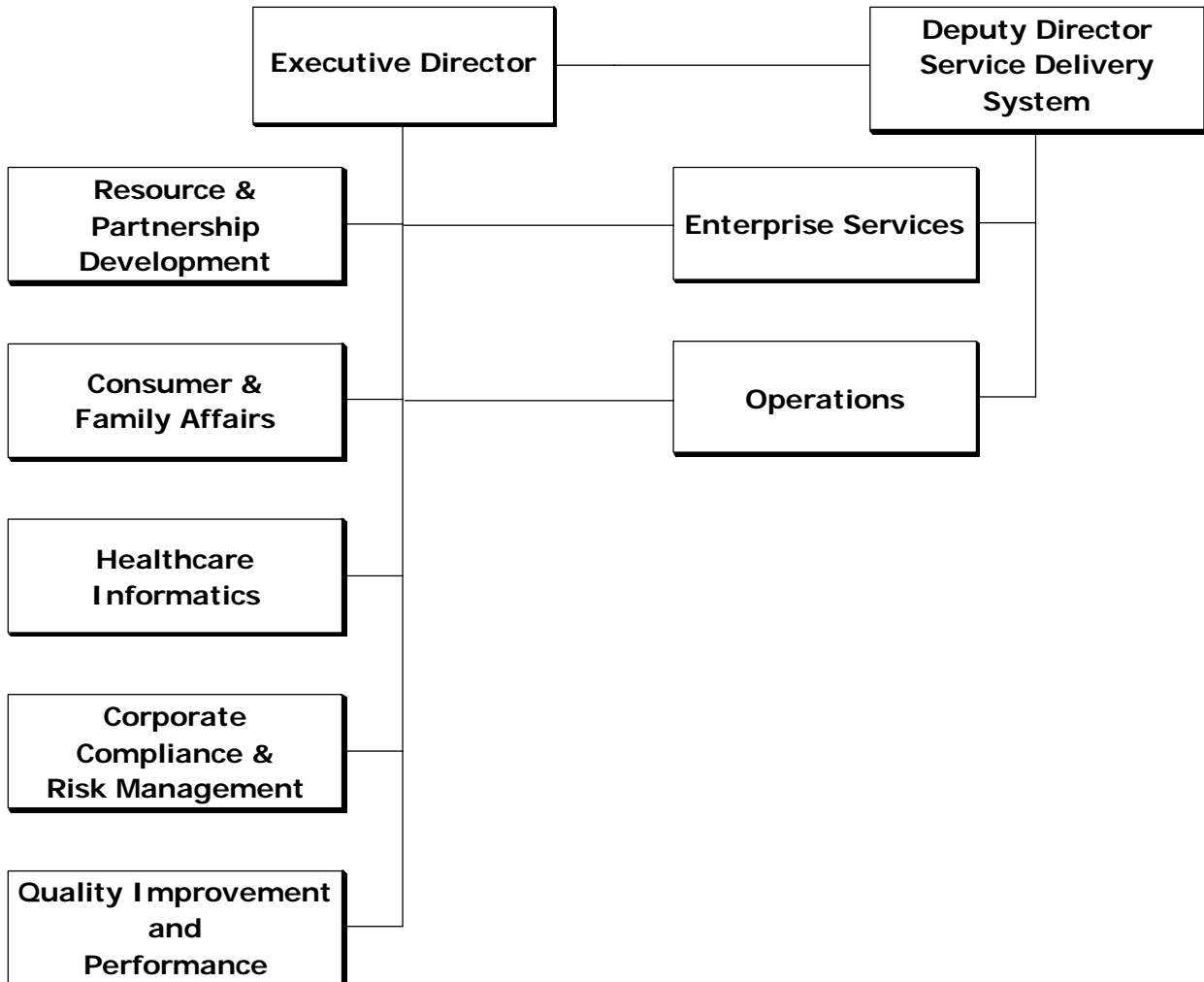
Not all narratives will contain each of these components. For example, funds that are classified as Capital Funds will not have organization charts because staff positions are not budgeted in these funds; that is, they only provide funding for the purchase and construction of capital construction projects. However, Capital Funds do have a summary of capital projects that lists the cost of each project in a fund. A brief example of each section follows.

How to Read the Budget

Organization Chart

The organization chart displays the organizational structure of each fund. An example depicting the organizational structure of the Community Services Board - Administration is shown below.

COMMUNITY SERVICES BOARD ADMINISTRATION



How to Read the Budget

Agency Mission and Focus

The agency mission is a broad statement reflecting intended accomplishments for achievement of the agency's public purpose. It describes the unique contribution of the organization to the County government and residents receiving services and provides a framework within which an agency operates. The agency focus section includes a description of the agency's programs and services. The agency's relationship with County boards, authorities or commissions may be discussed here, as well as key drivers or trends that may be influencing how the agency is conducting business. The focus section is also designed to inform the reader about the strategic direction of the agency and the challenges that it is currently facing.

Budget and Staff Resources

It is important to note that expenditures are summarized in three categories. *Personnel Services* consist of expenditure categories including regular pay, shift differential, limited and part-time salaries, and overtime pay. *Operating Expenses* are the day-to-day expenses involved in the administration of the agency, such as office supplies, printing costs, repair and maintenance for equipment, and utilities. *Capital Equipment* includes items that have a value that exceeds \$5,000 and an expected life of more than one year, such as an automobile or other heavy equipment. In addition, some agencies will also have a fourth expenditure category entitled *Recovered Costs*. Recovered Costs are reimbursements from other County agencies for specific services or work performed or reimbursements of work associated with capital construction projects. These reimbursements are reflected as a negative figure in the agency's budget, thus offsetting expenditures.

A Summary Table is provided including the agency's positions, expenditures less recovered costs, and income/revenue (if applicable).

FY 2012 Funding Adjustments / Changes to the FY 2011 Adopted Budget Plan

This section summarizes changes to the budget. The first part of this section includes adjustments from the FY 2011 Adopted Budget Plan necessary to support the FY 2012 program. Where applicable, a table summarizing reductions necessary to balance the FY 2012 budget is included in this section.

The second part of this section includes revisions to the current year budget that have been made since its adoption. All adjustments to the FY 2011 budget as a result of the *FY 2010 Carryover Review*, *FY 2011 Third Quarter Review*, and any other changes through April 12, 2011 are reflected here. Funding adjustments are generally presented programmatically and include Personnel Services, Operating Expenses and other costs.

Cost Centers

As an introduction to the more detailed information contained for each functional area or cost center, a brief description of the cost centers is included. In addition, each cost center is highlighted by several icons which indicate the various vision elements that are supported by the programs and services within the cost center. A listing of the staff resources for each cost center is also included.

How to Read the Budget

Cost Center Specific Goals, Objectives and Key Performance Measures

Most cost centers include goals, objectives and performance indicators. Goals are broad statements of purpose, generally indicating what service or product is provided, for whom, and why. Objectives are outcome-based statements of specifically what will be accomplished during the budget year. Ideally, these objectives should support the goal statement, reflect the planned benefit(s) to customers, be written to allow measurement of progress and describe a quantifiable target. Indicators are the first-level data for reporting performance on those objectives.

A Family of Measures is provided to present an overall view of a program so that factors such as cost can be balanced with customer satisfaction and the outcome ultimately achieved. The concept of a Family of Measures encompasses the following types of indicators and serves as the structure for a performance measurement model that presents a comprehensive picture of program performance as opposed to a single-focus orientation.

Input	Value of resources used to produce an output
Output	Quantity or number of units produced
Efficiency	Inputs used per unit of output
Service Quality	Degree to which customers are satisfied with a program, or the accuracy or timeliness with which the product/service is provided
Outcome	Qualitative consequences associated with a program

Performance Measurement Results

This section includes a discussion and analysis of how the agency's performance measures relate to the provision of activities, programs, and services stated in the agency mission. The results of current performance measures are discussed, as well as conditions that contributed to the level of performance achieved and action plans for future-year improvement of performance targets.

How to Read the Budget

Summary of Capital Projects:

A summary of capital projects is included in all Capital Project Funds, and selected Enterprise Funds, Housing Funds and Special Revenue Funds that support capital expenditures. The summary of capital projects provides detailed financial information about each capital project within each fund, including: total project estimates, prior year expenditures, revised budget plans, and proposed funding levels. The summary of capital projects may include some projects without a Total Project Estimate amount. These projects are considered "Continuing" projects, or projects for which funding is necessary on an ongoing basis (e.g., a contingency or planning project).

FY 2012 Summary of Capital Projects

Fund: 301 Contributed Roadway Improvement Fund

Project #	Description	Total Project Estimate	FY 2010 Actual Expenditures	FY 2011 Revised Budget	FY 2012 Advertised Budget Plan	FY 2012 Adopted Budget Plan
007700	Fairfax Center Reserve		\$1,107,821.23	\$4,604,519.71	\$0	\$0
007701	Route 50/Waples Mill Interchange	4,132,878	33,378.91	92.55	0	0
007702	Tall Timbers Drive	1,450,000	22,575.23	74,464.97	0	0
008800	Centreville Reserve		0.00	604,273.32	0	0
008801	Stone Road	1,994,990	277.65	0.00	0	0
008802	Clifton Road	5,036,640	0.00	0.00	0	0
008803	Route 29 Widening	1,455,771	44,239.52	563,686.00	0	0
008804	Poplar Tree Road	550,000	27,100.80	45,140.47	0	0
009900	Miscellaneous Contributions		824,782.47	16,279,330.07	0	0
009901	Primary Improvements	424,584	0.00	424,584.00	0	0
009902	Secondary Improvements	1,033,765	0.00	36,297.00	0	0
009903	Bridge Design/Construction	8,369	0.00	8,369.00	0	0
009904	Intersection/Interchange	385,282	0.00	311,975.00	0	0
009906	Signal Installations	581,707	(17,068.98)	65,204.36	0	0
009908	Transit Improvements	32,325	0.00	5,381.59	0	0
009911	Tysons Corner Reserve		416,653.64	13,049,539.55	0	0
009913	Dolley Madison Blvd	8,945,941	3,642.34	1,342,279.61	0	0
009914	Job Access/Reserve Commute	997,800	38,386.21	677,334.71	0	0
	Pedestrian Improvements					
009915	Tysons Corner Grid Concept	2,500,000	0.00	2,500,000.00	0	0
009916	Tysons Circulator Feasibility Study	500,000	0.00	500,000.00	0	0
009917	Tysons Metrorail Access					
	Management	350,000	0.00	350,000.00	0	0
Total		\$30,380,053	\$2,501,789.02	\$41,442,471.91	\$0	\$0

FOR ADDITIONAL INFORMATION

Information regarding the contents of this or other budget volumes can be provided by calling the Fairfax County Department of Management and Budget at 703-324-2391 from 8:00 a.m. to 4:30 p.m.

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<http://www.fairfaxcounty.gov/budget>

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Centreville Regional
14200 St. Germain Drive
Centreville, VA 20121-2299
703-830-2223

Great Falls
9830 Georgetown Pike
Great Falls, VA 22066-2634
703-757-8560

John Marshall
6209 Rose Hill Drive
Alexandria, VA 22310-6299
703-971-0010

Dolley Madison
1244 Oak Ridge Avenue
McLean, VA 22101-2818
703-356-0770

Thomas Jefferson
7415 Arlington Boulevard
Falls Church, VA 22042-7409
703-573-1060

Burke Centre
5935 Freds Oak Road
Burke, VA 22015-2599
703-249-1520

George Mason Regional
7001 Little River Turnpike
Annandale, VA 22003-5975
703-256-3800

Sherwood Regional
2501 Sherwood Hall Lane
Alexandria, VA 22306-2799
703-765-3645

Tysons-Pimmit Regional
7584 Leesburg Pike
Falls Church, VA 22043-2099
703-790-8088

Herndon Fortnightly
768 Center Street
Herndon, VA 20170-4640
703-437-8855

Lorton
9520 Richmond Highway
Lorton, VA 22079-2124
703-339-7385

Richard Byrd
7250 Commerce Street
Springfield, VA 22150-3499
703-451-8055

Kingstowne
6500 Landsdowne Centre
Alexandria, VA 22315-5011
703-339-4610

Oakton
10304 Lynnhaven Place
Oakton, VA 22124-1785
703-242-4020

Pohick Regional
6450 Sydenstricker Road
Burke, VA 22015-4274
703-644-7333

Chantilly Regional
4000 Stringfellow Road
Chantilly, VA 20151-2628
703-502-3883

Martha Washington
6614 Fort Hunt Road
Alexandria, VA 22307-1799
703-768-6700

Kings Park
9000 Burke Lake Road
Burke, VA 22015-1683
703-978-5600

Patrick Henry
101 Maple Avenue East
Vienna, VA 22180-5794
703-938-0405

Woodrow Wilson
6101 Knollwood Drive
Falls Church, VA 22041-1798
703-820-8774

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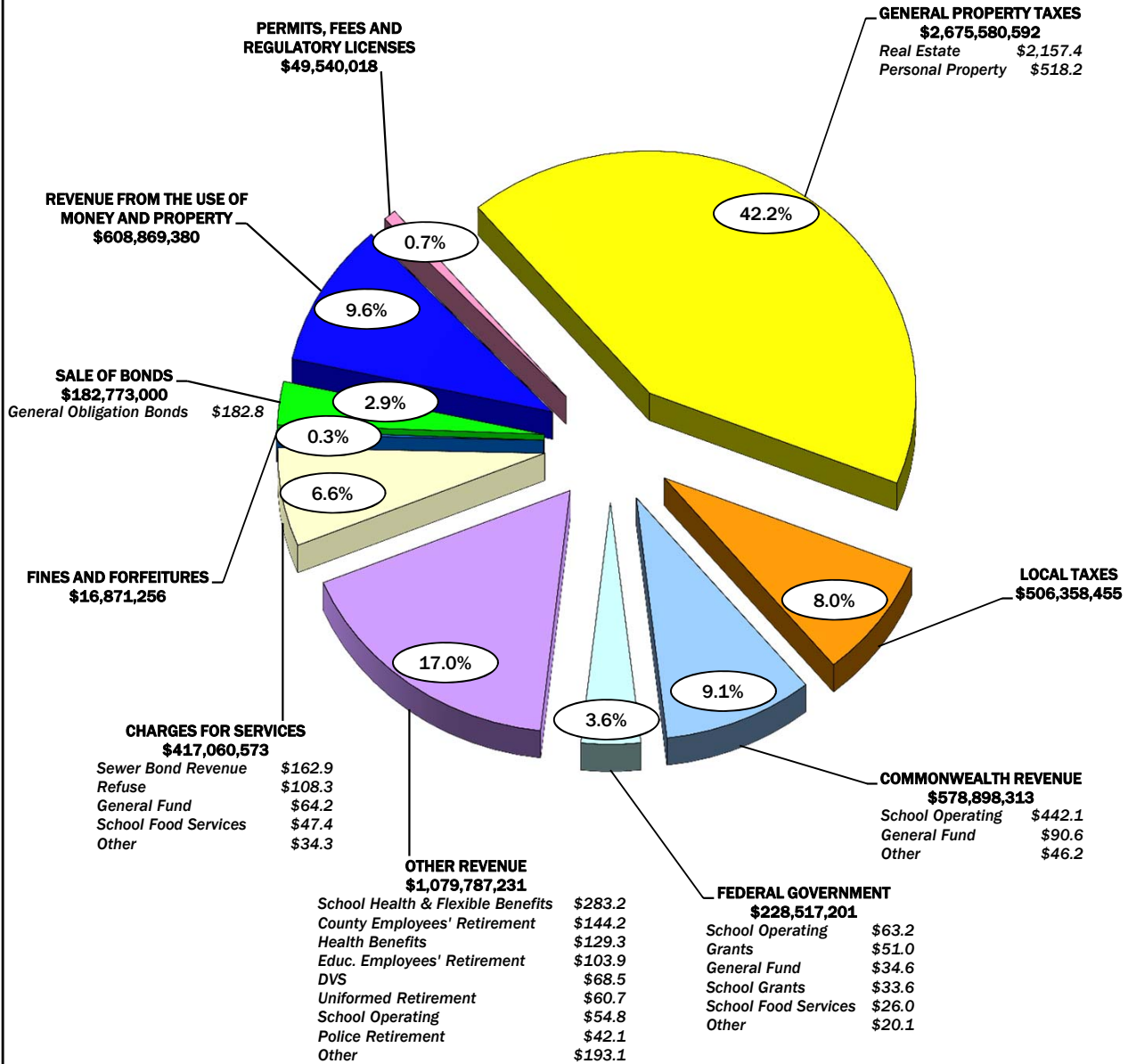
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FY 2012 REVENUE ALL FUNDS

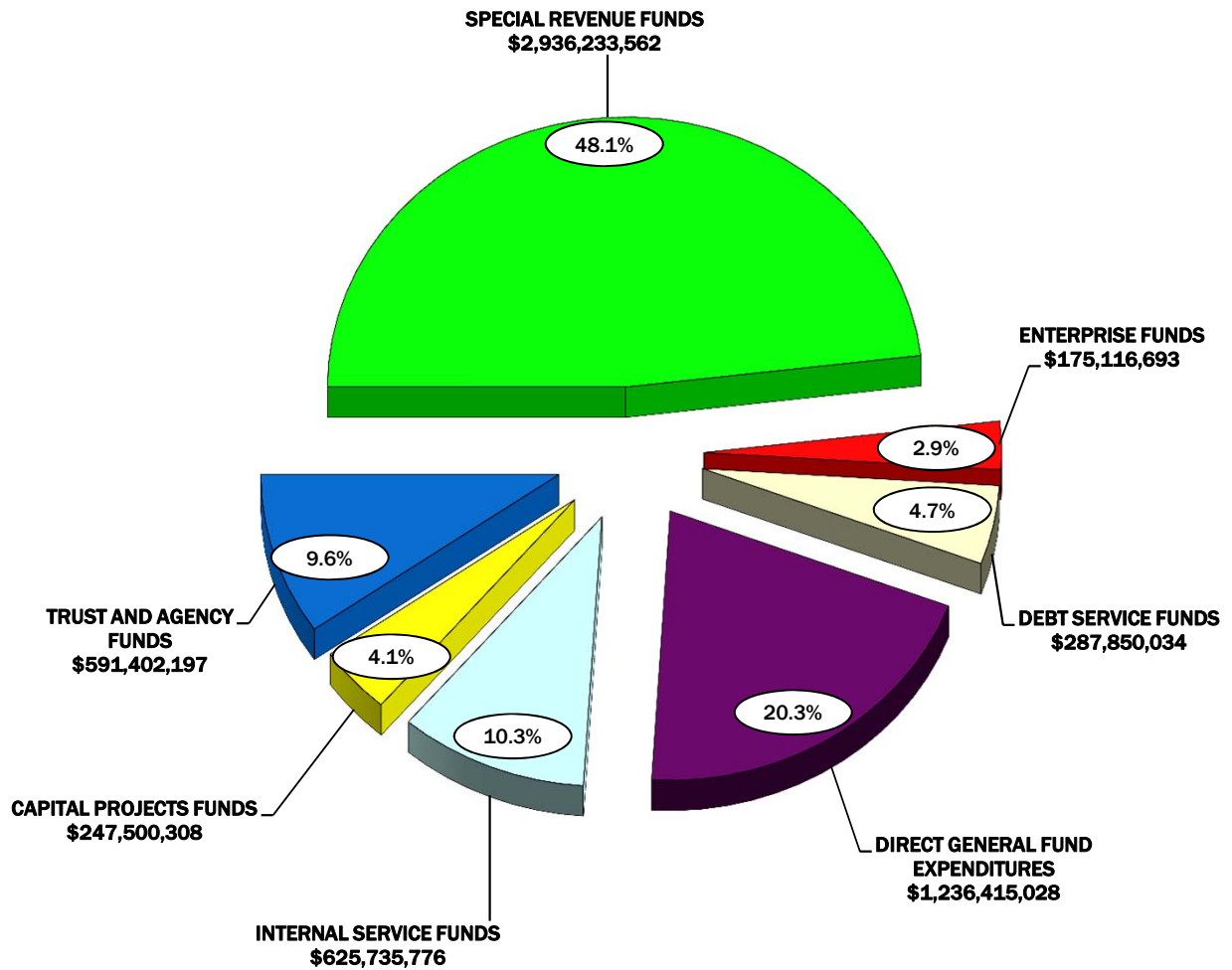
(subcategories in millions)



TOTAL REVENUE = \$6,344,256,019

For presentation purposes, Personal Property Taxes of \$211,313,944 that are reimbursed by the Commonwealth as a result of the Personal Property Tax Relief Act of 1998 are included in the Personal Property Taxes category.

FY 2012 EXPENDITURES ALL FUNDS



TOTAL EXPENDITURES = \$6,100,253,598

FY 2012 ADOPTED REVENUE AND RECEIPTS BY FUND SUMMARY OF APPROPRIATED FUNDS

Fund Type/Fund	FY 2010 Actual ¹	FY 2011 Adopted Budget Plan ²	FY 2011 Revised Budget Plan ³	FY 2012 Advertised Budget Plan ⁴	FY 2012 Adopted Budget Plan ⁵	Increase/ (Decrease) Over Revised	% Increase/ (Decrease) Over Revised
GOVERNMENTAL FUNDS							
G00 General Fund Group							
001 General Fund	\$3,350,609,508	\$3,237,504,611	\$3,269,900,429	\$3,340,353,056	\$3,306,952,451	\$37,052,022	1.13%
002 Revenue Stabilization Fund	1,003,509	0	0	0	0	0	-
Total General Fund Group	\$3,351,613,017	\$3,237,504,611	\$3,269,900,429	\$3,340,353,056	\$3,306,952,451	\$37,052,022	1.13%
G10 Special Revenue Funds							
090 Public School Operating ⁶	\$541,974,172	\$518,415,974	\$577,200,268	\$560,152,894	\$560,152,894	(\$17,047,374)	(2.95%)
100 County Transit Systems	31,993,225	32,279,432	33,779,432	40,888,622	40,888,622	7,109,190	21.05%
102 Federal/State Grant Fund	62,382,358	60,046,908	168,749,716	63,567,362	63,567,362	(105,182,354)	(62.33%)
103 Aging Grants & Programs	3,896,303	3,682,087	4,240,088	0	0	(4,240,088)	(100.00%)
104 Information Technology	1,327,275	500,000	1,099,033	300,000	300,000	(799,033)	(72.70%)
105 Cable Communications	18,954,235	16,925,224	16,925,224	19,315,370	19,315,370	2,390,146	14.12%
106 Fairfax-Falls Church Community Services Board	44,073,970	47,220,473	56,506,436	50,402,751	50,402,751	(6,103,685)	(10.80%)
108 Leaf Collection	2,130,526	1,924,086	1,924,086	1,920,354	1,920,354	(3,732)	(0.19%)
109 Refuse Collection and Recycling Operations	21,069,188	20,233,973	20,408,976	20,693,934	20,693,934	284,958	1.40%
110 Refuse Disposal	51,949,722	57,201,639	57,201,639	51,242,247	51,242,247	(5,959,392)	(10.42%)
111 Reston Community Center	7,574,407	7,655,587	7,655,587	7,700,355	7,700,355	44,768	0.58%
112 Energy Resource Recovery (ERR) Facility	30,569,919	34,353,508	32,232,564	32,048,249	32,048,249	(184,315)	(0.57%)
113 McLean Community Center	5,186,500	5,603,955	5,603,955	5,290,432	5,290,432	(313,523)	(5.59%)
114 I-95 Refuse Disposal	6,328,071	6,575,814	6,575,814	6,880,668	6,880,668	304,854	4.64%
115 Burgundy Village Community Center	41,930	57,610	57,610	43,096	43,096	(14,514)	(25.19%)
116 Integrated Pest Management Program	2,152,362	1,814,188	1,814,188	1,752,316	1,752,316	(61,872)	(3.41%)
120 E-911 Fund	22,822,591	23,236,680	22,062,804	22,441,353	22,441,353	378,549	1.72%
121 Dulles Rail Phase I Transportation Improvement District	28,017,357	23,768,271	23,768,271	23,221,610	23,221,610	(546,661)	(2.30%)
122 Dulles Rail Phase II Transportation Improvement District ⁷	0	3,597,035	3,597,035	6,719,320	6,719,320	3,122,285	86.80%
124 County & Regional Transportation Projects	50,874,426	43,105,550	93,105,550	42,000,000	42,000,000	(51,105,550)	(54.89%)
125 Stormwater Services	10,170,890	28,000,000	28,000,000	28,800,000	28,800,000	800,000	2.86%
141 Elderly Housing Programs	2,382,600	2,232,945	2,574,180	2,349,439	2,349,439	(224,741)	(8.73%)
142 Community Development Block Grant	7,682,726	5,982,304	16,626,693	6,463,133	6,463,133	(10,163,560)	(61.13%)
143 Homeowner and Business Loan Programs	5,156,875	3,883,825	8,015,978	4,514,316	4,514,316	(3,501,662)	(43.68%)
144 Housing Trust Fund	255,970	840,000	225,000	348,814	348,814	123,814	55.03%
145 HOME Investment Partnerships Grant	1,205,291	2,707,657	9,053,355	2,692,612	2,692,612	(6,360,743)	(70.26%)
191 School Food & Nutrition Services	72,360,775	71,736,004	71,736,005	74,254,586	74,254,586	2,518,581	3.51%
192 School Grants & Self Supporting ⁶	53,878,908	54,009,387	66,465,786	45,382,516	45,382,516	(21,083,270)	(31.72%)
193 School Adult & Community Education	8,588,695	9,993,558	10,271,619	10,354,438	10,354,438	82,819	0.81%
Total Special Revenue Funds	\$1,095,001,267	\$1,087,583,674	\$1,347,476,892	\$1,131,740,787	\$1,131,740,787	(\$215,736,105)	(16.01%)

FY 2012 ADOPTED REVENUE AND RECEIPTS BY FUND SUMMARY OF APPROPRIATED FUNDS

Fund Type/Fund	FY 2010 Actual ¹	FY 2011 Adopted Budget Plan ²	FY 2011 Revised Budget Plan ³	FY 2012 Advertised Budget Plan ⁴	FY 2012 Adopted Budget Plan ⁵	Increase/ (Decrease) Over Revised	% Increase/ (Decrease) Over Revised
G20 Debt Service Funds							
200/201 Consolidated Debt Service	\$2,011,960	\$390,000	\$390,000	\$378,770	\$378,770	(\$11,230)	(2.88%)
G30 Capital Project Funds							
301 Contributed Roadway Improvement Fund	\$2,424,194	\$110,000	\$1,246,893	\$110,000	\$110,000	(\$1,136,893)	(91.18%)
302 Library Construction	10,203,514	0	11,380,000	0	0	(11,380,000)	(100.00%)
303 County Construction	3,528,045	1,400,000	12,220,000	1,400,000	1,400,000	(10,820,000)	(88.54%)
304 Transportation Improvements	18,226,117	0	115,369,152	0	0	(115,369,152)	(100.00%)
306 Northern Virginia Regional Park Authority	2,700,000	2,700,000	2,700,000	3,000,000	3,000,000	300,000	11.11%
307 Pedestrian Walkway Improvements	318,207	0	3,321,934	0	0	(3,321,934)	(100.00%)
309 Metro Operations & Construction	56,300,000	22,692,000	14,738,706	24,773,000	24,773,000	10,034,294	68.08%
311 County Bond Construction	13,362,750	0	56,322,435	0	0	(56,322,435)	(100.00%)
312 Public Safety Construction	14,543,503	0	80,843,471	0	0	(80,843,471)	(100.00%)
314 Neighborhood Improvement Program	8,596	5,000	0	5,000	0	0	-
315 Commercial Revitalization Program	1,680	0	4,066,209	0	0	(4,066,209)	(100.00%)
316 Pro Rata Share Drainage Construction	4,506,173	0	10,398,065	0	0	(10,398,065)	(100.00%)
317 Capital Renewal Construction	53,347	5,000,000	14,000,000	15,000,000	15,000,000	1,000,000	7.14%
318 Stormwater Management Program	1,353,979	0	3,513,073	0	0	(3,513,073)	(100.00%)
319 The Penny for Affordable Housing Fund	13,011,075	13,458,400	14,358,400	14,668,400	14,668,400	310,000	2.16%
340 Housing Assistance Program	169,561	0	11,716,438	0	0	(11,716,438)	(100.00%)
370 Park Authority Bond Construction	11,701,090	0	54,835,000	0	0	(54,835,000)	(100.00%)
390 School Construction	158,696,095	155,436,000	470,752,755	155,386,000	155,386,000	(315,366,755)	(66.99%)
Total Capital Project Funds	\$311,107,926	\$200,801,400	\$881,782,531	\$214,342,400	\$214,337,400	(\$667,445,131)	(75.69%)
TOTAL GOVERNMENTAL FUNDS	\$4,759,734,170	\$4,526,279,685	\$5,499,549,852	\$4,686,815,013	\$4,653,409,408	(\$846,140,444)	(15.39%)
PROPRIETARY FUNDS							
G40 Enterprise Funds							
400 Sewer Revenue	\$138,245,198	\$148,015,000	\$147,015,000	\$164,003,500	\$164,003,500	\$16,988,500	11.56%
406 Sewer Bond Debt Reserve	0	9,706,000	9,706,000	0	0	(9,706,000)	(100.00%)
408 Sewer Bond Construction	6,531,548	141,294,000	172,318,927	500,000	500,000	(171,818,927)	(99.71%)
Total Enterprise Funds	\$144,776,746	\$299,015,000	\$329,039,927	\$164,503,500	\$164,503,500	(\$164,536,427)	(50.01%)

FY 2012 ADOPTED REVENUE AND RECEIPTS BY FUND SUMMARY OF APPROPRIATED FUNDS

Fund Type/Fund	FY 2010 Actual ¹	FY 2011 Adopted Budget Plan ²	FY 2011 Revised Budget Plan ³	FY 2012 Advertised Budget Plan ⁴	FY 2012 Adopted Budget Plan ⁵	Increase/ (Decrease) Over Revised	% Increase/ (Decrease) Over Revised
G50 Internal Service Funds							
501 County Insurance Fund	\$902,477	\$1,602,667	\$895,859	\$895,859	\$895,859	\$0	0.00%
503 Department of Vehicle Services	66,140,578	69,256,977	69,256,977	68,958,686	68,958,686	(298,291)	(0.43%)
504 Document Services Division	3,475,115	3,589,468	3,589,468	3,475,115	3,475,115	(114,353)	(3.19%)
505 Technology Infrastructure Services	26,396,829	26,251,337	26,251,337	27,578,688	27,578,688	1,327,351	5.06%
506 Health Benefits Fund	110,576,961	126,342,690	127,542,690	129,608,596	129,608,596	2,065,906	1.62%
590 School Insurance Fund	12,158,768	12,721,373	12,721,373	14,034,221	14,034,221	1,312,848	10.32%
591 School Health and Flexible Benefits	258,878,268	273,953,171	273,953,172	289,573,878	289,573,878	15,620,706	5.70%
592 School Central Procurement	11,023,393	14,000,000	14,000,000	14,000,000	14,000,000	0	0.00%
Total Internal Service Funds	\$489,552,389	\$527,717,683	\$528,210,876	\$548,125,043	\$548,125,043	\$19,914,167	3.77%
TOTAL PROPRIETARY FUNDS	\$634,329,135	\$826,732,683	\$857,250,803	\$712,628,543	\$712,628,543	(\$144,622,260)	(16.87%)
FIDUCIARY FUNDS							
G60 Trust Funds							
600 Uniformed Employees Retirement Trust Fund	\$187,486,472	\$135,577,794	\$135,577,794	\$144,539,401	\$144,539,401	\$8,961,607	6.61%
601 Fairfax County Employees' Retirement Trust Fund	612,649,463	314,515,389	314,515,389	350,110,336	350,110,336	35,594,947	11.32%
602 Police Retirement Trust Fund	180,506,905	102,462,834	102,462,834	112,581,103	112,581,103	10,118,269	9.88%
603 OPEB Trust Fund	15,199,719	4,276,577	15,134,577	5,199,562	5,199,562	(9,935,015)	(65.64%)
691 Educational Employees' Retirement	324,586,201	222,829,790	293,116,969	316,733,260	316,733,260	23,616,291	8.06%
692 Public School OPEB Trust Fund ⁶	29,240,492	39,000,000	48,163,000	39,289,000	39,289,000	(8,874,000)	(18.42%)
Total Trust Funds	\$1,349,669,252	\$818,662,384	\$908,970,563	\$968,452,662	\$968,452,662	\$59,482,099	6.54%
G70 Agency Funds							
700 Route 28 Taxing District	\$11,534,704	\$10,645,808	\$10,645,808	\$9,765,406	\$9,765,406	(\$880,402)	(8.27%)
716 Mosaic District Community Development Authority ⁸	0	0	94,900,000	0	0	(94,900,000)	(100.00%)
Total Agency Funds	\$11,534,704	\$10,645,808	\$105,545,808	\$9,765,406	\$9,765,406	(\$95,780,402)	(90.75%)
TOTAL FIDUCIARY FUNDS	\$1,361,203,956	\$829,308,192	\$1,014,516,371	\$978,218,068	\$978,218,068	(\$36,298,303)	(3.58%)
TOTAL APPROPRIATED FUNDS	\$6,755,267,261	\$6,182,320,560	\$7,371,317,026	\$6,377,661,624	\$6,344,256,019	(\$1,027,061,007)	(13.93%)
Appropriated From (Added to) Surplus	(\$1,062,498,787)	(\$169,089,253)	\$609,591,843	(\$372,349,336)	(\$324,964,076)	(\$934,555,919)	(153.31%)
TOTAL AVAILABLE	\$5,692,768,474	\$6,013,231,307	\$7,980,908,869	\$6,005,312,288	\$6,019,291,943	(\$1,961,616,926)	(24.58%)
Less: Internal Service Funds	(\$489,552,389)	(\$527,717,683)	(\$528,210,876)	(\$548,125,043)	(\$548,125,043)	(\$19,914,167)	3.77%
NET AVAILABLE	\$5,203,216,085	\$5,485,513,624	\$7,452,697,993	\$5,457,187,245	\$5,471,166,900	(\$1,981,531,093)	(26.59%)

FY 2012 ADOPTED REVENUE AND RECEIPTS BY FUND SUMMARY OF APPROPRIATED FUNDS

Fund Type/Fund	FY 2010 Actual ¹	FY 2011 Adopted Budget Plan ²	FY 2011 Revised Budget Plan ³	FY 2012 Advertised Budget Plan ⁴	FY 2012 Adopted Budget Plan ⁵	Increase/ (Decrease) Over Revised	% Increase/ (Decrease) Over Revised
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EXPLANATORY NOTE:

The "Total Available" indicates the revenue in each fiscal year that is to be used to support expenditures. This amount is the total revenue adjusted by the amount of funding that is either appropriated from fund balance or added to fund balance. In some instances, adjustments to fund balance that are not currently reflected in the "Changes in Fund Balance" table also affect the "Total Available." Explanations for these adjustments are provided below. The "Total Available," plus (minus) the effect of these changes matches the expenditure totals by fiscal year on the "Expenditure by Fund/Summary of Appropriated Funds," net of any transfers between funds.

¹ Not reflected are the following adjustments to balance which were carried forward from FY 2009 to FY 2010:

- Fund 191, School Food and Nutrition Services, change in inventory of \$177,950
- Fund 403, Sewer Bond Parity Debt Service, non-appropriated amortization expense of (\$7,629)
- Fund 501, County Insurance, net change in accrued liability of \$1,294,983
- Fund 590, Public School Insurance, net change in accrued liability of \$1,922,678

² Not reflected are the following adjustments to balance which were carried forward from FY 2010 to FY 2011:

- Fund 001, General Fund, assumes carryover of \$20,000,000 set aside at the FY 2009 Carryover Review for retirement requirements.
- Fund 191, Public School Food and Nutrition Services, assumes carryover of General Reserve of \$11,281,198
- Fund 193, Public School Adult and Community Education, assumes available FY 2010 balance of \$558,836
- Fund 403, Sewer Bond Parity Debt Service, non-appropriated amortization expense of (\$25,000)
- Fund 590, Public School Insurance, assumes carryover of Allocated Reserves of \$4,735,027 and additional available FY 2010 balance of \$1,656,090
- Fund 591, Public School Health and Flexible Benefits, assumes carryover of claims stabilization reserve of \$52,446,696

³ Not reflected are the following adjustments to balance which were carried forward from FY 2010 to FY 2011:

- Fund 303, County Construction, adjustment of (\$18,200,000) based on payment of the County's obligation to the Fairfax County Public Schools for construction of the South County High School through a trust account
- Fund 403, Sewer Bond Parity Debt Service, non-appropriated amortization expense of (\$25,000)

⁴ Not reflected are the following adjustments to balance which were carried forward from FY 2011 to FY 2012:

- Fund 001, General Fund, assumes carryover of \$15,000,000 set aside at the FY 2010 Carryover Review for retirement requirements and \$9,580,000 in anticipated reductions to be taken at the FY 2011 Third Quarter Review.
- Fund 103, Aging Grants and Programs, assumes (\$675,269) in projected available FY 2011 balance to be transferred out of fund as part of the FY 2011 Carryover Review due to the elimination of the fund.
- Fund 191, Public School Food and Nutrition Services, assumes carryover of General Reserve of \$13,591,947
- Fund 192, Public School Grants and Self-Supporting Programs, assumes available FY 2011 balance of \$1,357,741
- Fund 193, Public School Adult and Community Education, assumes available FY 2010 balance of \$86,271
- Fund 403, Sewer Bond Parity Debt Service, non-appropriated amortization expense of (\$25,000)
- Fund 590, Public School Insurance, assumes carryover of Allocated Reserves of \$4,842,320
- Fund 591, Public School Health and Flexible Benefits, claims stabilization reserve of \$46,713,537

⁵ Not reflected are the following adjustments to balance which were carried forward from FY 2011 to FY 2012:

- Fund 001, General Fund, assumes carryover of \$15,000,000 set aside at the FY 2010 Carryover Review for retirement requirements
- Fund 103, Aging Grants and Programs, assumes (\$250,000) in projected available FY 2011 balance to be transferred out of fund as part of the FY 2011 Carryover Review due to the elimination of the fund.
- Fund 191, Public School Food and Nutrition Services, assumes carryover of General Reserve of \$13,591,947
- Fund 192, Public School Grants and Self-Supporting Programs, assumes available FY 2011 balance of \$1,357,741 and does not reflect a reduction in balance of (\$1,208,474) from an anticipated increase in FY 2012 expenditures as a result of the reconciliation of the transfer in from Fund 105, Cable Communications, and the transfer assumed in the School Board's Advertised Budget Plan.
- Fund 193, Public School Adult and Community Education, assumes available FY 2010 balance of \$86,271
- Fund 403, Sewer Bond Parity Debt Service, non-appropriated amortization expense of (\$25,000)
- Fund 590, Public School Insurance, assumes carryover of Allocated Reserves of \$4,842,320
- Fund 591, Public School Health and Flexible Benefits, claims stabilization reserve of \$46,713,537

⁶ The FY 2011 Revised Budget Plan reflects revenues as contained in the Fairfax County Public Schools (FCPS) FY 2011 Midyear Review. Subsequent changes made by the School Board as part of the FCPS FY 2011 Third Quarter Review will be reflected at the FY 2011 Carryover Review.

⁷ As part of the FY 2011 Adopted Budget Plan, Fund 122, Dulles Rail Phase II Transportation Improvement District, was created to separately account for revenue received from the Phase II Dulles Rail Transportation Improvement District.

⁸ As part of the FY 2011 Third Quarter Review, Fund 716, Mosaic District Community Development Authority, was created to separately account for revenue received from the Mosaic District Community Development Authority.

FY 2012 ADOPTED EXPENDITURES BY FUND SUMMARY OF APPROPRIATED FUNDS

Fund Type/Fund	FY 2010 Estimate	FY 2010 Actual	FY 2011 Adopted Budget Plan	FY 2011 Revised Budget Plan	FY 2012 Advertised Budget Plan	FY 2012 Adopted Budget Plan	Increase/ (Decrease) Over Revised	% Increase/ (Decrease) Over Revised
GOVERNMENTAL FUNDS								
G00 General Fund Group								
001 General Fund	\$1,253,939,653	\$1,161,435,687	\$1,193,609,511	\$1,257,276,305	\$1,236,754,914	\$1,236,415,028	(\$20,861,277)	(1.66%)
G10 Special Revenue Funds								
090 Public School Operating ¹	\$2,206,246,417	\$2,062,741,349	\$2,153,563,115	\$2,248,251,991	\$2,171,059,534	\$2,171,559,534	(\$76,692,457)	(3.41%)
100 County Transit Systems	98,837,662	67,845,129	81,849,311	101,406,721	98,000,389	98,000,389	(3,406,332)	(3.36%)
102 Federal/State Grant Fund	144,228,345	63,324,919	62,960,909	200,527,310	67,818,214	67,818,214	(132,709,096)	(66.18%)
103 Aging Grants & Programs	11,193,849	7,105,406	7,824,306	10,847,744	0	0	(10,847,744)	(100.00%)
104 Information Technology	57,984,875	20,946,887	5,467,349	59,284,918	11,251,579	9,251,579	(50,033,339)	(84.39%)
105 Cable Communications	15,295,646	8,411,542	9,887,220	16,384,504	10,950,136	10,950,136	(5,434,368)	(33.17%)
106 Fairfax-Falls Church Community Services Board	150,959,539	138,875,521	140,558,420	153,586,823	144,980,981	146,255,981	(7,330,842)	(4.77%)
108 Leaf Collection	2,434,340	2,183,025	2,300,780	2,300,780	2,404,038	2,404,038	103,258	4.49%
109 Refuse Collection and Recycling Operations	23,285,876	19,638,378	19,277,682	20,908,316	20,238,318	20,238,318	(669,998)	(3.20%)
110 Refuse Disposal	66,501,528	49,518,214	55,397,092	61,407,069	51,244,631	51,244,631	(10,162,438)	(16.55%)
111 Reston Community Center	8,519,985	6,973,608	8,006,141	9,850,107	7,748,352	7,748,352	(2,101,755)	(21.34%)
112 Energy Resource Recovery (ERR) Facility	38,071,370	37,501,930	31,975,909	33,779,516	16,443,313	18,143,313	(15,636,203)	(46.29%)
113 McLean Community Center	5,703,976	4,380,058	5,308,040	5,968,797	5,579,357	5,579,357	(389,440)	(6.52%)
114 I-95 Refuse Disposal	24,233,518	8,783,864	8,586,108	23,540,506	8,211,546	8,211,546	(15,328,960)	(65.12%)
115 Burgundy Village Community Center	45,333	25,518	44,065	44,065	44,065	44,065	0	0.00%
116 Integrated Pest Management Program	3,246,904	2,176,637	2,903,352	3,282,472	2,903,352	3,023,352	(259,120)	(7.89%)
118 Consolidated Community Funding Pool	9,266,423	9,082,779	8,970,687	9,154,331	8,970,687	8,970,687	(183,644)	(2.01%)
119 Contributory Fund	12,935,440	12,854,128	12,038,305	12,038,305	12,212,942	12,212,942	174,637	1.45%
120 E-911 Fund	44,831,136	32,620,514	37,245,287	47,068,932	37,245,287	37,245,287	(9,823,645)	(20.87%)
121 Dulles Rail Phase I Transportation Improvement District	52,350,000	22,491,341	13,350,000	66,000,000	25,000,000	25,000,000	(41,000,000)	(62.12%)
122 Dulles Rail Phase II Transportation Improvement District ²	0	0	500,000	500,000	500,000	500,000	0	0.00%
124 County & Regional Transportation Projects	132,170,111	21,793,172	27,598,338	142,589,301	22,540,528	22,540,528	(120,048,773)	(84.19%)
125 Stormwater Services	15,937,967	11,989,666	28,000,000	31,869,191	28,800,000	28,800,000	(3,069,191)	(9.63%)
141 Elderly Housing Programs	4,546,796	3,536,038	4,186,706	5,201,767	4,159,501	4,159,501	(1,042,266)	(20.04%)
142 Community Development Block Grant	17,887,472	7,576,868	5,982,304	17,122,933	6,463,133	6,463,133	(10,659,800)	(62.25%)
143 Homeowner and Business Loan Programs	8,832,635	5,358,888	3,883,825	8,629,710	4,514,316	4,514,316	(4,115,394)	(47.69%)
144 Housing Trust Fund	6,331,697	2,177,035	840,000	4,235,632	348,814	348,814	(3,886,818)	(91.76%)
145 HOME Investment Partnerships Grant	7,585,726	1,252,918	2,707,657	9,069,673	2,692,612	2,692,612	(6,377,061)	(70.31%)
191 School Food & Nutrition Services	79,679,668	67,366,590	83,017,202	87,778,280	87,846,533	87,846,533	68,253	0.08%
192 School Grants & Self Supporting ³	100,745,088	69,688,989	70,894,825	96,567,320	63,625,695	63,625,695	(32,941,625)	(34.11%)
193 School Adult & Community Education	11,927,771	9,654,485	10,952,394	11,469,416	10,840,709	10,840,709	(628,707)	(5.48%)
Total Special Revenue Funds	\$3,361,817,093	\$2,777,875,396	\$2,906,077,329	\$3,500,666,430	\$2,934,638,562	\$2,936,233,562	(\$564,432,868)	(16.12%)
G20 Debt Service Funds								
200/201 Consolidated Debt Service	\$290,207,893	\$279,346,291	\$287,575,052	\$298,986,562	\$287,850,034	\$287,850,034	(\$11,136,528)	(3.72%)

FY 2012 ADOPTED EXPENDITURES BY FUND SUMMARY OF APPROPRIATED FUNDS

Fund Type/Fund	FY 2010 Estimate	FY 2010 Actual	FY 2011 Adopted Budget Plan	FY 2011 Revised Budget Plan	FY 2012 Advertised Budget Plan	FY 2012 Adopted Budget Plan	Increase/ (Decrease) Over Revised	% Increase/ (Decrease) Over Revised
G30 Capital Project Funds								
301 Contributed Roadway Improvement Fund	\$45,110,408	\$2,501,789	\$0	\$41,453,288	\$0	\$0	(\$41,453,288)	(100.00%)
302 Library Construction	30,949,743	12,186,248	0	18,758,661	0	0	(18,758,661)	(100.00%)
303 County Construction	69,350,292	20,585,441	13,462,406	46,144,454	16,723,869	16,723,869	(29,420,585)	(63.76%)
304 Transportation Improvements	137,913,306	11,490,344	0	124,109,947	0	0	(124,109,947)	(100.00%)
306 Northern Virginia Regional Park Authority	2,700,000	2,700,000	2,700,000	2,700,000	3,000,000	3,000,000	300,000	11.11%
307 Pedestrian Walkway Improvements	4,773,691	956,268	0	4,030,357	100,000	100,000	(3,930,357)	(97.52%)
309 Metro Operations & Construction	29,559,403	27,844,412	28,141,231	21,920,231	33,965,733	33,965,733	12,045,502	54.95%
311 County Bond Construction	80,228,756	9,115,509	0	78,529,272	0	0	(78,529,272)	(100.00%)
312 Public Safety Construction	134,799,432	17,953,228	0	121,714,044	750,000	442,595	(121,271,449)	(99.64%)
314 Neighborhood Improvement Program	148,485	0	0	0	0	0	0	-
315 Commercial Revitalization Program	4,575,251	478,697	0	4,098,234	0	0	(4,098,234)	(100.00%)
316 Pro Rata Share Drainage Construction	14,723,479	4,506,173	0	10,404,336	0	0	(10,404,336)	(100.00%)
317 Capital Renewal Construction	37,671,555	5,205,382	8,000,000	40,519,520	15,000,000	15,000,000	(25,519,520)	(62.98%)
318 Stormwater Management Program	22,085,406	8,535,124	0	16,913,243	0	0	(16,913,243)	(100.00%)
319 The Penny for Affordable Housing Fund	23,461,206	18,186,529	13,458,400	19,864,899	14,668,400	14,668,400	(5,196,499)	(26.16%)
340 Housing Assistance Program	9,014,216	1,074,560	515,000	8,355,876	515,000	515,000	(7,840,876)	(93.84%)
370 Park Authority Bond Construction	81,879,185	19,220,896	0	62,736,313	0	0	(62,736,313)	(100.00%)
390 School Construction	534,378,991	109,570,133	165,582,149	575,242,805	163,084,711	163,084,711	(412,158,094)	(71.65%)
Total Capital Project Funds	\$1,263,322,805	\$272,110,733	\$231,859,186	\$1,197,495,480	\$247,807,713	\$247,500,308	(\$949,995,172)	(79.33%)
TOTAL GOVERNMENTAL FUNDS	\$6,169,287,444	\$4,490,768,107	\$4,619,121,078	\$6,254,424,777	\$4,707,051,223	\$4,707,998,932	(\$1,546,425,845)	(24.73%)
PROPRIETARY FUNDS								
G40 Enterprise Funds								
401 Sewer Operation and Maintenance	\$98,365,426	\$82,824,490	\$99,968,777	\$89,828,572	\$93,287,604	\$93,287,604	\$3,459,032	3.85%
402 Sewer Construction Improvements	42,969,800	16,746,437	24,500,000	50,723,363	29,000,000	29,000,000	(21,723,363)	(42.83%)
403 Sewer Bond Parity Debt Service	10,886,182	13,952,554	19,827,531	19,827,531	26,104,805	26,104,805	6,277,274	31.66%
406 Sewer Bond Debt Reserve	0	0	0	0	0	0	0	0
407 Sewer Bond Subordinate Debt Service	24,333,391	24,279,811	24,910,740	24,910,740	26,724,284	26,724,284	1,813,544	7.28%
408 Sewer Bond Construction	100,705,727	49,999,131	140,294,000	228,100,596	0	0	(228,100,596)	(100.00%)
Total Enterprise Funds	\$277,260,526	\$187,802,423	\$309,501,048	\$413,390,802	\$175,116,693	\$175,116,693	(\$238,274,109)	(57.64%)
G50 Internal Service Funds								
501 County Insurance Fund	\$18,129,718	\$19,409,562	\$16,379,718	\$22,111,815	\$21,777,676	\$21,777,676	(334,139)	(1.51%)
503 Department of Vehicle Services	80,066,491	62,988,531	69,567,247	77,875,191	69,398,301	69,398,301	(8,476,890)	(10.89%)
504 Document Services Division	8,495,757	6,034,168	6,050,787	7,640,509	6,050,787	6,050,787	(1,589,722)	(20.81%)
505 Technology Infrastructure Services	26,520,043	23,694,754	28,160,148	30,655,413	29,483,564	29,483,564	(1,171,849)	(3.82%)
506 Health Benefits Fund	123,108,171	111,378,722	126,746,872	133,712,937	129,853,306	129,853,306	(3,859,631)	(2.89%)
590 School Insurance Fund	18,845,206	13,777,401	19,112,490	17,872,964	18,884,727	18,884,727	1,011,763	5.66%
591 School Health and Flexible Benefits	311,799,857	261,189,356	326,399,867	323,613,352	336,287,415	336,287,415	12,674,063	3.92%
592 School Central Procurement	14,000,000	11,284,250	14,000,000	14,000,000	14,000,000	14,000,000	0	0.00%
Total Internal Service Funds	\$600,965,243	\$509,756,744	\$606,417,129	\$627,482,181	\$625,735,776	\$625,735,776	(\$1,746,405)	(0.28%)
TOTAL PROPRIETARY FUNDS	\$878,225,769	\$697,559,167	\$915,918,177	\$1,040,872,983	\$800,852,469	\$800,852,469	(\$240,020,514)	(23.06%)

FY 2012 ADOPTED EXPENDITURES BY FUND SUMMARY OF APPROPRIATED FUNDS

Fund Type/Fund	FY 2010 Estimate	FY 2010 Actual	FY 2011 Adopted Budget Plan	FY 2011 Revised Budget Plan	FY 2012 Advertised Budget Plan	FY 2012 Adopted Budget Plan	Increase/ (Decrease) Over Revised	% Increase/ (Decrease) Over Revised
FIDUCIARY FUNDS								
G60 Trust Funds								
600 Uniformed Employees Retirement Trust Fund	\$67,324,901	\$63,601,151	\$77,763,515	\$77,763,515	\$79,650,095	\$79,650,095	\$1,886,580	2.43%
601 Fairfax County Employees' Retirement Trust Fund	201,053,281	182,620,769	213,982,858	213,982,858	220,823,834	220,823,834	6,840,976	3.20%
602 Police Retirement Trust Fund	54,849,822	51,096,135	58,963,783	58,963,783	61,716,542	61,716,542	2,752,759	4.67%
603 OPEB Trust Fund	15,077,881	14,239,001	6,842,229	17,700,229	7,144,556	7,144,556	(10,555,673)	(59.64%)
691 Educational Employees' Retirement	167,775,061	158,339,078	175,427,519	170,034,426	179,749,264	179,749,264	9,714,838	5.71%
692 Public School OPEB Trust Fund	26,010,000	27,198,189	26,047,000	30,723,000	32,552,500	32,552,500	1,829,500	5.95%
Total Trust Funds	\$532,090,946	\$497,094,323	\$559,026,904	\$569,167,811	\$581,636,791	\$581,636,791	\$12,468,980	2.19%
G70 Agency Funds								
700 Route 28 Taxing District	\$12,598,694	\$11,541,422	\$10,645,808	\$10,646,111	\$9,765,406	\$9,765,406	(\$880,705)	(8.27%)
716 Mosaic District Community Development Authority ⁴	0	0	0	88,400,000	0	0	(88,400,000)	(100.00%)
Total Agency Funds	\$12,598,694	\$11,541,422	\$10,645,808	\$99,046,111	\$9,765,406	\$9,765,406	(\$89,280,705)	(90.14%)
TOTAL FIDUCIARY FUNDS	\$544,689,640	\$508,635,745	\$569,672,712	\$668,213,922	\$591,402,197	\$591,402,197	(\$76,811,725)	(11.50%)
TOTAL APPROPRIATED FUNDS	\$7,592,202,853	\$5,696,963,019	\$6,104,711,967	\$7,963,511,682	\$6,099,305,889	\$6,100,253,598	(\$1,863,258,084)	(23.40%)
Less: Internal Service Funds ⁵	(\$600,965,243)	(\$509,756,744)	(\$606,417,129)	(\$627,482,181)	(\$625,735,776)	(\$625,735,776)	\$1,746,405	(0.28%)
NET EXPENDITURES	\$6,991,237,610	\$5,187,206,275	\$5,498,294,838	\$7,336,029,501	\$5,473,570,113	\$5,474,517,822	(\$1,861,511,679)	(25.37%)

¹ Pending School Board approval, FY 2012 expenditures for Fund 090, Public School Operating, are reduced by \$48,302,412 to offset the discrepancy between the proposed Transfer Out from the General Fund and the Superintendent's Proposed Transfer In to Fund 090. Final adjustments will be reflected at the FY 2011 Carryover Review.

² As part of the FY 2011 Adopted Budget Plan, Fund 122, Dulles Rail Phase II Transportation Improvement District, was created to separately account for revenue received from the Phase II Dulles Rail Transportation Improvement District.

³ The FY 2011 Revised Budget Plan reflects expenditures as contained in the Fairfax County Public Schools (FCPS) FY 2011 Midyear Review. Subsequent changes made by the School Board as part of the FCPS FY 2011 Third Quarter Review will be reflected at the FY 2011 Carryover Review. The FY 2012 Adopted Budget Plan reflects expenditures based on the transfer from Fund 105, Cable Communications, as shown in the FY 2012 Superintendent's Proposed budget. As the adopted transfer was higher than that included in the Superintendent's Proposed budget, the increased expenditures the transfer supports will be reflected at the FY 2011 Carryover Review.

⁴ As part of the FY 2011 Third Quarter Review, Fund 716, Mosaic District Community Development Authority, was created to separately account for revenue received from the Mosaic District Community Development Authority.

⁵ Total Appropriated Funds Expenditures are reduced by Internal Service Fund Expenditures, as the amounts are already included.

FY 2012 ADOPTED CHANGES IN FUND BALANCE SUMMARY OF APPROPRIATED FUNDS

Fund Type/Fund	Balance 6/30/09	Balance 6/30/10	Balance 6/30/11	Balance 6/30/12	Appropriated From/ (Added to) Surplus
GOVERNMENTAL FUNDS					
G00 General Fund Group					
001 General Fund	\$185,385,547	\$240,276,899	\$116,175,478	\$67,549,588	\$48,625,890
002 Revenue Stabilization Fund	86,610,227	103,827,504	103,827,504	103,827,504	0
Total General Fund Group	\$271,995,774	\$344,104,403	\$220,002,982	\$171,377,092	\$48,625,890
G10 Special Revenue Funds					
090 Public School Operating	\$118,117,827	\$189,730,689	\$101,811,861	\$75,000,000	\$26,811,861
100 County Transit Systems	20,469,602	23,678,258	981,250	0	981,250
102 Federal/State Grant Fund	27,073,254	29,093,113	229,520	229,520	0
103 Aging Grants & Programs	2,852,446	3,896,167	250,000	0	250,000
104 Information Technology	42,607,890	37,418,536	0	0	0
105 Cable Communications	18,189,339	21,519,673	13,257,162	4,906,547	8,350,615
106 Fairfax-Falls Church Community Services Board	6,969,641	5,783,119	500,000	372,096	127,904
108 Leaf Collection	3,562,807	3,510,308	3,133,614	2,649,930	483,684
109 Refuse Collection and Recycling Operations	7,128,416	8,559,226	8,059,886	8,515,502	(455,616)
110 Refuse Disposal	11,355,917	13,787,425	9,581,995	9,579,611	2,384
111 Reston Community Center	8,145,369	8,746,168	6,551,648	6,503,651	47,997
112 Energy Resource Recovery (ERR) Facility	26,787,307	21,578,204	21,776,758	35,681,694	(13,904,936)
113 McLean Community Center	11,745,157	12,551,599	12,186,757	11,897,832	288,925
114 I-95 Refuse Disposal	55,631,109	53,175,316	36,210,624	34,879,746	1,330,878
115 Burgundy Village Community Center	241,842	258,254	271,799	270,830	969
116 Integrated Pest Management Program	3,275,153	3,250,878	1,782,594	511,558	1,271,036
118 Consolidated Community Funding Pool	295,736	183,644	0	0	0
119 Contributory Fund	210,569	291,881	291,881	241,881	50,000
120 E-911 Fund	11,037,477	12,062,616	1,114,791	369,160	745,631
121 Dulles Rail Phase I Transportation Improvement District	84,573,977	90,099,993	47,868,264	46,089,874	1,778,390
122 Dulles Rail Phase II Transportation Improvement District ¹	0	0	3,097,035	9,316,355	(6,219,320)
124 County & Regional Transportation Projects	46,777,323	60,351,365	0	0	0
125 Stormwater Services	0	3,869,191	0	0	0
141 Elderly Housing Programs	963,920	1,843,707	1,205,345	1,384,508	(179,163)
142 Community Development Block Grant	390,382	496,240	0	0	0
143 Homeowner and Business Loan Programs	4,078,937	3,876,924	3,263,192	3,263,192	0
144 Housing Trust Fund	6,160,757	4,239,692	229,060	229,060	0
145 HOME Investment Partnerships Grant	63,945	16,318	0	0	0
191 School Food & Nutrition Services	10,870,140	16,042,275	0	0	0
192 School Grants & Self Supporting	5,837,182	13,216,096	0	0	0
193 School Adult & Community Education	904,751	797,797	0	0	0
Total Special Revenue Funds	\$536,318,172	\$643,924,672	\$273,655,036	\$251,892,547	\$21,762,489

FY 2012 ADOPTED CHANGES IN FUND BALANCE SUMMARY OF APPROPRIATED FUNDS

Fund Type/Fund	Balance 6/30/09	Balance 6/30/10	Balance 6/30/11	Balance 6/30/12	Appropriated From/ (Added to) Surplus
G20 Debt Service Funds					
200/201 Consolidated Debt Service	\$10,334,630	\$12,468,562	\$0	\$0	\$0
G30 Capital Project Funds					
301 Contributed Roadway Improvement Fund	\$40,503,990	\$40,316,395	\$0	\$0	\$0
302 Library Construction	9,361,395	7,378,661	0	0	0
303 County Construction	45,285,464	39,138,093	0	0	0
304 Transportation Improvements	2,005,022	8,740,795	0	0	0
306 Northern Virginia Regional Park Authority	0	0	0	0	0
307 Pedestrian Walkway Improvements	1,346,484	708,423	0	0	0
309 Metro Operations & Construction	(32,252,164)	1,732,294	0	0	0
310 Storm Drainage Bond Construction	0	0	0	0	0
311 County Bond Construction	13,764,278	22,206,837	0	0	0
312 Public Safety Construction	44,980,298	40,870,573	0	0	0
314 Neighborhood Improvement Program	428,896	250,939	0	0	0
315 Commercial Revitalization Program	509,042	32,025	0	0	0
316 Pro Rata Share Drainage Construction	6,271	6,271	0	0	0
317 Capital Renewal Construction	21,201,555	23,519,520	0	0	0
318 Stormwater Management Program	25,906,315	13,400,170	0	0	0
319 The Penny for Affordable Housing Fund	10,681,953	5,506,499	0	0	0
340 Housing Assistance Program	(3,162,227)	(3,852,467)	23,095	23,095	0
370 Park Authority Bond Construction	15,421,119	7,901,313	0	0	0
390 School Construction	36,763,861	94,573,900	0	0	0
Total Capital Project Funds	\$232,751,552	\$302,430,241	\$23,095	\$23,095	\$0
TOTAL GOVERNMENTAL FUNDS	\$1,051,400,128	\$1,302,927,878	\$493,681,113	\$423,292,734	\$70,388,379
PROPRIETARY FUNDS					
G40 Enterprise Funds					
400 Sewer Revenue	\$87,265,589	\$86,560,787	\$88,525,787	\$94,340,703	(\$5,814,916)
401 Sewer Operation and Maintenance ²	9,712,141	16,887,651	459,079	(14,828,525)	15,287,604
402 Sewer Construction Improvements	24,969,800	26,223,363	0	0	0
403 Sewer Bond Parity Debt Service ³	4,536,296	(2,773,887)	23,582	(551,263)	574,845
406 Sewer Bond Debt Reserve	16,555,123	16,555,123	26,261,123	26,261,123	0
407 Sewer Bond Subordinate Debt Service	1,490,263	1,510,452	1,099,712	9,052	1,090,660
408 Sewer Bond Construction	110,953,222	67,485,639	11,703,970	12,203,970	(500,000)
Total Enterprise Funds	\$255,482,434	\$212,449,128	\$128,073,253	\$117,435,060	\$10,638,193

FY 2012 ADOPTED CHANGES IN FUND BALANCE SUMMARY OF APPROPRIATED FUNDS

Fund Type/Fund	Balance 6/30/09	Balance 6/30/10	Balance 6/30/11	Balance 6/30/12	Appropriated From/ (Added to) Surplus
G50 Internal Service Funds					
501 County Insurance Fund	\$42,111,511	\$40,515,660	\$42,187,021	\$42,322,521	(\$135,500)
503 Department of Vehicle Services	48,433,607	44,890,336	32,272,122	31,832,507	439,615
504 Document Services Division	2,459,629	2,298,809	646,001	468,562	177,439
505 Technology Infrastructure Services	5,735,303	5,641,038	3,051,065	2,960,292	90,773
506 Health Benefits Fund	28,275,238	27,473,477	21,303,230	21,058,520	244,710
590 School Insurance Fund	27,605,581	27,909,626	22,758,035	22,749,849	8,186
591 School Health and Flexible Benefits	51,971,268	49,660,180	0	0	0
592 School Central Procurement	718,373	457,516	457,516	457,516	0
Total Internal Service Funds	\$207,310,510	\$198,846,642	\$122,674,990	\$121,849,767	\$825,223
TOTAL PROPRIETARY FUNDS	\$462,792,944	\$411,295,770	\$250,748,243	\$239,284,827	\$11,463,416
FIDUCIARY FUNDS					
G60 Trust Funds					
600 Uniformed Employees Retirement Trust Fund	\$867,187,220	\$991,072,541	\$1,048,886,820	\$1,113,776,126	(\$64,889,306)
601 Fairfax County Employees' Retirement Trust Fund	2,039,051,396	2,469,080,090	2,569,612,621	2,698,899,123	(129,286,502)
602 Police Retirement Trust Fund	706,622,286	836,033,056	879,532,107	930,396,668	(50,864,561)
603 OPEB Trust Fund	51,792,775	62,653,493	73,987,841	92,042,847	(18,055,006)
691 Educational Employees' Retirement	1,441,366,143	1,607,613,266	1,730,695,809	1,867,679,805	(136,983,996)
692 Public School OPEB Trust Fund	17,520,320	19,562,623	37,002,623	43,739,123	(6,736,500)
Total Trust Funds	\$5,123,540,140	\$5,986,015,069	\$6,339,717,821	\$6,746,533,692	(\$406,815,871)
G70 Agency Funds					
700 Route 28 Taxing District	\$7,021	\$303	\$0	\$0	\$0
716 Mosaic District Community Development Authority ⁴	0	0	6,500,000	6,500,000	0
Total Agency Funds	\$7,021	\$303	\$6,500,000	\$6,500,000	\$0
TOTAL FIDUCIARY FUNDS	\$5,123,547,161	\$5,986,015,372	\$6,346,217,821	\$6,753,033,692	(\$406,815,871)
TOTAL APPROPRIATED FUNDS	\$6,637,740,233	\$7,700,239,020	\$7,090,647,177	\$7,415,611,253	(\$324,964,076)

¹ As part of the FY 2011 Adopted Budget Plan, Fund 122, Dulles Rail Phase II Transportation Improvement District, was created to separately account for revenue received from the Phase II Dulles Rail Transportation Improvement District.

² The June 30, 2012 ending balance is negative as a result of changes made at the FY 2011 Third Quarter Review which will result in additional requirements for FY 2012. In order to eliminate the negative ending balance, an increased transfer from Fund 400, Sewer Revenue, will be included in the FY 2011 Carryover Review.

³ The June 30, 2010 and 2012 ending balances are negative as a result of an FY 2011 audit adjustment based on the timing of interest payments associated with the 2009 bond sale. In order to eliminate the FY 2012 negative ending balance, an increased transfer from Fund 400, Sewer Revenue, will be included in the FY 2011 Carryover Review.

⁴ As part of the FY 2011 Third Quarter Review, Fund 716, Mosaic District Community Development Authority, was created to separately account for revenue received from the Mosaic District Community Development Authority.

Fund 002

Revenue Stabilization

Focus

The Board of Supervisors, during deliberations on the *FY 1999 Carryover Review*, approved the establishment of Fund 002, Revenue Stabilization Fund (RSF). The purpose of this fund is to provide a mechanism for maintaining a balanced budget without resorting to tax increases and expenditure reductions that aggravate the stresses imposed by the cyclical nature of the economy.

The Board of Supervisors established the Reserve under the directive that the Revenue Stabilization Fund will not be used as a method of addressing the demand for new or expanded services; it is solely to be used as a financial tool in the event of a significant economic downturn. Therefore, the Board of Supervisors established a policy for utilizing the Revenue Stabilization Fund that identified three specific criteria that must be met in order to make a withdrawal from the Fund:

- Projected revenues reflect a decrease greater than 1.5 percent from the current year estimate;
- Withdrawals from the Fund shall not exceed one-half of the fund balance in any fiscal year; and
- Withdrawals from the Reserve shall be used in combination with spending cuts or other measures.

The Revenue Stabilization Fund has a target balance of 3.0 percent of General Fund disbursements. The Fund shall be separate and distinct from the County's 2.0 percent Managed Reserve, which was initially established in FY 1983. However, the aggregate balance of both reserves shall not exceed 5.0 percent of General Fund disbursements.

The target balance of 3.0 percent of General Fund disbursements was to be accomplished by transferring funds from the General Fund over a multi-year period. The Board of Supervisors determined that a minimum of 40 percent of non-recurring balances identified at quarterly reviews would be transferred to the Revenue Stabilization Fund and the Fund would retain the interest earnings on the balance, and the retention of interest would continue until the Reserve was fully funded. As a result of Board of Supervisors' approved General Fund transfers, along with projected interest earnings, the fund achieved fully funded status in FY 2006 by reaching its target level of 3.0 percent of General Fund disbursements. Based on the projected earnings on the balance in the fund and depending on the average yield for the portfolio, it is anticipated that the fund will remain fully funded by retaining its interest earnings. However, if adjustments to disbursements result in a target level which exceeds the amount of interest projected to be earned by the fund, a General Fund Transfer to this fund would be required to maintain the 3.0 percent of disbursements fully funded target level. Conversely, if the amount of interest projected to be earned by the fund exceeds the amount required to maintain fully funded status, Fund 001, General Fund, will retain the additional interest earnings.

Changes to FY 2011 Adopted Budget Plan

The following funding adjustments reflect all approved changes in the FY 2011 Revised Budget Plan since passage of the FY 2011 Adopted Budget Plan. Included are all adjustments made as part of the FY 2010 Carryover Review, FY 2011 Third Quarter Review, and all other approved changes through April 12, 2011.

- ◆ There have been no adjustments to this fund since approval of the FY 2011 Adopted Budget Plan.

Fund 002 Revenue Stabilization

FUND STATEMENT

Fund Type G00, General Fund

Fund 002, Revenue Stabilization

	FY 2010 Actual	FY 2011 Adopted Budget Plan	FY 2011 Revised Budget Plan	FY 2012 Advertised Budget Plan	FY 2012 Adopted Budget Plan
Beginning Balance	\$86,610,227	\$102,823,995	\$103,827,504	\$103,827,504	\$103,827,504
Revenue:					
Interest Earnings ¹	\$1,003,509	\$0	\$0	\$0	\$0
Total Revenue	\$1,003,509	\$0	\$0	\$0	\$0
Transfer In:					
General Fund (001)	\$16,213,768	\$0	\$0	\$0	\$0
Total Transfer In	\$16,213,768	\$0	\$0	\$0	\$0
Total Available	\$103,827,504	\$102,823,995	\$103,827,504	\$103,827,504	\$103,827,504
Transfer Out:					
General Fund (001)	\$0	\$0	\$0	\$0	\$0
Total Transfer In	\$0	\$0	\$0	\$0	\$0
Total Disbursements	\$0	\$0	\$0	\$0	\$0
Ending Balance	\$103,827,504	\$102,823,995	\$103,827,504	\$103,827,504	\$103,827,504

¹ Based on the anticipated balance in the fund and budgeted County Disbursements in FY 2011 and FY 2012, it is anticipated that this fund will not need to retain interest earnings in either year to remain fully funded.

Special Revenue Funds

Overview

Special Revenue Funds account for the proceeds of specific revenue sources that are restricted to expenditures for specified purposes. These proceeds include state and federal aid, income derived through activities performed by the Solid Waste Management Program, special levies, program activity revenue and operation of the public school system. The funds that are classified within the Special Revenue Funds group are listed below.

STATE AND FEDERAL AID

These funds administer programs that benefit Fairfax County residents in accordance with County policy. Included are funds for programs that attempt to identify and alleviate the causes of poverty; manage grant resources for a variety of County programs ranging from public safety to human services issues; aid aging citizens within Fairfax County; and conserve and upgrade low and moderate-income neighborhoods. (Note: in July 2011, the County is implementing an integrated finance, budget, purchasing and human resources computer system. As a result, grant funding associated with Fund 103, Aging Grants and Programs is being consolidated into Fund 102, Federal/State Grants Fund. In addition, funding previously classified as a grant in Fund 103, Aging Grants and Programs that no longer meets the grant definition of the new computer system will be transferred to Agency 67, Department of Family Services or Agency 79, Department of Neighborhood and Community Services in the General Fund.

- **Fund 102 - Federal/State Grant Fund**
- **Fund 103 - Aging Grants and Programs**
- **Fund 106 - Fairfax-Falls Church Community Services Board**
- **Fund 142 - Community Development Block Grant**
- **Fund 145 - HOME Investment Partnership Grant**

CONSOLIDATED COMMUNITY FUNDING POOL

These grants enable community-based organizations to leverage their existing program funding to provide services that are most appropriately delivered by non-governmental organizations. Starting in FY 2001, the Consolidated Community Funding Pool initiated grant awards on a two-year funding cycle to provide increased stability for the community-based organizations. Prior to FY 2001, the County awarded grants from the pool on a one-year cycle.

- **Fund 118 – Consolidated Community Funding Pool**

INFORMATION TECHNOLOGY (IT)

This fund supports the critical role of information technology in improving the County's business processes and customer service, and in recognition of the ongoing investment necessary to achieve such improvements.

- **Fund 104 - Information Technology**

Special Revenue Funds

FAIRFAX-FALLS CHURCH COMMUNITY SERVICES BOARD (CSB)

Funding to support CSB programs in the areas of mental health, intellectual disability, alcohol and drug, and early intervention services is derived from a variety of sources including the cities of Fairfax and Falls Church, the state and federal governments, client/program fees and transfers from the General Fund.

- **Fund 106 - Fairfax-Falls Church Community Services Board**

SOLID WASTE MANAGEMENT

These funds provide for the collection and disposal of refuse within Fairfax County, as well as the disposal of refuse delivered by local jurisdictions. Revenue is derived from collection and disposal charges of the various program components.

- **Fund 108 - Leaf Collection**
- **Fund 109 - Refuse Collection and Recycling Operations**
- **Fund 110 - Refuse Disposal**
- **Fund 112 - Energy/Resource Recovery Facility (E/RRF)**
- **Fund 114 - I-95 Refuse Disposal**

COMMUNITY CENTERS

These funds provide for the construction, operation, and maintenance of community centers for use by the residents within the special tax districts who pay a special levy based on assessed value of real property.

- **Fund 111 - Reston Community Center**
- **Fund 113 - McLean Community Center**
- **Fund 115 - Burgundy Village Community Center**

SERVICE DISTRICTS

These funds are service districts that provide a specific service to County residents. The Integrated Pest Management Program gains revenue through a special countywide tax levy on residential, commercial, and industrial properties to allow for the treatment of the gypsy moth, cankerworm and emerald ash borer population as well as the prevention of the West Nile Virus. The Stormwater Services Program is a new district established in FY 2010. The service district levy is currently \$0.015 per \$100 of assessed real estate value. This amount will support both staff operating requirements and stormwater capital projects. Capital Projects include: repairs to stormwater infrastructure, measures to improve water quality, stream stabilization, rehabilitation and safety upgrades of dams, repair and replacement of underground pipe systems and surface channels, structural flood proofing and Best Management Practices (BMP) site retrofits. This funding also supports implementation of watershed master plans, increased public outreach efforts and stormwater monitoring activities.

- **Fund 116 - Integrated Pest Management Program**
- **Fund 125 - Stormwater Services**

Special Revenue Funds

CONTRIBUTORY AGENCIES

This fund was established to reflect the General Fund support of contributory agencies. Funding for the County's contribution to various organizations and/or projects is reflected in this fund. Support of this program was previously included in the General Fund in Agency 88, Contributory Agencies. However, because the expenditures made to these organizations are typically not in direct support of County operations, a separate fund was established.

- **Fund 119 - Contributory Fund**

E-911 FUND

This fund was created to satisfy a state legislative requirement that E-911 revenues and expenditures be accounted for separately. All expenditures associated with the Public Safety Communications Center (PSCC) are budgeted in this fund.

- **Fund 120 - E-911**

DULLES RAIL PHASE I TRANSPORTATION IMPROVEMENT DISTRICT

The District was formed by the Board of Supervisors on February 23, 2004 based on petition of the owners of commercial and industrial property in order to fund the extension of the Metrorail Orange line in the vicinity of West Falls Church to Wiehle Avenue in Reston. The District will contribute up to \$400.0 million of the County's share for Phase I through the imposition of a voluntary tax on commercial and industrial properties within the Phase I District. On June 22, 2009, the Board of Supervisors approved preliminary bond documents for Phase I Dulles Rail Tax District financing and authorization of judicial proceedings to validate the bonds. It was determined that prior to the issuance of bonds by the Economic Development Authority, there should be a judicial determination of the validity of the bonds to ensure broad financial market acceptance of the bonds. The initial judicial review was completed at the Circuit Court level on August 28, 2009, at which time the County received a favorable ruling. On November 4, 2010, the Virginia Supreme Court affirmed the lower court ruling. On May 9 and 10, 2010, the bonds for the project were sold to provide the proportional share of project funding required in accordance with the funding agreement with the Metropolitan Washington Airports Authority and the County.

- **Fund 121 – Dulles Rail Phase I Transportation District Improvements**

DULLES RAIL PHASE II TRANSPORTATION IMPROVEMENT DISTRICT

Phase II of the Dulles Metrorail project will run from just west of Wiehle Avenue to Ashburn in eastern Loudoun County. This extension will serve Reston Town Center, Herndon, Dulles Airport, Route 606, and Ashburn. Commercial and industrial properties in the Phase II District, which lie near the project on either side of the right-of-way of the Dulles Airport Access and Toll Road ("DTR") within Fairfax County, will be taxed to help Fairfax County fund the County's share of the project. Consistent with the Petition and the resolution adopted by the Board to create the Phase II District, a tax rate of \$0.05 per hundred dollars of assessed value was proposed for FY 2011 for commercial and industrial properties within the Phase II District. According to the Petition, for FY 2012, this tax rate increases to \$0.10 per hundred dollars of assessed value. It is expected to yield approximately \$6.6 million in revenue for the fund. The Petition proposed annual tax increases of \$0.05 cents per year until the rate reaches \$0.20 cents per \$100 of assessed value in FY 2014.

- **Fund 122– Dulles Rail Phase II Transportation District Improvements**

Special Revenue Funds

COUNTY AND REGIONAL TRANSPORTATION

These funds provide for planning, coordinating and implementing a multi-modal transportation system for Fairfax County that moves people and goods, consistent with the values of the community and is supported by commercial and industrial taxes for transportation.

- **Fund 124 - County and Regional Transportation Projects**

PROGRAM ACTIVITY REVENUE

These funds support the County's bus and commuter rail service, and the County's cable operations. The primary sources of revenue for program activity funds are derived from receipts generated through program operations.

- **Fund 100 - County Transit Systems**
- **Fund 105 - Cable Communications**

OPERATION OF THE PUBLIC SCHOOL SYSTEM

These funds provide for recording expenditures required to operate, maintain, and support the Fairfax County Public School system programs, as well as the procurement, preparation, and serving of student breakfasts, snacks, and lunches. Primary sources of revenue include federal and state aid, transfers from the General Fund and receipts derived through food sales.

- **Fund 090 - Public School Operating**
- **Fund 191 - Public School Food and Nutrition Services**
- **Fund 192 - Public School Grants and Self-Supporting Programs**
- **Fund 193 - Public School Adult and Community Education**

DEPARTMENT OF HOUSING AND COMMUNITY DEVELOPMENT

Narratives for Fund 141, Elderly Housing Programs; Fund 142, Community Development Block Grant; Fund 143, Homeowner and Business Loan Programs; Fund 144, Housing Trust Fund; and Fund 145, HOME Investment Partnership Grant can be found in the Housing and Community Development Programs section of this Volume.

Fund 090 Public School Operating

Focus

Expenditures required for operating, maintaining and supporting the instructional program of Fairfax County Public Schools (FCPS) are recorded in Fund 090, Public School Operating. These expenditures include the costs for salaries and related employee benefits, materials, equipment and services, as well as costs for projected changes in membership and inflation. Revenue to support these expenditures is provided by a transfer from the County General Fund, state and federal aid, tuition payments from the City of Fairfax, as well as other fees and transfers.



It should be noted that the following fund statement reflects the FY 2012 Fairfax County Public School Superintendent's Proposed Budget, which was released on January 6, 2011 and included a request for a 3.0 percent increase to the General Fund transfer. Adjustments to the Superintendent's Proposed Budget, adopted by the Fairfax County School Board on February 3, 2011 were discussed in the Overview volume of the County's FY 2012 Advertised Budget Plan. All financial schedules included in the FY 2012 Adopted Budget Plan reflect the FY 2012 General Fund transfer as adopted by the Board of Supervisors on April 26, 2011. The adopted County General Fund Transfer for School operations in FY 2012 totals \$1,610,834,722 and includes an increase of \$500,000 over the County Executive proposed transfer as a result of savings from the elimination of the Kindergarten School Age Child Care (SACC) program, which is no longer necessary as all Fairfax County Public Schools will have full-day kindergarten as of the fall 2011.

Fund 090 Public School Operating

FUND STATEMENT

Fund Type G10, Special Revenue Funds

Fund 090, Public School Operating Fund

	FY 2010 Actual	FY 2011 Adopted Budget Plan	FY 2011 Revised Budget Plan ¹	FY 2011 Superintendent's Proposed	FY 2012 Adopted Budget Plan
Beginning Balance²	\$118,117,827	\$53,500,000	\$189,730,689	\$53,818,854	\$53,818,854
Revenue:					
Sales Tax	\$144,856,335	\$140,077,108	\$148,084,437	\$148,621,812	\$148,621,812
State Aid	255,574,758	247,701,721	297,171,019	293,490,848	293,490,848
Federal Aid	87,827,700	79,161,279	87,716,400	63,197,897	63,197,897
City of Fairfax Tuition	34,755,136	36,586,349	35,433,040	35,433,040	35,433,040
Tuition, Fees, and Other	18,960,243	14,889,517	13,795,372	19,409,297	19,409,297
Total Revenue	\$541,974,172	\$518,415,974	\$582,200,268	\$560,152,894	\$560,152,894
Reserve Available	\$0	\$0	\$0	\$47,993,007	\$47,993,007
Transfers In:					
County General Fund (001)	\$1,626,600,722	\$1,610,334,722	\$1,610,334,722	\$1,659,137,134	\$1,610,834,722
County General Fund (001) - Priority School Initiative	0	0	1,255,755	0	0
Total Transfers In	\$1,626,600,722	\$1,610,334,722	\$1,611,590,477	\$1,659,137,134	\$1,610,834,722
Total Available	\$2,286,692,721	\$2,182,250,696	\$2,383,521,434	\$2,321,101,889	\$2,272,799,477
Total Expenditures ³					
School Construction Fund (390)	\$8,684,077	\$10,146,149	\$9,916,150	\$7,698,711	\$7,698,711
School Grants & Self-Supporting Fund (192)	20,802,447	14,367,709	14,367,709	14,367,709	14,367,709
School Adult & Community Education Fund (193)	958,836	400,000	400,000	400,000	400,000
Consolidated County & Schools Debt Fund (200 & 201)	3,775,323	3,773,723	3,773,723	3,773,523	3,773,523
Total Transfers Out	\$34,220,683	\$28,687,581	\$28,457,582	\$26,239,943	\$26,239,943
Total Disbursements	\$2,096,962,032	\$2,182,250,696	\$2,276,709,573	\$2,246,101,889	\$2,197,799,477
Ending Balance	\$189,730,689	\$0	\$106,811,861	\$75,000,000	\$75,000,000
VRS Reserve	\$0	\$0	\$44,993,007	\$75,000,000	\$75,000,000
Employee Compensation Reserve	0	0	3,000,000	0	0
Budgeted Beginning Balance	0	0	53,818,854	0	0
Available Ending Balance	\$189,730,689	\$0	\$5,000,000	\$0	\$0

¹ The FY 2011 Revised Budget Plan reflects adjustments adopted by the Fairfax County School Board on March 24, 2011 during their FY 2011 Third Quarter Review.

² The FY 2012 Beginning Balance does not yet reflect adjustments adopted by the Fairfax County School Board on March 24, 2011 during their FY 2011 Third Quarter Review. These adjustments will be reflected as part of the FY 2011 Carryover Review.

³ Pending School Board approval, FY 2012 Adopted Budget Plan expenditures have been reduced by \$48,302,412 to offset the discrepancy between the County General Fund transfer adopted by the Board of Supervisors and the transfer request in the Superintendent's Proposed Budget. Final adjustments will be reflected at the FY 2011 Carryover Review.

Fund 100

County Transit Systems

Mission

To provide safe, reliable, clean and effective public transportation service that complements the other elements of the multi-modal transportation system in Fairfax County and provides a cost-saving alternative to Washington Metropolitan Area Transit Authority (WMATA) Metrobus service. To fund the County's share of operating costs for the Virginia Railway Express (VRE).

Focus

FAIRFAX CONNECTOR Bus System

Fund 100, County Transit Systems, provides funding for operating and capital expenses for the FAIRFAX CONNECTOR bus system. The Fairfax County Department of Transportation (FCDOT) manages, oversees and coordinates the activities of the FAIRFAX CONNECTOR bus system, which in FY 2012 will operate 66 routes providing primarily intra-county service and access to Metrorail stations serving County residents. The system currently includes 220 buses in service, an additional 19 buses on order to meet existing service requirements, and 22 rebuilt buses which serve as a reserve fleet. The FY 2012 budget also includes fleet additions, as noted below, to prepare for service requirements in future years.

Buses operate from three bus operations centers, Huntington, Reston-Herndon and West Ox, owned by the County. Day to day operations are accomplished through a private contractor. The Huntington Division provides local service to the Huntington, Van Dorn and Franconia-Springfield Metrorail Stations, express service to the Pentagon Metrorail Station and cross-county service between Springfield and Tysons Corner. The Reston-Herndon Division includes express service from Reston and Herndon to the West Falls Church – VT/UVA Metrorail Station, express service from Reston to the Pentagon and Crystal City, local service between Herndon, Reston, and Tysons Corner, local service within Reston, and cross-county service between Fair Oaks and Reston.

In June 2009, the West Ox Division became operational, providing service to the Centreville and Chantilly areas in the western part of the County. The division operates from the West Ox Bus Operations Center, a new facility completed in fall 2008. The facility is jointly funded and occupied by the County and WMATA. The site eventually will support 300 buses. Phase I has a total maximum space for 100 WMATA buses and 75 County buses.



The new West Ox Bus Operations Center commenced operations on June 29, 2009.

Fund 100

County Transit Systems

Under the Joint Use Agreement with WMATA, WMATA pays its share of on-going operating and maintenance costs to the County. The new center provides more optimal and effective service to the western portion of the County, including FAIRFAX CONNECTOR services that previously replaced WMATA's 12s, 20s and 2W non-regional Metrobus routes, as approved by the Board of Supervisors in February 2006.

In addition to the opening of the new West Ox Bus Operations Center, there have been a number of CONNECTOR initiatives in recent years. In FY 2008, FAIRFAX CONNECTOR equipped all buses with front-mounted bike racks able to carry two bikes. Bike racks have been well received across the County by FAIRFAX CONNECTOR riders, as they offer a healthier, more environmentally friendly commuting choice.

FCDOT continues its commitment to the Emission Reduction Program. The program includes an idling reduction program, auto shutdown program, reduced horsepower on the 30 foot fleet, and 139 buses with engines that include diesel particulate filters (DPF). The agency has installed DPF filters on many of the older buses, has retired 29 of the older buses and has equipped 45 buses with Engineered Machine Products (EMP) technology. EMP technology electrifies many of the systems, making buses with this technology a Mini-Hybrid and reducing fuel consumption. Additionally, in FY 2012, FCDOT will phase in the use of Diesel Exhaust Fluid (DEF), an exhaust treatment to reduce emissions of nitrogen oxide (NOx) and Volatile Organic Compounds (VOCs), mandated by 2010 Environmental Protection Agency (EPA) regulations for 2010 and future model year buses.

A further initiative was the completion of a bus rebuilding program, enabling FAIRFAX CONNECTOR to take retired buses and create a reserve fleet. This program resulted in the rebuilding of buses with new engines, transmissions, bulkheads, wheelchair lifts and other major components. These rebuilt buses enable the FAIRFAX CONNECTOR to have a more adequate spare ratio to address maintenance requirements, provide more protection to the active fleet, deploy standby buses to provide system reliability and dependability, enable training without impacting service delivery and provide a contingency fleet in the event of unforeseen regional emergencies.

FAIRFAX CONNECTOR service is supported from a combination of sources, including fare and advertising revenue, State Aid held on behalf of Fairfax County at the Northern Virginia Transportation Commission, a transfer of commercial and industrial real estate tax for transportation revenue from Fund 124, County and Regional Transportation Projects, a transfer from Fund 309, Metro Operations and Construction, and a General Fund transfer. Previous years' support of \$6.7 million from the Dulles Corridor Grant expired in FY 2011, when the Metropolitan Washington Airports Authority (MWAA), the state's successor in operating the Dulles Toll Road, determined the grant would not be continued. The grant was instrumental in building transit ridership in the Dulles corridor prior to the opening of Metrorail operations in the corridor. In FY 2011, the County utilized a combination of strategies to cover the loss of this important revenue source, including: a reduction in standby bus service to respond to bus breakdowns and overcrowding; the addition of General Fund support to continue CONNECTOR routes and service hours that had been considered for elimination; and the use of one time balances in State Aid held by the Northern Virginia Transportation Commission to meet some of the requirement. In FY 2012, the County continues to support Dulles corridor services with General Fund and State Aid support.

Fund 100 County Transit Systems

Moderate overall growth in fare revenue receipts is expected in FY 2012, based on current ridership experience, growth in ridership on new County routes in the West Ox Division, and planned route expansions. Under the Board of Supervisors' policy, CONNECTOR bus fares and fare structure follow those of WMATA. The most recent increase in fares was effective in early FY 2011, when fares increased from \$1.35 fare to \$1.50 (SmarTrip rate). No fare increase is proposed for FY 2012.

FY 2012 Bus Services Funding

Total FY 2012 funding of \$93,093,696 is provided for bus services, including \$76,949,194 for FAIRFAX CONNECTOR existing and new service, \$12,500,000 in one-time funding for the acquisition of 25 new buses, and \$3,644,502 for WMATA reimbursable facility and fuel costs at the West Ox Bus Operations Center. It is necessary to order 25 new expansion buses in FY 2012 to provide adequate lead time for the acquisition process for buses supporting transit on future HOT Lanes. Buses are generally funded at least 18 months prior to the anticipated initiation of service to allow time for competitive bidding and the building of buses according to specifications. The total FY 2012 bus purchase cost of \$12.5 million will be supported through the application of State Aid balances held at the Northern Virginia Transportation Commission. It is noted that the HOT Lanes proposal, a Commonwealth of Virginia Megaproject, was initiated in 2004 as a Public-Private Transportation Act Proposal. The Board of Supervisors endorsed the HOT Lanes concept and provided comments to the state on the proposal on May 10, 2004. The Board later gave conditional concurrence for design plans on June 30, 2008, subject to specific modifications and requests to mitigate the impact on County residents and residential neighborhoods.



Funding is also included in the FY 2012 budget to continue the timely replacement of aging FAIRFAX CONNECTOR buses, in accordance with the Board of Supervisors' approved FAIRFAX CONNECTOR Transit Bus Fleet Replacement Policy, which includes a FAIRFAX CONNECTOR bus replacement schedule based on a 12-year useful life cycle. In FY 2012, as in FY 2011, \$5.7 million will support the replacement of 12 FAIRFAX CONNECTOR buses (5 percent of the fleet) that reach established replacement criteria, thus minimizing maintenance issues and ensuring future bus service reliability.

Finally, funding is included in support of a number of CONNECTOR service expansions, as noted below, to support the influx of personnel to Fort Belvoir as a result of the federal Base Realignment and Closure (BRAC) program, and to implement high priority recommendations from the Transportation Development Plan (TDP). Increased support from commercial and industrial tax funding and the General Fund transfer support these expansions to meet the most critical service needs.

Fund 100

County Transit Systems

Commercial and Industrial Tax Funding

Commercial and industrial tax funding of \$19.46 million is included in FY 2012, an increase of \$3.95 million over the FY 2011 Adopted Budget Plan level. The commercial real estate tax revenue is posted to Fund 124, County and Regional Transportation Projects, and then a portion is transferred to the County Transit Systems budget. In FY 2012, the transportation tax provides continued support for West Ox Division rush hour and midday service, enables the continuation of increased frequencies on overcrowded priority bus routes (Routes 171, 401/402 and 950), and continues support for previous year's service expansions at all three operating divisions. It also allows the addition of a route from Tysons to Dulles Airport, as endorsed by the Board on July 27, 2010; improves the frequency of Richmond Highway corridor routes; and improves the frequency of Route 310 servicing Franconia Road to Rolling Valley, where headways will decrease from every 30 minutes to every 20 minutes.

General Fund Support

General Fund support is provided to Fund 100, County Transit Systems, for CONNECTOR requirements and for the County share of the subsidy for commuter rail services operated by the Virginia Railway Express (VRE). The FY 2012 General Fund transfer to Fund 100 is \$34,455,482, an increase of \$2,463,435, or 7.7 percent, over the FY 2011 Adopted Budget Plan. This increase supports additional CONNECTOR service associated with Fort Belvoir (BRAC), including: a complete restructure of routes 171 and 304 (serving the Laurel Hill/Lorton/Newington area), a new route 309 replacing 305/307 (serving the Richmond Highway/Saratoga area), and a new route 333/334 replacing 331/332 (serving the I-95 area). The General Fund increase also supports the relocation of routes servicing Reston East Park & Ride. The current park and ride site at Wiehle Ave. and the toll road was permanently closed in April 2011 for the construction of the Wiehle Ave. metro station. There is no change in the level of General Fund support provided for VRE.

Commuter Rail

The Board of Supervisors approved the County's participation in the VRE regional rail service on August 1, 1988. The service is a joint effort among the Northern Virginia Transportation Commission, the Potomac and Rappahannock Transportation Commission, the Virginia Department of Rail and Public Transportation, and the participating jurisdictions of Fairfax County, Manassas, Manassas Park, Fredericksburg, Prince William County, and Stafford County. The City of Alexandria and Arlington County are also contributing jurisdictions.

The operation and maintenance costs associated with the commuter rail system are funded from a combination of ridership revenues (which accrue directly to VRE), state contributions and contributions from the participating and contributing local jurisdictions. According to the VRE Master Agreement, at least 50 percent of the operating costs must be paid by passenger fares, with the remainder funded by the participating jurisdictions according to a funding formula. The FY 2012 Fairfax County subsidy of \$4.9 million remains at the same level as FY 2011.

Fund 100 County Transit Systems

Budget and Staff Resources

Agency Summary					
Category	FY 2010 Actual	FY 2011 Adopted Budget Plan	FY 2011 Revised Budget Plan	FY 2012 Advertised Budget Plan	FY 2012 Adopted Budget Plan
Expenditures:					
Bus Services					
Huntington	\$31,530,156	\$36,222,333	\$42,971,789	\$46,189,762	\$46,189,762
Reston/Herndon	20,273,373	20,911,273	28,412,305	26,468,981	26,468,981
West Ox	11,046,066	19,809,012	23,615,934	20,434,953	20,434,953
Systemwide Projects	0	0	1,500,000	0	0
Subtotal - Bus Services, CONNECTOR & WMATA	\$62,849,595	\$76,942,618	\$96,500,028	\$93,093,696	\$93,093,696
Commuter Rail (VRE)	\$4,995,534	\$4,906,693	\$4,906,693	\$4,906,693	\$4,906,693
Total Expenditures	\$67,845,129	\$81,849,311	\$101,406,721	\$98,000,389	\$98,000,389
Income:					
Miscellaneous Revenue	\$34,080	\$50,000	\$50,000	\$162,778	\$162,778
Fare Revenue*	5,012,021	7,609,193	7,609,193	6,179,464	6,179,464
State Reimbursement - Dulles	6,645,000	0	0	0	0
Tysons Lunch Shuttle Reimbursement	804,709	1,187,886	1,187,886	0	0
Advertising Revenue	125,110	200,000	200,000	200,000	200,000
WMATA Reimbursements, West Ox	2,392,507	5,030,475	5,030,475	3,644,502	3,644,502
State Aid (NVTC) Operations	13,896,798	18,201,878	15,701,878	18,201,878	18,201,878
State Aid (NVTC) Projects	3,083,000	0	4,000,000	12,500,000	12,500,000
Total Income	\$31,993,225	\$32,279,432	\$33,779,432	\$40,888,622	\$40,888,622
Net Cost to the County	\$35,851,904	\$49,569,879	\$67,627,289	\$57,111,767	\$57,111,767

*Additional fare revenue is received as a credit from the bus operator contractor, rather than as income. In FY 2012, more revenue is anticipated to be received as a credit than was estimated in the FY 2011 budget, with a total of \$5,571,068 in cash revenue anticipated to be received as an expenditure credit.

FY 2012 Funding Adjustments

The following funding adjustments from the FY 2011 Adopted Budget Plan are necessary to support the FY 2012 program. Included are all adjustments recommended by the County Executive that were approved by the Board of Supervisors, as well as any additional Board of Supervisors' actions, as approved in the adoption of the budget on April 26, 2011.

- ◆ **New Bus Purchases** **\$12,500,000**

Funding of \$12,500,000 is included in the budget for the purchase of 25 buses in preparation for Dulles Metrorail extension service requirements and Transit Development Plan (TDP) recommendations for bus transit on future HOT Lanes. The Board of Supervisors endorsed the HOT Lanes concept on May 10, 2004, and gave conditional concurrence for design plans on June 30, 2008, subject to the inclusion of measures to mitigate the impact on County residents. It is necessary to put buses on order at least 18 months prior to the anticipated initiation of service. The purchase of these buses will be supported through the application of \$12.5 million in State Aid balances held at the Northern Virginia Transportation Commission. It is noted that funding of \$5,720,000 also is included in the budget for the annual replacement of a portion of the CONNECTOR fleet based on age and maintenance criteria. This funding remains at the same level as in FY 2011, and will allow the replacement of 12 buses, or approximately 5 percent of the fleet, in FY 2012.
- ◆ **BRAC and Other Transit Development Plan (TDP) Critical Service** **\$4,737,724**

A net increase of \$4,737,724 is due to new service expansions supporting the influx of personnel to the Fort Belvoir site resulting from the federal Base Realignment and Closure (BRAC) Plan. It also includes costs of relocating bus services to a new Reston Park and Ride while the Wiehle Ave. Metro

Fund 100 County Transit Systems

station is under construction and critical service to implement high priority recommendations from the Transportation Development Plan (TDP). Critical service recommendations include: a new route 981 servicing Tysons to Dulles Airport, as endorsed by the Board on July 27, 2010; the relocation of routes servicing Reston East Park & Ride, which was permanently closed in April 2011 in preparation for the construction of the Wiehle Ave. metro station; improved frequency of routes 151/152 in the Richmond Highway corridor, and increased frequency of Route 310 servicing Franconia Road to Rolling Valley, with headways to decrease from every 30 minutes to every 20 minutes. Other service modifications include a complete restructure of routes 171 and 304 (serving the Laurel Hill/Lorton/Newington area); a new route 309 replacing 305/307 (serving the Richmond Highway/Saratoga area); and a new route 333 replacing 331/332 (serving the I-95 area). In addition, Route 380D will be renamed Route 395 and will continue to provide service from Gambrill and North Backlick to the Pentagon.

- ◆ **Bus Operations Contract** **\$3,328,070**
An increase of \$3,328,070 is necessary to support the contractually obligated increase of 7 percent in the bus operations contract.

- ◆ **Other CONNECTOR Costs of Operations** **\$1,182,903**
An increase of \$1,182,903 is necessary to support anticipated increases in insurance, fuel for new bus service hours, and the phasing in of the use of Diesel Exhaust Fluid (DEF), an exhaust treatment to reduce emissions of nitrogen oxide (NOx) and Volatile Organic Compounds (VOCs), as mandated by the Environmental Protection Agency (EPA).

- ◆ **Bus Operations Contractor Collection of Fares** **(\$3,023,760)**
An expenditure decrease of \$3,023,760 is included to reflect the terms of the bus operations contract. Under the contract, the bus operations contractor is responsible for the collection of bus fare payments made in cash (fare revenue that is not SmarTrip). The contractor uses this fare revenue to offset its monthly bill to the County, thereby reducing the County's expenditure requirement. This amount was previously anticipated to be received as SmarTrip revenue in the FY 2011 budget, but is now realigned to be reflected as cash fare revenue credited through the contractor bill. It is noted that this adjustment impacts the level of CONNECTOR fare income presented as revenue on the fund statement; however, the combined level of projected fare revenue received as income and as an expenditure credit is actually a moderate increase over the FY 2011 level.

- ◆ **WMATA Facility and Service Costs at West Ox** **(\$1,385,973)**
A decrease of \$1,385,973 in expenditures and associated WMATA reimbursements is based on actual WMATA operational requirements at the West Ox Bus Operations Center, as demonstrated by experience in WMATA's first year of operations at that site (FY 2010). Under the Joint Use Agreement, WMATA pays its share of on-going operating and maintenance costs to the County.

- ◆ **Tysons Lunch Shuttle** **(\$1,187,886)**
A decrease of \$1,187,886 in both revenue and expenditures results from the discontinuation of the Tysons Lunch Shuttle, supported through state reimbursements under the Virginia Megaprojects Transportation Management Program. The two midday lunch shuttles, operating at 10-minute headways in Tysons Corner, were approved by the Board of Supervisors and implemented in fall 2009 as part of the Dulles Rail Transportation Management Plan. In response to Board Matters presented on July 27, 2010 and September 28, 2010, FCDOT terminated the Tysons CONNECTOR

Fund 100

County Transit Systems

service December 31, 2010. The County has requested the State to apply its funding for this service to other transit service within the Dulles Corridor prior to the opening of Phase 1 of the rail extension to Wiehle Avenue.

Changes to FY 2011 Adopted Budget Plan

The following funding adjustments reflect all approved changes in the FY 2011 Revised Budget Plan since passage of the FY 2011 Adopted Budget Plan. Included are all adjustments made as part of the FY 2010 Carryover Review, FY 2011 Third Quarter Review, and all other approved changes through April 12, 2011:

- ◆ **Carryover Adjustments** **\$19,557,410**

As part of the *FY 2010 Carryover Review*, the Board of Supervisors approved \$19,557,410, including \$16,410,850 in encumbered carryover for previously approved Northern Virginia Transportation Commission (NVTC) projects and services not yet billed, unencumbered carryover of \$1,646,560, and an adjustment of \$1,500,000 to appropriate NVTC funds for a countywide transit network study. The unencumbered carryover of \$1,646,560 results from delays in implementation of previously approved infrastructure projects supported by appropriated State Aid revenue held at NVTC. NVTC revenues in support of these expenditures were received in FY 2010 and prior years, but project implementation was delayed until the transition to a new bus operations contractor took place. The items included \$136,121 for security cameras for the effective monitoring of the CONNECTOR's revenue handling process; \$750,945 to complete critical repairs to the Reston/Herndon Bus Operations Center that provide a roof replacement, a bus wash replacement system conforming to EPA clean water standards, and electrical upgrades; and \$500,000 to study and evaluate the current CONNECTOR bus operations contract model to identify potential cost efficient alternatives for the future for which a consultant is still being identified. The balance of \$259,494 in unencumbered carryover was based on new price requirements for replacement buses. The adjustment of \$1,500,000 to appropriate NVTC revenues for a countywide transit network study was to address long range planning requirements associated with the need for a countywide high quality interconnected transit network. The study will assess the Enhanced Public Transportation Corridors presently included in the County's Transportation Planning, will consider transit-related planning initiatives by other entities and jurisdictions in the region, and will assess transit modes applicable to high speed transit and the logical evolution of transportation modes over time in various transportation corridors.

- ◆ **Third Quarter Adjustments** **\$0**

As part of the *FY 2011 Third Quarter Review*, the Board of Supervisors made no adjustments to the expenditure level for this fund. However, \$2.5 million in State Aid revenue was reclassified in order to fund a critical technology project. This one-time realignment was possible due to savings realized in the CONNECTOR bus operations contract. Total State Aid operating support was reduced from \$18,201,878 to \$15,701,878. The resulting \$2.5 million was applied to the CONNECTOR Advanced Public Transportation System (APTS) project, a multi-year effort to implement IT technology for the County's bus system. Several technologies are being evaluated for implementation, including Computer Aided Dispatch (CAD), real-time information on deployed buses, real-time information on street conditions and traffic, automation of bus stop announcements, installation of automated passenger counters, and enhanced automation of management reports. It is also noted that \$200,000 in State Aid held by NVTC was transferred to the Virginia Railway Express (VRE) as a County contribution toward total project costs associated with the rehabilitation of various elements within the Franconia-Springfield VRE commuter station, focusing on the replacement of the corroded stairs on the eastern side of the VRE platform. Since funding was disbursed directly from NVTC to VRE, there was no impact on the Fund 100 budget.

Fund 100

County Transit Systems

Cost Centers

There are two cost centers in Fund 100, County Transit Systems. The first represents the FAIRFAX CONNECTOR bus service, including three divisions, Huntington, Reston-Herndon, and West Ox. The second cost center is focused on Commuter Rail, the Virginia Railway Express (VRE).

FAIRFAX CONNECTOR

Key Performance Measures

Objectives

- ◆ To provide service to 9,980,000 Fairfax CONNECTOR passengers in FY 2012, an increase of 1.23 percent.
- ◆ To provide an exemplary transit bus system, which is cost effective and competitive in the Washington Metropolitan Region by providing 694,183 platform hours of service and 10,646,752 platform miles of service in FY 2012.

Indicator	Prior Year Actuals			Current Estimate	Future Estimate
	FY 2008 Actual	FY 2009 Actual	FY 2010 Estimate/Actual	FY 2011	FY 2012
Output:					
Authorized fleet size	202	220	220 / 220	239	264
Routes served	57	58	63 / 63	67	66
Passengers transported	9,810,228	9,576,635	9,624,741 / 9,643,793	9,858,630	9,980,000
Platform hours provided	546,260	541,458	672,530 / 587,173	635,540	694,183
Platform miles provided	8,113,184	7,710,795	10,879,003 / 8,902,255	9,735,086	10,646,752
Revenue hours	476,988	475,870	588,249 / 531,378	579,661	633,300
Revenue miles generated	7,101,744	6,803,738	8,929,372 / 7,714,382	8,604,674	9,422,338
Efficiency:					
Passengers/revenue mile	1.38	1.41	1.08 / 1.25	1.15	1.06
Operating costs (1)	\$46,574,998	\$45,015,820	\$63,267,658 / \$57,934,861	\$68,739,450	\$76,800,262
Farebox revenue	\$5,829,294	\$7,979,136	\$9,823,583 / \$9,379,386	\$10,156,500	\$11,750,532
Operating subsidy	\$40,745,704	\$37,036,684	\$53,444,075 / \$48,555,025	\$58,582,950	\$65,049,730
Farebox revenue as a percent of operating costs	12.52%	17.73%	15.53% / 16.19%	14.78%	15.30%
Operating cost/passenger	\$4.75	\$4.70	\$6.57 / \$6.01	\$6.97	\$7.70
Operating subsidy/passenger	\$4.15	\$3.87	\$5.55 / \$5.03	\$5.94	\$6.52
Operating cost/platform hour	\$85.26	\$83.14	\$94.07 / \$98.67	\$108.16	\$110.63
Operating cost/platform mile	\$5.74	\$5.84	\$5.82 / \$6.51	\$7.06	\$7.21

Fund 100 County Transit Systems

Indicator	Prior Year Actuals			Current Estimate	Future Estimate
	FY 2008 Actual	FY 2009 Actual	FY 2010 Estimate/Actual	FY 2011	FY 2012
Service Quality:					
Complaints per 100,000 passengers	13	8	17 / 7	7	7
Outcome:					
Percent change in FAIRFAX CONNECTOR passengers	0.96%	(2.38%)	0.50% / 0.70%	2.23%	1.23%
Percent change in service provided for platform hours	0.70%	(0.88%)	24.21% / 8.44%	8.24%	9.23%
Percent change in service provided for platform miles	0.78%	(4.96%)	41.09% / 15.45%	9.36%	9.36%

(1) Excludes WMATA bus services operated from West Ox Bus Operations Center. Also excludes the expenditure credit related to cash bus fare revenue collected by the contractor.

Performance Measurement Results

CONNECTOR ridership in both FY 2009 and FY 2010 was impacted by the economic downturn, resulting in fewer working commuters. However, FY 2010 ridership levels did show a marginal increase over the prior year actuals, despite FY 2010 route eliminations and service reductions to meet targeted General Fund reductions. Some of this ridership growth was attributable to new ridership associated with the opening of a third division at the West Ox Bus Operations Center. In FY 2011 and FY 2012, the expansion of critical high priority routes (supported by commercial real estate tax revenue), the creation of a new route between Tysons and Dulles Airport, and General Fund supported transit expansions associated with BRAC (Fort Belvoir growth) are anticipated to return ridership counts to pre-FY 2009 levels. FY 2012 ridership is very conservatively projected to reflect anticipated growth of 1.23 percent.

The Efficiency indicator charted above for “passengers per revenue mile” reflects the changing annual composition of CONNECTOR routes. New routes and service hours implemented in FY 2010 and following years in part served the transit needs in the western part of the County, where there are fewer passengers per revenue mile because there are more miles to travel between the western County passenger boarding points and transit center/Metro station destinations. The FAIRFAX CONNECTOR will continue to evaluate all FY 2012 routes to maximize ridership while at the same time achieving the best alignment of service to balance commuter needs during rush hours and the needs of riders who depend on bus service at other hours as their only means of transportation.

Fund 100

County Transit Systems

Commuter Rail

Key Performance Measures

Objectives

- ◆ To provide a reliable alternative mode of transportation to Fairfax County residents utilizing the Virginia Railway Express (VRE).

Indicator	Prior Year Actuals			Current Estimate	Future Estimate
	FY 2008 Actual	FY 2009 Actual	FY 2010 Estimate/Actual	FY 2011	FY 2012
Output:					
Annual Fairfax County VRE subsidy (\$ in millions)	\$4.70	\$5.51	\$5.00 / \$5.00	\$4.91	\$4.91
Daily trains operated	30	30	30 / 30	30	30
Stations maintained in Fairfax County	5	5	5 / 5	5	5
Parking spaces provided in Fairfax County	2,955	2,955	2,955 / 2,955	2,955	2,955
Daily a.m. boardings at Fairfax County stations	1,896	1,605	1,653 / 1,746	1,798	1,852
Estimated annual boardings / alightings at Fairfax County stations	929,040	786,450	810,043 / 855,540	881,020	907,636
Efficiency:					
Cost per County VRE trip	\$5.06	\$7.00	\$6.17 / \$5.84	\$5.57	\$5.41
Outcome:					
Percent change in VRE passengers boarding at stations in Fairfax County	23.3%	(15.3%)	3.0% / 8.8%	3.0%	3.0%

Performance Measurement Results

VRE annual ridership continues to increase. FY 2012 ridership is projected to rise another 3 percent over the current year, following ridership growth of nearly 9 percent in FY 2010 and projected 3 percent in FY 2011. Ridership growth is fueled by a number of factors, including an increased FY 2010 federal government subsidy for commuter fares, VRE operational efficiencies such as new rail cars and extended platforms, and more conveniently located maintenance yards where trains can be parked midday (thus reducing the operating costs of running trains far away to a distant maintenance yard for parking). The previous FY 2009 dip in ridership appears to have been a temporary event, resulting in part from the elimination of County EZ bus service transporting passengers from temporary satellite lots to the Burke VRE Station while the new Burke VRE garage was being constructed. Once the garage was operational, commuters adjusted their parking pattern to using the new garage, and FY 2010 ridership began to grow once again. Operational efficiencies and increased ridership have had a positive impact on the County VRE subsidy and subsequent cost per County VRE trip. The cost per trip for a County VRE user will decrease in FY 2012 to \$5.41 per trip from the estimated FY 2011 level of \$5.57 per trip.

VRE continues to implement a number of operational and capital efforts to address on-time performance issues. These efforts are anticipated to have a positive impact on present and future ridership in the system.

Fund 100 County Transit Systems

FUND STATEMENT

Fund Type G10, Special Revenue Funds

Fund 100, County Transit Systems

	FY 2010 Actual	FY 2011 Adopted Budget Plan	FY 2011 Revised Budget Plan	FY 2012 Advertised Budget Plan	FY 2012 Adopted Budget Plan
Beginning Balance	\$20,469,602	\$981,250	\$23,678,258	\$981,250	\$981,250
Revenue:					
Miscellaneous Revenue ¹	\$34,080	\$50,000	\$50,000	\$162,778	\$162,778
SmarTrip Revenue ²	5,012,021	7,609,193	7,609,193	6,179,464	6,179,464
State Reimbursement - Dulles ³	6,645,000	0	0	0	0
Tysons Lunch Shuttle Reimbursement ⁴	804,709	1,187,886	1,187,886	0	0
Bus Advertising	125,110	200,000	200,000	200,000	200,000
WMATA Reimbursements, West Ox Bus Operations Center ⁵	2,392,507	5,030,475	5,030,475	3,644,502	3,644,502
State Aid (NVTC) Operations ⁶	13,896,798	18,201,878	15,701,878	18,201,878	18,201,878
State Aid (NVTC) Projects ^{7,8}	3,083,000	0	4,000,000	12,500,000	12,500,000
Total Revenue	\$31,993,225	\$32,279,432	\$33,779,432	\$40,888,622	\$40,888,622
Transfers In:					
General Fund (001) ⁹ County and Regional Transportation Projects (124) ¹⁰	21,562,367	31,992,047	31,992,047	34,455,482	34,455,482
Metro Operations and Construction (309)	15,507,212	15,507,212	10,867,614	19,459,472	19,459,472
	1,990,981	2,070,620	2,070,620	2,215,563	2,215,563
Total Transfers In	\$39,060,560	\$49,569,879	\$44,930,281	\$56,130,517	\$56,130,517
Total Available	\$91,523,387	\$82,830,561	\$102,387,971	\$98,000,389	\$98,000,389
Expenditures:					
FAIRFAX CONNECTOR					
Huntington Division					
Operating Expenses	\$25,655,021	\$30,502,333	\$36,715,622	\$32,969,762	\$32,969,762
Capital Equipment ⁸	5,875,135	5,720,000	6,256,167	13,220,000	13,220,000
Subtotal - Huntington Division	\$31,530,156	\$36,222,333	\$42,971,789	\$46,189,762	\$46,189,762
Reston-Herndon Division					
Operating Expenses	\$19,610,956	\$20,911,273	\$27,281,051	\$23,468,981	\$23,468,981
Capital Equipment ⁸	0	0	380,309	3,000,000	3,000,000
Capital Projects	662,417	0	750,945	0	0
Subtotal - Reston-Herndon	\$20,273,373	\$20,911,273	\$28,412,305	\$26,468,981	\$26,468,981
West Ox Division, County CONNECTOR					
Operating Expenses ⁴	\$8,312,861	\$14,778,537	\$18,585,459	\$14,790,451	\$14,790,451
Capital Equipment ⁸	340,698	0	0	2,000,000	2,000,000
Subtotal - West Ox Division, County	\$8,653,559	\$14,778,537	\$18,585,459	\$16,790,451	\$16,790,451
West Ox Division, WMATA ⁵	2,392,507	5,030,475	5,030,475	3,644,502	3,644,502
Subtotal - West Ox Division, County and WMATA	\$11,046,066	\$19,809,012	\$23,615,934	\$20,434,953	\$20,434,953
Total CONNECTOR Service	\$60,457,088	\$71,912,143	\$89,969,553	\$89,449,194	\$89,449,194
Total WMATA Service	\$2,392,507	\$5,030,475	\$5,030,475	\$3,644,502	\$3,644,502
Total Bus Services, CONNECTOR & WMATA	\$62,849,595	\$76,942,618	\$95,000,028	\$93,093,696	\$93,093,696

Fund 100 County Transit Systems

FUND STATEMENT

Fund Type G10, Special Revenue Funds

Fund 100, County Transit Systems

	FY 2010 Actual	FY 2011 Adopted Budget Plan	FY 2011 Revised Budget Plan	FY 2012 Advertised Budget Plan	FY 2012 Adopted Budget Plan
Systemwide Projects ⁷	\$0	\$0	\$1,500,000	\$0	\$0
Commuter Rail ¹¹	4,995,534	4,906,693	4,906,693	4,906,693	4,906,693
Total Expenditures ²	\$67,845,129	\$81,849,311	\$101,406,721	\$98,000,389	\$98,000,389
Total Disbursements	\$67,845,129	\$81,849,311	\$101,406,721	\$98,000,389	\$98,000,389
Ending Balance¹²	\$23,678,258	\$981,250	\$981,250	\$0	\$0
Transportation-Related Requirements	\$981,250	\$981,250	\$981,250	\$0	\$0
Reserve for Commercial and Industrial Tax ¹³	4,639,598	0	0	0	0
Unreserved Balance	\$18,057,410	\$0	\$0	\$0	\$0

¹ Miscellaneous revenue includes such items as reimbursement from the Washington Metropolitan Area Transit Authority (WMATA) for the value of WMATA tokens collected on FAIRFAX CONNECTOR routes, insurance recoveries, and miscellaneous developer contributions.

² Fare revenue is received either directly by the County as Smart-Trip fare payments, or indirectly through the contractor, who credits cash receipts to the monthly bus operations contract bill. In FY 2012, SmartTrip fare revenue decreases by \$1.4 million, but contractor expenditure credits for cash fares received increases by \$3.0 million (decreasing CONNECTOR expenditures), for a net growth of in fare revenue of \$1.6 million. This fare revenue growth is projected as a result of ridership experience and planned service expansions.

³ Prior to FY 2011, the Virginia Department of Rail and Public Transportation (VDRPT) and then the Metropolitan Washington Airports Authority (MWAA) had provided funding for the Dulles Corridor Rapid Transit Project to order to build transit use in the corridor prior to the opening of the Dulles metrorail extension. MWAA discontinued this grant in FY 2011.

⁴ In November 2009, the County initiated the Tysons Lunch Shuttle, as part of a collaborative effort with the State to minimize midday traffic in the Tysons area during the construction of Dulles rail. The State has provided reimbursements for this service through the Virginia Megaprojects Transportation Management Plan. This service was discontinued on December 31, 2010 due to limited consumer use.

⁵ WMATA reimburses the County for its share of space at the West Ox Bus Operations Center, a joint use facility for WMATA and the County CONNECTOR. WMATA initiated operations from this site in spring 2009. Both WMATA expenditures and the offsetting WMATA reimbursement are lowered in FY 2012 to more accurately reflect the actual experience to date.

⁶ State Aid for mass transit is disbursed to the Northern Virginia Transportation Commission (NVTC), where it is made available to the County. Beginning in FY 2009, State Aid operating support was first made available due to the addition to NVTC balances of recordation fees, state bonds for transit capital and the redirection of funds from closed out transit projects.

⁷ State Aid for Projects may support one time infrastructure or equipment requirements. As part of the FY 2010 Carryover Review, \$1.5 million was appropriated to Systemwide Projects in support of a countywide transit network study. This is a multimodal study that will include an evaluation of efforts underway by other entities and jurisdictions in the region. As part of the FY 2011 Third Quarter Review, \$2.5 million in State Aid was redirected from operations support to the APTS II CONNECTOR technology project.

⁸ It is noted that FY 2012 capital equipment purchases for all three divisions total to \$18,220,000, including \$5,720,000 (the same level as in FY 2011) for the continuation of the CONNECTOR bus replacement program based on a 12 year bus life cycle, and \$12,500,000 for the purchase of 25 new buses funded by State Aid. An 18 month lead time is required for the acquisition of these buses prior to the implementation of any new service in support of transit connections for beltway HOT lanes to the Tysons district.

⁹ The FY 2012 General Fund transfer includes an increase of \$2,463,435 in support of new bus service for Fort Belvoir (BRAC), and service adjustments due to the relocation of the Reston East Park and Ride to permit the construction of the Wiehle Ave. Metro station at that site.

¹⁰ A transfer from Fund 124, County and Regional Transportation Project, was implemented in FY 2010 to support the expansion of additional bus transit services and the opening of the new West Ox Bus Operations Center. The source of these funds is annual revenue available from the 11 cent commercial and industrial tax for transportation, as approved by the Board of Supervisors. The state Transportation Funding and Reform Act of 2007 (HB 3202) provided the enabling legislation for this tax, which was first implemented in FY 2009.

¹¹ Fairfax County participates in the VRE Master Agreement, and provides an annual subsidy to Virginia Railway Express (VRE) operations and construction.

¹² The fund balance in Fund 100, County Transit Systems, fluctuates based on projected operating and capital equipment requirements. These costs change annually and a substantial percentage of unspent funding is carried forward each year, thus resulting in changes to the ending balance.

¹³ The FY 2010 reserve of commercial and industrial (C&I) tax funds holds C&I funds not used in FY 2010 due to delays in the implementation of expanded bus service. These reserved funds were used to decrease the FY 2011 C&I transfer requirement from Fund 124, County and Regional Transportation Projects.

Fund 102

Federal/State Grant Fund

Mission

To provide reserves for unanticipated and anticipated grants awarded to Fairfax County from federal, state, and other funding sources. The reserves enable Fairfax County to accept grant funding to enhance services provided to the residents of Fairfax County.

Focus

In order to provide a comprehensive summary of grant awards to be received by the County in FY 2012, awards *already received* and awards *anticipated to be received* by the County for FY 2012 are included in the Fund 102, Federal/State Grant Fund budget. The total FY 2012 appropriation within Fund 102, Federal/State Grant Fund is \$67,818,214, an increase of \$4,857,305 or 7.7 percent, over the FY 2011 Adopted Budget Plan total of \$62,960,909.



In July 2011, the County is implementing an integrated finance, budget, purchasing and human resources computer system. As a result, some Department of Family Services funding previously classified as a grant in Fund 102, Federal/State Grant Fund no longer meets the grant definition of the new computer system and thus needs to be transferred to the General Fund. Additionally, grant funding associated with Fund 103, Aging Grants and Programs is being consolidated into Fund 102, Federal/State Grants Fund. It is anticipated that remaining FY 2011 funding and associated positions will be transferred as part of the *FY 2011 Carryover Review*. (Note, some funding previously classified as a grant in Fund 103, Aging Grants and Programs that no longer meets the grant definition of the new computer system will be transferred to Agency 67, Department of Family Services or Agency 79, Department of Neighborhood and Community Services in the General Fund.) Corresponding adjustments have been made in Agency 67, Department of Family Services and Fund 103, Aging Grants and Programs, for no net impact to the County.

In order to secure grant funding, the grantor often requires that a certain percentage of funds be matched from local funding sources. In FY 2012, the General Fund commitment for Local Cash Match totals



\$4,250,852, an increase of \$1,336,851, or 45.9 percent, from the total FY 2011 anticipated need for Local Cash Match of \$2,914,001. It should be noted that the increase is primarily the result of the consolidation of Fund 103, Aging Grants and Programs into Fund 102, Federal/State Grant Fund offset by a decrease due to the transfer of the Department of Family Services grants to the General Fund.

Prior appropriation of the anticipated grants will allow for grants to be handled in an expeditious manner when actual awards are received. As specific grants are awarded and approved, a supplemental appropriation of the required funds is made to the specific agency or agencies administering a grant. This increase in a specific agency grant appropriation is offset by a concurrent decrease in the grant reserve. The list of anticipated grants to be received in FY 2012 was developed based on prior awards and the most recent information available concerning future awards. A detailed list of grant programs by agency, including a description of the grant programs funded, the number of positions supported, the residents served, and the funding sources (federal/state grant funds, General Fund support, and other support) is included. In addition, an amount of \$5,075,000 is included as part of the reserve to allow for grant awards that cannot be anticipated.

Fund 102 Federal/State Grant Fund

Effective September 1, 2004, the Board of Supervisors established new County policy for grant applications and awards that meet certain requirements. If a grant is \$100,000 or less, with a required Local Cash Match of \$25,000 or less, with no significant policy implications, and if the grantor does not require Board of Supervisors' approval, the agency can work directly with the Department of Management and Budget to receive the award and reallocate funding from the anticipated/unanticipated reserve directly to the agency. Please note it is anticipated that this policy will be reviewed and updated based on the requirements of the new computer system. If an award exceeds these limitations but was listed in the Anticipated Grant Awards table in the Adopted Budget for the current fiscal year, the Board of Supervisors' approval is not required unless the actual funding received differs significantly from the projected funding listed in the budget. For any grant that does not meet all of the specified criteria, the agency must obtain Board of Supervisors' approval in order to apply for or accept the grant award.

Funding in Reserve within Fund 102

An amount of \$67,818,214 is included in FY 2012 as a reserve for grant awards. Grant awards are principally funded by two general sources – federal/state grant funding and Local Cash Match. The FY 2012 reserves for each of these sources are estimated for anticipated grant awards and for unanticipated grant awards. The Reserve for Grant Funding and the Reserve for Local Cash Match are shown on the fund statement as both estimated revenue and estimated expenditures.

In FY 2012, the Reserve for Grant funding is \$63,567,362, including the Reserve for Anticipated Grant Funding of \$58,567,362 and the Reserve for Unanticipated Grant Funding of \$5,000,000. This reflects an increase of \$3,520,454, or 5.9 percent, over the FY 2011 Adopted Budget Plan Reserve for Grant Funding of \$60,046,908. This is primarily attributed to an increase in estimated funding for grants in the Department of Family Services, Health Department, Office to Prevent and End Homelessness, Juvenile and Domestic Relations District Court, and the Office of Emergency Preparedness, as well as an increase in the Reserve for Unanticipated Grant Funding. This is offset by a decrease due to the transfer of the Department of Family Services grants to the General Fund.

In FY 2012, the Reserve for Local Cash Match is \$4,250,852 including the Reserve for Anticipated Local Cash Match of \$4,175,852 and the Reserve for Unanticipated Local Cash Match of \$75,000. This reflects an increase of \$1,336,851, or 45.9 percent, from the FY 2011 Adopted Budget Plan Reserve for Local Cash Match of \$2,914,001. The increase in Local Cash Match requirements is due primarily to the consolidation of Fund 103, Aging Grants and Programs into Fund 102, Federal/State Grant Fund, as well as an increase in requirements for the Department of Transportation, the Department of Neighborhood and Community Services and Police Department. This is offset by a decrease in Local Cash Match requirements due to the transfer of the Department of Family Services grants to the General Fund. The Reserve for Local Cash Match is a projection of the County contributions required for anticipated and unanticipated grant awards. The anticipated Local Cash Match required by agencies is as follows:

AGENCY	FY 2012 ADOPTED LOCAL CASH MATCH
Department of Transportation	\$140,000
Department of Family Services	\$3,333,931
Office to Prevent and End Homelessness	\$500,837
Department of Neighborhood and Community Services	\$109,957
Juvenile and Domestic Relations District Court	\$9,089
Police Department	\$46,050

Fund 102 Federal/State Grant Fund

AGENCY	FY 2012 ADOPTED LOCAL CASH MATCH
Fire and Rescue Department	\$35,988
Reserve for Unanticipated Grant Awards	<u>\$75,000</u>
Total	\$4,250,852

The following table provides funding levels for the FY 2012 Adopted Budget Plan for the fund including the awards in the reserves for anticipated and unanticipated awards. Actual grant awards received in FY 2012 may differ from the attached list.

FY 2012 ANTICIPATED GRANT AWARDS					
FY 2012 ANTICIPATED GRANT AWARDS	FY 2012 GRANT FUNDED POSITION/SYE	FY 2012 TOTAL PROJECTED FUNDING	SOURCES OF FUNDING		
			GENERAL FUND	FEDERAL/ STATE	OTHER
Office of Human Rights and Equity Programs					
U.S. Equal Employment Opportunity Commission Contract (39005G)	2/2.0	\$84,600	\$0	\$84,600	\$0
The U.S. Equal Employment Opportunity Commission (EEOC) program is the result of a contractual agreement reached between the Fairfax County Office of Human Rights and the Federal EEOC. This agreement requires the Office of Human Rights to investigate complaints of employment discrimination in Fairfax County. Any individual who applies for employment or is employed in Fairfax County is eligible to use these services.					
HUD Fair Housing Complaints Grant (39006G)	3/3.0	\$60,000	\$0	\$60,000	\$0
The U.S. Department of Housing and Urban Development (HUD) provides funding to assist the Fairfax County Office of Human Rights and Equity Programs, Human Rights Division, with its education and outreach program on fair housing and to enforce compliance (includes investigating complaints of illegal housing discrimination in Fairfax County) with the County's Fair Housing Act.					
TOTAL-OFFICE OF HUMAN RIGHTS AND EQUITY PROGRAMS	5/5.0	\$144,600	\$0	\$144,600	\$0
Department of Transportation					
Marketing and Ridesharing Program (40001G)	7/7.0	\$700,000	\$140,000	\$560,000	\$0
The Virginia Department of Transportation Marketing and Ridesharing grant encourages commuters to rideshare, assists commuters in their ridesharing efforts, and promotes the use of Fairfax County bus and rail services. Any County resident or any non-County resident working in Fairfax County may use this program. A 20 percent Local Cash Match is required.					
Employer Outreach Program (40013G)	2/2.0	\$203,410	\$0	\$203,410	\$0
Congestion Mitigation Air Quality (CMAQ) funds provided via the Metropolitan Washington Council of Governments and the Virginia Department of Rail and Public Transportation for the Employer Outreach Program are used to decrease air pollution by promoting alternative commuting modes. Transportation Demand Management programs, customized for each participant employment site, are implemented in partnership between the employer and the County.					
CMAQ Countywide Transit Stores (40017G)	0/0.0	\$450,000	\$0	\$450,000	\$0
Transportation Efficiency Improvement funds provided by the Virginia Department of Rail and Public Transportation for the Springfield Mall Transit Store are used to provide an Information Center at the Springfield Mall. The Information Center provides information regarding the status of the interchange project and answers to residents' questions about the project.					

Fund 102 Federal/State Grant Fund

FY 2012 ANTICIPATED GRANT AWARDS					
FY 2012 ANTICIPATED GRANT AWARDS	FY 2012 GRANT FUNDED POSITION/SYE	FY 2012 TOTAL PROJECTED FUNDING	SOURCES OF FUNDING		
			GENERAL FUND	FEDERAL/ STATE	OTHER
Base Realignment and Closure Act (BRAC) (40021G)	6/6.0	\$683,940	\$0	\$683,940	\$0
<p>These funds from the Office of Economic Adjustment, Department of Defense, will be used to undertake studies of transportation, land use and public facilities impacts associated with the 2005 BRAC actions at Fort Belvoir. Funding for the required Local Cash Match of 10 percent is anticipated to come from an In-kind match. It should be noted that 3/3.0 SYE positions supporting this grant are located in Agency 35, Department of Planning and Zoning and that 3/3.0 SYE positions supporting this grant are located in Agency 40, Department of Transportation.</p>					
Transportation Projects (TBD)	0/0.0	\$2,500,000	\$0	\$2,000,000	\$500,000
<p>The Department of Transportation receives funding for transportation projects from various sources, including the Congestion Mitigation Air Quality (CMAQ) program, Regional Surface Transportation Program (RSTP), Job Access/Reverse Commute (JARC) program, and Federal Appropriations. Due to appropriation cycle of the federal government it is unknown specifically how much Fairfax County will receive in FY 2012; however, it is anticipated that the County will receive at least \$2,500,000, including \$500,000 in Local Cash Match. Please note, funding in Fund 124, County and Regional Transportation Projects will be used to meet the Local Cash Match requirements. The Department of Transportation will formally notify the Board of Supervisors and obtain the Board's concurrence prior to spending these funds.</p>					
TOTAL – DEPARTMENT OF TRANSPORTATION	15/15.0	\$4,537,350	\$140,000	\$3,897,350	\$500,000
Fairfax County Public Library					
E-Rate Reimbursements (52011G)	0/0.0	\$74,310	\$0	\$74,310	\$0
<p>The Federal Communications Commission (FCC) E-Rate Reimbursements program provides affordable access to modern telecommunications and information systems through reimbursements to vendors that participate in the Schools and Libraries Universal Service Program.</p>					
Department of Family Services					
Women's Business Center (67201G)	0/0.0	\$0	\$0	\$0	\$0
<p>The Women's Business Center is the result of an agreement reached between the Fairfax County Office for Women and the U.S. Small Business Administration (SBA). This is a cooperative agreement with the Community Business Partnership, the Northern Virginia Small Business Development Center, and the Enterprise Center of George Mason University to establish the first Women's Business Center program in Virginia, which will provide technical assistance to women business owners.</p> <p>Please note due to the replacement of the County's legacy computer system, which will replace finance, budget, purchasing and human resources computer systems, in July 2011, this grant will be moved to Agency 67, Department of Family Services General Fund.</p>					
Sexual Assault Treatment and Prevention (67202G)	3/1.5	\$96,242	\$0	\$96,242	\$0
<p>The Department of Criminal Justice Services provides funding through federal Victims of Crime Act (VOCA) monies to provide trauma recovery treatment for victims of sexual assault and outreach to community groups and service provider to expand their knowledge of sexual violence issues and available services within the community. It should be noted that this grant (formerly 75030G) was transferred from Fund 106, Fairfax-Falls Church Community Services Board to the Department of Family Services as part of the consolidation of domestic and sexual violence services.</p>					
V-Stop (67203G)	1/0.5	\$29,457	\$0	\$29,457	\$0
<p>The Department of Criminal Justice Services provides funding through federal Violence Against Women Act (VAWA) monies to provide one part-time volunteer coordinator for the Victim Assistance Network (VAN). Volunteers are then trained to staff VAN's 24-hour hotline for sexual and domestic violence calls, facilitate domestic violence and sexual assault supports groups, provide community education and assist with office duties. It should be noted that this grant (formerly 75053G) was transferred from Fund 106, Fairfax-Falls Church Community Services Board to the Department of Family Services as part of the consolidation of domestic and sexual violence services.</p>					

Fund 102 Federal/State Grant Fund

FY 2012 ANTICIPATED GRANT AWARDS					
FY 2012 ANTICIPATED GRANT AWARDS	FY 2012 GRANT FUNDED POSITION/SYE	FY 2012 TOTAL PROJECTED FUNDING	SOURCES OF FUNDING		
			GENERAL FUND	FEDERAL/ STATE	OTHER
Domestic Violence Crisis (67204G)	0/0.0	\$30,000	\$0	\$30,000	\$0
<p>The Virginia Department of Social Services provides funding to assist victims of domestic violence and their families who are in crisis. The grant supports one apartment unit at the Women's Shelter, as well as basic necessities such as groceries and utilities. It should be noted that this grant (formerly 75063G) was transferred from Fund 106, Fairfax-Falls Church Community Services Board to the Department of Family Services as part of the consolidation of domestic and sexual violence services.</p>					
Workforce Investment Act (WIA)					
<p>Fairfax County receives funding from the U.S. Department of Labor for the Workforce Investment Act (WIA) of 1998. WIA is a work-first approach to employment and training for adults, youth and dislocated workers. Funding in the following programs is anticipated.</p>					
WIA Adult Program (67300G)	12/12.0	\$612,624	\$0	\$612,624	\$0
<p>The WIA Adult Program focuses on meeting needs of businesses for skilled workers and individuals' training and employment needs. Easy access to information and services is provided through a system of One-Stop centers. Services may include job search and placement assistance, labor market information, assessment of skills, follow-up services after employment, group and individual counseling, training services directly linked to job opportunities in the local area, and other services for dislocated workers. Services provided directly by the County totals \$533,027 while services provided by the Skill Source Group totals \$79,597.</p>					
WIA Youth Program (67302G)	6/6.0	\$597,477	\$0	\$597,477	\$0
<p>The WIA Youth Program focuses on preparation for post-secondary educational opportunities or employment by linking academic and occupational learning. Programs include tutoring, study skills training and instruction leading to completion of secondary school, alternative school services, mentoring by adults, paid and unpaid work experience, occupational skills training, leadership development, support services and other services for disadvantaged youth ages 14 to 21. Services provided directly by the County totals \$501,350 while services provided by the Skill Source Group totals \$96,127.</p>					
WIA Dislocated Worker Program (67304G)	14/14.0	\$1,411,871	\$0	\$1,411,871	\$0
<p>The WIA Dislocated Worker Program focuses on meeting the business needs for skilled workers and individuals' training and employment needs. Easy access to information and services is provided through a system of One-Stop Centers. Services may include job search and placement assistance, labor market information, assessment of skills, follow-up services after employment, group and individual counseling, training services directly linked to job opportunities in the local area and other services for dislocated workers. Services provided directly by the County totals \$927,030 while services provided by the Skill Source Group totals \$484,841.</p>					
Subtotal-WIA	32/32.0	\$2,621,972	\$0	\$2,621,972	\$0
Fraud FREE Program (67312G)	4/4.0	\$0	\$0	\$0	\$0
<p>The Fraud Recovery Special Fund provides funding for a Fairfax County Fraud Investigation Unit. Staff assigned to this unit has the responsibility to assess any indications of fraud in a variety of County-administered welfare programs such as Temporary Assistance for Needy Families, Food Stamps, and Medicaid.</p>					
<p>Please note due to the replacement of the County's legacy computer system, which will replace finance, budget, purchasing and human resources computer systems, in July 2011, this grant will be moved to Agency 67, Department of Family Services General Fund. It is anticipated that these positions will be transferred as part of the FY 2011 Carryover Review.</p>					
Virginia Serious and Violent Offender Re-Entry (VASAVOR) (67321G)	1/1.0	\$123,760	\$0	\$123,760	\$0
<p>The Virginia Serious and Violent Offender Re-Entry (VASAVOR) program provides services to ex-offenders recently released from prison. Services include job skills training, education, career assessment, employment counseling and job seeking skills.</p>					
Fairfax Bridges to Success (67325G)	3/3.0	\$252,835	\$0	\$252,835	\$0
<p>The U.S. Department of Health and Human Services provides this funding through the Virginia Department of Social Services to facilitate successful employment and movement toward self-sufficiency for Temporary Assistance for Needy Families (TANF) participants who have disabilities. This program combines the former TANF Hard-to-Serve (67314G) and the TANF Job Retention/Wage Advancement (67318G) grants into a single award.</p>					

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FY 2012 ANTICIPATED GRANT AWARDS					
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			GENERAL FUND	FEDERAL/ STATE	OTHER
Inova Health System (67329G)	12/12.0	\$851,110	\$0	\$0	\$851,110
Funding under the Inova Health Systems grant covers the personnel costs of grant eligibility workers stationed at the Inova Fairfax and Inova Mount Vernon hospitals for the purposes of identifying, accepting and processing applications for financial/medical assistance of County residents who are at the time hospitalized. Inova reimburses Fairfax County for 100 percent of all personnel services costs (salary and County benefits) on a monthly basis for the positions.					
Base Realignment and Closure (67331G)	0/0.0	\$909,520	\$0	\$909,520	\$0
The Department of Labor provides this grant to Fairfax County to assist workers who are impacted by the closure of military bases in Northern Virginia. Funding for this grant is used to pay for training expenses of eligible dislocated workers.					
Jail Pre-Release Center (67332G)	0/0.0	\$20,000	\$0	\$20,000	\$0
The Department of Justice provides this grant to Fairfax County to provide training for those who are currently in prison to prepare them to enter the work force after leaving prison.					
Volunteer Income Tax Assistance (VITA) (67334G)	0/0.0	\$61,852	\$0	\$61,852	\$0
The Internal Revenue Service provides funding directly to Fairfax County to provide free tax preparation services for the underserved low income population, which includes the elderly, disabled, limited English proficient, non-urban and Native American taxpayers.					
Northern Virginia Growing America Through Entrepreneurship (GATE) (67336G)	0/0.0	\$61,260	\$0	\$61,260	\$0
The U.S. Department of Labor provides funding to help dislocated workers over the age of 45 who are starting and expanding their own small businesses in Northern Virginia.					
Educating Youth through Employment (EYE) Program (67338G)	0/0.0	\$71,818	\$0	\$0	\$71,818
The U.S. Department of Labor provides funding for a summer initiative that recruits, screens and matches youth ages 16 to 21 with professional opportunities in the private sector and other area businesses. Participants are required to attend intensive training workshops before and during their summer work experiences.					
MegaJob Fair (67339G)	0/0.0	\$20,000	\$0	\$0	\$20,000
This funding from the Skill Source Group supports the annual Mega Job Fair and Career Expo, which invites public and private employers to the Fairfax County Government Center to provide information to job seekers about potential employment opportunities.					
Fairfax Area Agency on Aging					
The Department of Family Services administers Aging Grants which includes federal funds granted to localities under the Older Americans Act and state funds from the Virginia Department for the Aging. With additional support from the County, these funds provide community-based services such as case management/consultation services, legal assistance, insurance counseling, transportation, information and referral, volunteer home services, home delivered meals, nutritional supplements and congregate meals. In addition, the regional Northern Virginia Long-Term Care Ombudsman Program serves the jurisdictions of Alexandria, Arlington, Fairfax, Loudoun, and Prince William.					
Please note the Aging Grants were previously in Fund 103, Aging Grants and Programs; however, due to the replacement of the County's legacy computer system, which will replace finance, budget, purchasing and human resources computer systems, in July 2011, these grants have been consolidated into either Fund 102, Federal/State Grant Fund, Agency 67, Department of Family Services General Fund, or Agency 79, Department of Neighborhood and Community Services. It is anticipated that remaining FY 2011 funding and associated positions will be transferred as part of the FY 2011 Carryover Review.					
Community Based Services (67460G)	0/0.0	\$784,255	\$6,869	\$725,322	\$52,064
Community-Based Services provides services to adults age 60 and older to enable them to live as independently as possible in the community. This includes assisted transportation, information and referral, telephone reassurance, volunteer home services, insurance counseling, and other related services.					

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FY 2012 ANTICIPATED GRANT AWARDS					
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			GENERAL FUND	FEDERAL/ STATE	OTHER
Long Term Care Ombudsman (67461G)	0/0.0	\$606,948	\$402,087	\$84,658	\$120,203
<p>The Long Term Care Ombudsman Program, serving the City of Alexandria and the counties of Arlington, Fairfax, Loudoun and Prince William, improves quality of life for the more than 10,000 residents in 110 nursing and assisted living facilities by educating residents and care providers about patient rights and by resolving complaints against nursing and assisted living facilities, as well as home care agencies, through counseling, mediation and investigation. More than 60 trained volunteers are part of this program. The program also provides information about long-term care providers and educates the community about long-term care issues.</p>					
Homemaker/Fee for Service (67462G)	0/0.0	\$226,758	\$0	\$226,758	\$0
<p>Fee for Service provides home-based care to adults age 60 and older to enable them to remain in their homes rather than in more restrictive settings. Services are primarily targeted toward those older adults who are frail, isolated, of a minority group, or in economic need.</p>					
Congregate Meals Program (67463G)	0/0.0	\$1,935,236	\$1,144,849	\$576,261	\$214,126
<p>The Congregate Meals program provides one meal a day, five days a week, which meets one third of the dietary reference intake for older adults. Congregate Meals are provided in 29 congregated meal sites around the County including the County's senior and adult day health centers, several private senior centers and other sites serving older adults such as the Alzheimer's Family Day Center. Congregate Meals are also provided to residents of the five County senior housing complexes.</p>					
Home Delivered Meals (67464G)	0/0.0	\$1,177,033	\$48,234	\$948,437	\$180,362
<p>Funding supports the Home-Delivered Meal program and the Nutritional Supplement program. Home-Delivered Meals provides meals to frail, homebound, low-income residents age 60 and older who cannot prepare their own meals. Meals are delivered through partnerships with 22 community volunteer organizations that drive 49 delivery routes. The Nutritional Supplement program targets low-income and minority individuals who are unable to consume sufficient calories from solid food due to chronic disabling conditions, dementia, or terminal illnesses.</p>					
Care Coordination (67465G)	0/0.0	\$563,757	\$297,363	\$266,394	\$0
<p>Care Coordination Services are provided to elderly persons at risk of institutionalization who have deficiencies in two or more activities of daily living through the DFS "Adult Care Network" Program. Care Coordination Services include intake, assessment, plan of care development, implementation of the plan of care, service monitoring, follow-up and reassessment.</p>					
Family Caregiver (67466G)	0/0.0	\$301,697	\$99,893	\$195,804	\$6,000
<p>Caregiver Support provides education and support services to caregivers of persons 60 and older, or older adults caring for grandchildren. Services include scholarships for respite care, gap-filling respite and bathing services, assisted transportation (which is also reflected in Community-Based Services), assistance paying for supplies and services, and other activities that contribute to the well-being of senior adults and help to relieve caregiver stress.</p>					
Subtotal - Fairfax Area Agency on Aging	0/0.0	\$5,595,684	\$1,999,295	\$3,023,634	\$572,755
Independent Living Initiatives Grant Program (67500G)	1/1.0	\$67,834	\$13,567	\$54,267	\$0
<p>The U.S. Department of Health and Human Services Independent Living Initiatives Grant Program, administered through the Virginia Department of Social Services, provides comprehensive services for older youth in foster care to develop skills necessary to live productive, self-sufficient and responsible adult lives. The program directly serves youth in foster care through the age of 20. A 20 percent Local Cash Match is required.</p>					
<p>Please note due to the replacement of the County's legacy computer system, which will replace finance, budget, purchasing and human resources computer systems, in July 2011, a portion of this grant will be moved to Agency 67, Department of Family Services General Fund. It is anticipated that the position associated with this grant will be transferred as part of the FY 2011 Carryover Review.</p>					

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Foster and Adoptive Parent Training Grant (67501G)	4/4.0	\$276,267	\$181,255	\$95,012	\$0
<p>The Virginia Department of Social Services Foster and Adoptive Parent Training Grant provides for: the enhancement of community education regarding foster care and adoption; pre-service training, in-service training, and in-home support of agency-approved foster and adoptive parents and volunteers; training for child welfare staff; and employee educational stipends. A 65.6 percent Local Cash Match is required.</p> <p>Please note due to the replacement of the County's legacy computer system, which will replace finance, budget, purchasing and human resources computer systems, in July 2011, a portion of this grant will be moved to Agency 67, Department of Family Services General Fund. It is anticipated that the positions associated with this grant will be transferred as part of the <i>FY 2011 Carryover Review</i>.</p>					
VISSTA/VISSTA Day Care Training (67510G)	5/5.0	\$352,551	\$0	\$352,551	\$0
<p>The Virginia Institute of Social Services Training Activities (VISSTA) program provides skills training for Adult Services, temporary assistance, and Comprehensive Services Act (CSA). This includes employment and day care training for Department of Family Services staff. The program also provides training to improve the quality of child care given by licensed and non-licensed day care providers.</p>					
Foster Care and Adoption Staffing (67513G)	22/22.0	\$0	\$0	\$0	\$0
<p>The General Assembly approved \$6.9 million statewide for new foster care and adoption staffing, effective July 1, 1999. This funding is a result of a staffing study conducted by the Virginia Department of Planning and Budget and the Virginia Department of Social Services that demonstrated the need for 201 additional staff for local jurisdictions. The additional staff is used to improve the agencies' ability to meet legal mandates with regard to foster care and adoption.</p> <p>Please note due to the replacement of the County's legacy computer system, which will replace finance, budget, purchasing and human resources computer systems, in July 2011, this grant will be moved to Agency 67, Department of Family Services General Fund. It is anticipated that these positions will be transferred as part of the <i>FY 2011 Carryover Review</i>.</p>					
Title IV-E Reasonable and Necessary (67515G)	20/20.0	\$0	\$0	\$0	\$0
<p>The Virginia Department of Social Services authorizes federal pass-through reimbursement of reasonable and necessary costs related to administering uncapped federal programs. All funds will be reinvested in expanding or enhancing local social services rather than supplanting existing funding sources. Funds will support preventing abuse and neglect and out-of-home placement for children as well as quality assurance efforts to ensure safety, permanency, and well-being for children in the community.</p> <p>Please note due to the replacement of the County's legacy computer system, which will replace finance, budget, purchasing and human resources computer systems, in July 2011, this grant will be moved to Agency 67, Department of Family Services General Fund. It is anticipated that these positions will be transferred as part of the <i>FY 2011 Carryover Review</i>.</p>					
Promoting Safe and Stable Families (67516G)	9/8.5	\$672,968	\$104,310	\$568,658	\$0
<p>These Virginia Department of Social Services funds are used to develop, expand, and deliver family preservation and family support services. Required Local Cash Match for this program is 15.5 percent.</p>					
Program Improvement Plan (PIP) (67517G)	3/3.0	\$0	\$0	\$0	\$0
<p>The implementation of the PIP program is the result of the Child and Family Services Review (CFSR) and the subsequent allocation of additional state general funds by the Governor of Virginia and the General Assembly in an effort to strengthen Virginia's child welfare system and improve outcomes for children and families. The funds allow local departments of social services to improve the quality and quantity of face-to-face interactions between caseworkers, parents, and children.</p> <p>Please note due to the replacement of the County's legacy computer system, which will replace finance, budget, purchasing and human resources computer systems, in July 2011, this grant will be moved to Agency 67, Department of Family Services General Fund. It is anticipated that these positions will be transferred as part of the <i>FY 2011 Carryover Review</i>.</p>					

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USDA Child and Adult Care Food Program (67600G)	8/8.0	\$3,825,223	\$0	\$3,825,223	\$0
The U.S. Department of Agriculture (USDA) Child and Adult Care Food Program provides partial reimbursement for snacks served to children in family day care homes. Funds also provide for nutrition training, monitoring, and technical assistance. The program serves children from ages infant to 12 in approved day care homes.					
USDA School-Age Child Care Snacks (67601G)	0/0.0	\$300,000	\$0	\$300,000	\$0
The U.S. Department of Agriculture (USDA) provides partial reimbursement for snacks served to children in the School-Age Child Care (SACC) program. The program serves school-age children, grades K-6.					
U.S. Department of Health and Human Services Head Start Programs					
Head Start is a national child development program that serves income eligible families with very young children. Families served by Head Start grants receive assistance with child education and development, social and health services, and parent education including family literacy and English-as-a-Second-Language. The overall match requirements for Head Start grants are 20 percent. In addition to Local Cash Match, the agency uses in-kind services to meet this required match total.					
Head Start Federal Program Grant (67602G)	32/31.5	\$5,143,148	\$659,106	\$4,484,042	\$0
Head Start is a national child development program that serves income-eligible families with children 3 to 5 years of age. Families served by Head Start receive assistance with child education and development, social and health services, and parent education including family literacy and English-as-a-Second-Language. This funding will provide services to an estimated 434 children.					
Early Head Start (67610G)	25/25.0	\$3,274,405	\$310,680	\$2,963,725	\$0
The Early Head Start program is a national child development program that serves income eligible families with children 0 to 3 years of age. Families served by Head Start receive assistance with child education and development, social and health services, and parent education including family literacy and English-as-a-Second-Language. This funding will provide services to an estimated 212 children 0 to 3 years of age, as well as pregnant mothers. It should be noted that this grant reflects the totals formerly funded under two separate grants 67606G (Early Head Start) and 67608G (Early Head Start - South County).					
Subtotal - Head Start Programs	57/56.5	\$8,417,553	\$969,786	\$7,447,767	\$0
Virginia Preschool Initiative (67604G)	4/4.0	\$2,957,000	\$50,000	\$2,907,000	\$0
The Virginia Department of Education Preschool Initiative allows Fairfax County to serve approximately 969 at risk four-year-olds in a comprehensive preschool program in various settings throughout the County, including community pre-schools, family child care homes, and Fairfax County Public Schools. The Virginia Department of Education requires a Local Cash Match, which varies from year to year based on the state composite index. The anticipated state composite index for FY 2012 will require \$50,000 in Local Cash Match from the County, with the balance of required Local Cash Match provided by the Fairfax County Public Schools.					
Child Care Assistance and Referral (67605G)	27/27.0	\$0	\$0	\$0	\$0
The Virginia Department of Social Services provides Child Care Development Fund (CCDF) funding for staff to support the provision of child care services, which includes eligibility determination and childcare placement, as well as recruitment, resources, and referral activities through the Child Care Assistance and Referral (CCAR) program.					
Please note due to the replacement of the County's legacy computer system, which will replace finance, budget, purchasing and human resources computer systems, in July 2011, this grant will be moved to Agency 67, Department of Family Services General Fund. It is anticipated that the positions associated with this grant will be transferred as part of the FY 2011 Carryover Review.					
VIEW Day Care (67607G)	2/2.0	\$0	\$0	\$0	\$0
The Virginia Department of Social Services reimburses Fairfax County for childcare services provided by the School-Age Child Care program to families who are participating in VIEW, the state welfare reform program.					
Please note due to the replacement of the County's legacy computer system, which will replace finance, budget, purchasing and human resources computer systems, in July 2011, this grant will be moved to Agency 67, Department of Family Services General Fund. It is anticipated that these positions will be transferred as part of the FY 2011 Carryover Review.					

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Virginia Infant and Toddler Specialist (ITS) Network (67619G)	3/2.5	\$193,234	\$0	\$193,234	\$0
Funds are provided by Child Development Resources, Inc. to establish a Virginia Infant and Toddler Specialist Network office in the Northern 1 Region (encompassing Arlington County, Fairfax County, Loudoun County, City of Alexandria, City of Fairfax, and City of Falls Church) to provide training and professional development to child care centers and family child care providers to strengthen practices and enhance the healthy growth and development of infants and toddlers (birth to 36 months of age).					
Child Care Quality Initiative Program (67621G)	1/1.0	\$101,406	\$15,718	\$85,688	\$0
The Virginia Department of Social Services provides funds to develop, expand, and deliver family preservation and family support services to enhance the quality and supply of child care services in the community. This grant was formerly included in 67605G, Child Care Assistance and Referral. A 15.5 percent Local Cash Match is required.					
Virginia Child Care Resource and Referral Network (VACCRRN) (67622G)	0/0.0	\$21,281	\$0	\$21,281	\$0
Funds for the Virginia Child Care Resource and Referral Network (VACCRRN), provided by the Virginia Department of Social Services, are used to enhance the quality of child care resources and referral activities. This grant was formerly included in 67605G, Child Care Assistance and Referral.					
TOTAL - DEPARTMENT OF FAMILY SERVICES	222/218.5	\$27,930,827	\$3,333,931	\$23,081,213	\$1,515,683
Health Department					
Immunization Action Plan (71006G)	0/0.0	\$67,843	\$0	\$67,843	\$0
The U.S. Department of Health and Human Services Immunization Action Plan provides funding for outreach and education services regarding immunizations for children from low-income families within the community.					
Women, Infants, and Children (WIC) (71007G)	49/49.0	\$3,753,986	\$0	\$3,753,986	\$0
The U.S. Department of Agriculture provides funding for the Women, Infants, and Children (WIC) Grant. This program provides food, nutrition education, and breastfeeding promotion for pregnant, postpartum, or breastfeeding women, infants, and children under age 5. For FY 2012, total projected funding for this program is \$3,383,354.					
In addition, the U.S. Department of Agriculture provides funding to enhance the continuity and consistency of WIC's breastfeeding promotion efforts by offering mother-to-mother breastfeeding support. For FY 2012, total projected funding for this program is \$370,632.					
Perinatal Health Services (71010G)	4/4.0	\$261,236	\$0	\$261,236	\$0
The U.S. Department of Health and Human Services Perinatal Health Services Grant provides nutrition counseling for low-income pregnant women to reduce the incidence of low birth weight in Fairfax County.					
Tuberculosis Grant (71014G)	2/2.0	\$165,000	\$0	\$165,000	\$0
The Centers for Disease Control and Prevention Tuberculosis Control Program, administered by the Virginia Department of Health, Tuberculosis Control Division, provide funding to coordinate tuberculosis case investigation, case management, and reporting activity for Fairfax County. These efforts include timely reporting of newly diagnosed cases, monitoring the follow-up of tuberculosis suspects to ensure timely diagnosis and treatment, and assisting nursing staff with investigation of contact with active cases of tuberculosis in the County.					

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PHEP&R (Public Health Emergency Preparedness & Response) for Bioterrorism (71025G)	2/2.0	\$226,933	\$0	\$226,933	\$0
<p>The Centers for Disease Control and Prevention (CDC) provide funding for Focus Area A of the Bioterrorism Grant through the Virginia Department of Health to fund a position that serves the Fairfax/Falls Church Health District. The major goal is to have an emergency response plan that is coordinated with local agencies, hospitals, physicians, and laboratories in the County and the region. For FY 2012, total projected funding for Focus Area A is \$105,132 for 1/1.0 SYE grant position.</p> <p>The CDC provide funding for Focus Area B of the Bioterrorism Grant through the Virginia Department of Health to fund a district epidemiologist who will provide surveillance and investigation of general communicable diseases, disease outbreaks and other diseases of public health significance in the County and region. For FY 2012, total projected funding for Focus Area B is \$95,326 for 1/1.0 SYE grant position.</p> <p>The CDC provides funding through the Virginia Department of Health to fund the continuation of public health emergency preparedness and response activities. The pandemic influenza funding was incorporated into this funding stream in FY 2009. Activities funded include, but are not limited to, supporting of mass dispensing capabilities, training of staff in emergency response, and continuing outreach efforts by providing educational summits for physician and non-profit organizations on pandemic influenza. For FY 2012, total projected funding is \$26,475.</p>					
TOTAL - HEALTH DEPARTMENT	57/57.0	\$4,474,998	\$0	\$4,474,998	\$0
Office to Prevent and End Homelessness					
Community Housing and Resource Program - Award Three (73001G)	0/0.0	\$865,417	\$433,837	\$431,580	\$0
<p>The U.S. Department of Housing and Urban Development (HUD) Community Housing and Resource Program assists homeless families in making the transition from living in shelters to permanent housing. The program offers 36 transitional housing units and various supportive services.</p>					
RISE (Reaching Independence through Support and Education) Supportive Housing Grant (73002G)	0/0.0	\$520,346	\$67,000	\$453,346	\$0
<p>The U.S. Department of Housing and Urban Development (HUD) RISE Supportive Housing Grant is a renewable grant that provides 20 units of transitional housing. Funding also provides support services for families through a partnership of private nonprofit organizations and County agencies.</p>					
Emergency Solution Grant (TBD)	0/0.0	\$500,000	\$0	\$500,000	\$0
<p>The U.S. Department of Housing and Urban Development (HUD) Emergency Solutions Grant (ESG) funding must be used for prevention activities. Elements of Homeless Prevention and Rapid Re-housing (HPRP) are incorporated. A new grant number will be established when the FY 2012 award is received.</p>					
TOTAL - OFFICE TO PREVENT AND END HOMELESSNESS	0/0.0	\$1,885,763	\$500,837	\$1,384,926	\$0
Department of Neighborhood and Community Services					
Summer Lunch Program (79001G)	0/0.0	\$427,788	\$109,957	\$317,831	\$0
<p>The U. S. Department of Agriculture (USDA) Summer Lunch Program serves children between the ages of 5 and 18 at eligible centers throughout the County. Eligibility is based on at least 50 percent of the children in an area meeting income guidelines established by the USDA. The program distributes nutritious lunches to children. The USDA provides a set amount of funding yearly and local funding is used as a supplement to ensure that all eligible children are served. It should be noted that this grant (formerly 50004G) was transferred from Agency 50, Department of Community and Recreation Services to the newly created Agency 79, Department of Neighborhood and Community Services as part of the consolidation included in the <u>FY 2011 Adopted Budget Plan</u>.</p>					

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Local Government Challenge Grant (79004G)	0/0.0	\$5,000	\$0	\$5,000	\$0
<p>The Virginia Commission for the Arts Local Government Challenge Grant is awarded to jurisdictions that support local arts programs for improving the quality of the arts. The funding awarded to Fairfax County will be provided to the Arts Council of Fairfax County for distribution. It should be noted that this grant (formerly 50004G) was transferred from Agency 50, Department of Community and Recreation Services to the newly created Agency 79, Department of Neighborhood and Community Services as part of the consolidation included in the <u>FY 2011 Adopted Budget Plan</u>.</p>					
Youth Smoking Prevention Program (79009G)	1/1.0	\$74,310	\$0	\$0	\$74,310
<p>The Virginia Tobacco Settlement Foundation awards funding for a comprehensive tobacco, alcohol, and drug prevention program for teens. It should be noted that this grant (formerly 50004G) was transferred from Agency 50, Department of Community and Recreation Services to the newly created Agency 79, Department of Neighborhood and Community Services as part of the consolidation included in the <u>FY 2011 Adopted Budget Plan</u>.</p>					
Joey Pizzano Memorial Fund (79012G)	1/1.0	\$56,500	\$0	\$0	\$56,500
<p>The Joey Pizzano Memorial Fund funds a swim and water safety program for school-aged children with disabilities that helps develop new leisure activities for beginning swimmers and enhance levels of more experienced swimmers. It should be noted that this grant (formerly 50004G) was transferred from Agency 50, Department of Community and Recreation Services to the newly created Agency 79, Department of Neighborhood and Community Services as part of the consolidation included in the <u>FY 2011 Adopted Budget Plan</u>.</p>					
Evening Reporting Center Grant (81022G)	1/1.0	\$0	\$0	\$0	\$0
<p>The goal of the Evening Reporter Center is to provide a community-based alternative to detention for moderate and high-risk youth currently on probation who might otherwise be detained pending further court action. The 30 day program will provide highly structured group activities during the high-risk time period between 3 p.m. and 7 p.m., develop skills in youth that will support pro-social behaviors, and repair harm done to the community by providing community service opportunities. The project is a collaboration between the Juvenile and Domestic Relations District Court, the Department of Neighborhood and Community Services, the Department of Systems Management, Adult Detention Services and Fairfax County Public Schools. Funding for this position is located in Agency 81, Juvenile and Domestic Relations District Court.</p>					
TOTAL - DEPARTMENT OF NEIGHBORHOOD AND COMMUNITY SERVICES	3/3.0	\$563,598	\$109,957	\$322,831	\$130,810
Juvenile and Domestic Relations District Court					
Juvenile Accountability Incentive Block Grant (81013G)	0/0.0	\$90,893	\$9,089	\$81,804	\$0
<p>Federal funding from the Office of Juvenile Justice and Delinquency Prevention through the Virginia Department of Criminal Justice Services is used to provide Juvenile Drug Treatment Court support, contract treatment services for juvenile offenders and skills training for probation staff. A 10 percent Local Cash Match is required.</p>					
Evening Reporting Center (81022G)	1/1.0	\$0	\$0	\$0	\$0
<p>The goal of the Evening Reporter Center program is to provide a community-based alternative to detention for moderate and high-risk youth currently on probation who might otherwise be detained pending further court action. The 30 day program will provide highly structured group activities during the high-risk time period between 3 p.m. and 7 p.m., develop skills in youth that will support pro-social behaviors, and repair harm done to the community by providing community service opportunities. The project is a collaboration between the Juvenile and Domestic Relations District Court, the Department of Neighborhood and Community Services, Adult Detention Services and Fairfax County Public Schools. For FY 2012, no state funding is being provided. This program is being supported by an existing balance in federal Title IV E funding until it is determined whether state funding will be restored in the future. It should be noted that 1/1.0 SYE position supporting this grant is located in Agency 79, Department of Neighborhood and Community Services.</p>					

Fund 102 Federal/State Grant Fund

FY 2012 ANTICIPATED GRANT AWARDS					
FY 2012 ANTICIPATED GRANT AWARDS	FY 2012 GRANT FUNDED POSITION/SYE	FY 2012 TOTAL PROJECTED FUNDING	SOURCES OF FUNDING		
			GENERAL FUND	FEDERAL/ STATE	OTHER
Gang Prevention Intervention (81024G)	1/1.0	\$592,915	\$0	\$592,915	\$0
<p>Funding through the Northern Virginia Regional Gang Task Force provides assistance to jurisdictions in Northern Virginia in prosecuting and decreasing gang activity. Funding of \$485,970 is to support the Intervention, Prevention and Education (IPE) program, which allows counselors to provide direct services to gang at-risk youth in the region as well as conduct community outreach and coordination of services for youth and their families.</p> <p>Funding of \$106,945 supports the Gang Response Intervention Team (GRIT) coordinator position in Fairfax County. This position works with the other coordinators from Arlington, Alexandria, Prince William and Loudoun to structure a regional prevention program, coordinate prevention and intervention strategies and programs for Fairfax County and work with various departments in Fairfax to establish a coordinated approach to gang and gang related issues.</p>					
TOTAL - JUVENILE AND DOMESTIC RELATIONS COURT	2/2.0	\$683,808	\$9,089	\$674,719	\$0
General District Court					
Comprehensive Community Corrections Act (85006G)	9/8.5	\$691,100	\$0	\$691,100	\$0
<p>The Court Services Division of the General District Court provides pre-trial and post-trial supervision of defendants and offenders in the community as mandated by the Comprehensive Community Corrections Act (CCCA) Grant. This award from the Virginia Department of Criminal Justice Services will continue to support 9/8.5 SYE grant positions that provide pre-trial services, including supervision of staff in the Court Services Division and client services in the General District Court, and provide probation services in the General District Court and the Juvenile and Domestic Relations District Court.</p>					
Police Department					
Seized Funds (90002G)	0/0.0	\$1,095,175	\$0	\$1,095,175	\$0
<p>The Seized Funds Program provides additional funding for law enforcement activities under authority of the Comprehensive Crime Control Act of 1984 and the Anti-Drug Abuse Act of 1986. These funds are released by the Department of Justice from asset seizures stemming from illegal narcotics activity. The program serves residents of Fairfax County.</p>					
Victim Witness Assistance (90016G)	5/5.0	\$178,460	\$0	\$178,460	\$0
<p>The Virginia Department of Criminal Justice Services provides funding for the Victim Witness Assistance Program. This award provides funding to ensure that staffing levels are adequate to provide services.</p>					
Someplace Safe (90025G)	1/1.0	\$52,200	\$13,050	\$39,150	\$0
<p>The Virginia Department of Criminal Justice Services provides funding for the Victim Witness Unit's "Someplace Safe" Program, which provides a police response to domestic violence cases in Fairfax County. The required Local Cash Match is 25 percent.</p>					
Bulletproof Vest (90031G)	0/0.0	\$66,000	\$33,000	\$33,000	\$0
<p>The U.S. Department of Justice, Bureau of Justice Assistance provides funding for the purchase of new or replacement ballistic vests for the protection of sworn law enforcement officers. One vest may be purchased per officer per year under the provisions of this program. A Local Cash Match of 50 percent is required.</p>					
State Police-Internet Crimes Against Children (90034G)	0/0.0	\$14,000	\$0	\$14,000	\$0
<p>Funding from this subgrant is targeted for supplies, equipment and training for use in the continuation of the Internet Crimes Against Children (ICAC) Task Force. The Virginia Department of State Police (VSP) is the primary grant recipient of the Office of Juvenile Justice and Delinquency Prevention grant to reduce the number of internet crimes against children. The task force consists of personnel from VSP, other Northern Virginia jurisdictions and the District of Columbia. The amount provided to the Fairfax County Police Department is \$14,000. Use of the funds is governed by an Interagency Agreement between the Police Department and the VSP.</p>					
COPS Technology Program Grant (90052G)	0/0.0	\$200,000	\$0	\$200,000	\$0
<p>The U.S. Department of Justice provides grant funding for the continued development of technologies and automated systems that help law enforcement agencies prevent, respond to and investigate crime.</p>					

Fund 102 Federal/State Grant Fund

FY 2012 ANTICIPATED GRANT AWARDS					
FY 2012 ANTICIPATED GRANT AWARDS	FY 2012 GRANT FUNDED POSITION/SYE	FY 2012 TOTAL PROJECTED FUNDING	SOURCES OF FUNDING		
			GENERAL FUND	FEDERAL/ STATE	OTHER
FY 2004 OJJDP Congressional Earmark - Gangs (90054G)	2/2.0	\$290,000	\$0	\$290,000	\$0
The U.S. Congress appropriates this funding for the Congressional District Gang Task Force. The purpose of the grant is to provide assistance to jurisdictions within the district in prosecuting and impacting gang activity through directed investigation and intelligence activity.					
DMV Traffic Safety Programs (90067G)	0/0.0	\$111,500	\$0	\$111,500	\$0
The Virginia Department of Motor Vehicles (DMV) provides funding to support the cost of an information and enforcement program targeting proper attention to traffic safety laws in Fairfax County. It should be noted that this grant reflects the totals formerly funded under seven separate grants, as the DMV chose to consolidate grant programs previously awarded separately into a single grant. The seven grants that have been consolidated include: 90022G (Smooth Operator), 90039G (District Challenge), 90043G (Click It or Ticket), 90044G (Speed/Racing Abatement), 90060G (Operation Strikeforce), 90061G (Pedestrian Safety), and 90063G (Traffic Safety Training Programs).					
Justice Assistance Grant (JAG) (90068G)	0/0.0	\$144,500	\$0	\$144,500	\$0
Formerly the Local Law Enforcement Block Grant program, the Justice Assistance Grant provides funding for the purpose of reducing crime and improving public safety. The program serves the residents of Fairfax County through the acquisition of equipment and technology and through personnel services funding to support law enforcement.					
TOTAL – POLICE DEPARTMENT	8/8.0	\$2,151,835	\$46,050	\$2,105,785	\$0
Fire and Rescue Department					
Virginia Department of Fire Programs (92001G)	10/9.0	\$2,472,294	\$0	\$2,472,294	\$0
The Fire Programs Fund award provides funding for: fire services training; constructing, improving and expanding regional fire service training facilities; public fire safety education; purchasing firefighting equipment or firefighting apparatus; or purchasing protective clothing and protective equipment for firefighting personnel. Program revenues may not be used to supplant County funding for these activities. The program serves residents of Fairfax County, as well as the towns of Clifton and Herndon.					
Four-for-Life (92004G)	0/0.0	\$848,130	\$0	\$848,130	\$0
The Virginia Department of Health, Division of Emergency Services Four-for-Life Program is funded from the \$4 fee included as part of the annual Virginia motor vehicle registration. Funds are set aside by the Commonwealth for local jurisdictions for emergency medical services purposes, including the training of Emergency Medical Services (EMS) personnel and the purchase of necessary equipment and supplies. Funds are allocated based on the vehicle registrations processed in each locality.					
Assistance to Firefighters Act Grant (92020G) Grant Program	0/0.0	\$175,282	\$35,056	\$140,226	\$0
The primary goal of the Assistance to Firefighters Grants is to meet the firefighting and emergency response needs of fire departments and nonaffiliated emergency medical services organizations. Awards are made to local fire departments on a competitive basis. Eligible categories for a specific award period are determined by the Federal Emergency Management Agency (FEMA). Categories include training, wellness and fitness programs, vehicles, equipment, personal protective equipment, and fire prevention programs. Required Local Cash Match for this program is 20 percent.					
Virginia Fire Services Board Training Mini-Grant (92024G) Grant Program	0/0.0	\$9,319	\$932	\$8,387	\$0
The Virginia Fire Services Board awards "Mini-Grants" from the interest earned by the Fire Programs Fund. Guidelines restrict grants to projects that will positively impact and/or further fire service training within the Commonwealth.					
FEMA Urban Search and Rescue (92100G Series) (92100G)	4/4.0	\$1,100,000	\$0	\$1,100,000	\$0
The responsibilities and procedures for national urban search and rescue activities under the Robert T. Stafford Disaster Relief Emergency Act are set forth in a cooperative agreement between the Federal Emergency Management Agency (FEMA) and the County. Funding is provided to enhance, support and maintain the readiness of the Department's Urban Search and Rescue Team, equipment cache, and medical supplies.					

Fund 102 Federal/State Grant Fund

FY 2012 ANTICIPATED GRANT AWARDS					
FY 2012 ANTICIPATED GRANT AWARDS	FY 2012 GRANT FUNDED POSITION/SYE	FY 2012 TOTAL PROJECTED FUNDING	SOURCES OF FUNDING		
			GENERAL FUND	FEDERAL/ STATE	OTHER
FEMA Urban Search and Rescue Activation (92200G Series) (92200G)	0/0.0	\$1,000,000	\$0	\$1,000,000	\$0
<p>The responsibilities and procedures for national urban search and rescue activities provided by the Department's Urban Search and Rescue Team and National Medical Emergency Response Team are identified in memorandums of agreement with the Federal Emergency Management Agency (FEMA). Activities are performed at the request of a government agency and are provided at the option of the local jurisdiction. Upon activation, an appropriation is necessary to cover initial expenses for procuring or replacing emergency supplies and to cover Personnel Services expenditures. All expenditures related to activations are reimbursed by the appropriate agency requesting the deployment. This appropriation is restricted to the necessary expenditures resulting from the activation of the Fairfax County Urban Search and Rescue Team (VA-TF1) and the National Medical Emergency Response Team.</p>					
OFDA International Urban Search and Rescue (92300G Series) (92300G)	4/4.0	\$1,000,000	\$0	\$1,000,000	\$0
<p>A memorandum with the U.S. Agency for International Development (USAID), Office of Foreign Disaster Assistance (OFDA) exists to provide emergency urban search and rescue services internationally. Funding is provided to enhance, support, and maintain the readiness of the Department's Urban Search and Rescue Team, equipment cache, and medical supplies. Year three of a five year agreement is anticipated to begin FY 2012. The total value of this agreement over the five-year grant period (exclusive of deployment costs) is anticipated to be in excess of \$5,000,000.</p>					
OFDA International Urban Search and Rescue Activations (92400G Series) (92400G)	0/0.0	\$1,000,000	\$0	\$1,000,000	\$0
<p>The responsibilities and procedures for international urban search and rescue activities provided by the Department's Urban Search and Rescue Team are identified in a memorandum of agreement with the Office of Foreign Disaster Assistance (OFDA). Activities are performed at the request of a government agency and are provided at the option of the local jurisdiction. Upon activation, an appropriation is necessary to cover initial expenses for procuring or replacing emergency supplies and to cover Personnel Services expenditures. All expenditures related to an activation are reimbursed by the appropriate agency requesting the deployment. This appropriation is restricted to the necessary expenditures resulting from the activation of the Fairfax County Urban Search and Rescue Team (USAID SAR 1).</p>					
TOTAL – FIRE AND RESCUE DEPARTMENT	18/17.0	\$7,605,025	\$35,988	\$7,569,037	\$0
Emergency Preparedness					
Homeland Security Grant Programs (DHSFRP)	0/0.0	\$12,000,000	\$0	\$12,000,000	\$0
<p>The Department of Homeland Security (DHS) funds several initiatives to support state and local emergency preparedness efforts through its First Responder Programs, including the Urban Areas Security Initiative (UASI) program and Homeland Security Grant Program. The purpose of the UASI program is to allow local governments to enhance capabilities in the areas of law enforcement, emergency medical services, emergency management, fire service, public works, public safety communications, and public health through the purchase of response equipment that will be necessary to prepare for and respond to emergencies arising out of terrorist or other mass casualty events affecting public safety. The purpose of the Homeland Security Grant Program is to enhance the capacity of state and local emergency responders to prevent, respond to and recover from a weapons of mass destruction terrorism incident involving chemical, biological, radiological, nuclear and explosive devices and cyber attacks. The Homeland Security Grant Program combines several previous grants into one program, including the State Homeland Security Program, Law Enforcement Terrorism Prevention Program, and Citizens Corps Grant program. It is anticipated that Fairfax County will receive at least \$12,000,000 in FY 2012 through the DHS First Responder Programs.</p>					
Fund 102 Summary					
<i>Reserve for Anticipated Grants (subtotal of grants in above table)</i>	339/334.0	\$62,743,214	\$4,175,852	\$56,420,869	\$2,146,493
<i>Reserve for Unanticipated Grants</i>	0/0.0	\$5,075,000	\$75,000	\$5,000,000	\$0
TOTAL FUND ¹	339/334.0	\$67,818,214	\$4,250,852	\$61,420,869	\$2,146,493

¹ The total number of grant positions in Fund 102, Federal/State Grant Fund, includes 1/1.0 SYE Management Analyst and 3/3.0 SYE Emergency Management Specialists III that are not summarized in the *Anticipated Grant Awards* table. These positions in the Office of Emergency Management are associated with the Emergency Management Performance Grant (02915G) and the Urban Areas Security Initiative Grant (02917G). Therefore, the overall position total in Fund 102, Federal/State Grant Fund, is 343/338.0 SYE.

Fund 102 Federal/State Grant Fund

Agency Position Summary

343 Grant Positions / 338.0 Grant Staff Years

Position Detail Information

**OFFICE OF HUMAN RIGHTS
AND EQUITY PROGRAMS**

EEOC (39005G)
 1 Human Rights Specialist II
 1 Administrative Assistant II
 2 Positions
 2.0 Staff Years

HUD (39006G)

1 Administrative Assistant III
 2 Human Rights Specialists II
 3 Positions
 3.0 Staff Years

DEPARTMENT OF TRANSPORTATION
Marketing and Ridesharing Program
(40001G)

1 Transportation Planner III
 1 Transportation Planner II
 1 Communications Specialist I
 1 Communications Specialist II
 1 Communication Specialist III
 2 Administrative Assistants II
 7 Positions
 7.0 Staff Years

Employer Outreach Program (40013G)

1 Transportation Planner II
 1 Transportation Planner I
 2 Positions
 2.0 Staff Years

Base Realignment and Closure Act
(BRAC) (40021G)

2 Transportation Planners III
 1 Transportation Planner V
 2 Planners II (DPZ)
 1 Planner III (DPZ)
 6 Positions
 6.0 Staff Years

DEPARTMENT OF FAMILY SERVICES
Sexual Assault Treatment and
Prevention (67202G)

2 Social Workers III, 2 PT
 1 Social Worker II, PT
 3 Positions
 1.5 Staff Years

V-Stop (67203G)

1 Volunteer Services Coordinator, PT
 1 Position
 0.5 Staff Year

WIA Adult Program (67300G)

1 Human Service Worker V
 2 Human Service Workers IV
 6 Human Service Workers III
 3 Administrative Assistants II
 12 Positions
 12.0 Staff Years

WIA Youth Program (67302G)

1 Human Service Worker IV
 4 Human Service Workers II
 1 Administrative Assistant II
 6 Positions
 6.0 Staff Years

WIA Dislocated Worker Program
(67304G)

1 Financial Specialist II
 1 Management Analyst II
 1 Human Services Assistant
 1 Human Service Worker IV
 10 Human Service Workers III
 14 Positions
 14.0 Staff Years

Fraud FREE Program (67312G)

2 Human Service Workers III
 2 Human Service Workers II
 4 Positions
 4.0 Staff Years

Virginia Serious and Violent Offender
Re-Entry (VASAVOR) (67321G)

1 Human Service Worker III
 1 Position
 1.0 Staff Year

Fairfax Bridges to Success (67325G)

2 Human Service Workers III
 1 Human Service Worker II
 3 Positions
 3.0 Staff Years

Inova Health System (67329G)

1 Human Service Worker IV
 4 Human Service Workers III
 6 Human Service Workers II
 1 Administrative Assistant II
 12 Positions
 12.0 Staff Years

Independent Living Initiatives (67500G)

1 Social Worker III
 1 Position
 1.0 Staff Year

Foster and Adoptive Parent
Training (67501G)

3 Social Workers III
 1 Social Worker II
 4 Positions
 4.0 Staff Years

VISSTA (67510G)

1 Management Analyst III
 4 Administrative Assistants IV
 5 Positions
 5.0 Staff Years

Foster Care and Adoption Staffing
(67513G)

1 Senior Social Work Supervisor
 1 Social Work Supervisor
 11 Social Workers III
 6 Social Workers II
 3 Administrative Assistants III
 22 Positions
 22.0 Staff Years

Title IV-E Reasonable and Necessary
(67515G)

3 Management Analysts III
 2 Social Work Supervisors
 11 Social Workers III
 1 Social Worker II
 1 Financial Specialist II
 1 Administrative Assistant IV
 1 Administrative Assistant II
 20 Positions
 20.0 Staff Years

Promoting Safe and Stable Families
(67516G)

1 Management Analyst II, PT
 3 Social Workers III
 3 Social Workers II
 1 Human Services Coordinator II
 1 Administrative Assistant II
 9 Positions
 8.5 Staff Years

Program Improvement Plan (67517G)

2 Social Workers III
 1 Human Services Coordinator II
 3 Positions
 3.0 Staff Years

Fund 102

Federal/State Grant Fund

USDA Child and Adult Care Food Program (67600G)

- 1 Child Care Specialist III
- 3 Child Care Specialists I
- 1 Business Analyst II
- 1 Administrative Assistant V
- 2 Administrative Assistants IV
- 8 Positions
- 8.0 Staff Years

Head Start Federal Program (67602G)

- 1 Financial Specialist III
- 1 Child Care Program Admin I
- 1 Head Start Coordinator
- 1 Public Health Nurse III
- 3 Child Care Specialists II
- 1 Child Care Specialist I
- 3 Human Service Workers II
- 7 Day Care Center Teachers II
- 8 Day Care Center Teachers I
- 1 Day Care Center Aide, PT
- 2 Administrative Assistants IV
- 1 Administrative Assistant III
- 1 Human Services Assistant
- 1 Cook's Aide
- 32 Positions
- 31.5 Staff Years

Virginia Preschool Initiative (67604G)

- 1 Child Care Specialist III
- 3 Child Care Specialists II
- 4 Positions
- 4.0 Staff Years

Child Care Assist. Program (67605G)

- 1 Child Care Program Adm. I
- 1 Business Analyst II
- 1 Business Analyst I
- 9 Child Care Specialists I
- 1 Human Service Worker I
- 11 Human Service Workers II
- 2 Human Services Assistants
- 1 Administrative Assistant III
- 27 Positions
- 27.0 Staff Years

VIEW Day Care (67607G)

- 2 Child Care Specialists III
- 2 Positions
- 2.0 Staff Years

Early Head Start (67610G)

- 1 Head Start Coordinator
- 1 Business Analyst I
- 1 Child Care Specialist III
- 3 Child Care Specialists II
- 6 Child Care Specialists I
- 5 Day Care Center Teachers II
- 6 Day Care Center Teachers I
- 1 Administrative Assistant III
- 1 Cook's Aide
- 25 Positions
- 25.0 Staff Years

Virginia ITS Network (67619G)

- 3 Child Care Specialists II, 1 PT
- 3 Positions
- 2.5 Staff Years

Child Care Quality Init Prog (67621G)

- 1 Child Care Specialist II
- 1 Position
- 1.0 Staff Year

HEALTH DEPARTMENT

WIC (71007G)

- 1 Nutrition Program Coordinator
- 1 Nutrition Program Supervisor
- 4 Sr. Public Health Nutritionists
- 10 Public Health Nutritionists
- 23 Nutritionist Assistants I
- 8 Nutritionist Assistants II
- 1 Information Technology Tech I
- 1 Administrative Assistant IV
- 49 Positions
- 49.0 Staff Years

Perinatal Health Services (71010G)

- 4 Human Services Assistants
- 4 Positions
- 4.0 Staff Years

Tuberculosis Grant (71014G)

- 1 Public Health Nurse III
- 1 Human Services Assistant
- 2 Positions
- 2.0 Staff Years

EP&R for Bioterrorism Grant (71025G)

- 1 Management Analyst III
- 1 Epidemiologist
- 2 Positions
- 2.0 Staff Years

DEPARTMENT OF NEIGHBORHOOD AND COMMUNITY SERVICES

Youth Smoking Prevention (79009G)

- 1 Park/Recreation Specialist I
- 1 Position
- 1.0 Staff Year

Joey Pizzano Memorial Fund (79012G)

- 1 Park/Recreation Specialist II
- 1 Position
- 1.0 Staff Year

Evening Reporting Center (81022G)

- 1 Park/Recreation Specialist I
- 1 Position
- 1.0 Staff Year

JUVENILE AND DOMESTIC RELATIONS DISTRICT COURT

Evening Reporting Center (81022G)

- 1 Probation Counselor III
- 1 Position
- 1.0 Staff Year

Gang Prevention Intervention Grant (81024G)

- 1 Management Analyst III
- 1 Position
- 1.0 Staff Year

GENERAL DISTRICT COURT

CCCA Grant (85006G)

- 1 Probation Counselor III
- 6 Probation Counselors II, 1 PT
- 1 Probation Supervisor I
- 1 Administrative Assistant II
- 9 Positions
- 8.5 Staff Years

POLICE DEPARTMENT

Victim Witness Assistance (90016G)

- 1 Probation Counselor III
- 3 Probation Counselors II
- 1 Human Services Assistant
- 5 Positions
- 5.0 Staff Years

Someplace Safe (90025G)

- 1 Probation Counselor II
- 1 Position
- 1.0 Staff Year

OJJDP Congressional Earmark-Gangs (90054G)

- 1 Police Sergeant
- 1 Police Officer II
- 2 Positions
- 2.0 Staff Years

Fund 102

Federal/State Grant Fund

FIRE AND RESCUE DEPARTMENT

Fire Programs (92001G)

- 1 Fire Battalion Chief, PT
- 2 Fire Lieutenants
- 1 Human Resources Generalist I
- 2 Fire Technicians
- 1 Communications Specialist II
- 2 Life Safety Education Specialists
- 1 Photographic Specialist, PT
- 10 Positions
- 9.0 Staff Years

Urban Search & Rescue (92108G)

- 1 Financial Specialist III
- 1 Management Analyst I
- 1 Fire Technician
- 1 Administrative Assistant III
- 4 Positions
- 4.0 Staff Years

International Search & Rescue (92306G)

- 1 Fire Battalion Chief
- 1 Program and Procedures Coord.
- 1 Administrative Assistant IV
- 1 Material Mgmt Specialist III
- 4 Positions
- 4.0 Staff Years

OFFICE OF EMERGENCY

MANAGEMENT

Emergency Management Performance Grant (02915G)

- 1 Financial Specialist II
- 1 Position
- 1.0 Staff Year

Urban Areas Security Initiative Grant (02917G)

- 3 Emergency Management Specialists III
- 3 Positions
- 3.0 Staff Years

PT Denotes Part Time

Fund 102

Federal/State Grant Fund

FUND STATEMENT

Fund Type G10, Special Revenue Funds

Fund 102, Federal/State Grant Fund

	FY 2010 Actual	FY 2011 Adopted Budget Plan	FY 2011 Revised Budget Plan	FY 2012 Advertised Budget Plan	FY 2012 Adopted Budget Plan
Beginning Balance¹	\$27,073,254	\$235,135	\$29,093,113	\$194,646	\$229,520
Revenue:					
Federal Funds	\$43,436,300	\$0	\$108,634,741	\$0	\$0
Federal Funds-ARRA ²	5,090,170	0	7,695,662	0	0
State Funds	10,104,958	0	19,930,179	0	0
Other Match	1,229,106	0	1,938,644	0	0
Other Non-profit Grants	119,762	0	69,768	0	0
Seized Funds	1,194,136	0	791,980	0	0
Interest - Seized Funds	16,100	0	3,939	0	0
Interest - Fire Programs Funds	45,266	0	46,909	0	0
Miscellaneous Revenue	1,146,560	0	1,769,762	0	0
Reserve for Estimated Grant Funding	0	60,046,908	27,868,132	63,567,362	63,567,362
Total Revenue	\$62,382,358	\$60,046,908	\$168,749,716	\$63,567,362	\$63,567,362
Transfers In:					
General Fund (001)					
Local Cash Match ³	\$2,674,729	\$0	\$2,699,382	\$0	\$0
Reserve for Estimated Local Cash Match	287,691	2,914,001	214,619	4,250,852	4,250,852
Total Transfers In	\$2,962,420	\$2,914,001	\$2,914,001	\$4,250,852	\$4,250,852
Total Available	\$92,418,032	\$63,196,044	\$200,756,830	\$68,012,860	\$68,047,734

Fund 102

Federal/State Grant Fund

FUND STATEMENT

Fund Type G10, Special Revenue Funds

Fund 102, Federal/State Grant Fund

	FY 2010 Actual	FY 2011 Adopted Budget Plan	FY 2011 Revised Budget Plan	FY 2012 Advertised Budget Plan	FY 2012 Adopted Budget Plan
Expenditures:					
ARRA Funding ²	\$5,035,315	\$0	\$8,786,183	\$0	\$0
Emergency Preparedness ⁴	8,716,090	0	39,979,662	0	0
Office of County Executive	2,383	0	27,293	0	0
Economic Development Authority	1,000,000	0	4,750,000	0	0
Capital Facilities	362,910	0	11,972,273	0	0
Planning and Zoning	15,679	0	4,321	0	0
Department of Housing and Community Development	1,306,844	0	1,700,277	0	0
Office of Human Rights and Equity Prog.	315,194	0	853,450	0	0
Department of Transportation Services	2,503,862	0	17,426,907	0	0
Fairfax County Public Library	537,923	0	0	0	0
Department of Family Services	207,892	0	154,749	0	0
Dept. of Systems Management for Human Services	26,699,090	0	35,702,176	0	0
Health Department	37,629	0	0	0	0
Office to Prevent and End Homelessness Dept. of Neighborhood and Community Services	4,307,319	0	6,257,717	0	0
Circuit Court and Records	0	0	1,535,763	0	0
Court	93,873	0	9,621	0	0
Commonwealth's Attorney	671,071	0	3,188,186	0	0
General District Court	105,544	0	527,431	0	0
Police Department	721,713	0	696,448	0	0
Office of the Sheriff	2,314,839	0	10,918,083	0	0
Fire and Rescue Department	(32,567)	0	113,634	0	0
Unclassified Administrative Expenses	8,402,316	0	20,758,813	0	0
Total Expenditures	0	62,960,909	33,350,711	67,818,214	67,818,214
Ending Balance⁵	\$63,324,919	\$62,960,909	\$200,527,310	\$67,818,214	\$67,818,214

¹ The FY 2011 Revised Budget Plan Beginning Balance reflects \$7,740,153 in Local Cash Match carried over from FY 2010, including \$2,332,194 in Local Cash Match previously appropriated to agencies but not yet expended and \$5,407,960 in the Reserve for Estimated Local Cash Match consisting of the balance of the Reserve not used during FY 2010 plus Local Cash Match returned to the Reserve as the result of grant closeouts. Thus, the total Reserve for Estimated Local Cash Match in FY 2011 is \$5,482,579.

² Represents funding received by the Department of Family Services, Department of Administration for Human Services, Health Department, Office to Prevent and End Homelessness, Office of the Commonwealth's Attorney, and the Department of Vehicle Services as part of the American Recovery and Reinvestment Act of 2009 (ARRA).

³ The FY 2011 Estimated Local Cash Match appropriated to agencies totals \$2,839,382 but \$140,000 has been taken from available Local Cash Match balances due to unspent funds from previous years.

⁴ Emergency Preparedness grant funding is reflected as a separate category in order to centrally identify grant funds earmarked for security and emergency preparedness requirements. Agencies currently involved in this effort include the Office of Public Affairs, Department of Information Technology, Health Department, Police Department, Fire and Rescue Department, and the Office of Emergency Management.

⁵ The Ending Balance in Fund 102, Federal/State Grant Fund, fluctuates primarily due to timing, as some revenues received late in the fiscal year have not been by spent by June 30 as the time period for spending grant funds often continues beyond the end of the fiscal year.

Fund 103 Aging Grants and Programs

In July 2011, the County is implementing an integrated finance, budget, purchasing and human resources computer system. As a result, grant funding associated with Fund 103, Aging Grants and Programs is being consolidated into Fund 102, Federal/State Grants Fund. In addition, funding previously classified as a grant in Fund 103, Aging Grants and Programs that no longer meets the grant definition of the new computer system will be transferred to Agency 67, Department of Family Services or Agency 79, Department of Neighborhood and Community Services in the General Fund. Corresponding adjustments have been made in Fund 102, Federal/State Grant Fund, Agency 67, Department of Family Services, and Agency 79, Department of Neighborhood and Community Services for no net impact. It is anticipated that remaining FY 2011 funding and associated positions will be transferred as part of the *FY 2011 Carryover Review*.

Budget and Staff Resources

Agency Summary					
Category	FY 2010 Actual	FY 2011 Adopted Budget Plan	FY 2011 Revised Budget Plan	FY 2012 Advertised Budget Plan	FY 2012 Adopted Budget Plan
Authorized Positions/Staff Years					
Grant	51 / 50	51 / 50	51 / 50.5	51 / 50.5	51 / 50.5
Expenditures:					
Personnel Services	\$3,632,697	\$3,770,602	\$5,133,665	\$0	\$0
Operating Expenses	3,459,986	4,053,704	5,714,079	0	0
Capital Equipment	12,723	0	0	0	0
Total Expenditures	\$7,105,406	\$7,824,306	\$10,847,744	\$0	\$0
Revenue:					
Federal	\$2,052,499	\$2,085,560	\$2,514,600	\$0	\$0
State	1,165,711	1,023,772	1,102,569	0	0
Project Income	500,783	381,233	415,560	0	0
Other Jurisdictions' Share of the Ombudsman Program	137,584	120,203	102,822	0	0
City of Fairfax	0	33,013	66,026	0	0
City of Falls Church	36,306	36,306	36,306	0	0
Private Corporations	3,420	2,000	2,205	0	0
Total Revenue	\$3,896,303	\$3,682,087	\$4,240,088	\$0	\$0
Net Cost to the County¹	\$3,209,103	\$4,142,219	\$6,607,656	\$0	\$0

¹ The FY 2011 Revised Budget Plan net cost to the County includes unrealized revenue of \$3,896,167 that is carried over from FY 2010 to address the last three months of the program year in FY 2011.

Fund 103

Aging Grants and Programs

Position Summary		
<p><u>OPERATIONS AND DIRECT SERVICES</u></p> <p><u>Community-Based Social Services</u></p> <p>2 Social Work Supervisors</p> <p>2 Social Workers III</p> <p>9 Social Workers II</p> <p>1 Administrative Assistant II, PT</p> <p>1 Paralegal</p> <p>1 Communications Specialist III</p> <p><u>Congregate Meals</u></p> <p>1 Management Analyst I</p>	<p><u>Home-Delivered Meals</u></p> <p>1 Social Work Supervisor</p> <p>1 Management Analyst II</p> <p>1 Social Worker III</p> <p>5 Social Workers II</p> <p><u>Care Coordination for the Elderly Virginian</u></p> <p>1 Social Work Supervisor</p> <p>1 Social Worker III</p> <p>2 Social Workers II</p> <p>1 Mental Health Therapist</p> <p>2 Public Health Nurses II</p> <p>1 Business Analyst II</p> <p>1 Administrative Assistant II</p>	<p><u>Family Caregiver Support</u></p> <p>1 Management Analyst II</p> <p>1 Senior Social Work Supervisor</p> <p><u>LONG-TERM CARE OMBUDSMAN</u></p> <p>1 Social Work Supervisor</p> <p>5 Social Workers III</p> <p><u>DEPARTMENT OF NEIGHBORHOOD AND COMMUNITY SERVICES</u></p> <p><u>Congregate Meals</u></p> <p>4 Park/Rec. Specialists II</p> <p>5 Park/Rec. Assistants</p>
<p>TOTAL POSITIONS</p> <p>51 Grant Positions / 50.5 Grant Staff Years</p>		
		<p>PT Denotes Part-Time Position</p>

FY 2012 Funding Adjustments

The following funding adjustments from the FY 2011 Adopted Budget Plan are necessary to support the FY 2012 program. Included are all adjustments recommended by the County Executive that were approved by the Board of Supervisors, as well as any additional Board of Supervisors' actions, as approved in the adoption of the budget on April 26, 2011.

- Consolidation Due to the Replacement of the Legacy Computer System** (\$7,824,306)
 A decrease of \$7,573,537 is due to the replacement of the County's legacy computer system. In July 2011, the County will implement an integrated finance, budget, purchasing and human resources computer system. As a result, Fund 103 Aging Grants and Programs is being consolidated into Fund 102, Federal/State Grant Fund, Agency 67, Department of Family Services General Fund or Agency 79, Department of Neighborhood and Community Services General Fund. A corresponding adjustment of \$5,595,684 has been made in Fund 102, Federal/State Grant Fund; an adjustment of \$1,315,212 in Agency 67, Department of Family Services General Fund; and an adjustment of \$344,547 in Agency 79, Department of Neighborhood and Community Services General Fund. Additionally, \$318,094 in Fringe Benefits funding is included in Agency 89, Employee Benefits. It should be noted that there is a decrease of \$250,769 in anticipated FY 2012 program adjustments due to revised federal and state funding awards.

Fund 103

Aging Grants and Programs

Changes to FY 2011 Adopted Budget Plan

The following funding adjustments reflect all approved changes in the FY 2011 Revised Budget Plan since passage of the FY 2011 Adopted Budget Plan. Included are all adjustments made as part of the FY 2010 Carryover Review, FY 2011 Third Quarter Review, and all other approved changes through April 12, 2011:

- ◆ **Carryover Adjustments** **\$3,531,292**
As part of the *FY 2010 Carryover Review*, the Board of Supervisors approved carryover funding of \$3,531,292 due to carryover of \$3,546,065 in unexpended grants for Program Year 2010, a net decrease of \$85,698 due to revised federal and state funding allocations and an increase of \$70,925 due to funding received as part of the American Recovery and Reinvestment Act of 2009.

- ◆ **Third Quarter Adjustments** **(\$507,854)**
As part of the *FY 2011 Third Quarter Review*, the Board of Supervisors approved a net reduction of \$507,854. Of this amount, \$456,802 was due to balances as the result of closing Program Year 2010 grants and \$70,000 was associated with a required funding reduction needed to generate savings to meet FY 2012 requirements. This decrease was partially offset by an increase of \$18,948 due to additional federal funding for the Virginia Insurance Counseling and Assistance Program (VICAP).

Key Performance Measures

Fund 103, Aging Grants and Programs performance measures are consistent with the performance measures of the Adult and Aging Services cost center in Agency 67, Department of Family Services in the General Fund. Please refer to the Agency 67, Department of Family Services General Fund narrative in the Health and Welfare program area section of Volume 1 for a discussion of performance measures.

Fund 103

Aging Grants and Programs

FUND STATEMENT

Fund Type G10, Special Revenue Funds

Fund 103, Aging Grants and Programs

	FY 2010 Actual	FY 2011 Adopted Budget Plan	FY 2011 Revised Budget Plan	FY 2012 Advertised Budget Plan	FY 2012 Adopted Budget Plan
Beginning Balance^{1,2}	\$2,852,446	\$228,659	\$3,896,167	\$0	\$0
Revenue:					
Federal Funds	\$1,993,712	\$2,085,560	\$2,366,563	\$0	\$0
Federal Funds - ARRA	58,787	0	148,037	0	0
State Funds	1,165,711	1,023,772	1,102,569	0	0
Project Income	500,783	381,233	415,560	0	0
Other Jurisdictions' Share of Ombudsman Program	137,584	120,203	102,822	0	0
City of Fairfax	0	33,013	66,026	0	0
City of Falls Church	36,306	36,306	36,306	0	0
Private Corporations	3,420	2,000	2,205	0	0
Total Revenue	\$3,896,303	\$3,682,087	\$4,240,088	\$0	\$0
Transfer In:					
General Fund (001) ³	\$4,252,824	\$3,913,560	\$2,961,489	\$0	\$0
Total Transfer In	\$4,252,824	\$3,913,560	\$2,961,489	\$0	\$0
Total Available	\$11,001,573	\$7,824,306	\$11,097,744	\$0	\$0
Grant Expenditures:					
67460G, Title III B, Community-Based					
Social Services	\$1,316,270	\$1,501,744	\$2,034,089	\$0	\$0
67461G, Title VII Ombudsman	410,272	470,447	586,024	0	0
67462G, Fee for Services/ Homemaker	265,012	282,782	292,807	0	0
67463G, Title III C(1) Congregate Meals	2,195,524	2,746,578	4,216,607	0	0
67464G, Title III C(2) Home-Delivered					
Meals	1,707,032	1,739,393	2,228,214	0	0
67465G, Care Coordination for the					
Elderly Virginian	744,708	712,532	873,147	0	0
67466G, Caregiver Support	407,802	370,830	468,818	0	0
S6704G, ARRA Funding	58,786	0	148,038	0	0
Total Grant Expenditures	\$7,105,406	\$7,824,306	\$10,847,744	\$0	\$0
Total Disbursements	\$7,105,406	\$7,824,306	\$10,847,744	\$0	\$0
Ending Balance⁴	\$3,896,167	\$0	\$250,000	\$0	\$0

¹ In July 2011, the County is implementing an integrated finance, budget, purchasing and human resources computer system. As a result, grant funding associated with Fund 103, Aging Grants and Programs is being consolidated into Fund 102, Federal/State Grants Fund. In addition, funding previously classified as a grant in Fund 103, Aging Grants and Programs that no longer meets the grant definition of the new computer system will be transferred to Agency 67, Department of Family Services or Agency 79, Department of Neighborhood and Community Services in the General Fund. Corresponding adjustments have been made in Fund 102, Federal/State Grant, Agency 67, Department of Family Services, and Agency 79, Department of Neighborhood and Community Services for no net impact. It is anticipated that remaining FY 2011 funding and associated positions associated will be transferred as part of the *FY 2011 Carryover Review*.

² The *FY 2011 Adopted Budget Plan* beginning balance of \$228,659 is due to unspent funds in the Congregate Meal Program as a result of the delayed opening of Braddock Glen and will be used to address FY 2011 funding requirements

³ As part of the *FY 2011 Third Quarter Review*, the FY 2011 General Fund transfer was decreased by \$952,071. Of this, \$882,071 was due to the close out of program year 2010, as well as available fund balance due to the close out of program year 2009 included in the *FY 2010 Carryover Review*. The remaining \$70,000 was due to reductions used to generate savings to meet FY 2012 requirements.

⁴ The *FY 2011 Revised Budget Plan* ending fund balance is \$250,000 and reflects the utilization of the FY 2010 ending fund balance of \$3,896,167 to partially offset program year 2010 grant expenditures in FY 2011 based on program year requirements.

Fund 104

Information Technology

Mission

Fund 104, Information Technology (IT), supports the County's strategic IT investments in major technology projects that improve access to County services, promote government operational efficiencies and effectiveness, customer service and increase performance and security capabilities. They include automation for County agencies, requirements aligned with countywide strategic goals, enterprise technology infrastructure, and enterprise-level or inter-agency corporate systems.

Focus

Fund 104, Information Technology, was established in FY 1995 to strengthen centralized management of available resources by consolidating major IT projects in one fund. A General Fund transfer, revenue from the State Technology Trust Fund and other internal revenue funds, and interest earnings are sources for investment in IT projects.

The County's technological improvement strategy has two key elements. The first element is to provide an adequate infrastructure of basic technology for agencies in making quality operational improvements and efficiencies. The second is to redesign business processes and apply technology to achieve large-scale improvements in service quality and achieve administrative efficiencies. The County's long-term commitment to providing quality customer service through the effective use of technology is manifested in service enhancements, expedited response to citizen inquiries, improved operational efficiencies, better information for management decisions and increased performance capabilities.

The Senior Information Technology Steering Committee, which is comprised of the County Executive and senior County managers, adopted five IT priorities which guide the direction of Fund 104. They include:

- ◆ **Mandated Requirements:** Provide support for requirements enacted by the federal government, Commonwealth of Virginia, Board of Supervisors, or Court ordered or a result of County regulation changes.
- ◆ **Completion of Prior Investments:** Provide support for multi-year lease purchases and to implement a project phase or to complete a planned project.
- ◆ **Enhanced County Security:** Provide support for homeland security, physical security, information security and privacy requirements.
- ◆ **Improved Service and Efficiency:** Promote consolidated business practices; support more efficient government; optimize management and use of County assets and data; enhance systems to meet the expectations and needs of citizens; and promote service that can be provided through the Internet/e-government. This includes corporate and strategic initiatives that add demonstrable value to a broad sector of government or to the County as a whole, which also provide productivity benefits and/or effectively manages the County's information and knowledge assets.
- ◆ **Maintaining a Current and Supportable Technology Infrastructure:** Focus on technology infrastructure modernizations which upgrade, extend or enhance the overall architecture or major County infrastructure components, including hardware and software and its environment. Ensure that citizens, businesses and County employees have appropriate access to information and services.

Fund 104 Information Technology

In accordance with the FY 2012 Budget Guidelines funding requests for Fund 104 IT projects were limited to IT projects requiring a funding increment to meet project milestones, contractual obligations, and security and infrastructure requirements for enterprise-wide IT systems. The projects recommended for funding meet one or more of the IT priorities established by the Senior IT Steering Committee and align with the County's strategic and business requirements.

In keeping with established procedures, a Project Review Team consisting of business and technical staff from the Department of Information Technology (DIT) and the Department of Management and Budget (DMB) evaluated submissions requesting additional funding for clear alignment with project plans and anticipated deliverables. Projects were reviewed for continued alignment with project plans from both a business and a technical perspective, including whether the continued implementation of the project would realize proposed benefits. Benefits of the projects were weighed against the cost and several risk factors, including potential unknown related expenses, changes in scope necessitated by new business drivers, technological relevance, operational transformation needs, project schedule viability and the impact of not funding or otherwise delaying the project. Technical factors examined include alignment with County technology architecture and standards, impact on existing County IT infrastructure and availability of viable products and services. Also considered is the organizational experience with the solutions that support the project business goals, and the availability of human resources both in DIT and the sponsoring agency to implement the projects.

FY 2012 Initiatives

In FY 2012, funding of \$9.25 million, which includes a General Fund transfer of \$5.28 million, a transfer from Fund 105, Cable Communications of \$3.67 million, and interest income of \$0.30 million, is provided for initiatives that meet one or multiple priorities established by the Senior Information Technology Steering Committee. These initiatives include a mix of projects that provide benefits for both citizens and employees and that adequately balance new and continuing initiatives with the need for securing and strengthening the County's technology infrastructure. Funded projects will support initiatives in general County services, public safety, human services and enterprise technology security and infrastructure. Although many initiatives meet more than one of the technology priorities, for narrative purposes below, projects have been grouped into only one priority area.

Priority	FY 2012 Adopted Funding
Completion of Prior Investments	\$2.04 million
Improved Services and Efficiency	\$4.27 million
Maintaining a Current and Supportable Technology Infrastructure	<u>\$2.94 million</u>
TOTAL	<u>\$9.25 million</u>

Fund 104

Information Technology

Completion of Prior Investments - \$2.04 million

The County's IT program focuses on using technology as an essential tool to enable cost-effective delivery of services, and continues to stress the need to build reliable, supportable projects for these services in a timely manner. Many projects funded can be completed within that fiscal year, while others are multi-phase projects that require more than one year of funding.

In FY 2012, funding of \$278,212 is included for continued support for the County's planned on-going maintenance of essential Geographic Information System (GIS) data. Through a series of complex geospatial transformations the raw imagery, taken from aerial imagery flown by the state, is converted to GIS data available to many County agencies including: Police, Fire and Rescue, Transportation, Housing and Community Development, Public Works and Environmental Services, Planning and Zoning, and Tax Administration.

Funding of \$1,215,000 is included in FY 2012 to complete the Public Safety Architecture Modernization Project. This project implemented the Computer Aided Dispatch (CAD) and Public Safety Records Management Systems (RMS) for Fairfax County law enforcement agencies. This multiagency initiative provides an integrated public safety information platform which enables data sharing across functional areas of key public safety agencies for improved collaboration and interoperability. FY 2012 funding will support complete implementation of the I/CAD version 9.1 software as well as commercial mobile wireless services for the County's public safety agencies.

Funding of \$550,167 is included in FY 2012 for operational support of the County's Public Service Radio System network infrastructure. The project replaced a 20-year-old Public Service Communications System, which provided two-way radio communications for all County non-public safety agencies, as well as the Fairfax County Public Schools (FCPS) Transportation Department (school buses), and Fairfax Water, with updated technology that meets the needs of user agencies. The system provides adequate call processing capacity and area coverage to more than 90 percent of the area within the jurisdictional boundaries of Fairfax County. The new network eliminates two zones within the County and provides seamless coverage on one system. It should be noted that total FY 2012 funding of \$1,209,196 is included for this project; however, based on a portion of project costs derived from the number of radios users operating on the system, an amount of \$659,029 will be recovered from FCPS and Fairfax Water, thus resulting in the \$550,167 cost to the County.

Improved Service and Efficiency - \$4.27 million

Projects funded in FY 2012 provide for improved service and efficiency in provision of services to the residents and the business community in Fairfax County. These include projects supporting the County's e-government programs as well as initiatives that improve County processes resulting in improved efficiencies and service delivery.

In FY 2012, funding of \$400,000 is included in support of the County's continuing commitment to e-government for initiatives that improve public accessibility to County information and services. A primary use of this funding will be in support of the County's Interactive Voice Response (IVR) system which is extensively used by multiple agencies. It is anticipated that the current vendor will no longer be supporting IVR and as a result, FY 2012 project plans include replacement of hardware and software associated with the migration of the current IVR system to a new platform including associated data conversion and application interfaces. IVR applications are used for a range of services including complex transactions based systems that collect real estate taxes, property taxes, traffic ticket payments,

Fund 104

Information Technology

as well as permit inspection scheduling. In addition, this funding will continue to support the County's web and e-government services, web content, social media integration, transparency, Web 3.0, and compliance with e-health records. Additionally, the e-government program enhances citizen participation with County government through online public input processes.

Funding of \$3,670,000 supports the Police Department's In Car Video System project to install digital surveillance video cameras in Fairfax County Police Department's fleet of 800 patrol vehicles. The In Car Video system enables accurate recording of events, statements, and scenes, enhances both the Commonwealth and County Attorneys abilities to prove their cases, and improves the Department's accountability to the public. The use of in-car video supports the Police Department's commitment to provide safe, fair, unbiased and responsible service to the residents of Fairfax County in carrying out law enforcement duties. The system will meet standards published by the International Association of Chiefs of Police (IACP) for in-car video surveillance. It should be noted that because of the primary role the I-Net will play in terms of transmitting the video to secure storage, funds available in Fund 105, Cable Communications, can be used to secure and install the capital hardware and software necessary for the in-car video program to become a reality.

Funding of \$200,000 is provided for an enterprise-wide volunteer management system that improves volunteer recruitment, placement, and scheduling as well better tracking and measurement of the impact of volunteer contributions to County government. The goal is to develop common data elements and provide a shared point of entry for citizens interested in volunteering with Fairfax County. Project objectives include streamlining the process of matching volunteer abilities, interests and availability with County agency needs.

Maintain a Current and Supportable Technology Infrastructure - \$2.94 million

In an ever-evolving technology and communications environment, maintaining current and supportable technology architecture is a challenge that must be continually addressed to ensure performance, operability, security and integrity of business operations and information. The County's technological improvement strategy strives to balance business needs that require technology investments with the desire to adopt contemporary but relevant and supportable technology industry trends, as well as the ability to leverage existing infrastructure. Projects funded in FY 2012 will support the goal of updating and strengthening the technology foundation where practical, and ensuring that residents, the business community and County staff have appropriate and reliable access to information and services.

Funding of \$2,163,200 is included for strategic infrastructure and services necessary for implementation of complex multi-phase enterprise-wide business transformation IT systems. This funding will support the projected infrastructure configuration and system integration services supporting the full scope of the County's FOCUS/ERP project. The plan includes servers, storage, middleware, security, document management, and web and associated system integration services for seamless performance between Fairfax County Government and Fairfax County Public Schools (FCPS) environments essential for implementation of SAP software. The plan outlined is strategic and aligns with County enterprise technology plans including infrastructure investment and enhancing opportunities for shared cost efficiencies between the County and FCPS.

Fund 104

Information Technology

Funding of \$500,000 is included to support conversion and migration of the County's remaining legacy mainframe systems after implementation of the County's FOCUS/ERP project. The project includes significant historical data that needs to migrate off the mainframe onto more contemporary platforms, and several legacy applications in other business areas. The project will support migration of legacy financial, public safety records, personal property, public works, and human services. Upon completion, the County's legacy mainframe platform will be substantially retired.

Funding of \$200,000 is included for additional remote access capabilities for internal users to access the County's systems. This project supports the expanding need for telework, COOP, disaster recovery, and increasing reliance of agency mobile workers on wireless solutions. Enterprise-wide standardized access control methodology enables secure identity authentication for authorized access to County networks, data, and systems. This project supports secure access from remote locations and provides improved security, reporting, and data analysis.

Funding of \$75,000 is included to provide for on-going information technology training and certification in recognition of the challenges associated with maintaining skills at the pace of technological changes and to ensure that the rate of change in information technology does not out-pace the County's ability to maintain proficiency. As the County's workforce becomes increasingly dependent on information technology, training support has become more essential.

Changes to FY 2011 Adopted Budget Plan

The following funding adjustments reflect all approved changes in the FY 2011 Revised Budget Plan since passage of the FY 2011 Adopted Budget Plan. Included are all adjustments made as part of the FY 2010 Carryover Review, FY 2011 Third Quarter Review, and all other approved changes through April 12, 2011.

- ◆ **Carryover Adjustment** **\$47,589,191**
As part of the *FY 2010 Carryover Review*, the Board of Supervisors approved an increase of \$47,589,191 due to carryover of unexpended project balances of \$37,208,643 and a net increase based on higher than budgeted FY 2010 revenue of \$380,548. In addition, funding of \$10,000,000, supported by an increase in the General Fund transfer, is included to support anticipated milestone payments, infrastructure, training, and other obligations for the Legacy System Replacement project in FY 2011.

- ◆ **Third Quarter Adjustment** **\$6,399,033**
As part of the *FY 2011 Third Quarter Review*, the Board of Supervisors approved an increase of \$6,399,033 for Information Technology projects. Of this total, an increase of \$3,800,000 supports hardware and infrastructure required for implementation of the FOCUS project, \$2,000,000 supports the replacement of the Department of Family Services' existing Child Care Technology systems to ensure compliance with the state's new automated child care systems, \$551,430 supports Circuit Court technology modernization projects including the Circuit Court's state-mandated redaction project, and the remaining \$47,603 will respond to out-of-cycle requests and unanticipated County IT requirements.

Fund 104 Information Technology

The following Project Summary table lists the projects contained in Fund 104, Information Technology. Descriptions for FY 2012 funded projects are included on the following pages. Information regarding technology initiatives can also be found in the [FY 2012 Information Technology Plan](#) prepared by the Department of Information Technology. It should be noted that during their deliberations on the [FY 2012 Advertised Budget Plan](#), the Board of Supervisors reduced funding for IT-related projects by \$2,000,000 by deferring funding for Project IT0061, Information Technology Security, which would have supported security infrastructure for secure access to advanced web and social media functionalities.

Agency Summary		
Project	FY 2012 Advertised Budget Plan	FY 2012 Adopted Budget Plan
IT0004, Geographic Information System (GIS)	\$278,212	\$278,212
IT0010, Information Technology Training	75,000	75,000
IT0022, Tactical Initiatives	2,163,200	2,163,200
IT0024, Public Access to Information	400,000	400,000
IT0050, Public Service Communications Replacements	550,167	550,167
IT0058, Remote Access	200,000	200,000
IT0061, Information Technology Security	2,000,000	0
IT0083, Public Safety Architecture Modernization	1,215,000	1,215,000
IT0088, Retirement of Legacy Systems	500,000	500,000
IT0090, Police In Car Video System	3,670,000	3,670,000
IT0091, Volunteer Management System	200,000	200,000
Total Funds	\$11,251,579	\$9,251,579

Fund 104 Information Technology

IT0004, Geographic Information System (GIS)	IT Priorities: <ul style="list-style-type: none"> • Completion of Prior Investments; • Enhanced County Security; • Improved Service and Efficiency; • Maintaining a Current and Supportable Technology Infrastructure
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FY 2010 Expenditures	FY 2011 Revised Budget Plan	FY 2012 Advertised Budget	FY 2012 Adopted Budget
\$502,657	\$1,067,467	\$278,212	\$278,212

Description and Justification: This project provides continued funding for the County’s planned multiyear implementation and maintenance of essential Geographic Information System (GIS) data including oblique imagery and planimetric data. GIS provides County staff and citizens the means to electronically access, analyze and display land-related data. The imagery is used in the My Neighborhood viewer, the Digital Map viewer, the 3-D Virtual Fairfax application and all of the County’s web and desktop mapping applications.

In FY 2012, funding of \$278,212 is included for this project. Through a series of complex geospatial transformations, the raw imagery taken from aerial imagery flown by the state, will be converted to GIS data available to many County agencies including: Police, Fire and Rescue, Transportation, Housing and Community Development, Public Works and Environmental Services, Planning and Zoning, and Tax Administration (DTA). The project includes planimetric impervious surface features including: driveways, building footprints, streams, sidewalks, pools, edges of roads and centerlines which are critically needed by key agencies such as DPWES (Stormwater) and by Public Safety agencies.

Return on Investment (ROI): The updated GIS data enhances the County’s security oriented applications such as emergency response preparedness, preplanning fire and rescue, hazardous material spills, and crime mapping. Planimetric data is also a key data sets used by the Computer Aided Dispatch system’s mobile units in Police and Fire and Rescue vehicles. The GIS database with new impervious features and contouring facilitates key agencies land use applications following Fairfax County’s Environmental Quality Advisory Council (EQAC) recommendations and contribute to overall improved services and efficiency. The updated GIS data provides County agencies readily accessible data necessary for engineering and design projects in any location as well as the ability to view field conditions from a desktop without traveling to the site, providing significant savings to County staff in various agencies.

GIS imagery is also the source of the 3-D building imagery displayed in Virtual Fairfax (VF) a web based 3-D visualization tool available on the County’s website. VF enables users to fluidly navigate the County and surrounding areas, view 3-D images of buildings in key County areas, determine the terrain, shadow impacts and building elevations. VF contains direct links to key County land information systems containing assessment data (DTA), zoning and building activity (LDSNet), school and magisterial districts, and parks.

Fund 104 Information Technology

IT0010, Information Technology Training	IT Priorities: <ul style="list-style-type: none"> • Maintaining a Current and Supportable Technology Infrastructure
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FY 2010 Expenditures	FY 2011 Revised Budget Plan	FY 2012 Advertised Budget	FY 2012 Adopted Budget
\$31,957	\$170,888	\$75,000	\$75,000

Description and Justification: This project provides funding for information technology training in recognition of the challenges associated with maintaining skills at the same pace as technology changes. The rate of change in information technology is an ongoing challenge for the County in maintaining relevant proficiencies for its technology workforce, and enabling quick adoption of technology that is beneficial in meeting the County's mission, goals and objectives. As the County's business has become increasingly dependent on information technology, training support has become more essential.

Funding of \$75,000 is included in FY 2012 to provide for information technology training and certification. The Department of Information Technology anticipates additional required training for staff in SAP software implementation and integration tools and related applications.

Return on Investment (ROI): Continued funding will address instruction in new technologies, network management, computer operations, and software applications development and maintenance to assist County staff and systems.

Fund 104 Information Technology

IT0022, Enterprise Architecture and Support (Tactical Initiatives)	IT Priorities: <ul style="list-style-type: none"> • Maintaining a Current and Supportable Technology Infrastructure • Improved Service and Efficiency
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FY 2010 Expenditures	FY 2011 Revised Budget Plan	FY 2012 Advertised Budget	FY 2012 Adopted Budget
\$804,589	\$6,440,742	\$2,163,200	\$2,163,200

Description and Justification: This project supports the implementation of the County's IT enterprise ERP and related business systems. Funding provides for necessary integration of application and infrastructure systems components to meet the County IT architecture and interoperability goals enabling seamless systems integration and flexible IT architecture.

Funding of \$2,163,200 is included for strategic infrastructure and services necessary for implementation of complex multi-phase enterprise-wide business transformation IT systems. This funding will support the projected infrastructure configuration and system integration services supporting the full scope of the County's FOCUS/ERP project. The plan includes servers, storage, middleware, security, document management, and web and associated system integration services for seamless performance between Fairfax County Government and Fairfax County Public Schools (FCPS) environments essential for implementation of SAP software. The plan outlined is strategic and aligns with County enterprise technology plans including infrastructure investment and enhancing opportunities for shared cost efficiencies between the County and FCPS.

Return on Investment (ROI): This initiative supports infrastructure requirements of multi-phase, joint initiatives with Fairfax County Government and Fairfax County Public Schools that will replace the County's corporate legacy systems with a single, unified system. Automation and modernization will empower both employees and managers to execute processes more efficiently, and make the best strategic decisions based on the most timely and accurate information. This project will enable the County to incorporate fully integrated best business practices. It will add and improve functionality in back-office functional areas, improve the quality and accessibility of information for decision support, and reduce redundant data entry, storage and paper processing. This implementation is also a step in the County's on-going infrastructure modernization program and focuses on the IT investment priorities of providing a stable and secure IT infrastructure and leveraging IT investments.

Fund 104 Information Technology

IT00024, Public Access to Information	IT Priorities: <ul style="list-style-type: none"> • Improved Service and Efficiency • Maintaining a Current and Supportable Technology Infrastructure
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FY 2010 Expenditures	FY 2011 Revised Budget Plan	FY 2012 Advertised Budget	FY 2012 Adopted Budget
\$484,324	\$468,431	\$400,000	\$400,000

Description and Justification: This project provides funding for initiatives that improve public accessibility to government information and services. A comprehensive approach is employed to ensure efficient infrastructure capable of supporting multiple business solutions.

In FY 2012, a primary use of this \$400,000 in funding will be in support of the County’s Interactive Voice Response (IVR) system which is extensively used by multiple agencies. It is anticipated that the current vendor will no longer be supporting IVR and as a result, FY 2012 project plans include replacement of hardware and software associated with the migration of the current IVR system to a new platform including associated data conversion and application interfaces. IVR applications are used for a range of services including complex transactions based systems that collect real estate taxes, property taxes, traffic ticket payments, as well as permit inspection scheduling. In addition, this funding will continue to support the County’s web and e-government services, web content, social media integration, transparency, Web 3.0, and compliance with e-health records. Additionally, the e-government program enhances citizen participation with County government through online public input processes and will also allow the County to extend e-commerce/ e-services to citizens via mobile devices.

Return on Investment (ROI): E-government is a foundational program with a comprehensive strategy that includes multiple channels using enabling technology, policy and processes that integrate the County’s website, social media, interactive voice response (IVR) platforms, and mobile devices for cohesive public access to County information and services. In addition to the benefits to constituents by providing more opportunities for access to services and information online and improved customer service, public access technologies continue to provide County government greater internal efficiencies that enable effective response to growing demand for services associated with County growth and diversity.

This project continues to provide single information architecture and supporting infrastructure for platforms needed to provide new information and services to the public. The project also develops and promotes the sharing of data across agency and jurisdictional lines, thereby increasing the scope and value of information and services provided to citizens. It expands the capabilities of content management systems in order to improve automated workflow, revision control, indexing, and search and retrieval for countywide systems to improve operational efficiencies and collaboration. Internet and Intranet initiatives provide significant and wide-ranging opportunities to use technology as a means of making information more readily available to the public. Public access technologies minimize staff resources needed to provide basic information, thereby allowing staff to be deployed to more complex tasks, as well as respond to requests requiring more detailed or specialized information.

Fund 104 Information Technology

IT0050, Public Service Communications Replacements	IT Priorities: <ul style="list-style-type: none"> • Completion of Prior Investments • Maintaining a Current and Supportable Technology Infrastructure
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FY 2010 Expenditures	FY 2011 Revised Budget Plan	FY 2012 Advertised Budget	FY 2012 Adopted Budget
\$675,841	\$3,011,082	\$550,167	\$550,167

Description and Justification: This project provides continued funding for the Public Service Communications System, which provides two-way radio communications for all County non-public safety agencies, as well as the Fairfax County Public Schools (FCPS) Transportation Department (school buses), and Fairfax Water, with updated technology that meets the needs of user agencies. The completed system provides adequate call processing capacity and area coverage to more than 90 percent of the area within the jurisdictional boundaries of Fairfax County.

Funding of \$550,167 is recommended in FY 2012 for on-going operational requirements including site leases, inter-site network charges, and system maintenance. It should be noted that total FY 2012 funding of \$1,209,196 is included for this project; however, based on a portion of project costs derived from the number of radios users operating on the system, an amount of \$659,029 will be recovered from FCPS and Fairfax Water, thus resulting in the \$550,167 cost to the County.

Return on Investment (ROI): The replacement system provides reliable radio coverage to many areas of the County that are not covered by the old radio system. This provides the necessary protection and safety for bus drivers and other staff that depends on reliable communications, improves customer service to County citizens and County agencies, and reduces reliance on commercial wireless networks in addition to future cost avoidance and other non-quantifiable benefits. The completed system is fully compatible with the mobile and portable radios used by the County's public safety radio system which allows for direct communication between public safety and public service users for incident or disaster management, and provides a separate backup system for the public safety system.

Fund 104 Information Technology

IT0058 Remote Access	IT Priorities: <ul style="list-style-type: none"> • Maintaining a Current and Supportable Technology Infrastructure • Enhanced County Security
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FY 2010 Expenditures	FY 2011 Revised Budget Plan	FY 2012 Advertised Budget	FY 2012 Adopted Budget
\$0	\$27,140	\$200,000	\$200,000

Description and Justification: This project supports enhanced and expanded capability of internal users to access the County's systems from remote locations for service field activities, telework, and possible pandemic outbreak access.

Funding of \$200,000 is included in FY 2012 to integrate Active Sync with Microsoft Outlook Exchange for wireless devices to support agency mobile workers and remote access capabilities. This project establishes an enterprise-wide standardized remote access control methodology that provides a solution for employees and external system users, and also is intended to be expanded to partners and County customers and residents to authenticate their identity in order to gain access to relevant data and do business in a secure manner. All user authentication management is policy-based and centrally managed allowing for comprehensive audit and reporting services to support and log information on the extensive user base. This project supports increased security, simplified management, rapid reporting and data analysis, and secure access from remote locations.

Return on Investment (ROI): This project provides a cost effective approach to enhance the County's infrastructure in order to provide flexibility for a variety of remote access devices that may be used by County staff. The capability encourages more employees to take advantage of telecommuting in line with regional goals supported by the Board of Supervisors and also provides County staff necessary remote access capacity in case of emergency events such as hurricanes, snow storms, or pandemic outbreaks.

Fund 104 Information Technology

IT0083, Public Safety Architecture Modernization	IT Priorities: <ul style="list-style-type: none"> • Completion of Prior Investments • Enhanced County Security • Improved Service and Efficiency • Maintaining a Current and Supportable Technology Infrastructure
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FY 2010 Expenditures	FY 2011 Revised Budget Plan	FY 2012 Advertised Budget	FY 2012 Adopted Budget
\$2,390,058	\$2,730,709	\$1,215,000	\$1,215,000

Description and Justification: The Public Safety Architecture Modernization project supports implementation of common infrastructure supporting integrated Computer Aided Dispatch (CAD) and Public Safety Records Management Systems (RMS), including public safety communications, as well as Police, Fire and Rescue, and Emergency Medical Services records management. This project provided the underlying infrastructure components and shared capabilities required for an integrated, interoperable public safety system.

Funding of \$1,215,000 is included in FY 2012 to complete implementation of the planned i/CAD version 9.1 software. This will be followed by whole system acceptance and completion of overall Public Safety Architecture Modernization effort. Funding in FY 2012 also provides for wireless commercial services for Public Safety mobile devices.

Return on Investment (ROI): The award winning Public Safety Architecture Modernization project represents a joint initiative undertaken by the public safety agencies in Fairfax County (Department of Public Safety Communications, Police Department, Fire and Rescue Department, Office of the Sheriff, and Office of Emergency Management). The initiative provides an integrated public safety suite for CAD and RMS, with supporting network infrastructure to support robust GIS including automatic vehicle location (AVL), automatic vehicle routing recommendations (AVRR), broadband wireless data services, and automated field reporting. Savings are achieved in implementing standards for all stakeholders, consolidating system infrastructure and reducing system tool redundancies from prior independent systems.

More importantly, this project greatly enhances the County's ability to respond quickly and effectively to emergencies that require coordination among the various responder organizations and share information required for collaboration, case management, reporting, remediation and mitigation.

Fund 104 Information Technology

IT0088, Retirement of Legacy Systems	IT Priorities: <ul style="list-style-type: none"> • Maintaining a Current and Supportable Technology Infrastructure • Improved Service and Efficiency
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FY 2010 Expenditures	FY 2011 Revised Budget Plan	FY 2012 Advertised Budget	FY 2012 Adopted Budget
\$0	\$0	\$500,000	\$500,000

Description and Justification: The FOCUS/ERP project will replace the County's existing legacy mainframe systems for budget, human resources, finance, and procurement. This project will support an assessment conversion and migration of legacy data, databases, and system off the mainframe onto more contemporary platforms. This project will support validation of existing data prior to migration and development of inquiry and reporting capabilities.

Funding of \$500,000 is included to support the assessment, conversion and migration of the County's legacy mainframe systems in connection with implementation of the County's FOCUS/ERP project. Substantial historical flat file and DB2 data needs to migrate off the mainframe onto more contemporary server based and virtual platforms. New relational databases and new indexing schemes are required to store legacy mainframe data. Upon completion of the data migration and conversion, the County's mainframe platform will be retired.

Return on Investment (ROI): Many efficiencies and cost savings will be achieved with migration off and eventual retirement of the mainframe system. These include support and licensing costs for mainframe database platforms, tools, utilities and monitoring modules. With retirement of the mainframe system the County will achieve savings by ending lease payments for hardware, software utilities, mainframe data storage devices, as well as the cost of separate mainframe security software RACF. Furthermore the converted legacy systems can utilize more efficient virtualized server environment thus providing opportunities for additional savings in the County's data center.

Fund 104 Information Technology

IT0090, Police In Car Video System	IT Priorities: <ul style="list-style-type: none"> • Enhanced County Security • Improved Service and Efficiency
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FY 2010 Expenditures	FY 2011 Revised Budget Plan	FY 2012 Advertised Budget	FY 2012 Adopted Budget
\$0	\$0	\$3,670,000	\$3,670,000

Description and Justification: The goal of this project is to install digital surveillance video cameras in the Police Department's patrol vehicles which currently have no in-car video capabilities. The In Car Video system enables accurate recording of events, statements, and scenes, enhances both the Commonwealth and County Attorneys abilities to prove their cases, and improves on-scene safety and the Police Department's accountability to the public.

FY 2012 funding of \$3,670,000 is included to support the Police In Car Video System project to install digital surveillance video cameras in the Fairfax County Police Department's fleet of 800 patrol vehicles. The project will provide in-car video capabilities for the Fairfax County Police Department and will meet standards published by the International Association of Chiefs of Police (IACP). Each patrol vehicle will require a video package that will include an in-car video camera, controller, a display component, digital recording device, and wireless data communications. Included with each system would be a software license package and a five year required maintenance agreement.

It should be noted that because of the primary role the I-Net will play in terms of transmitting the video to secure storage, funds available in Fund 105, Cable Communications, can be used to secure and install the capital hardware and software necessary for the in-car video program to become a reality. Fund 105 funds are dedicated funds that can only be used for specific purposes defined by federal law. For example, these funds cannot be used for Personnel Services and ongoing system maintenance costs. No additional personnel costs are anticipated associated with this program and maintenance costs are not anticipated in FY 2012.

Return on Investment (ROI): In-car video provides benefits to the public, the law enforcement community and the legal system across the nation. Locally, the use of in-car video supports the Police Department's commitment to provide fair, unbiased and responsible service to the residents of Fairfax County in a number of ways. First, in-car video is a valuable aide to criminal investigations through accurate recording of events, statements, and scenes. Video evidence enhances both the Commonwealth and County Attorneys abilities to adjudicate court cases. Second, in-car video enhances police accountability to the public, and provides an invaluable, objective perspective for reviewing the actions of officers when it is necessary to prove or disprove an allegation of wrongdoing. Third, in-car video provides the Police Department with a means to observe and assess its primary method of service delivery. Video footage can be reviewed, critiqued, and then used to develop better practices, policies, and training for staff. This can improve officer safety, quality of service, and public satisfaction. The overall return on investment is increased trust and confidence by the public in their Police Department.

Fund 104 Information Technology

IT0091, Volunteer Management System	IT Priorities: <ul style="list-style-type: none"> • Improved Service and Efficiency
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FY 2010 Expenditures	FY 2011 Revised Budget Plan	FY 2012 Advertised Budget	FY 2012 Adopted Budget
\$0	\$0	\$200,000	\$200,000

Description and Justification: The Volunteer Management System will provide a cost-effective, value-added enterprise IT solution to support countywide efforts for improving volunteer recruitment and reporting of the impact of volunteer contributions to Fairfax County. Increasing volunteer engagement has been highlighted as a goal in both agency and Board of Supervisors' budget and planning discussions in recent years.

Funding of \$200,000 is provided for an enterprise-wide volunteer management system that improves volunteer recruitment, placement, scheduling and the ability to track the impact of volunteer contributions in support of the County. The goal of the project is to develop common data elements and provide a shared point of entry for citizens interested in volunteering with Fairfax County. Project objectives include streamlining the process of matching volunteer abilities, interests and availability with county agency needs, and providing the means for tracking volunteer contributions to County government.

Return on Investment (ROI): There are both immediate and long-term benefits to an enterprise approach to volunteer management software. The County currently supports multiple volunteer technology tools. Multiple data collection and entry processes for citizens interested in volunteering in Fairfax County is confusing and may prevent potential volunteers and staff from making the best connections with interests, skills, and availability. It is currently difficult to count and accurately report the impact of volunteers countywide and the value of services they provide to the community. Developing common data elements and providing a shared point of entry for those interested in volunteering with Fairfax County would address these shortcomings. Capturing data about volunteer employers allows agencies to apply for corporate grants that are increasingly influenced by employee volunteer experiences.

Fund 104 Information Technology

FUND STATEMENT

Fund Type G10, Special Revenue Funds

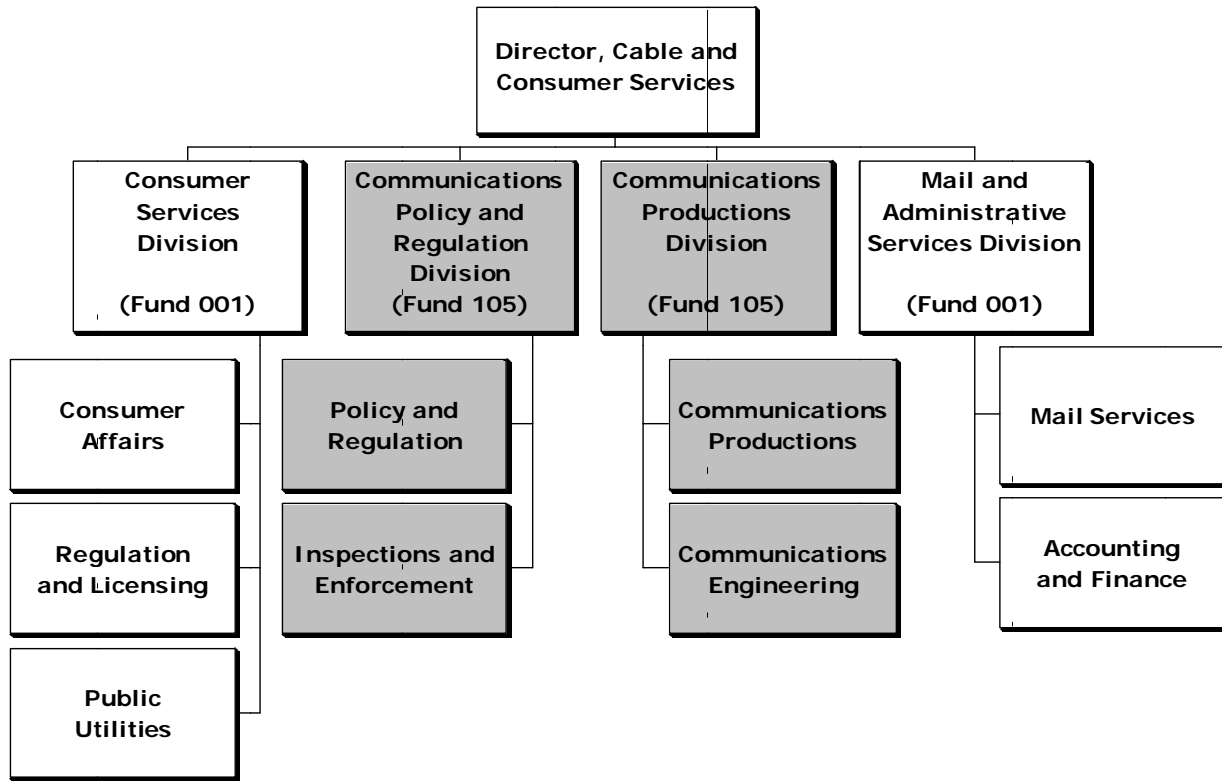
Fund 104, Information Technology

	FY 2010 Actual	FY 2011 Adopted Budget Plan	FY 2011 Revised Budget Plan	FY 2012 Advertised Budget Plan	FY 2012 Adopted Budget Plan
Beginning Balance	\$42,607,890	\$0	\$37,418,536	\$0	\$0
Revenue:					
Interest	\$272,651	\$500,000	\$199,021	\$300,000	\$300,000
Other Revenue ¹	1,054,624	0	900,012	0	0
Total Revenue	\$1,327,275	\$500,000	\$1,099,033	\$300,000	\$300,000
Transfers In:					
General Fund (001)	\$13,430,258	\$3,225,349	\$19,025,349	\$5,281,579	\$5,281,579
Cable Communications (105)	1,000,000	1,742,000	1,742,000	5,670,000	3,670,000
Total Transfers In	\$14,430,258	\$4,967,349	\$20,767,349	\$10,951,579	\$8,951,579
Total Available	\$58,365,423	\$5,467,349	\$59,284,918	\$11,251,579	\$9,251,579
Expenditures:					
IT Projects	\$20,946,887	\$5,467,349	\$59,284,918	\$11,251,579	\$9,251,579
Total Expenditures	\$20,946,887	\$5,467,349	\$59,284,918	\$11,251,579	\$9,251,579
Total Disbursements	\$20,946,887	\$5,467,349	\$59,284,918	\$11,251,579	\$9,251,579
Ending Balance²	\$37,418,536	\$0	\$0	\$0	\$0

¹ In FY 2010, Other Revenue includes State Technology Trust Fund revenue, Court Public Access Network (CPAN) revenue and revenue received from Ft. Belvoir for the Fire Station Alerting project. In FY 2011, Other Revenue includes \$348,582 in UASI reimbursement, \$168,512 in CPAN revenue for specific court-related projects, and \$382,918 in Technology Trust Fund revenue. All revenues received in these categories are fully appropriated in the appropriate IT projects.

² Information Technology projects are budgeted based on total project costs. Most projects span multiple years. Therefore, funding is carried forward each fiscal year, and ending balances fluctuate, reflecting the carryover of these funds.

Fund 105 Cable Communications



The Department of Cable and Consumer Services (DCCS) is the umbrella agency for four distinct functions: Communications Policy and Regulation; Communications Productions; Consumer Services; and Mail and Administrative Services. The total agency staff is dispersed over two funding sources. The Cable Communications function, which includes the Communications Policy and Regulation Division and the Communications Productions Division, is responsible for communications regulation and for television programming, and is presented in Fund 105 (Volume 2). Fund 105 is supported principally by revenue received from local cable operators through franchise agreements. Consumer Services, which mediates complaints, educates consumers, regulates taxicabs, issues licenses, and provides utility rate case intervention, is presented within the Public Safety Program Area (Volume 1) and is fully supported by the General Fund. Mail and Administrative Services manages mail services as well as accounting and finance services. Mail Services along with Accounting and Finance are programs presented in the Legislative-Executive Functions/Central Services Program Area (Volume 1) and are fully supported by the General Fund. While the functions of the Department of Cable and Consumer Services provide diverse services, they all provide quality customer service to the community and work collaboratively with County agencies, neighboring jurisdictions, and professional organizations.



Fairfax County government's Channel 16 is one of the best government access cable television stations in the nation.

Fund 105

Cable Communications

Mission

To promote the County's cable communications policy; to enforce public safety, customer service, and regulatory requirements among the County's franchised cable operators; and to produce television programming for Fairfax County Government Channel 16 and the Fairfax County Training Network (FCTN).

To accomplish the mission, Cable Communications encourages competition, innovation, and inclusion of local community interests in the countywide deployment of cable communications services; negotiates, drafts, and provides regulatory oversight and enforcement of cable communications contracts, ordinances, statutes, and customer service policies; protects the health, safety, and welfare of the public by enforcing safety codes and construction standards; ensures community access to public, educational, and governmental programming; maintains a reliable means of mass communication of official information during emergencies; provides digital media production services to create informational programming for County residents accessible through a variety of distribution channels; and supports internal communications, including remote origination and viewing of training programs for County employees and emergency first-responders.

Focus

The Cable Communications Fund (CCF) was established by the Board of Supervisors in 1982 to provide accurate and auditable accounting of revenues and expenses associated with the administration of the County's cable communications ordinance and franchise agreements, communications productions, and cable-related consumer and policy services. CCF revenue supporting this fund comes from Institutional Network (I-Net) and Public, Educational, and Governmental (PEG) access capital grants and state communications sales and use taxes received from local cable operators based on the operators' gross revenues.

The Communications Policy and Regulation Division (CPRD) negotiates cable franchise agreements and is responsible for regulatory oversight of the County's three franchised cable television providers. At the end of FY 2010, there were over 275,000 cable subscribers within the County. More than two-thirds of County households now have a choice of cable service providers. Comcast and Cox provide service in separate, non-overlapping franchise areas, and Verizon's build-out is on target to provide service throughout the County by 2012. Franchised cable service providers continue to offer video, broadband Internet access, and voice services. CPRD ensures that cable operators provide high quality customer service, safe cable system construction and operation, and access to PEG programming and emergency information.

CPRD enforces construction codes and standards on a competitively neutral basis. In FY 2010, more than 95 percent of inspected work sites were in compliance with applicable codes. Verizon will complete build-out of the fiber-optic cable communications system in FY 2012, and Cox and Comcast will continue to replace and upgrade portions of the hybrid fiber-coaxial systems resulting in a continued need for construction-related inspections and complaint investigations.

In FY 2010, CPRD worked to analyze proposed state and federal cable, broadband, and telecommunications legislation and regulation. CPRD continued to assist County residents with effects of the June 2009 transition to over-the-air digital television. CPRD continues to work with the other county agencies to monitor the fiscal impact on the CCF of the Virginia General Assembly's 2007 Communications Sales and Use Tax legislation. CPRD will also continue to work with the County Executive's legislative liaison and TeleCommUnity, a local government alliance, to monitor new

Fund 105 Cable Communications

developments in cable and broadband legislation, regulation and technology, and to work with other DCCS staff to develop related consumer education materials.

CPRD continues to administer financial support for the Institutional Network (I-Net). The I-Net is comprised of more than 4,000 kilometers of fiber linking over 400 County and Fairfax County Public Schools (FCPS) locations. CPRD will continue to support the construction of new I-Net sites and efforts to migrate video, high-speed data, and voice services to the I-Net in designated County and FCPS facilities. CPRD also continues to be active with public safety and new technology initiatives, assisting the Department of Information Technology in filing a waiver petition with the Federal Communications Commission with the aim of enabling the County to move forward with an advanced public safety radio system.



The Communications Productions Division (CPD) is responsible for the production of television programming for Fairfax County Government Channel 16 and the Fairfax County Training Network (FCTN). Channel 16 programming includes both Board-directed programming and the highest-rated program proposals submitted by County agencies. In FY 2012, Channel 16 will televise an estimated 330 live meetings of the Board of Supervisors, Planning Commission, Board of Zoning Appeals, County Executive projects, Board-directed special programming, town meetings, and monthly video newsletters for members of the Board of Supervisors. In addition, Channel 16 will televise programs and teleconferences highlighting the services of County agencies. The final number of informational programs produced in FY 2012 will be determined through the Fairfax County Communication Strategy's quarterly program proposal process. Channel 16 reaches an estimated 625,000 residents with information about County programs and services available in the community. In addition Channel 16 programming is available via streaming and video-on-demand, reaching an even larger audience. CPD is also reaching out to an increasingly diverse community by offering translated programming including Spanish, Korean, and Vietnamese, as requested by County agencies.

In addition to programming for the public, CPD is responsible for programming on closed-circuit FCTN via the Fairfax County I-Net. In FY 2012, CPD will televise training and internal communications productions, as well as national satellite conferences, telecommunication courses, video training, and lectures on areas such as leadership, ethics, public health and safety, and management techniques. FCTN programming reaches approximately 25,000 combined County and Fairfax County Public Schools' employees, providing the latest training and professional development programming to improve services to the public.

During FY 2012 CPD will continue to operate an emergency message system for the public, serve as a centralized resource for loan pool equipment for County agencies, manage a satellite downlink, and support video teleconferencing. CPD also provides engineering support services to County agencies and new County facilities that require complex audio and video installations.

In conjunction with the Fairfax County Communication Strategy, CPD will continue to evaluate and redesign Channel 16 and FCTN programming, enhance current operations and customer service through technology changes, and support live remote testimony for public hearings. CPD will continue to maintain a national presence, be a leader in the quality of programming produced, and research new services to enhance operations.

Fund 105

Cable Communications

Budget and Staff Resources

Agency Summary					
Category	FY 2010 Actual	FY 2011 Adopted Budget Plan	FY 2011 Revised Budget Plan	FY 2012 Advertised Budget Plan	FY 2012 Adopted Budget Plan
Authorized Positions/Staff Years					
Regular	40 / 40	40 / 40	46 / 46	51 / 51	51 / 51
Expenditures:					
Personnel Services	\$3,796,519	\$4,691,124	\$4,691,124	\$4,951,569	\$4,951,569
Operating Expenses	4,379,629	4,896,096	10,702,576	5,698,567	5,698,567
Capital Equipment	235,394	300,000	990,804	300,000	300,000
Total Expenditures	\$8,411,542	\$9,887,220	\$16,384,504	\$10,950,136	\$10,950,136

FY 2012 Funding Adjustments

The following funding adjustments from the FY 2011 Adopted Budget Plan are necessary to support the FY 2012 program. Included are all adjustments recommended by the County Executive that were approved by the Board of Supervisors, as well as any additional Board of Supervisors' actions, as approved in the adoption of the budget on April 26, 2011.

- ◆ **Employee Compensation** \$0

It should be noted that no funding is included for pay for performance or market rate adjustments in FY 2012.
- ◆ **Conference Center Consolidation** \$181,259

An increase of \$181,259, including \$172,859 in Personnel Services and \$8,400 in Operating Expenses, is associated with consolidating the scheduling and coordinating of events at the Government Center Complex. As a result of this action, 4/4.0 SYE positions, as well as associated Operating Expenses and limited-term support will be transferred from the Facilities Management Department to Fund 105, Cable Communications. This consolidation maximizes operational efficiencies by aligning video technology support with Communications Productions engineering staff and leveraging technology, scheduling, logistics, and resources to continue providing Conference Center services. A corresponding decrease will be shown in the Facilities Management Department budget.
- ◆ **Cable-Related Financial Services Adjustment** \$79,250

An increase of \$79,250, including \$78,000 in Personnel Services and \$1,250 in Operating Expenses and 1/1.0 SYE position is included to appropriately charge Fund 105 for financial-related services provided by the Department of Cable and Consumer Services (DCCS). This expenditure increase is offset by a corresponding decrease in Agency 04, DCCS.
- ◆ **Other Post-Employment Benefits** \$9,586

An increase of \$9,586 is required to reflect increased costs associated with providing Other Post-Employment Benefits (OPEBs) to retirees, including the Retiree Health Benefits Subsidy. Before FY 2011, costs related to these benefits were paid solely by the General Fund; however, these annual costs are now spread across funds in order to more appropriately reflect benefit-related expenses for the employees within each fund. For more information on Other Post-Employment Benefits, please refer to Fund 603, OPEB Trust Fund, in Volume 2 of the FY 2012 Adopted Budget Plan.

Fund 105 Cable Communications

- ◆ **I-Net Operating Expenses**
\$792,821
- An increase of \$792,821 in Operating Expenses is wholly attributable to additional I-Net operating expenses fully supported by increased I-Net revenue in FY 2012.

- ◆ **Capital Equipment**
\$300,000
- Capital Equipment funding of \$300,000 includes \$250,000 for digital video production equipment in support of the Communications Production Division. The remaining \$50,000 reflects funds for I-Net maintenance.

Changes to FY 2011 Adopted Budget Plan

The following funding adjustments reflect all approved changes in the FY 2011 Revised Budget Plan since passage of the FY 2011 Adopted Budget Plan. Included are all adjustments made as part of the FY 2010 Carryover Review, FY 2011 Third Quarter Review, and all other approved changes through April 12, 2011.

- ◆ **Carryover Adjustments**
\$6,497,284
- As part of the FY 2010 Carryover Review, the Board of Supervisors approved an increase of \$6,497,284 due to \$2,341,612 in encumbered carryover and \$4,155,672 in unencumbered carryover primarily attributable to unexpended funds related to the design and operation of the I-Net.

- ◆ **Position Changes**
\$0
- As part of the FY 2011 review of County position categories, a conversion of 6/6.0 SYE positions has been made. The status of limited term positions was reviewed in light of recent changes to federal regulations related to health care and other federal tax requirements. As a result of this review a number of existing limited term positions have been converted to Merit Regular status.

Cost Centers

The two cost centers within Fund 105, Cable Communications that work together to achieve the mission of the Fund are the Communications Policy and Regulation Division and Communications Productions Division. A large portion of the Communications Policy and Regulation Division is dedicated for I-Net initiatives.

Communications Policy and Regulation Division

Funding Summary					
Category	FY 2010 Actual	FY 2011 Adopted Budget Plan	FY 2011 Revised Budget Plan	FY 2012 Advertised Budget Plan	FY 2012 Adopted Budget Plan
Authorized Positions/Staff Years					
Regular	17 / 17	17 / 17	21 / 21	22 / 22	22 / 22
Total Expenditures	\$5,878,652	\$6,268,261	\$12,221,064	\$7,140,332	\$7,140,332

Fund 105

Cable Communications

Position Summary		
<p><u>Office of the Director</u> 1 Director, DCCS 1 Administrative Assistant V</p> <p><u>Regulation and Licensing</u> 1 Administrative Assistant III</p> <p><u>Administrative Services</u> 1 Financial Specialist III (1 T) 1 Administrative Assistant IV</p>	<p><u>Communications Policy and Regulation Division</u> 1 Director, Policy and Regulation 1 Administrative Assistant IV</p> <p><u>Policy and Regulation</u> 2 Management Analysts III</p> <p><u>Public Utilities</u> 1 Utilities Analyst</p>	<p><u>Inspections and Enforcement</u> 1 Engineer III 1 Engineering Technician III 1 Communications Engineer 6 Senior Electrical Inspectors</p> <p><u>Consumer Affairs</u> 1 Consumer Specialist II 1 Consumer Specialist I 1 Administrative Assistant II</p>
TOTAL POSITIONS		
22 Positions (1 T) / 22.0 Staff Years (1.0 T)		(T) Denotes Transferred Position

Key Performance Measures

Goal

To encourage competition and innovation in countywide deployment of cable communications services; to protect the public by rigorously enforcing cable communications construction safety codes and procedures, customer service regulations, consumer protection statutes, franchise agreements, the Fairfax County Communications Ordinance and applicable law; to respond to public and County agency inquiries regarding communications policy, statutes, regulations and technological developments; to support development of community networks to cost-effectively transport video and data; and to maintain reliable means of mass communication of official information during public safety emergencies.

Objectives

- ◆ To inspect 27 percent of cable communications construction work sites within the County and achieve 100 percent correction of all identified instances of non-compliance with applicable federal, state and County cable construction and public right-of-way codes and standards.
- ◆ To inspect 100 percent of all homeowner cable communications construction complaints requiring investigation by inspectors within 1 business day and to complete 100 percent of such complaint investigations.
- ◆ To achieve a 95 percent favorable resolution rate of cable communications service complaint investigations.
- ◆ To complete 99 percent of all inquiries while meeting response deadlines for regulatory, legislative, and policy inquiries.
- ◆ To meet measurement requirements for construction, activation and repair of the I-Net.

Fund 105 Cable Communications

Indicator	Prior Year Actuals			Current Estimate	Future Estimate
	FY 2008 Actual	FY 2009 Actual	FY 2010 Estimate/Actual	FY 2011	FY 2012
Output:					
Cable communications construction work sites	88,915	103,168	70,000 / 68,157	65,000	60,000
Homeowner cable construction complaints inspected	208	224	180 / 185	160	160
Cable service complaints investigated	292	324	220 / 251	220	240
Regulatory, legislative and policy inquiries	141	568	120 / 239	200	180
I-Net locations constructed	16	36	19 / 19	26	20
I-Net locations activated for video transport	16	9	5 / 7	4	6
I-Net incidents repaired	127	149	150 / 164	150	150
Efficiency:					
Inspector hours per cable communications construction work site inspected	0.30	0.31	0.45 / 0.37	0.45	0.45
Inspector hours per inspected homeowner cable construction complaint	2.8	3.2	3.5 / 3.8	3.8	3.8
Staff hours per cable service complaint	5.5	4.7	5.5 / 4.6	5.5	5.5
Inquiry responses prepared per staff	56	284	50 / 99	83	75
Staff hours per I-Net location constructed	17	20	25 / 28	30	30
Staff hours per I-Net location for video activation	19	24	20 / 21	20	20
Staff hours per I-Net incident repaired	4	5	6 / 6	6	6
Service Quality:					
Percent of cable communications construction work site deficiencies/non-compliance notices corrected	100%	100%	100% / 100%	100%	100%
Percent of homeowner cable construction complaints inspected within one business day	100%	100%	100% / 100%	100%	100%
Percent of cable service complaints responded to within 2 business days of receipt	100%	100%	100% / 100%	100%	100%
Percent of inquiry responses meeting response deadlines	96%	99%	95% / 100%	95%	95%
Percent of I-Net locations constructed on time	94%	100%	100% / 100%	100%	100%
Percent of on-time I-Net video activations	100%	100%	100% / 100%	100%	100%
Percent of I-Net incident repairs completed within 8 hours	100%	100%	100% / 100%	100%	100%

Fund 105 Cable Communications

Indicator	Prior Year Actuals			Current Estimate	Future Estimate
	FY 2008 Actual	FY 2009 Actual	FY 2010 Estimate/Actual	FY 2011	FY 2012
Outcome:					
Percent of cable communications construction work sites inspected	27%	23%	20% / 27%	23%	27%
Percent of homeowner cable construction complaints completed	100%	100%	100% / 100%	100%	100%
Percent of favorably resolved cable service complaints	100%	98%	90% / 100%	95%	95%
Percent of inquiries completed	100%	99%	99% / 103%	99%	99%
Percent of I-Net locations constructed	200%	189%	100% / 100%	100%	100%
Percent of total I-Net locations activated for video	267%	90%	100% / 140%	100%	100%
Percent of I-Net overall uptime	99.9%	99.9%	99.9% / 99.9%	99.9%	99.9%

Performance Measurement Results

Verizon's fiber-optic cable communications system construction will continue in FY 2012; however, construction may decline from FY 2010 levels as Verizon completes build-out.

The FY 2010 estimates for total I-Net locations activated for video were based on projections to complete I-Net construction and activation at all planned building construction and renovation locations known as of the start of the fiscal year. The FY 2010 increase in the percentage of I-Net locations activated for video resulted from changes in the scope of work. The FY 2011 estimates and FY 2012 estimates are based on I-Net construction and activations at sites known as of the start of FY 2011.

Communications Productions Division

Funding Summary					
Category	FY 2010 Actual	FY 2011 Adopted Budget Plan	FY 2011 Revised Budget Plan	FY 2012 Advertised Budget Plan	FY 2012 Adopted Budget Plan
Authorized Positions/Staff Years					
Regular	23 / 23	23 / 23	25 / 25	29 / 29	29 / 29
Total Expenditures	\$2,532,890	\$3,618,959	\$4,163,440	\$3,809,804	\$3,809,804

Position Summary		
Communications Productions Division	Communications Engineering	Conference Center
1 Director, Comm. Productions	1 Network Telecom Analyst III	1 Video Engineer (1 T)
2 Administrative Assistants II	2 Network Telecom Analysts II	1 Administrative Assistant III (1 T)
	1 Network Telecom Analyst I	1 Administrative Assistant II (1 T)
		1 Administrative Associate (1 T)
Communications Productions	Consumer Affairs	Regulation and Licensing
1 Instructional Cable TV Specialist	1 Administrative Assistant II	1 Administrative Assistant III
5 Producers/Directors		
6 Assistant Producers		
4 Media Technicians		
TOTAL POSITIONS		
29 Positions (4 T) / 29.0 Staff Years (4.0 T)		
(T) Denotes Transferred Position		

Fund 105

Cable Communications

Key Performance Measures

Goal

To provide a centralized video production center and satellite conferencing facility for the Board of Supervisors, County Executive, and all County agencies in order to communicate critical County information to the public and training for employees, and to provide related production services in new technologies to benefit the public and County operations.

Objectives

- ◆ To serve the public information needs of the County and the educational needs of the County workforce by completing 98 percent of program hours requested for both Channel 16 and FCTN while maintaining cost, quality and work hour efficiencies.
- ◆ To maintain 99.5 percent uptime for Channel 16 program transmission.
- ◆ To complete 100 percent of duplication requests within required deadline.

Indicator	Prior Year Actuals			Current Estimate	Future Estimate
	FY 2008 Actual	FY 2009 Actual	FY 2010 Estimate/Actual	FY 2011	FY 2012
Output:					
Original live program hours	636.0	627.5	664.0 / 650.1	664.0	NA
Original studio program hours	40.8	62.0	52.0 / 75.1	52.0	NA
Original field program hours	135.8	122.6	148.5 / 117.5	148.5	NA
Original program hours	812.6	812.1	864.5 / 842.7	864.5	864.5
Hours of program transmission	NA	NA	NA / NA	8,716	8,716
Completed duplication requests	NA	NA	NA / NA	443	443
Efficiency:					
Live program work hours per program hour	5.3	4.9	5.0 / 4.9	5.0	NA
Studio program work hours per program hour	39.9	38.1	50.0 / 37.2	50.0	NA
Field program work hours per program hour	147.1	128.2	159.5 / 135.5	159.5	NA
Work hours per program hour	30.7	26.1	33.9 / 26.0	33.9	33.9
Staff hours per transmission interruption resolution	NA	NA	NA / NA	12.0	12.0
Staff hours per duplication request	NA	NA	NA / NA	0.8	0.8

Fund 105 Cable Communications

Indicator	Prior Year Actuals			Current Estimate	Future Estimate
	FY 2008 Actual	FY 2009 Actual	FY 2010 Estimate/Actual	FY 2011	FY 2012
Service Quality:					
Percent of clients satisfied with live programs	100%	100%	97% / 100%	97%	NA
Percent of clients satisfied with studio programs	100%	100%	97% / 100%	97%	NA
Percent of clients satisfied with field programs	100%	100%	97% / 100%	97%	NA
Percent of clients satisfied with programs	100%	100%	97% / 100%	97%	97%
Percent of transmission interruptions resolved within 8 hours	NA	NA	NA / NA	80%	80%
Percent of completed duplication requests meeting customer requirements	NA	NA	NA / NA	98%	98%
Outcome:					
Percent of requested live programs completed	100%	100%	98% / 100%	98%	NA
Percent of requested studio programs completed	100%	98%	98% / 99%	98%	NA
Percent of requested field programs completed	99%	98%	98% / 101%	98%	NA
Percent of requested programs completed	99%	99%	98% / 101%	98%	98%
Percent of program transmission uptime	NA	NA	NA / NA	99.5%	99.5%
Percent of duplication requests completed within required deadline	NA	NA	NA / NA	100%	100%

Performance Measurement Results

In FY 2012, CPD is combining live, studio, and field programs into a single measure to more succinctly reflect program hours. Also, CPD is introducing two new families of measures to report on additional lines of service.

Fund 105

Cable Communications

FUND STATEMENT

Fund Type G10, Special Revenue Funds

Fund 105, Cable Communications

	FY 2010 Actual	FY 2011 Adopted Budget Plan	FY 2011 Revised Budget Plan	FY 2012 Advertised Budget Plan	FY 2012 Adopted Budget Plan
Beginning Balance	\$18,189,339	\$11,309,863	\$21,519,673	\$13,257,162	\$13,257,162
Revenue:					
Miscellaneous Revenue	\$1,930	\$1,200	\$1,200	\$1,200	\$1,200
Fines and Penalties	18,700	0	0	0	0
I-Net and Equipment Grant	5,041,343	4,437,285	4,437,285	5,142,674	5,142,674
Franchise Operating Fees	13,892,262	12,486,739	12,486,739	14,171,496	14,171,496
Total Revenue	\$18,954,235	\$16,925,224	\$16,925,224	\$19,315,370	\$19,315,370
Total Available	\$37,143,574	\$28,235,087	\$38,444,897	\$32,572,532	\$32,572,532
Expenditures:					
Personnel Services	\$3,796,519	\$4,691,124	\$4,691,124	\$4,951,569	\$4,951,569
Operating Expenses	4,379,629	4,896,096	10,702,576	5,698,567	5,698,567
Capital Equipment	235,394	300,000	990,804	300,000	300,000
Subtotal Expenditures	\$8,411,542	\$9,887,220	\$16,384,504	\$10,950,136	\$10,950,136
Transfers Out:					
General Fund (001) ¹	\$2,011,708	\$2,729,399	\$2,729,399	\$3,601,043	\$6,901,043
Schools Grants & Self Supporting Programs (192) ²	2,136,548	2,267,729	2,267,729	3,476,203	3,476,203
Schools Grants & Self Supporting Programs (192) ³	250,000	250,000	250,000	250,000	250,000
Information Technology (104) ⁴	1,000,000	1,742,000	1,742,000	5,670,000	3,670,000
County Construction (303) ⁵	0	0	0	404,500	404,500
Public Safety Construction (312) ⁶	0	0	0	200,000	200,000
Technology Infrastructure Services (505) ⁷	1,814,103	1,814,103	1,814,103	1,814,103	1,814,103
Total Transfers Out	\$7,212,359	\$8,803,231	\$8,803,231	\$15,415,849	\$16,715,849
Total Disbursements	\$15,623,901	\$18,690,451	\$25,187,735	\$26,365,985	\$27,665,985
Ending Balance⁸	\$21,519,673	\$9,544,636	\$13,257,162	\$6,206,547	\$4,906,547
Reserve for PC Replacement	\$31,500	\$31,500	\$31,500	\$31,500	\$31,500
Unreserved Ending Balance	\$21,488,173	\$9,513,136	\$13,225,662	\$6,175,047	\$4,875,047

Fund 105

Cable Communications

¹ The base Transfer Out to the General Fund represents compensation for staff and services provided by the County primarily for cable-related activities and is based on actual gross receipts. Annual reconciliation of the revenue and subsequent transfer is conducted and necessary adjustments have been incorporated in the FY 2012 budget. In addition, the FY 2012 transfer reflects an increase of \$2.0 million, redirected to the General Fund by delaying an IT project, and \$1.3 million as identified by the Auditor to the Board.

² This funding reflects a direct transfer to Fairfax County Public Schools (FCPS). The amount is calculated as 1 percent of the gross revenues of all franchise operators. Annual reconciliation of the revenue and subsequent transfer is conducted and necessary adjustments have been incorporated in the FY2012 budget.

³ This funding reflects a direct transfer to FCPS to support a replacement equipment grant of \$250,000.

⁴ In FY 2012, the \$3,670,000 in funding reflects a direct transfer to Fund 104, Information Technology, to support the purchase of in-car video technology in 800 police cruisers.

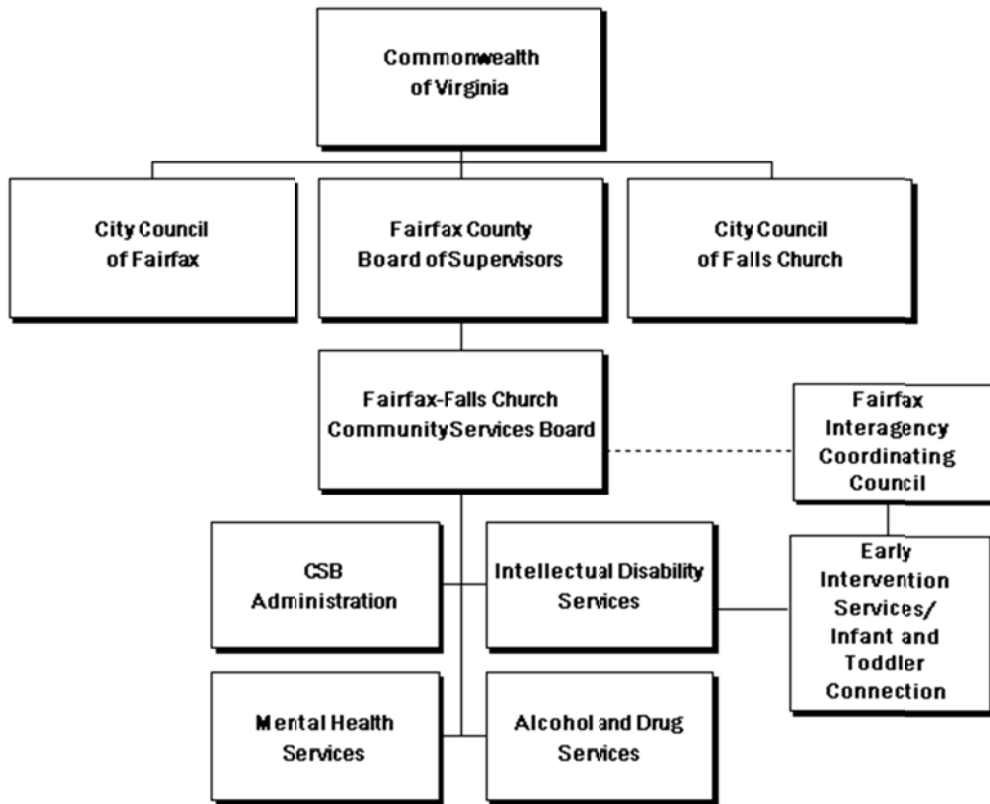
⁵ This funding reflects a direct transfer to Fund 303, County Construction, to support extending the I-Net and voice/data systems to new and expanded County facilities.

⁶ This funding reflects a direct transfer to Fund 312, Public Safety Construction, to support technology-related costs associated with courtroom renovations.

⁷ This funding reflects a direct transfer to Fund 505, Technology Infrastructure Services, to support staff and equipment costs related to construction of the I-Net.

⁸ Actual ending balances fluctuate year to year, as ending balances are reappropriated within Fund 105. Equipment and services expenditure requirements fluctuate year to year based on I-Net construction and maintenance schedule.

Fund 106 Community Services Board (CSB) - Overview



Mission

As the legislatively mandated local authority, the Fairfax-Falls Church Community Services Board (CSB) plans and ensures the provision of public services to people with mental health, substance abuse, intellectual disabilities, and infants at risk for developmental delays in Fairfax County and the cities of Fairfax and Falls Church.

Working collaboratively with local government leadership and the CSB Board, the CSB ensures that there is a full range of services responsive to the needs of residents and their families who have needs in the areas noted above. Given the statewide nature of the CSB system, other populations with similar disabilities also receive some services as resources become available, including populations such as veterans through the Wounded Warrior program and individuals who have traumatic brain injuries that manifest with psychiatric behaviors. The system also anticipates a greater role in serving people with autism and developmental disabilities in the near future.

The CSB believes in the dignity of self-determined lives and approaches service design, delivery and community supports with an emphasis on empowering clients to help develop the programs and services used.

Fund 106

Community Services Board (CSB) - Overview

Focus

Following the presentation and endorsement of the Josiah H. Beeman Commission Implementation Plan by the Board of Supervisors, the CSB has focused on incorporating changes identified by the plan. The department has developed a plan that addresses the need to create a simple, direct point of access to the CSB system, regardless of disability. The plan started by integrating the Mental Health and Alcohol and Drug access systems in FY 2011, and once the new system is operating smoothly, the Intellectual Disability system will also be integrated.

As part of the effort to decrease barriers to patients, the Joe and Fedona Gartlan Center for Community Mental Health (formerly the Mount Vernon Mental Health Center) has re-opened, and is functioning at full occupancy. Since all three major disability providers occupy the building, the department is striving to integrate all three support services and to find ways to decrease paperwork and barriers to cross referrals. Similarly, there have been smaller-scale projects at other service delivery sites designed to decrease unnecessary barriers to services and unnecessary paperwork.

One of the major initiatives identified by the Beeman Commission as a priority is the development of an integrated approach to primary care services. The CSB has been working with Fairfax County Health Department's Community Health Care Network (CHCN) to create integrated opportunities at both the Woodburn and Gartlan sites and is continuing to look for other opportunities to expand health oriented approaches which include: expanded health assessments through nursing staff, increased efforts to identify people with health problems that need better management through partnership with health insurance companies, and plans for a fully integrated clinic at the new Woodburn site. The CSB requires all new consumer assessments to identify affiliation with a primary care provider to help identify early risk.

Early Intervention Services has worked closely with the State to develop a business model that decreases reliance on local and state general funding, while continuing to expanding services. The agency has significantly eclipsed any previous growth rates in new individuals served, growing at approximately 20 percent in FY 2011. There is also the potential for increased funding in both Medicaid and private insurance revenue, as revenue streams are projected to grow.

A major service initiative of the Beeman Commission is the development of more intensive case management services for people with mental health and alcohol/drug problems. The CSB has begun to develop plans to implement a more intensive model of service. For example, in FY 2012, Intensive Community Treatment Teams (ICTT) will provide intensive, community based, case management and outreach services to persons with serious mental illness and/or serious substance use disorders. Staff has also already begun to serve individuals experiencing homelessness, and in FY 2012, services will be expanded to other population groups with serious needs.

The CSB has been an active partner with other human services agencies in addressing the needs of high risk youth and families, by creating a Systems of Care, which assists with decreasing unnecessary placements in residential settings. One of the key initiatives was the creation of an Intensive Care Coordination team which serves up to 60 youth and their families on a 24 hours a day, 7 days a week basis.

Fund 106

Community Services Board (CSB) - Overview

In FY 2010 and FY 2011, the CSB issued a Request for Proposal in response to the closure of the state-run Community Pharmacy. The CSB subsequently contracted with Quality of Life (QoL) meds to construct two full service on-site pharmacies. Once completed, the pharmacies will provide cost savings and enhanced services for CSB clients.

Intellectual Disability Services (IDS) continues to meet the commitment to families with youth graduating high school who require job training or day programming. In FY 2012, 88 special education graduates will receive day support and employment services from IDS. In the future, as this population continues to expand, IDS may begin to experience challenges transitioning youth into adult services.

As a major partner in developing the Fairfax County Housing Blueprint, the CSB has started an initiative to find housing for individuals who have the greatest need. In addition, the CSB is working to find these individuals employment with the assistance of a CSB system wide coordinator for employment.

The Financial Assessment and Screening Team (FAST) assesses a person's potential eligibility for need based services and payments, and begins the application process for these entitlements at the time of the assessment. FAST not only assists the CSB with fee revenue collection, but also assists those being served to access needed supports.

Although not a directly funded CSB service, the loss of beds at the Northern Virginia Mental Health Institute will place further pressure on the CSB, especially in Emergency Services and Adult Community Services. ICTT will help to alleviate some pressure as regional leadership along with CSB staff will continue to work to create alternatives.

Finally, technology will play an increasingly important role in the provision of CSB services. Consumer information is available for important clinical decision making across all CSB sites through the CSB Electronic Health Record. Health Care Reform initiatives will be supported by advancements in technology that include ePrescribing, real time verification of eligibility status, and Health Information Exchange. In FY 2011, current and future business needs are being integrated with an electronic health record that readies and supports the CSB in moving forward with the nation, and this work will continue in FY 2012.

Intended Outcomes

- 1) A fully integrated "front door" with the ability to continue to improve overall access to needed services both within the CSB and with partner agencies;
- 2) Service system outcomes that reflect both timely responsiveness and consumer satisfaction;
- 3) Continued improved access to primary care services for those served;
- 4) Continued improvements in access to housing and employment for those served; and
- 5) Increased numbers of services that provide intensive levels of care in the community with an emphasis on case coordination and management.

Fund 106

Community Services Board (CSB) - Overview

Budget and Staff Resources

Agency Summary					
Category	FY 2010 Actual	FY 2011 Adopted Budget Plan	FY 2011 Revised Budget Plan	FY 2012 Advertised Budget Plan	FY 2012 Adopted Budget Plan
Authorized Positions/Staff Years					
Regular	852 / 849	846 / 843	897 / 894.25	917 / 909.25	921 / 918.25
Grant	138 / 136.5	138 / 136.5	151 / 149.5	153 / 151.5	147 / 145.5
Expenditures:					
Personnel Services	\$85,298,846	\$87,660,435	\$90,158,799	\$90,217,568	\$90,474,968
Operating Expenses	55,446,641	54,224,496	64,635,808	55,820,312	56,837,912
Capital Equipment	0	0	109,115	0	0
Subtotal	\$140,745,487	\$141,884,931	\$154,903,722	\$146,037,880	\$147,312,880
Less:					
Recovered Costs	(\$1,869,966)	(\$1,326,511)	(\$1,316,899)	(\$1,056,899)	(\$1,056,899)
Total Expenditures	\$138,875,521	\$140,558,420	\$153,586,823	\$144,980,981	\$146,255,981

Summary by Program Area					
Category	FY 2010 Actual	FY 2011 Adopted Budget Plan	FY 2011 Revised Budget Plan	FY 2012 Advertised Budget Plan	FY 2012 Adopted Budget Plan
CSB Program Area Expenditures					
CSB Administration	\$4,494,933	\$3,676,841	\$6,285,336	\$5,214,427	\$5,214,427
Mental Health Services	63,866,264	64,188,827	71,139,202	66,507,068	66,507,068
Intellectual Disability Services	35,663,682	37,454,210	37,922,349	37,898,558	38,536,058
Alcohol and Drug Services	28,385,603	29,298,896	31,061,899	29,189,407	29,826,907
Early Intervention Services	6,465,039	5,939,646	7,178,037	6,171,521	6,171,521
Total Expenditures	\$138,875,521	\$140,558,420	\$153,586,823	\$144,980,981	\$146,255,981
Non-County Revenue by Source					
Fairfax City	\$1,309,900	\$1,309,902	\$1,309,902	\$1,309,902	\$1,309,902
Falls Church City	593,720	593,720	593,720	593,720	593,720
State DBHDS	17,066,324	18,722,740	25,363,894	20,430,277	20,430,277
State Other	171,080	262,839	262,839	272,397	272,397
Federal Block Grant	4,739,995	4,563,073	4,594,213	4,609,327	4,609,327
Federal Other	2,103,299	1,670,205	2,569,121	1,810,093	1,810,093
Federal ARRA	836,048	0	839,184	0	0
Medicaid Waiver	2,547,458	2,176,359	2,615,793	2,260,214	2,260,214
Medicaid Option	9,657,950	11,005,310	11,352,727	12,791,939	12,791,939
Program/Client Fees	3,886,317	4,535,956	4,612,714	4,652,738	4,652,738
CSA Pooled Funds	997,054	2,224,745	2,224,745	1,616,020	1,616,020
Miscellaneous	164,825	155,624	167,584	56,124	56,124
Total Revenue	\$44,073,970	\$47,220,473	\$56,506,436	\$50,402,751	\$50,402,751
Fund Balance	\$1,186,522	\$0	\$3,953,280	\$127,904	\$127,904
County Transfer to CSB	\$93,615,029	\$93,337,947	\$93,127,107	\$94,450,326	\$95,725,326
County Transfer as a Percentage of Total CSB Expenditures					
	67.4%	66.4%	60.6%	65.1%	65.5%

Fund 106

Community Services Board (CSB) - Overview

FY 2012 Funding Adjustments

The following funding adjustments from the FY 2011 Adopted Budget Plan are necessary to support the FY 2012 program. Included are all adjustments recommended by the County Executive that were approved by the Board of Supervisors, as well as any additional Board of Supervisors' actions, as approved in the adoption of the budget on April 26, 2011.

- ◆ **Employee Compensation** **\$0**

It should be noted that no funding is included for pay for performance or market rate adjustments in FY 2012.
- ◆ **Miscellaneous Adjustments** **\$1,436,206**

An increase of \$1,436,206 is associated with necessary grant and non-grant adjustments.
- ◆ **Intensive Community Treatment Teams** **\$1,063,976**

An increase of \$1,063,976 is associated with the establishment of 20/20.0 SYE positions, within CSB Administration and MHS, used to create six Intensive Community Treatment Teams (ICTT). The teams will assist persons with serious mental illness and/or serious substance abuse problems.
- ◆ **Contract Rate Adjustment** **\$1,044,179**

An increase of \$1,044,179 in Operating Expenses is associated with a 3 percent contract rate adjustment for providers of contracted administration, mental health, intellectual disability, alcohol and drug, early intervention and CSB-wide services.
- ◆ **Fringe Benefits Requirement** **\$715,250**

A net increase of \$715,250 is associated with the conversion of positions to a status that allows employees the option of receiving health benefits. The conversion offers employees the option of receiving benefits, and ensures that the County remains in compliance with recently altered federal health care regulations. Additional information regarding the conversion of positions to Merit Regular status is included in the Changes to FY 2011 Adopted Budget Plan section that follows.
- ◆ **Special Education Graduates** **\$637,500**

An increase of \$637,500 in Operating Expenses supports 56 of the 88 June 2011 special education graduates of Fairfax County Public Schools turning 22 years of age who are eligible for day support and employment services who currently do not have a funding source for such services.
- ◆ **Detoxification Services** **\$637,500**

An increase of \$637,500 in Alcohol and Drug Services (ADS) is associated with the expansion of the Medical Detoxification program and the establishment of 4/4.0 SYE positions to maintain the Diversion to Detoxification program. These programs will provide individuals access to the services necessary for their recovery.
- ◆ **Supplemental Pay Increase for Public Health Psychiatrists and Doctors** **\$552,950**

A net increase of \$552,950 is associated with a supplemental pay increase necessary to attract, and retain, medical personnel essential to the missions of MHS and ADS, based on analysis conducted by the Department of Human Resources.

Fund 106 Community Services Board (CSB) - Overview

- ◆ **Carryover Adjustments – Financial Assessment and Screening Team** **\$210,000**
An increase of \$210,000 in Personnel Services is due to recurring adjustments made as part of the *FY 2010 Carryover Review* to appropriate additional revenue from the Department of Behavioral Health and Development Services (DBHDS). The funding is associated with 4/4.0 SYE merit positions which support the implementation of a new Financial Assessment and Screening Team (FAST).

- ◆ **Reductions** **(\$600,000)**
A decrease of \$600,000 reflects reductions utilized to balance the FY 2012 budget. The following chart provides details on the specific reductions approved, including funding and associated positions.

Title	Impact	Posn	SYE	Reduction
Reduce Operating Expenses	A decrease of \$300,000 is associated with a reduction in local funds for psychotropic medication within ADS.	0	0.0	\$300,000
Reduce Operating Expenses	A decrease of \$300,000 is associated with a reduction in the purchase of IDS contracted day support and supported employment services for existing clients.	0	0.0	\$300,000

Changes to FY 2011 Adopted Budget Plan

The following funding adjustments reflect all approved changes in the FY 2011 Revised Budget Plan since passage of the FY 2011 Adopted Budget Plan. Included are all adjustments made as part of the FY 2010 Carryover Review, FY 2011 Third Quarter Review, and all other approved changes through April 12, 2011.

- ◆ **Carryover Adjustments** **\$11,660,485**
As part of the *FY 2010 Carryover Review*, the Board of Supervisors approved an increase of \$11,660,485, comprised of increases of \$1,676,513 in Personnel Services, \$9,843,245 in Operating Expenses and \$131,115 in Capital Equipment, as well as a decrease of \$9,612 in Recovered Costs. This includes \$3,690,730 in adjustments to current grants, \$2,928,614 in encumbered carryover, \$2,274,399 in non-grant adjustments, \$1,025,000 in various program adjustments primarily aligned with the Josiah H. Beeman Commission's implementation plan, \$961,315 for new grant awards, and \$780,427 in unexpended grant balances that carried forward.

- ◆ **Carryover Adjustments – Financial Assessment and Screening Team** **\$210,000**
As part of the *FY 2010 Carryover Review*, the Board of Supervisors approved an increase of \$210,000 in Personnel Services that is associated with 4/4.0 SYE merit positions which support the implementation of a new Financial Assessment and Screening Team (FAST). The FAST initiative will expand financial screening efforts by utilizing dedicated trained staff, and result in greater cost avoidance through pharmacy-related benefit programs, greater Medicaid enrollment, an increase in Community Health Care Network enrollment, reduction in outstanding consumer account balances, and improved completion of annual consumer financial updates. In addition, a critical feature of the initiative is the development of a simple automated tool that will incorporate screening for federal and state assistance programs and local primary health access, with the CSB fee-setting regulation, ability-to-pay scale and fees-for-services.

Fund 106

Community Services Board (CSB) - Overview

- ◆ **Third Quarter Adjustments** **\$1,157,918**

As part of the *FY 2011 Third Quarter Review*, the Board of Supervisors approved an increase of \$1,157,918 due to increases of \$814,975 in Federal/State grant awards, \$153,783 in Department of Behavioral Health and Development Services (DBHDS) funding for pharmaceutical medications, \$100,000 for contracted medical detoxification treatment services and \$89,160 to help transition to an electronic health record (EHR) system. This adjustment is comprised of increases of \$611,851 in Personnel Services, \$568,067 in Operating Expenses and a decrease of \$22,000 in Capital Expenses.

- ◆ **Redirection of Positions** **\$0**

The County Executive approved the redirection of 4/4.0 SYE positions to this department to primarily support overnight comprehensive psychiatric emergency services at Woodburn Center and oversight of clinical and administrative operations at the highly intensive mental health residential New Horizons Treatment Program.

- ◆ **Position Changes** **\$0**

As part of the FY 2011 review of County position categories, a conversion of 43/43.0 SYE positions has been made. The status of limited term positions was reviewed in light of recent changes to federal regulations related to health care and other federal tax requirements. As a result of this review, a number of existing limited term positions have been converted to Merit Regular status.

Fund 106

Community Services Board (CSB) - Overview

FUND STATEMENT

Fund Type G10, Special Revenue Funds

Fund 106, Fairfax-Falls Church
Community Services Board

	FY 2010 Actual	FY 2011 Adopted Budget Plan	FY 2011 Revised Budget Plan	FY 2012 Advertised Budget Plan	FY 2012 Adopted Budget Plan
Beginning Balance	\$6,969,641	\$557,522	\$5,783,119	\$500,000	\$500,000
Revenue:					
Local Jurisdictions:					
Farifax City	\$1,309,900	\$1,309,902	\$1,309,902	\$1,309,902	\$1,309,902
Falls Church City	593,720	593,720	593,720	593,720	593,720
Subtotal - Local	\$1,903,620	\$1,903,622	\$1,903,622	\$1,903,622	\$1,903,622
State:					
State DBHDS	\$17,066,324	\$18,722,740	\$25,363,894	\$20,430,277	\$20,430,277
State Other	171,080	262,839	262,839	272,397	272,397
Subtotal - State	\$17,237,404	\$18,985,579	\$25,626,733	\$20,702,674	\$20,702,674
Federal:					
Block Grant	\$4,739,995	\$4,563,073	\$4,594,213	\$4,609,327	\$4,609,327
Direct/Other Federal	2,103,299	1,670,205	2,569,121	1,810,093	1,810,093
Federal ARRA	836,048	0	839,184	0	0
Subtotal - Federal	\$7,679,342	\$6,233,278	\$8,002,518	\$6,419,420	\$6,419,420
Fees:					
Medicaid Waiver	\$2,547,458	\$2,176,359	\$2,615,793	\$2,260,214	\$2,260,214
Medicaid Option	9,657,950	11,005,310	11,352,727	12,791,939	12,791,939
Program/Client Fees	3,886,317	4,535,956	4,612,714	4,652,738	4,652,738
CSA Pooled Funds	997,054	2,224,745	2,224,745	1,616,020	1,616,020
Subtotal - Fees	\$17,088,779	\$19,942,370	\$20,805,979	\$21,320,911	\$21,320,911
Other:					
Miscellaneous	\$164,825	\$155,624	\$167,584	\$56,124	\$56,124
Subtotal - Other	\$164,825	\$155,624	\$167,584	\$56,124	\$56,124
Total Revenue¹	\$44,073,970	\$47,220,473	\$56,506,436	\$50,402,751	\$50,402,751
Transfers In:					
General Fund (001)	\$93,615,029	\$93,337,947	\$93,127,107	\$94,450,326	\$95,725,326
Total Transfers In	\$93,615,029	\$93,337,947	\$93,127,107	\$94,450,326	\$95,725,326
Total Available	\$144,658,640	\$141,115,942	\$155,416,662	\$145,353,077	\$146,628,077

Fund 106

Community Services Board (CSB) - Overview

FUND STATEMENT

Fund Type G10, Special Revenue Funds

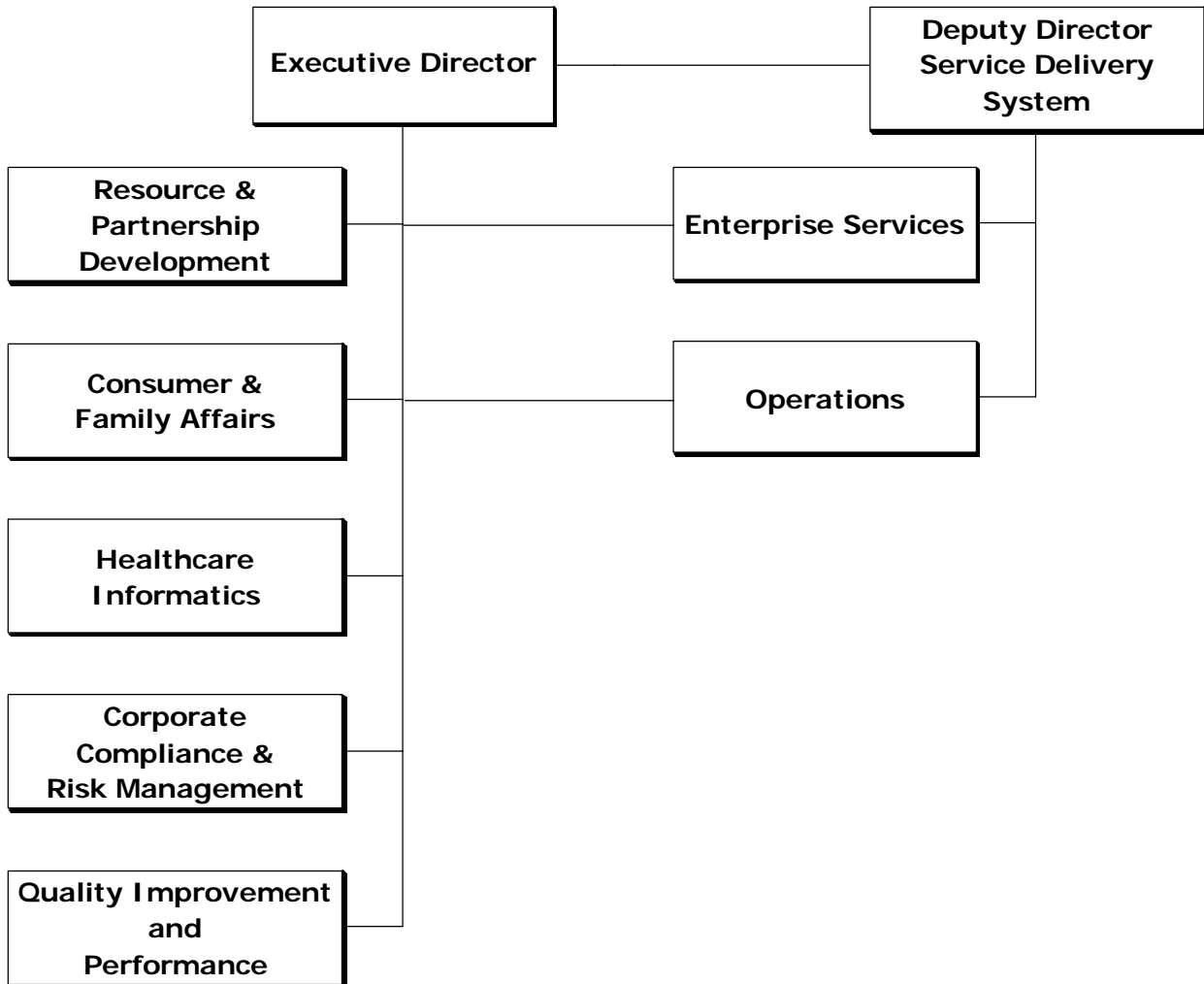
Fund 106, Fairfax-Falls Church
Community Services Board

	FY 2010 Actual	FY 2011 Adopted Budget Plan	FY 2011 Revised Budget Plan	FY 2012 Advertised Budget Plan	FY 2012 Adopted Budget Plan
Expenditures:					
CSB Administration	\$4,494,933	\$3,676,841	\$6,285,336	\$5,214,427	\$5,214,427
Mental Health Services	63,866,264	64,188,827	71,139,202	66,507,068	66,507,068
Intellectual Disability Services	35,663,682	37,454,210	37,922,349	37,898,558	38,536,058
Alcohol and Drug Services	28,385,603	29,298,896	31,061,899	29,189,407	29,826,907
Early Intervention Services	6,465,039	5,939,646	7,178,037	6,171,521	6,171,521
Total Expenditures	\$138,875,521	\$140,558,420	\$153,586,823	\$144,980,981	\$146,255,981
Transfers Out:					
General Fund (001)	\$0	\$0	\$1,329,839	\$0	\$0
Total Transfers Out	\$0	\$0	\$1,329,839	\$0	\$0
Total Disbursements	\$138,875,521	\$140,558,420	\$154,916,662	\$144,980,981	\$146,255,981
Ending Balance²	\$5,783,119	\$557,522	\$500,000	\$372,096	\$372,096
Josiah H. Beeman Commission					
Reserve	\$500,000	\$500,000	\$500,000	\$372,096	\$372,096
Available Balance	\$5,283,119	\$57,522	\$0	\$0	\$0

¹ The **FY 2012 Adopted Budget Plan** includes an increase of \$600,000 in revenue enhancements utilized to balance the FY 2012 budget.

² The **FY 2011 Revised Budget Plan** Ending Balance of \$500,000 is a decrease of 91 percent and reflects utilization to offset FY 2011 program requirements. The **FY 2012 Adopted Budget Plan** Ending Balance of \$372,096 is a decrease of 26 percent and reflects utilization to offset FY 2012 program requirements.

Fund 106 CSB - Administration



Mission

To develop, maintain and implement a coordinated series of strategies that enables the CSB to maximize the use of internal and external resources in support of the CSB service mission.

Focus

CSB Administration provides leadership, strategic management, and key administrative support for an internal service system and a large network of private providers that serve over 21,000 individuals and their families, living in Fairfax County and the cities of Fairfax and Falls Church. Administrative leadership and staff ensure that all federal, state, and County government stakeholders have access to the information they need to regulate, partner, advocate and support the service system.

Fund 106

CSB - Administration

Over the course of the last 18 months, the CSB has been implementing a series of organizational and service changes, endorsed by the Board of Supervisors as part of the Josiah H. Beeman Commission Implementation Plan, designed to enhance the CSB service mission and ensure that the CSB organization has effective strategies to support the growing needs of residents seeking services. The CSB Administration organizational changes can be outlined as follows:

- 1) **Executive Director Office**-Oversee the overall functioning of the agency with specific emphasis on developing the CSB Administration into an enterprise driven unit that supports the service mission through maximizing resources and partnerships.
- 2) **Deputy Director Office**-Oversee the implementation of a person-centered service delivery system, developed based on the requirements of those receiving services. The goal is to ensure a qualitative, effective and seamless system comprised of directly operated private sector services.
- 3) **Enterprise Services Office**-Incorporate budget and other financial information with strategies developed in the service system, and ensure that all strategies implemented have an integrated approach. Additionally, this office will provide oversight and direction for the budget, revenue management and accounting functions of the CSB.
- 4) **Resource and Partnership Development Office**-Enhance existing partnerships and develop new relationships to maximize the potential opportunities for new resources and service development. This office will provide five fundamental functions necessary to accomplish the overall goals of the system:
 - a. Look for opportunities to enhance existing resources and develop new sites to address the needs of individuals who cannot access adequate housing;
 - b. Develop and implement a system wide communication and market positioning plan that ensures a coherent and cohesive strategy for the CSB;
 - c. Develop and implement community capacity building strategies to ensure that partnerships are well focused on mutual goals;
 - d. Develop and implement strategies to work with the private sector to ensure that the functions and services provided are well coordinated with the CSB's overall strategies; and
 - e. Analyze and determine the viability of new funding opportunities in conjunction with the Enterprise Services Office including grants, new contract opportunities and expanding services.
- 5) **Operations Office**-Provide oversight, direction and coordination to the many administrative functions that support the CSB service system, which are currently managed by various Fairfax County agencies and CSB service areas without effective overall coordination. While this office will manage everything from food services, administrative support, maintenance, lease contracts, security and more. Its primary emphasis will be coordinating the various decision-making systems, and creating a system of CSB site management.

Fund 106

CSB - Administration

- 6) **Healthcare Informatics Office**-Provide oversight of all the CSB technology resources, devices, software and hardware functions that optimize obtaining, securely storing, strategically organizing, analyzing and presenting service information. The Healthcare Informatics Office will also be responsible for connecting business processes with key hardware that ensures maximum mobility and access for the service system, while placing special emphasis on maintaining the existing Electronic Health Record (EHR) and leading the effort to find a new, more effective and efficient system.
- 7) **Consumer and Family Affairs Office**-Restructure the complaint and human rights system to maximize the CSBs ability to solve consumer issues in a timely, customer-friendly manner, by developing a system that provides the consumer community a more active role in the provision of services and support. This includes developing independent viable service organizations that can provide services for consumers as an alternative, or complement to the CSB funded professional services.
- 8) **Corporate Compliance and Risk Management Office**-Update and manage the policies and procedures that help identify the high risk areas of an agency, and mitigate the exposure. Serve as the office's chief liaison to managed care companies that contract with the CSB for services which include credentialing, provider relations and monitoring of contracts, while acting as a partner in Fairfax County's Emergency Operations system.
- 9) **Quality Improvement/Performance Office**-Coordinate the development of performance tools to assist with measuring all aspects of agency performance, with a special emphasis on service outcomes. This office will ensure effective use of existing sources of data as well as selectively developing new sources, designed to acquire information that will help improve overall performance.

Intended Outcomes

Upon completion of the implementation of this structure, Fairfax County can expect to see the following annual results reported:

- 1) Measures of revenue diversification with an emphasis on fees and other non-local stable funding;
- 2) Measures reflecting the increasing number and diversity of housing units available for those served by the CSB;
- 3) Measures that reflect increasing availability of consumer operated services;
- 4) Measures reflecting efficient use of existing resources to manage CSB operations using established national or regional benchmarks;
- 5) Measures that reflect assessed areas of CSB risk and key indicators that reflect the CSBs ability to manage those; and
- 6) Measures that will reflect the CSB's ability to recruit and retain qualified staff.

Fund 106

CSB - Administration

Budget and Staff Resources

Agency Summary					
Category	FY 2010 Actual	FY 2011 Adopted Budget Plan	FY 2011 Revised Budget Plan	FY 2012 Advertised Budget Plan	FY 2012 Adopted Budget Plan
Authorized Positions/Staff Years					
Regular	13 / 13	13 / 13	32 / 32	32 / 32	33 / 33
Expenditures:					
Personnel Services	\$1,423,592	\$1,252,049	\$2,618,336	\$2,786,606	\$2,786,606
Operating Expenses	3,071,341	2,424,792	3,557,885	2,427,821	2,427,821
Capital Equipment	0	0	109,115	0	0
Total Expenditures	\$4,494,933	\$3,676,841	\$6,285,336	\$5,214,427	\$5,214,427
Revenue:					
Fairfax County	\$3,676,212	\$3,363,359	\$3,452,519	\$4,690,945	\$4,690,945
Fairfax City	155,128	155,131	155,131	155,131	155,131
Falls Church City	71,356	71,356	71,356	71,356	71,356
State DBHDS	76,995	76,995	584,970	286,995	286,995
Federal Block Grant	10,000	10,000	10,000	10,000	10,000
Fund Balance	505,242	0	2,011,360	0	0
Total Revenue	\$4,494,933	\$3,676,841	\$6,285,336	\$5,214,427	\$5,214,427

FY 2012 Funding Adjustments

The following funding adjustments from the FY 2011 Adopted Budget Plan are necessary to support the FY 2012 program. Included are all adjustments recommended by the County Executive that were approved by the Board of Supervisors, as well as any additional Board of Supervisors' actions, as approved in the adoption of the budget on April 26, 2011.

- ◆ **Employee Compensation** \$0
 It should be noted that no funding is included for pay for performance or market rate adjustments in FY 2012.
- ◆ **Miscellaneous Adjustments** \$1,220,808
 An increase of \$1,220,808 is associated with necessary grant and non-grant adjustments.
- ◆ **Carryover Adjustments – Financial Assessment and Screening Team** \$210,000
 An increase of \$210,000 in Personnel Services is due to recurring adjustments made as part of the *FY 2010 Carryover Review* to appropriate additional revenue from the Department of Behavioral Health and Development Services (DBHDS). The funding is associated with 4/4.0 SYE merit positions which support the implementation of a new Financial Assessment and Screening Team (FAST).
- ◆ **Intensive Community Treatment Teams** \$97,730
 An increase of \$97,730 is associated with the establishment of 1/1.0 SYE position included as part of six Intensive Community Treatment Teams (ICTT). The teams will assist persons with serious mental illness and/or serious substance abuse problems. Additional funding and positions are also included in CSB-Mental Health Services.

Fund 106 CSB - Administration

- ◆ **Fringe Benefits Requirement** **\$6,019**
A net increase of \$6,019 is associated with the conversion of positions to a status that allows employees the option of receiving health benefits. The conversion offers employees the option of receiving benefits, and ensures that the County remains in compliance with recently altered federal health care regulations.

- ◆ **Contract Rate Adjustment** **\$3,029**
An increase of \$3,029 in Operating Expenses is associated with a 3 percent contract rate adjustment for providers of contracted administration services.

- ◆ **Reductions** **\$0**
It should be noted that no reductions to balance the FY 2012 budget are included in this agency based on the limited ability to generate additional personnel savings.

Changes to FY 2011 Adopted Budget Plan

The following funding adjustments reflect all approved changes in the FY 2011 Revised Budget Plan since passage of the FY 2011 Adopted Budget Plan. Included are all adjustments made as part of the FY 2010 Carryover Review, FY 2011 Third Quarter Review, and all other approved changes through April 12, 2011.

- ◆ **Carryover Adjustments** **\$1,589,208**
As part of the *FY 2010 Carryover Review*, the Board of Supervisors approved an increase of \$1,589,208, comprised of \$125,000 in Personnel Services, \$1,333,093 in Operating Expenses and \$131,115 in Capital Equipment. This includes increases of \$1,064,208 in encumbered carryover and \$525,000 in various program adjustments primarily aligned with the Josiah H. Beeman Commission's implementation plan.

- ◆ **Carryover Adjustments – Financial Assessment and Screening Team** **\$210,000**
As part of the *FY 2010 Carryover Review*, the Board of Supervisors approved an increase of \$210,000 in Personnel Services to appropriate additional revenue from the Department of Behavioral Health and Development Services (DBHDS). The funding is associated with 4/4.0 SYE merit positions which support the implementation of a new Financial Assessment and Screening Team (FAST). The FAST initiative will expand financial screening efforts by utilizing dedicated trained staff, and result in greater cost avoidance through pharmacy-related benefit programs, greater Medicaid enrollment, an increase in Community Health Care Network enrollment, reduction in outstanding consumer account balances, and improved completion of annual consumer financial updates. In addition, a critical feature of the initiative is the development of a simple automated tool that will incorporate screening for federal and state assistance programs and local primary health access, with the CSB fee-setting regulation, ability-to-pay scale and fees-for-services.

- ◆ **Third Quarter Adjustments** **\$809,287**
As part of the *FY 2011 Third Quarter Review*, the Board of Supervisors approved an increase of \$809,287 due to increases of \$720,127 for funding adjustments and realignment between CSB agencies to reflect projected FY 2011 expenditures and \$89,160 to help transition to an electronic health record (EHR) system. This adjustment is comprised of an increase of \$1,031,287 in Personnel Services, and decreases of \$200,000 in Operating Expenses and \$22,000 in Capital Expenses.

Fund 106

CSB - Administration

- ◆ **Position Changes** \$0
 As part of the FY 2011 review of County position categories, a conversion of 1/1.0 SYE position has been made. The status of limited term positions was reviewed in light of recent changes to federal regulations related to health care and other federal tax requirements. As a result of this review, a number of existing limited term positions have been converted to Merit Regular status.

CSB Central Services Unit

Funding Summary					
Category	FY 2010 Actual	FY 2011 Adopted Budget Plan	FY 2011 Revised Budget Plan	FY 2012 Advertised Budget Plan	FY 2012 Adopted Budget Plan
Authorized Positions/Staff Years					
Regular	13 / 13	13 / 13	32 / 32	32 / 32	33 / 33
Total Expenditures	\$1,565,836	\$1,613,697	\$2,865,761	\$3,148,254	\$3,148,254

Position Summary		
<p><u>Director's Office</u></p> <p>1 Executive Director 1 Deputy Director 1 Division Director 1 Administrative Assistant V 1 Administrative Assistant IV</p> <p><u>Consumer & Family Affairs</u></p> <p>1 Program Manager 1 Administrative Assistant III 1 Training Specialist II</p> <p><u>Health Informatics</u></p> <p>1 CSB Planning/Development Dir 1 Business Analyst IV 2 Business Analysts III 1 Business Analyst II 1 Mental Health Manager</p>	<p><u>Resource & Partnership Development</u></p> <p>1 Substance Abuse Counselor V 1 Residential and Facilities Dev. Mgr 1 Information Officer III 1 Communication Specialist I 1 Management Analyst III</p> <p><u>Quality Improvement/Performance</u></p> <p>1 Substance Abuse Counselor III</p>	<p><u>Corporate Compliance and Risk Management</u></p> <p>1 CSB Planning/Development Dir 1 Business Analyst II 1 Medical Records Administrator</p> <p><u>Enterprise Services</u></p> <p>1 Management Analyst IV 1 Human Services Worker IV 7 Human Services Workers II (1) 1 Administrative Assistant IV</p>
TOTAL POSITIONS		
33 Positions (1) / 33.0 Staff Years (1.0) () Denotes New Position		

Key Performance Measures

Goal

To provide overall leadership, policy direction and oversight of all programs and services supported by Fund 106, Fairfax-Falls Church Community Services Board (CSB).

Objectives

- ◆ To provide direction and management support to CSB programs so that 80 percent of service quality and outcome goals are achieved.

Indicator	Prior Year Actuals			Current Estimate	Future Estimate
	FY 2008 Actual	FY 2009 Actual	FY 2010 Estimate/Actual	FY 2011	FY 2012
Outcome:					
Percent of CSB service quality and outcome goals achieved	81%	74%	80% / 81%	80%	80%

Fund 106 CSB - Administration

Performance Measurement Results

In FY 2010, the CSB met 30 of 37 system-wide goals, or 81 percent of the service quality and outcome performance goals, exceeding the target of 80 percent. Continuing from last year, the overall consumer satisfaction with CSB services met or exceeded the target in nearly all areas. Of special note, Intellectual Disability Services achieved all of its targets, including the estimates for wages earned despite poor economic conditions.

Outcome and service quality targets were negatively impacted by several factors, among these are the changes in methodology for recording service information and satisfaction surveys in Mental Health Services, a lack of affordable housing for consumers in Mental Health Services to facilitate movement from more intensive to less intensive services, and increased demand for services outpacing resources in Infant Toddler Connection. Staff vacancies throughout the year also reduced the number of consumers seen and services provided.

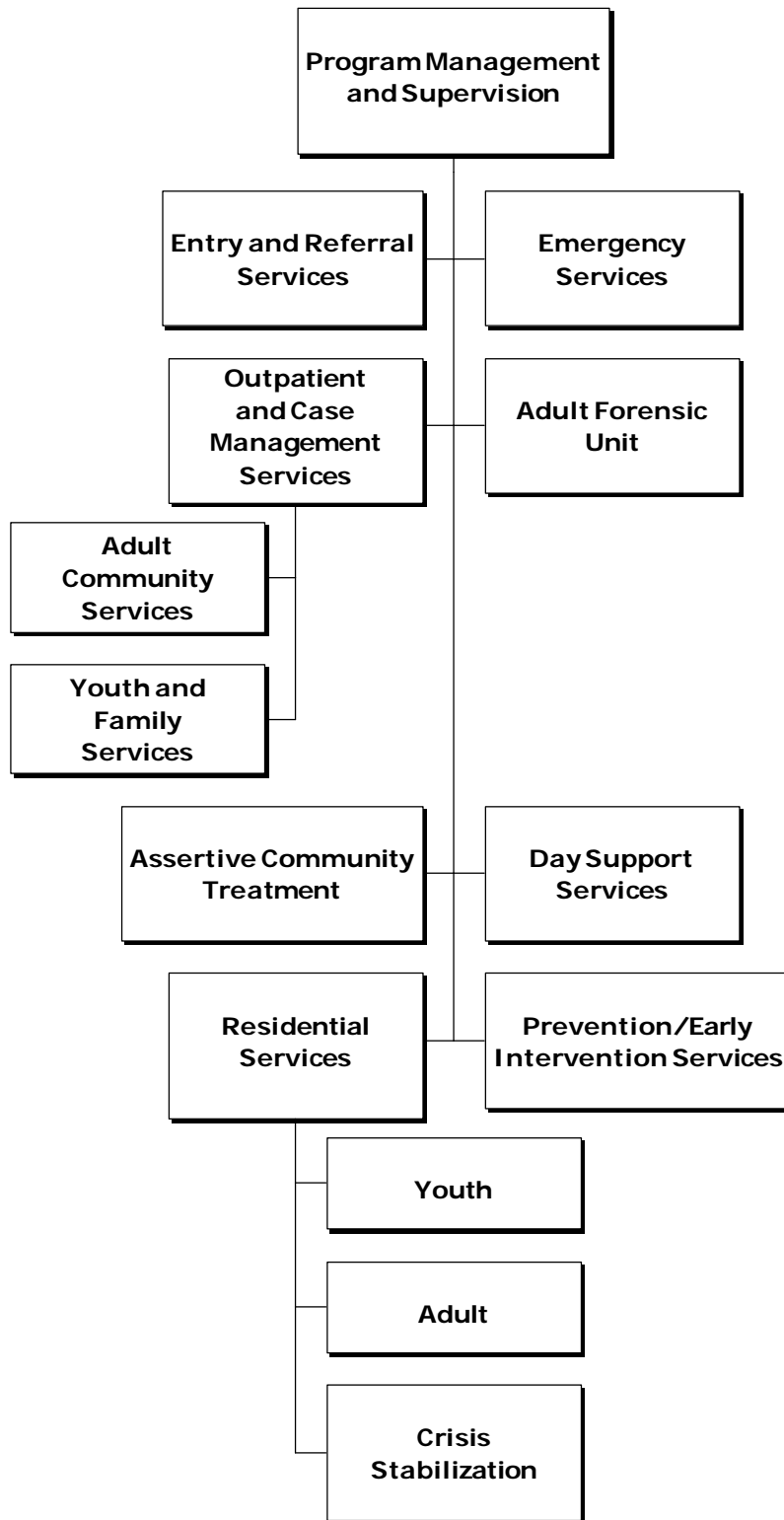
As service and organizational changes continue in the CSB, estimates for this coming fiscal year and beyond are likely to be impacted. This may be evident in projections of consumers served and services provided, as the structure is reconfigured and staff resources are rearranged. At this time there is not enough detail about the impact of the broader changes to the service delivery system to accurately predict the impacts.

CSB Pooled Funds

Funding Summary					
Category	FY 2010	FY 2011	FY 2011	FY 2012	FY 2012
	Actual	Adopted Budget Plan	Revised Budget Plan	Advertised Budget Plan	Adopted Budget Plan
Total Expenditures	\$2,929,097	\$2,063,144	\$3,419,575	\$2,066,173	\$2,066,173

Fund 106

CSB - Mental Health Services



Fund 106

CSB - Mental Health Services

Mission

To partner with residents and service providers of Fairfax County and the cities of Fairfax and Falls Church in establishing a network of integrated, accessible, and recovery oriented mental health services that ensure safety and promote wellness, compassion, respect and dignity for individuals and families. The goals of these services are to assist individuals to:

- ◆ Stabilize mental health crises and symptoms;
- ◆ Promote recovery in the community with the least restrictive setting;
- ◆ Prevent relapse of symptoms; and,
- ◆ Acquire adaptive living skills.

Focus

Mental Health Services (MHS) provides leadership in the management, supervision, planning, evaluation and resource allocation of local, state, federal and grant funds to ensure that individuals and families of persons with serious mental illness and serious emotional disturbance receive quality clinical care and community support services. MHS manages service delivery at six directly-operated community outpatient mental health sites, more than 10 24-hour residential treatment facilities and a 24-hour emergency services program. Additionally, in partnership with private vendors, MHS contracts for employment services, multicultural outpatient mental health services, residential support services and psychosocial rehabilitation services. Services are provided through seven cost centers: Program Management and Supervision, Regional Inpatient Services, Emergency, Day Support, Residential, Outpatient and Case Management and Program for Assertive Community Treatment.

Program Management and Supervision Services

Program Management and Supervision Services provides clinical and administrative management, programming, financial monitoring, training and general support services.

Regional Inpatient Services

Regional Inpatient Services utilizes six local hospitals for state funding of Local Inpatient Purchase of Services (LIPOS). Staff from Emergency Services place at-risk individuals with no financial resources into beds at these local hospitals when beds are unavailable at the Northern Virginia Mental Health Institute (NVMHI). Aftercare coordinators then monitor individuals' stays and facilitate transfers to NVMHI as needed.

Emergency Services

Emergency Services serves high-risk adults, adolescents and children who are in a psychiatric crisis. Through emergency walk-in sites and the Mobile Crisis Unit, Emergency Services takes crisis intervention into the community, working closely with individuals, families and public safety. The Mobile Crisis Unit includes a 24-hour-per-day rapid deployment team that responds to hostage/barricade incidents with the Special Weapons and Tactics (SWAT) team and police negotiators. The Adult Forensic Unit addresses the needs of Adult Detention Center inmates who have serious mental illnesses by providing forensic evaluations, risk screenings, crisis intervention, placement recommendations, medication and release planning. The Court Independent Evaluators Program provides clinical psychologists to evaluate individuals who have been involuntarily hospitalized prior to a final commitment hearing, as required by the Code of Virginia. They assist the court in reaching decisions about the need and legal justification for longer-term involuntary hospitalization. The Entry and Referral Unit serves as the primary point of contact for individuals seeking services. Staff members gather

Fund 106

CSB - Mental Health Services

information from callers, assess for immediate risk, connect persons with emergency needs to immediate care and make appointments for those requiring continuing services.

Day Support Services

Day Support Services provides an intensive, highly-structured stabilization, evaluation and treatment setting for adults with serious mental illness and adolescents with serious emotional disturbance, including individuals who are experiencing the co-occurring disorders of mental illness and addiction. In addition to a directly-operated Adult Partial Hospitalization Program and Community Readiness and Support Program, Day Support includes contracted all-day rehabilitative programs and independent vocational vendors which place special emphasis on vocational preparation and placement.

Residential Services

Residential Services provides residential treatment and support services to adults with serious mental illness and youth with serious emotional disturbance. The level of support in these programs is provided along a continuum ranging from 24-hour care to drop-in support once a week. Services are provided in the community where the individual resides. An important part of Residential Services is that it works with homeless individuals. Adult Residential Services (ARS) has staff that provides services at each of the homeless shelters in Fairfax County. ARS also has a Project to Assist Transition from Homelessness (PATH) Team and a Homeless Healthcare Team that work with unsheltered homeless persons in Fairfax County. In addition to traditional residential services, Residential Services includes two acute care programs seeking to divert individuals from more restrictive and costly psychiatric hospitalization. These programs, Adult Crisis Care and Youth Crisis Care, provide short-term intensive crisis intervention and stabilization services in a residential setting.

Outpatient and Case Management Services

Outpatient and Case Management Services provides recovery-oriented individual and group treatment, case management and medication services to adults, children and their families. For those individuals with symptoms and impairments who, for reasons related to their mental illness, resist or avoid involvement with traditional office-based outpatient services, the **Program of Assertive Community Treatment (PACT)** team and the Intensive Case Management staff offer intensive outreach, mental health treatment and case-management services. These services are typically provided to individuals in their homes, work places or other environments of need. Additionally, active hospital discharge planning for jailed individuals who suffer from mental illnesses is available. Historically, many people with severe mental illness are arrested for offenses such as disorderly conduct or trespassing. The Jail Diversion Program is helping to break the cycle of criminalizing these individuals and attempting to connect or re-connect them with intensive mental health services.

The CSB is serving an increased number of persons with co-occurring mental health and medical needs. One in every four individuals receiving mental health services is over the age of 55; this baby boom cohort brings along with it the kinds of physical health problems that are often part of aging. In 2009, a primary care initiative was successfully piloted at one of the CSB mental health sites in partnership with Community Health Network to begin addressing the primary care needs of adults with mental illness who have no medical home. This effort is designed to provide individuals with medical engagement, wellness education and to link them with community health resources. The CSB Outpatient and Case Management Service Unit is coordinating with the Community Health Network to provide this service at multiple mental health sites.

Fund 106

CSB - Mental Health Services

In the Youth and Family Services Division, Intensive Care Coordination (ICC) services have been developed for those youth most at risk for out of home placements and for youth who are transitioning from residential treatment back to the community. Funds for these services are provided through the Comprehensive Services Act (CSA) and have been approved by the Community Policy and Management Team (CPMT). As part of an expansion of services, the intensive care coordinator position has been created. Intensive care coordinators provide intensive wraparound services to families approved through the CSA and Family Assessment and Planning Team (FAPT) process. They also provide 24/7 mobile crisis care services for families receiving ICC services.

The division has also continued partnering with other agencies and is developing specified youth and family groups to meet the needs identified by the other child serving agencies. These include social skills groups for younger youth and civil obedience groups for teens. All groups have corresponding parent groups.

Trends in Mental Health Services

MHS has been undergoing significant system transformations. Individual satisfaction surveys, feedback via focus groups, treatment surveys and workgroups will continue to provide input towards a blueprint for ongoing changes in treatment services that are both effective and responsive to the needs and preferences of those individuals receiving services.

A major factor in the mental health systems transformation is the concept of recovery and recovery-oriented services. Individuals with mental illness can build or restore a meaningful sense of belonging in the community and build a life that is positive and fulfilling despite or within the limitations imposed by mental illness. Some individuals can fully recover, others can achieve recovery with the assistance of ongoing medication and support, and others can develop the skills they need to manage symptoms and define themselves beyond having a disability. All of these individuals can engage in meaningful activities, interests and relationships. Mental health services are designed to support the recovery process with the individual as a collaborative partner in treatment. There is a strong emphasis on employment goals and the integration of peer supports into the workforce.

There is also a growing emphasis in behavioral health care based on the recognition of co-occurring disorders (mental illness and substance use disorders) and the provision of integrated treatment as an effective intervention for both disorders. System transformation requires that no matter where or when an individual with co-occurring disorders enters mental health or addiction treatment, they receive a competent assessment and treatment that addresses the full range of services needed.

Another trend involves an increased case management presence in the community; the product of national best practices and declining inpatient resources. As inpatient beds dwindle, case management becomes an even more essential service to assist individuals with serious mental illness residing in the community, and to ensure that they receive needed medical and psychiatric services as they begin their recovery process. Homeless services are shifting from a shelter based model of service to an **Intensive Community Treatment Team (ICTT)** model. ICTT is an evidence-based practice which provides intensive, wrap around, community based case management and treatment. An ICTT pilot began in fall 2010, serving homeless and unsheltered individuals. This model has resulted in numerous positive outcomes for consumers. Three additional teams will begin in early July 2011, serving homeless and high need individuals.

Fund 106

CSB - Mental Health Services

Factors That May Impact How Business is Conducted

- ◆ Related to the trends listed previously, following the recommendations of the Josiah H. Beeman Commission in 2008 and the implementation plan presented to the Board of Supervisors by the CSB in 2009, a CSB redesign work group was convened in August 2010 with the intention of developing a wide-ranging reorganizational action plan with an implementation target of January 2012. The goals of the group are to make the system more person-centered, recovery oriented, community based, creatively managed and financed and to integrate the system across disability areas.
- ◆ Medication delivery is a changing landscape that will have an impact on the way the CSB conducts business. In response to the closing of the state pharmacy, the CSB issued a Request for Proposal for pharmaceutical services, and has subsequently contracted with Quality of Life (QoL) meds to provide pharmacy services for CSB clients. QoL has paid for the construction of two full service pharmacies one in the Gartlan Mental Health Center and one in the Woodburn Mental Health Center. Clients will be able to access all prescribed medications thru these pharmacies including medications prescribed by primary care providers. QoL provides five full time clinical medication coordinators that help serve the pharmacy needs of the CSB at all sites where medical services are provided. Using one pharmacy provider will greatly enhance the quality of care for CSB clients.
- ◆ Caseloads are at or are exceeding the maximum standard in the Comprehensive Treatment and Recovery (CTR Adult Outpatient) Service Unit due to increased demand and decreased staff resources. Referrals continue to be triaged in an effort to minimize the wait time for high risk individuals needing ongoing mental health services but with an average adult caseload of 40 to 45 persons per clinician, wait times are higher than desired. From July 2009 to June 2010, 955 new cases were referred for ongoing adult mental health services and demand is anticipated to continue to increase for community based case management services, which will further tax limited and diminishing staff resources.
- ◆ As a result of mental health law reform during the 2008 and 2009 sessions of the General Assembly, Fairfax County has experienced a 28 percent increase in the demand for beds to serve individuals referred to a hospital through the Commonwealth of Virginia's Civil Commitment process. In FY 2008, Fairfax County involuntarily hospitalized 715 persons under Temporary Detention Orders; and by FY 2010, the total number of involuntarily hospitalizations had increased to 846.
- ◆ In June 2010, Northern Virginia Mental Health Institute closed six acute admission beds and in December 31, 2011, an additional 13 beds will be closed. To address this decrease in "safety net" services for those at high risk of an acute psychiatric crisis, Woodburn Place (the Fairfax County Adult Crisis Stabilization Program) will be focusing on decreasing the average length of stay so that more individuals can be admitted. Emergency Services is in the process of redesigning service delivery so that non-crisis psychiatric services are provided elsewhere within the CSB, and Adult Partial Hospitalization is also in the redesign process with the goal of decreasing wait time for admission and decreasing the length of stay. In collaboration with Inova Health System and the four other community services boards in Northern Virginia, a regional crisis intervention center is being designed and will provide an extended assessment process that will divert more individuals from state and private hospitalizations.

Fund 106

CSB - Mental Health Services

- ◆ The lack of affordable housing remains one of the most critical issues facing low-income consumers who have a serious mental illness. Currently there are 593 individuals on mental health residential waitlists. The average wait time ranges from six months to several years depending on the program. The level of support needed varies from 24-hour supported group homes, to intensive and partially supported and supervised apartments. The lack of affordable housing stock as well as the lack of indicated residential supports frequently interfere with discharge planning, disrupt individuals' ability to progress in their recovery and can put persons at risk of becoming homeless, incarcerated and/or hospitalized.

- ◆ The viability of Medicaid continues to remain critical to mental health service delivery. The CSB has scrutinized its business practices to ensure that Medicaid dollars can be brought in and maximized for funding clinical service delivery. In FY 2010, MHS finalized its business process for submitting authorizations to Medicaid. In the last half of FY 2010, MHS submitted more than 1,200 authorizations for Medicaid reimbursed services, and 90 percent were approved. MHS, with the rest of the CSB, continues to monitor changes in Medicaid requirements to help ensure that the delivery, billing and documentation of these services are in compliance with regulations. In addition to Medicaid, the CSB continues to maximize the utilization of Medicare Part D, Patient Assistance Programs through pharmaceutical companies, and reduced price prescription programs through area pharmacies to reduce overall medication costs.

Fund 106

CSB - Mental Health Services

Budget and Staff Resources

Agency Summary					
Category	FY 2010 Actual	FY 2011 Adopted Budget Plan	FY 2011 Revised Budget Plan	FY 2012 Advertised Budget Plan	FY 2012 Adopted Budget Plan
Authorized Positions/Staff Years					
Regular	421 / 420	418 / 417	429 / 428	446 / 440	448 / 447
Grant	45 / 43.5	45 / 43.5	48 / 46.5	50 / 48.5	48 / 46.5
Expenditures:					
Personnel Services	\$43,453,828	\$43,505,464	\$43,696,963	\$44,310,456	\$44,310,456
Operating Expenses	21,920,521	21,699,874	28,449,138	23,203,511	23,203,511
Capital Equipment	0	0	0	0	0
Subtotal	\$65,374,349	\$65,205,338	\$72,146,101	\$67,513,967	\$67,513,967
Less:					
Recovered Costs	(\$1,508,085)	(\$1,016,511)	(\$1,006,899)	(\$1,006,899)	(\$1,006,899)
Total Expenditures	\$63,866,264	\$64,188,827	\$71,139,202	\$66,507,068	\$66,507,068
Revenue:					
Fairfax County	\$37,646,672	\$36,995,336	\$36,995,336	\$36,772,826	\$36,772,826
Fairfax City	481,160	481,159	481,159	481,159	481,159
Falls Church City	190,556	190,556	190,556	190,556	190,556
State DBHDS	12,567,826	14,508,012	20,596,995	15,964,003	15,964,003
State Other	48,750	65,000	65,000	65,000	65,000
Federal Block Grant	1,409,478	1,273,952	1,294,344	1,320,206	1,320,206
Federal Other	174,332	134,417	134,417	134,417	134,417
Medicaid Option	6,210,488	6,512,282	6,512,282	7,738,516	7,738,516
Program/Client Fees	2,091,043	2,043,028	2,043,028	2,040,337	2,040,337
CSA Pooled Funds	997,054	1,928,961	1,928,961	1,616,020	1,616,020
Miscellaneous	65,325	56,124	68,084	56,124	56,124
Fund Balance	1,983,580	0	829,040	127,904	127,904
Total Revenue	\$63,866,264	\$64,188,827	\$71,139,202	\$66,507,068	\$66,507,068

FY 2012 Funding Adjustments

The following funding adjustments from the FY 2011 Adopted Budget Plan are necessary to support the FY 2012 program. Included are all adjustments recommended by the County Executive that were approved by the Board of Supervisors, as well as any additional Board of Supervisors' actions, as approved in the adoption of the budget on April 26, 2011.

- ◆ **Employee Compensation** \$0
It should be noted that no funding is included for pay for performance or market rate adjustments in FY 2012.
- ◆ **Intensive Community Treatment Teams** \$966,246
An increase of \$966,246 is associated with the establishment of 19/19.0 SYE positions used to create six Intensive Community Treatment Teams (ICTT). The teams will assist persons with serious mental illness and/or serious substance abuse problems. Additional funding and positions are also included in CSB-Administration.

Fund 106 CSB - Mental Health Services

- ◆ **Supplemental Pay Increase for Public Health Psychiatrists and Doctors**

A net increase of \$521,340 is associated with a supplemental pay increase necessary to attract and retain medical personnel essential to the mission of MHS, based on analysis conducted by the Department of Human Resources.

\$521,340
- ◆ **Fringe Benefits Requirement**

A net increase of \$452,224 is associated with the conversion of positions to a status that allows employees the option of receiving health benefits. The conversion offers employees the option of receiving benefits, and ensures that the County remains in compliance with recently altered federal health care regulations. Additional information regarding the conversion of positions to Merit Regular status is included in the Changes to FY 2011 Adopted Budget Plan section that follows.

\$452,224
- ◆ **Contract Rate Adjustment**

An increase of \$353,250 in Operating Expenses is associated with a 3 percent contract rate adjustment for providers of contracted mental health services.

\$353,250
- ◆ **Miscellaneous Adjustments**

An increase of \$325,181 is associated with necessary grant and non-grant adjustments.

\$325,181
- ◆ **Reduction**

A decrease of \$300,000 reflects the following reduction utilized to balance the FY 2012 budget:

(\$300,000)

Title	Impact	Posn	SYE	Reduction
Reduce Operating Expenses	A decrease of \$300,000 is associated with a reduction in local funds for psychotropic medication.	0	0.0	\$300,000

Changes to FY 2011 Adopted Budget Plan

The following funding adjustments reflect all approved changes in the FY 2011 Revised Budget Plan since passage of the FY 2011 Adopted Budget Plan. Included are all adjustments made as part of the FY 2010 Carryover Review, FY 2011 Third Quarter Review, and all other approved changes through April 12, 2011.

- ◆ **Carryover Adjustments**

As part of the *FY 2010 Carryover Review*, the Board of Supervisors approved an increase of \$7,280,890, comprised of increases of \$509,769 in Personnel Services and \$6,761,509 in Operating Expenses, as well as a decrease of \$9,612 in Recovered Costs. This includes \$3,869,862 in adjustments to current grants; \$2,261,001 in non-grant adjustments, including \$1,455,990 to appropriate additional revenue from the Department of Behavioral Health and Development Services (DBHDS), \$793,051 to appropriate an increase for the state medication allocation, and \$11,960 to appropriate deferred unspent revenue for the Mental Health Physician's Institute contract for tobacco cessation; \$650,027 in encumbered carryover; and \$500,000 in various program adjustments aligned with the Josiah H. Beeman Commission's implementation plan.

\$7,280,890
- ◆ **Third Quarter Adjustments**

As part of the *FY 2011 Third Quarter Review*, the Board of Supervisors approved a net decrease of \$330,515 due to a decrease of \$618,961 for funding adjustments and realignment between CSB agencies to reflect projected FY 2011 expenditures; offset by increases of \$153,783 in Department of

(\$330,515)

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CSB - Mental Health Services

Behavioral Health and Development Services (DBHDS) funding for pharmaceutical medications and \$134,663 in the Regional Child Community Behavior Health Services, Homeless Assistance Program and Juvenile Detention grant awards. This adjustment is comprised of decreases of \$318,270 in Personnel Services and \$12,245 in Operating Expenses.

- ◆ **Redirection of Positions** \$0
 The County Executive approved the redirection of 4/4.0 SYE positions to this agency to primarily support overnight comprehensive psychiatric emergency services at Woodburn Center and oversight of clinical and administrative operations at the highly intensive mental health residential New Horizons Treatment Program.

- ◆ **Position Changes** \$0
 As part of the FY 2011 review of County position categories, a conversion of 17/17.0 SYE positions has been made. The status of limited term positions was reviewed in light of recent changes to federal regulations related to health care and other federal tax requirements. As a result of this review, a number of existing limited term positions have been converted to Merit Regular status.

Program Management and Supervision

Funding Summary					
Category	FY 2010 Actual	FY 2011 Adopted Budget Plan	FY 2011 Revised Budget Plan	FY 2012 Advertised Budget Plan	FY 2012 Adopted Budget Plan
Authorized Positions/Staff Years					
Regular	68 / 68	68 / 68	59 / 59	59 / 59	59 / 59
Grant	5 / 5	5 / 5	5 / 5	5 / 5	5 / 5
Total Expenditures	\$6,722,811	\$4,676,319	\$4,552,146	\$3,948,517	\$3,948,517

Position Summary					
1 Medical Director of CSB	2 Business Analysts II	6 Administrative Assistants III			
6 Mental Health Division Directors	1 Volunteer Services Coordinator II	30 Administrative Assistants II			
1 Director of Clinical Operations	1 Administrative Assistant V	1 Administrative Associate			
3 Mental Health Managers	7 Administrative Assistants IV				
Grant Positions					
1 Mental Health Division Director	1 Financial Specialist II	1 Administrative Assistant III			
1 Senior Clinician	1 Mental Health Supv./Specialist				
TOTAL POSITIONS					
59 Positions / 59.0 Staff Years					
5 Grant Positions / 5.0 Staff Years					

Key Performance Measures

Goal

To provide management, programming, financial monitoring, training, and general support services to ensure that treatment interventions are delivered in an efficient and effective manner throughout Mental Health Services.

Objectives

- ◆ To provide direction and management support to Mental Health programs so that 70 percent of service quality and outcome goals are achieved.

Fund 106 CSB - Mental Health Services

Indicator	Prior Year Actuals			Current Estimate	Future Estimate
	FY 2008 Actual	FY 2009 Actual	FY 2010 Estimate/Actual	FY 2011	FY 2012
Outcome:					
Percent of mental health performance indicators (service quality and outcome) achieved	67%	55%	70% / 73%	70%	70%

Performance Measurement Results

In FY 2010, 8 out of 11, or 73 percent of service quality and outcome goals were met or exceeded by Mental Health programs. The four measures that were not met were the outcome measures for Residential Services and Outpatient and Case Management Services and the service quality measures for Emergency Services.

Over this past year MHS has changed the way in which service delivery data is collected. This change in methodology, whereby a more concise list of service codes is utilized to collect activity, has reduced the service hours provided in certain programs. There are recommended changes in estimates for next year in response to these changes.

Regional Inpatient Services

Funding Summary					
Category	FY 2010 Actual	FY 2011 Adopted Budget Plan	FY 2011 Revised Budget Plan	FY 2012 Advertised Budget Plan	FY 2012 Adopted Budget Plan
Total Expenditures	\$1,327,003	\$2,169,025	\$3,495,356	\$2,207,685	\$2,207,685

Regional Inpatient Services utilizes six local hospitals for state funding of Local Inpatient Purchase of Services (LIPOS). Staff from Emergency Services place at-risk consumers with no financial resources into beds at these local hospitals when beds are unavailable at the Northern Virginia Mental Health Institute (NVMHI). Discharge planners then monitor consumers' stays and facilitate transfers to NVMHI as needed.

Emergency Services

Funding Summary					
Category	FY 2010 Actual	FY 2011 Adopted Budget Plan	FY 2011 Revised Budget Plan	FY 2012 Advertised Budget Plan	FY 2012 Adopted Budget Plan
Authorized Positions/Staff Years					
Regular	45 / 45	45 / 45	51 / 50.5	51 / 50.5	51 / 50.5
Grant	3 / 3	3 / 3	5 / 5	5 / 5	5 / 5
Total Expenditures	\$7,104,603	\$5,936,445	\$6,688,731	\$6,172,449	\$6,172,449

Fund 106

CSB - Mental Health Services

Position Summary		
<p>General Emergency</p> <p>1 Mental Health Manager</p> <p>2 Emergency/Mobile Crisis Supervisors</p> <p>15 Mental Health Supervisors/Specialists, 1 PT</p> <p>8 Psychiatrists</p>	<p>Forensic Services</p> <p>2 Mental Health Managers</p> <p>4 Senior Clinicians</p> <p>1 Mental Health Supervisor/Specialist</p> <p>2 Clinical Psychologists</p> <p>3 Psychiatrists</p> <p>1 Public Health Nurse III</p>	<p>Mobile Crisis Unit</p> <p>1 Mental Health Manager</p> <p>2 Emergency/Mobile Crisis Supervisors</p> <p>4 Mental Health Supervisors/Specialists</p> <p>Entry Services</p> <p>1 Mental Health Manager</p> <p>3 Mental Health Therapists</p> <p>1 Mental Health Counselor</p>
Grant Positions		
3 Mental Health Supervisor/Specialists	1 Food Service Supervisor	1 MH/ID/ADS Aide
<p>TOTAL POSITIONS</p> <p>51 Positions / 50.5 Staff Years</p> <p>5 Grant Positions / 5.0 Staff Years</p>		

Key Performance Measures

Objectives

- ◆ To provide stabilization services outside of the hospital to 85 percent of clients seen in General Emergency Services.

- ◆ To conduct 80 percent of evaluations within 24 hours after initial contact.

Indicator	Prior Year Actuals			Current Estimate	Future Estimate
	FY 2008 Actual	FY 2009 Actual	FY 2010 Estimate/Actual	FY 2011	FY 2012
Output:					
General Emergency - Service hours provided	28,980	22,925	26,000 / 23,114	23,000	23,000
General Emergency - Persons seen	4,828	4,795	4,750 / 5,081	4,750	4,750
Independent Evaluators - Persons seen	688	710	650 / 660	128	NA
Independent Evaluators - Service hours provided	1,850	2,073	1,850 / 1,920	330	NA
Efficiency:					
General Emergency - Annual cost per client	\$767	\$725	\$641 / \$696	\$599	\$599
Independent Evaluators - Annual cost per client	\$586	\$377	\$324 / \$400	\$238	NA
Outcome:					
General Emergency - Percent of consumers who receive stabilization services without admission to a psychiatric hospital	97%	84%	85% / 87%	85%	85%
Independent Evaluators - Percent of evaluations conducted within 24 hours of contact	87%	82%	80% / 84%	80%	NA

Fund 106

CSB - Mental Health Services

Performance Measurement Results

General Emergency Services provided 23,114 hours of service to 5,081 individuals in FY 2010. The individuals served estimate was exceeded but the service hours' estimate was not reached. The service hours estimate was not reached because in FY 2010 Mental Health completed a full year of utilizing a concise list of service codes to capture staff service hours more accurately. This impacted the number of service hours across all Mental Health areas. In response a new target of 23,000 hours is being utilized in FY 2011 and FY 2012.

In FY 2010 independent evaluators provided 1,920 hours of service to 660 individuals, exceeding both estimates. To address the projected budget shortfall in FY 2011 contracted evaluator services were eliminated and will therefore not be reported in FY 2012.

With regard to outcomes in FY 2010, General Emergency Services exceeded the estimate of 85 percent of all people receiving stabilization services not requiring admission to a psychiatric hospital and the Independent Evaluators surpassed the estimate of 80 percent of all evaluations being completed within 24 hours.

Day Support Services

Funding Summary					
Category	FY 2010 Actual	FY 2011 Adopted Budget Plan	FY 2011 Revised Budget Plan	FY 2012 Advertised Budget Plan	FY 2012 Adopted Budget Plan
Authorized Positions/Staff Years					
Regular	19 / 19	19 / 19	19 / 19	19 / 19	19 / 19
Grant	1 / 1	1 / 1	1 / 1	1 / 1	1 / 1
Total Expenditures	\$4,657,020	\$5,232,936	\$5,289,502	\$4,986,047	\$4,986,047

Position Summary	
Adult Day Treatment 1 Mental Health Manager 2 Mental Health Supv./Specialists 5 Senior Clinicians 1 Mobile Clinic Driver 2 Nurse Practitioners 1 Mental Health Therapist	Adolescent Day Treatment 1 Mental Health Manager 2 Senior Clinicians 1 Mental Health Supv./Specialist 1 Mental Health Therapist 1 BHN Clinician/Case Manager 1 MH/ID/ADS Aide
Grant Position	
1 Mental Health Therapist	
TOTAL POSITIONS	
19 Positions / 19.0 Staff Years	
1 Grant Position / 1.0 Staff Year	

Key Performance Measures

Objectives

- ◆ To demonstrate that 90 percent of individuals and families are satisfied with the services provided by Adolescent Day Treatment.
- ◆ To enable 90 percent of consumers in adult day treatment services for more than 30 days to avoid hospitalization for at least 6 months.

Fund 106 CSB - Mental Health Services

- ◆ To improve functioning of 85 percent of consumers served by the Adolescent Day Treatment Program.

Indicator	Prior Year Actuals			Current Estimate	Future Estimate
	FY 2008 Actual	FY 2009 Actual	FY 2010 Estimate/Actual	FY 2011	FY 2012
Output:					
Adult Day Treatment - Consumers served	170	197	190 / 170	170	170
Adult Day Treatment - Service hours provided	31,517	28,491	31,500 / 16,503	30,000	30,000
Adolescent Day Treatment - Consumers served	46	31	38 / 24	30	30
Adolescent Day Treatment - Service hours provided	14,883	13,522	14,500 / 14,867	14,500	14,500
Efficiency:					
Adult Day Treatment - Annual cost per consumer	\$6,533	\$6,008	\$7,490 / \$6,657	\$8,372	\$8,372
Adolescent Day Treatment - Annual cost per consumer	\$11,811	\$16,197	\$20,909 / \$23,374	\$26,485	\$26,485
Service Quality:					
Adolescent Day Treatment - Percent of clients and family members satisfied with services	89%	90%	90% / 91%	90%	90%
Outcome:					
Adult Day Treatment - Percent of consumers not hospitalized within 6 months of receiving more than 30 days of treatment.	87%	97%	80% / 100%	90%	90%
Adolescent Day Treatment - Percent of consumers that demonstrate improvements in school, family and community behaviors.	81%	100%	70% / 90%	85%	85%

Performance Measurement Results

In FY 2010, the Adult Day Treatment Program provided 16,503 hours of service to 170 people. Both of these indicators failed to meet their service estimates because of several factors. One of the programs that previously reported in this result no longer qualifies (this change is reflected in the estimates for FY 2011 and FY 2012). Two vacant positions reduced the capacity of staff to serve clients and inclement weather over the winter months created transportation issues for clients.

The Adolescent Day Treatment Program met the service hours' estimate but did not meet the individuals served estimates, providing 14,867 hours of service to 24 individuals. This reflects changes made in the program which resulted in decreased turnover and reduced the number of people served. The estimates for persons served in FY 2011 and FY 2012 reflect these changes.

In FY 2010 Adult Day Treatment exceeded the outcome estimate of individuals who receive more than 30 days of treatment and are not hospitalized within the following 6 months, as 100 percent of clients were not hospitalized in the 6 month period following treatment. Adolescent Day Treatment also exceeded the outcome estimate, as 90 percent of client's demonstrated improvement in school, family and community behaviors.

Fund 106

CSB - Mental Health Services

Residential Services

Funding Summary					
Category	FY 2010 Actual	FY 2011 Adopted Budget Plan	FY 2011 Revised Budget Plan	FY 2012 Advertised Budget Plan	FY 2012 Adopted Budget Plan
Authorized Positions/Staff Years					
Regular	128 / 127.5	127 / 126.5	138 / 138	146 / 142.5	147 / 147
Grant	15 / 14	15 / 14	15 / 14	15 / 14	15 / 14
Total Expenditures	\$23,097,199	\$23,509,287	\$25,084,745	\$24,428,560	\$24,428,560

Position Summary		
<p><u>Supervised Apartments</u></p> <p>1 Mental Health Manager 4 Mental Health Supv./Specialists 7 Mental Health Therapists 1 Mental Health Counselor 2 Senior Clinicians</p> <p><u>Res. Treatment Center - Adult Crisis Care</u></p> <p>1 Mental Health Manager 3 Mental Health Supv./Specialists 18 Mental Health Therapists 5 Mental Health Counselors 1 Cook 1 Nurse Practitioner</p> <p><u>New Horizons</u></p> <p>3 Mental Health Supv./Specialists 1 Mental Health Manager 4 Mental Health Therapists 6 Mental Health Counselors 1 BHN Clinician/Case Manager 1 Cook 1 Food Service Supervisor</p> <p><u>Community Living</u></p> <p>1 Mental Health Supv./Specialist 1 Mental Health Therapist 1 Mental Health Counselor</p>	<p><u>Group Home - Sojourn House</u></p> <p>1 Mental Health Supv./Specialist 3 Mental Health Therapists 1 Senior Clinician 4 Mental Health Counselors</p> <p><u>Homeless Services - Shelter</u></p> <p>1 Mental Health Manager 3 Mental Health Supv./Specialists 10 Mental Health Therapists (1) 1 Psychiatrist 1 Nurse Practitioner 3 Senior Clinicians (2) 3 MH/ID/ADS Aides (3) 3 BHN Clinician/Case Managers (3)</p> <p><u>Transitional Group Home - Patrick Street</u></p> <p>1 Mental Health Manager 1 Mental Health Supv./Specialist 2 Mental Health Therapists 3 Mental Health Counselors 1 BHN Clinician/Case Manager</p> <p><u>Transitional Group Home - Beacon Hill</u></p> <p>2 Mental Health Therapists 2 Mental Health Counselors 1 Mental Health Supv./Specialist 1 BHN Clinician/Case Manager 1 Substitute Relief Counselor</p>	<p><u>Cornerstones Dual Diagnosis Facility</u></p> <p>1 Mental Health Supv./Specialist 1 Mental Health Therapist 3 Mental Health Counselors 1 Nurse Practitioner</p> <p><u>Residential Intensive Care</u></p> <p>1 Mental Health Manager 4 Mental Health Supv./Specialists 3 Mental Health Therapists 7 Mental Health Counselors 1 BHN Supervisor 1 Licensed Practical Nurse 3 Substitute Relief Counselors</p> <p><u>PACT Residential Assistance</u></p> <p>1 Mental Health Counselor</p> <p><u>Supportive Services</u></p> <p>1 Mental Health Supv./Specialist 3 Mental Health Therapists</p> <p><u>Extension Apartments</u></p> <p>3 Mental Health Therapists</p>
<p><u>Supportive Services</u></p> <p>2 Mental Health Therapists 1 MH Counselor, PT</p> <p><u>Adult Crisis Care</u></p> <p>3 Mental Health Therapists</p>	<p style="text-align: center;"><u>Grant Positions</u></p> <p><u>Residential Intensive Care</u></p> <p>3 Mental Health Counselors, 1 PT 3 Mental Health Therapists</p>	<p><u>PATH/Homeless Services - Outreach</u></p> <p>3 Mental Health Therapists</p>
<p>TOTAL POSITIONS 147 Positions (9) / 147.0 Staff Years (9.0) 15 Grant Positions / 14.0 Staff Years</p>		
<p>PT Denotes Part-Time Positions () Denotes New Positions</p>		

Fund 106

CSB - Mental Health Services

Key Performance Measures

Goal

To provide treatment and support to adults with serious mental illness residing in group homes, apartments, domiciliary care and homeless shelters and to assist them with community living.

Objectives

- ◆ To serve 50 new individuals in the Supervised Apartments program during the year.
- ◆ To enable 6 percent of consumers served in the Supervised Apartments program to move to a more independent residential setting within one year.

Indicator	Prior Year Actuals			Current Estimate	Future Estimate
	FY 2008 Actual	FY 2009 Actual	FY 2010 Estimate/Actual	FY 2011	FY 2012
Output:					
Supervised Apartments - Consumers served	633	398	600 / 392	390	390
Supervised Apartments - Service days provided	100,314	93,808	95,000 / 94,055	95,000	95,000
Efficiency:					
Supervised Apartments - Annual cost per consumer	\$2,545	\$4,361	\$2,992 / \$4,377	\$4,603	\$4,603
Service Quality:					
Supervised Apartments - Number of new consumers receiving services	72	163	50 / 56	50	50
Outcome:					
Supervised Apartments - Percent of consumers able to move to a more independent residential setting within one year	41%	9%	35% / 4%	6%	6%

Performance Measurement Results

In FY 2010, the Supervised Apartments Program provided 94,055 hours of service to 392 individuals, failing to meet both estimates. This is due in large part because of the ongoing challenges associated with maintaining and creating new affordable housing for persons with disabilities. An estimated 25 percent of individuals in ARS supervised apartment programs are ready to move on to more independent living, but cannot afford to do so, and in FY 2011 a smaller than anticipated number of housing units are expected to come online. In response to these challenges the estimate for individuals served has been reduced to 390 people in FY 2011 and FY 2012.

Fund 106

CSB - Mental Health Services

Outpatient and Case Management Services

Funding Summary					
Category	FY 2010 Actual	FY 2011 Adopted Budget Plan	FY 2011 Revised Budget Plan	FY 2012 Advertised Budget Plan	FY 2012 Adopted Budget Plan
Authorized Positions/Staff Years					
Regular	150 / 149.5	148 / 147.5	151 / 150.5	160 / 158	161 / 160.5
Grant	21 / 20.5	21 / 20.5	22 / 21.5	24 / 23.5	22 / 21.5
Total Expenditures	\$19,827,804	\$21,703,335	\$25,067,242	\$23,800,758	\$23,800,758

Position Summary		
<p><u>Adult Community Services</u></p> <p>4 Mental Health Managers</p> <p>15 Mental Health Supv./Specialists (2)</p> <p>36 Senior Clinicians (2)</p> <p>22 Mental Health Therapists</p> <p>2 Licensed Practical Nurses</p> <p>2 Nurse Practitioners</p> <p>8 Psychiatrists</p> <p>2 Mental Health Therapists</p> <p>5 BHN Supervisors</p> <p>5 BHN Clinicians/Case Managers (1)</p> <p>4 BHN Clinical Nurse Specialists</p> <p>1 Administrative Asst. III (1)</p> <p>3 MH/ID/ADS Aides (2)</p> <p>2 Substance Abuse Counselors II (2)</p>	<p><u>Youth and Family Services</u></p> <p>4 Mental Health Managers</p> <p>6 Mental Health Supv./Specialists</p> <p>20 Senior Clinicians, 1 PT</p> <p>6 Mental Health Therapists</p> <p>2 Psychiatrists</p> <p>4 Clinical Psychologists</p> <p>1 BHN Clinical Nurse Specialist</p>	<p><u>Intensive Care Coordination</u></p> <p>1 Emergency/Mobile Crisis Unit Supervisor</p> <p>5 Mental Health Supv./Specialists</p> <p>1 MH/ID/ADS Aide</p>
<p><u>Adult Outpatient & Case Management Access Team</u></p> <p>4 Mental Health Therapists</p> <p>5 Senior Clinicians</p>	<p><u>Grant Positions</u></p> <p><u>Jail Diversion</u></p> <p>1 Mental Health Manager</p> <p>3 Senior Clinicians</p> <p>8 Mental Health Therapists, 1 PT</p> <p>1 MH/ID/ADS Aide</p>	
<p>TOTAL POSITIONS</p> <p>161 Positions (10) / 160.5 Staff Years (10.0)</p> <p>22 Grant Positions / 21.5 Staff Years</p>		
<p>() Denotes New Positions</p> <p>PT Denotes Part-Time Positions</p>		

Key Performance Measures

Goals

Adults: To stabilize mental health crises and symptoms, facilitate optimal community integration, assist in managing reoccurrence of symptoms and building resilience, and promote self-management, self-advocacy and wellness.

Youth and Family: To provide assessment, evaluation, multi-modal treatment, case management, psycho-educational and pharmacological services to the children, youth and families (ages 0 to 18) of Fairfax County. These services will be provided through interagency collaboration and practice as mandated by the Comprehensive Services Act.

Fund 106 CSB - Mental Health Services

Objectives

- ◆ To enable 85 percent of individuals served to be satisfied with services.
- ◆ To schedule 90 percent of consumers referred for an assessment within 7 days of discharge from the hospital.

Indicator	Prior Year Actuals			Current Estimate	Future Estimate
	FY 2008 Actual	FY 2009 Actual	FY 2010 Estimate/Actual	FY 2011	FY 2012
Output:					
Consumers served	2,988	2,677	3,100 / 2,677	3,500	3,500
Service hours provided	30,153	22,018	36,000 / 22,018	52,000	52,000
Efficiency:					
Annual cost per consumer	\$4,620	\$5,247	\$5,629 / \$5,216	\$4,312	\$4,312
Service Quality:					
Percent of consumers satisfied with services	85%	80%	85% / NA	85%	85%
Outcome:					
Percent of consumers scheduled for an assessment within 7 days of discharge	51%	89%	100% / 90%	90%	90%

Performance Measurement Results

In FY 2010, Outpatient and Case Management Services provided 22,018 hours of service to 2,677 individuals, falling short of the consumers served estimate and the service hours provided estimate. This shortfall was caused by changes made by MHS in how the services provided are recorded and by changes in the services provided based on the needs of the individuals. The numbers reported in this document have historically been a subset of the total adults served, but that subset no longer represents the services provided. To rectify this, starting in FY 2011, Mental Health will report the total number of people served and service hours provided in Adult and Youth Outpatient and Case Management Services.

Outpatient Services utilizes a state-mandated consumer satisfaction instrument in addition to focus groups to solicit information from individuals about their experiences. The overall satisfaction results are not applicable in FY 2010 due to a change in methodology made by the State, which resulted in significantly fewer surveys being completed. The CSB is reviewing options to improve response rates and the overall use of this national survey.

Ninety percent of the individuals discharged from state hospital beds were seen within 7 days of discharge in FY 2010. The pattern of actuals over the years suggests that a more realistic estimate for this measure is 90 percent, which is reflected in the estimates for FY 2011 and FY 2012.

Fund 106

CSB - Mental Health Services

Program of Assertive Community Treatment (PACT)



Funding Summary					
Category	FY 2010 Actual	FY 2011 Adopted Budget Plan	FY 2011 Revised Budget Plan	FY 2012 Advertised Budget Plan	FY 2012 Adopted Budget Plan
Authorized Positions/Staff Years					
Regular	11 / 11	11 / 11	11 / 11	11 / 11	11 / 11
Total Expenditures	\$1,129,824	\$961,480	\$961,480	\$963,052	\$963,052

Position Summary					
1	Mental Health Manager	3	Mental Health Therapists	1	Administrative Assistant III
1	MH/ID/ADS Senior Clinician	3	Public Health Nurses III		
2	Mental Health Supervisors/Specialists				
TOTAL POSITIONS					
11 Positions / 11.0 Staff Years					

Key Performance Measures

Objectives

- ◆ To demonstrate that 90 percent of individuals are satisfied with services.
- ◆ To improve community tenure for PACT consumers so that 90 percent reside outside of the jail or hospital for at least 330 days in a year.

Indicator	Prior Year Actuals			Current Estimate	Future Estimate
	FY 2008 Actual	FY 2009 Actual	FY 2010 Estimate/Actual	FY 2011	FY 2012
Output:					
Consumers served	112	118	100 / 98	100	100
Service hours provided	16,552	12,410	15,779 / 6,435	15,779	15,779
Efficiency:					
Annual cost per consumer	\$10,094	\$10,626	\$9,599 / \$11,528	\$9,615	\$9,615
Service Quality:					
Percent of consumers satisfied with services	98%	95%	90% / 95%	90%	90%
Outcome:					
Percent of consumers who remain out of jail or the hospital for at least 330 days in a year	91%	87%	90% / 97%	90%	90%

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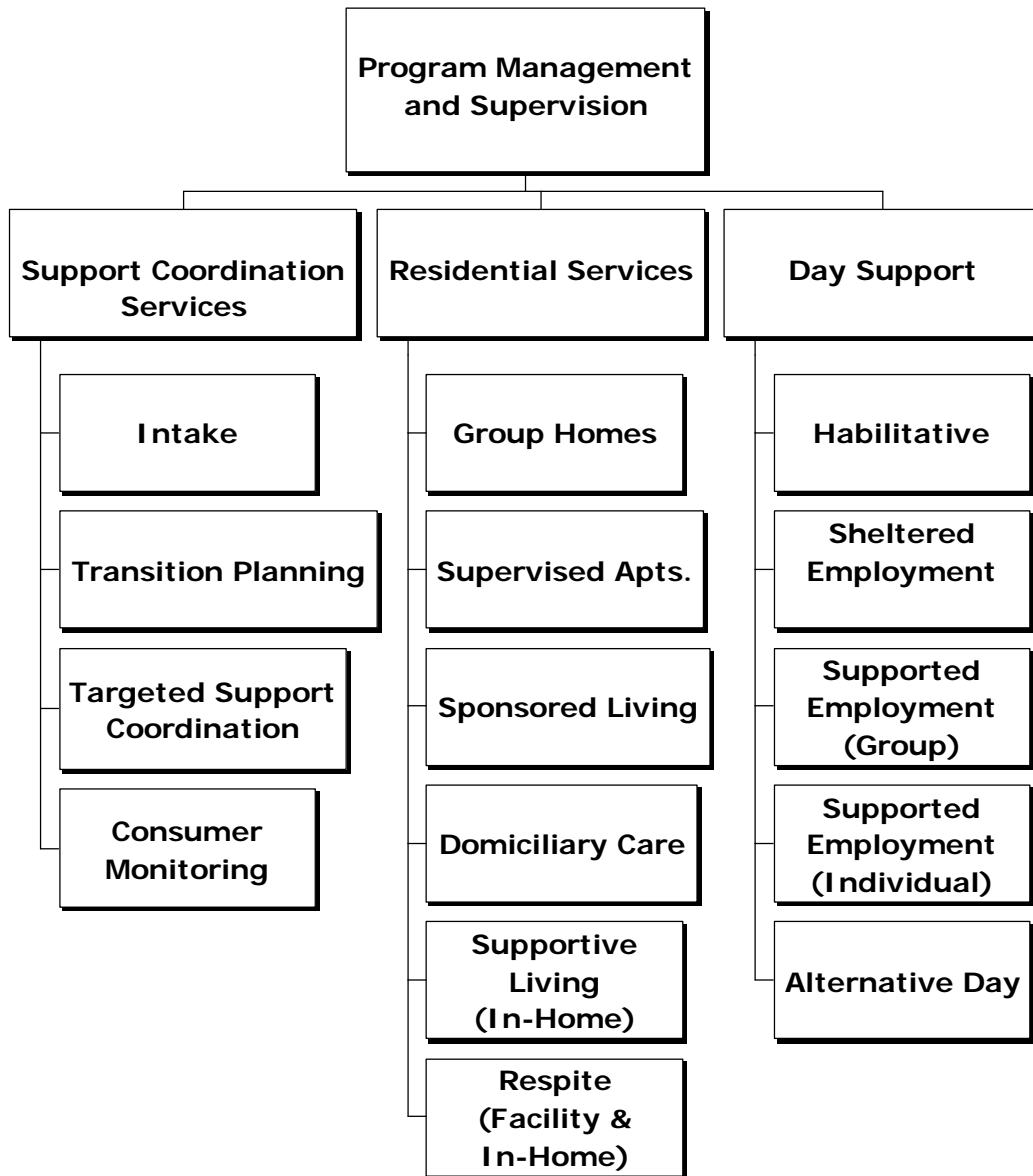
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Performance Measurement Results

In FY 2010 the PACT program provided 6,435 hours of service to 98 individuals, not meeting the individuals served estimate or the service hours provided estimate. This is due to the combination of two staff vacancies and changes made in how services provided are recorded. It is recommended that the estimates not be adjusted until FY 2012 when there is more data available to make the change.

The PACT program exceeded the Service Quality and Outcome estimates. Ninety-five percent of individuals were satisfied with the services they were provided and 97 percent of the individuals served remained out of the hospital or jail for at least 330 days.

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CSB – Intellectual Disability Services

Mission

Intellectual Disability Services (IDS) promotes services and supports which enable people with intellectual disabilities and their families to attain a personally desired and valued quality of life. IDS will achieve its mission by directly providing individualized services and by building community capacity to provide services that are effective and efficient.

It is the vision of IDS that all people with intellectual disabilities and their families in Fairfax County and the Cities of Fairfax and Falls Church have access to quality, individualized and locally offered services, and are empowered to participate in developing and evolving the future of the services provided by IDS.

IDS values services and supports that:

- ◆ Empower individuals/families to maximize their independence and quality of life (with a minimum, but necessary degree of structure to achieve their desired independence and quality of life);
- ◆ Are flexible and diverse to meet existing and changing individual/family needs and preferences;
- ◆ Protect individual/family health, safety, and confidentiality;
- ◆ Are provided in an integrated, community-based setting; and,
- ◆ Are of a quality nature valuing excellence and professionalism in services, supports and workforce.

Focus

IDS provides direct services to individuals with intellectual disabilities and oversees services provided by private vendors. Services are provided through four cost centers: Program Management and Supervision, Support Coordination Services (formerly known as Case Management), Residential Services and Day Support.

Program Management and Supervision

Program Management and Supervision is provided to all intellectual disability programs, whether directly-operated, under contract or operating through the Medicaid Waiver program, to ensure service quality, customer satisfaction, sound fiscal management and the appropriate allocation of resources. The agency participates in numerous collaborative efforts throughout the region and State, including: public policy formulation, program planning and development, interagency collaboration (including efforts to expand the number of Medicaid ID and Day Support Waiver slots), statewide systems transformation and services expansion, transportation services planning for persons with disabilities, long-term care coordination, regional emergency preparedness planning for individuals with special needs and human rights and ethics. IDS also participates in efforts to ensure that individuals with a dually-diagnosed intellectual disabilities and mental illness have access to quality and well-coordinated care and that IDS continues to have significant influence and impact on the provision of services to individuals with disabilities throughout the Northern Virginia region and the entire Commonwealth of Virginia.

Of particular current concern for IDS is the potential for future reductions in Federal Medicaid Assistance Percentage (FMAP) payments for individuals receiving intellectual disability services. FMAP is the federal government's percentage share of each state's medical assistance expenditures for Medicaid-covered individuals. An increase in the required federal portion provides additional federal funding

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towards each state's Medicaid program expenditures, which provides savings to states by reducing their Medicaid match rate. In August 2010, the U.S. Congress passed an extension of the increased FMAP provision and as a result the Governor approved restoration of the following Medicaid services from October 1, 2010 through June 30, 2011, that had previously been cut at the state level:

- ◆ 275 new ID Waiver slots were approved statewide. The Fairfax-Falls Church CSB received 29 of the 275 slots.
- ◆ Restore funding for Medicaid Home and Community-Based Services (HCBS) Waiver payment rates (a 1 percent reduction will be applied July 1st).
- ◆ Restore funding for environmental modifications and assistive technology, keeping the limit at \$5,000 per person/per year.
- ◆ Restore funding for respite services, setting the limit at 480 hours/year.

Support Coordination Services (formerly called Case Management)

Support Coordination Services is the intervention which ensures that service systems and community supports are responsive to the specific, multiple and changing needs of individuals and families. Support Coordination Services ensures that individuals are properly connected to, and involved in, the appropriate services and supports to maximize opportunities for successful community living. Support Coordinators assist in gaining access to needed homes, jobs, social service benefits and entitlement programs, therapeutic supports, social and educational resources and other supports essential to meeting basic needs. Through face to face contacts, phone contacts and review of various reports, the support coordinator helps assess the needs of the individual and develops a person-centered plan, links the individual to services and supports, coordinates and monitors services, provides technical assistance, and advocates for the individual.

Department of Behavioral Health and Development Services (DBHDS) regulations require that Support Coordination Services be provided to all individuals who are enrolled in Medicaid and who request support coordination. Individuals who are recipients of Medicaid benefits receive a full cadre of support coordination services, including: interdisciplinary team planning, coordination of services, intake and assessments, advocacy and resource planning. Individuals who do not have Medicaid may also receive the same or similar service coordination based on need. In addition, the State mandates Support Coordination Services to those who are in need of emergency assistance pursuant to §37.1-194 of the Code of Virginia. Pre-admission screening and pre-discharge planning from state training centers or hospitals is also required under the Community Services Performance Contract 5.3.1 and 5.3.3 pursuant to the Code of Virginia.

Support Coordination Services works with clients of all ages, from children three years of age to seniors over 70. Adults and children age six or older must have a confirmed diagnosis of intellectual disability to be determined eligible for Support Coordination Services and children aged three to six years old must have a confirmed cognitive developmental delay. IDS is modifying the care it provides to seniors, as people with intellectual disabilities are now living longer and as a result are experiencing the same health and age related issues faced by the general population. People may be brittle diabetics, on oxygen or require gastrointestinal tubes for feeding. In addition to medical issues, individuals with more challenging behaviors are also served. The CSB continues to use behavior specialists and the mental health system to assist these individuals, but there seems to be a growing need for crisis stabilization.

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Support Coordination Services were provided to 1,739 persons with intellectual disabilities in FY 2010. Of that total, 1,322 individuals received targeted support coordination, and 417 people received consumer monitoring. In addition to County-funded services, Support Coordination Services also coordinates approximately \$44.7 million in Medicaid-funded services to residents of Fairfax County, Fairfax City, and the City of Falls Church.

Transition of youth from schools continues to be a priority activity for Support Coordination Services. A continuing trend is the increasing number of students who are medically fragile, and require extensive physical and behavioral supports, and/or one-to-one personal care. In addition to the anticipated transition of these youth, there is an extensive support coordination intake demand from people moving into the County requiring Support Coordination Services. Since Support Coordination Services are the “gate-keeper” for all other ID services, this intake process is a very significant activity. The CSB is transitioning to a system with one door of access to all services provided, which should benefit those seeking services and streamline the process for those in need.

Finally, there is a trend toward increasing external documentation requirements necessitating increased quality assurance, training, and specialized administrative and managerial supports. Some of these requirements involve additional assessment activities that must be performed in person by the support coordinator. In order to meet the external requirements imposed by licensure, DBHDS State Performance Contract, DBHDS Performance and Outcome Measurement System and Medicaid, there is an increased emphasis on monitoring documentation.

The Supports Intensity Scale (SIS) is a new assessment and evaluation tool developed specifically to measure the supports necessary for an individual to achieve their desired life outcome. Person-centered plans are developed to support an individual in meeting the outcomes defined and identified in the SIS. Beginning in FY 2010, all persons with intellectual disabilities who receive Medicaid services are required to be supported using the SIS and person-centered planning, to ensure that services focus on an individual’s gifts, talents, hopes and dreams. Utilization of the SIS is being phased-in for all individuals served by IDS and funded by Medicaid over a 3-year period. Between March 2009 and September 2010, Support Coordination Services completed 224 SIS assessments and as of May 2011, 383 SIS assessments have been completed. Support Coordination Services is also implementing a children’s SIS and has completed 15 assessments for children age five to 15 years old as of May 2011. The individuals receiving SIS are part of a pilot program, assisting the American Association on Intellectual and Developmental Disabilities (AAIDD) to gather enough data to ensure the assessment’s reliability.

Residential Services

Residential Services provides housing and residential support services in the community for individuals with intellectual disabilities. These services provide an array of residential supports designed around individual needs and desires, with an emphasis on providing opportunities for full inclusion in community life. The majority of residential services are provided through CSB partnerships with approved private providers. Contract management oversight is provided by the CSB for all of the residential programs, public or private, through onsite observations, clinical consultations with support coordinators and other professionals in the community, review of outcome measures and coordination with quality assurance activities.

- ◆ Group Homes provide small-group living arrangements for individuals located in homes that are integrated in surrounding neighborhoods. These programs may be directly operated by the CSB, operated by private providers under contract with the CSB, or by private providers not under

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contract with the CSB but funded through Medicaid. Approximately 75 percent of group home services are privatized. Staff support services are available on a 24-hour basis and concentrate on developing supportive relationships, independent living skills, and a network of friends and opportunities in the community.

- ◆ Intermediate Care Facilities (ICF-MR's) provide group living arrangements for four to 12 individuals located in homes that are integrated in surrounding neighborhoods. These programs are operated by private providers under contract with the CSB and are funded by Medicaid. Staff support services are available on a 24-hour basis and concentrate on developing supportive relationships, independent living skills, and a network of friends and opportunities in the community. Due to the active treatment required in these programs, support services such as doctors, nurses, pharmacists and social workers are required.
- ◆ Residential Supported Living provides services to individuals living in their own homes or in shared living arrangements (e.g., apartments and town homes). These services may be provided by the CSB or by private providers. The extent of support provided ranges from daily to drop-in and is based on individual needs, and preferences. Staff support includes individual and group counseling, training and assistance in community living and with personal skills. Staff also link clients with other more natural support networks in the community.
- ◆ Respite Services provides trained respite care providers (short-term relief), available by telephone and/or referral, who are scheduled for hourly or overnight assistance to families needing time away from caring for family members with intellectual disabilities. Services are also available at a licensed 24-hour home for longer-term respite and emergency services. Respite services are provided through private providers.

In FY 2010, Residential Services provided housing and residential support to 587 individuals, with 318 of those individuals being served through directly-operated and contracted group homes. A serious challenge confronting Residential Services is the number of individuals who are aging and require more physically-accessible, barrier-free living environments. During FY 2010, one group home relocated into a renovated barrier-free home using Universal Design concepts (and is equipped with an interior elevator) through a partnership with RPJ Housing, the CSB, and the City of Falls Church. This home replaced two existing homes and includes an individual who transferred in from a community ICF. Since moving in, this individual has become much more active and engaged in the community. These same needs exist for many individuals residing in other settings, but there is a notable shortage of available, affordable, and accessible housing in Fairfax County. Residential Services continues to explore opportunities to create barrier-free group homes and/or more accessible apartments, which provide better residential options for individuals requiring such living arrangements.

Effective October 1, 2010, eight providers were awarded new contracts procured through a new Request-for-Proposals for Residential Services. Six of these providers utilize a combination of Medicaid and local funding to provide congregate residential services; two of these providers continue to provide congregate residential services under a cost share program. The cost share formula has been adjusted so that providers must collect 15 percent of their total operating expenses through user fees, collected from both residents and their families. In addition, fund raising by providers must now be used for program enhancements, program expansions and to assist consumers who cannot meet their financial fee obligations. These obligations also include the CSB transportation fee; therefore residents of these cost share providers may not qualify for a reduced transportation fee. Additionally, cost share programs may

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no longer serve Medicaid Waiver recipients with local funding. Effective April 1, 2011, cost share providers must either become an approved Medicaid Waiver provider (and draw down Medicaid dollars to get reimbursed for services provided to these eligible individuals), or current residents with Medicaid Waiver slots will no longer be funded by the CSB. All these changes maximize recovery and utilize non-County revenue sources for Residential Services.

Finally, for the past several years, Residential Services has benefited from a full-time nurse to help monitor the increasing medical needs of individuals in its residential programs. The nurse interfaces with primary care physicians to ensure standards of care are being met, trains staff on medical procedures required to maintain individuals in their home, and facilitates administering the H1N1 vaccine to individuals in directly-operated residential and private provider programs. In FY 2012, IDS will continue to explore the possibility of billing Medicaid for appropriate nursing services under the ID Waiver for services provided to covered individuals.

Day Support

Day Support provides assistance and training to improve individual independence and self-sufficiency, and/or to obtain vocational training and support to enter and remain in the workforce. Vocational and day support services for individuals with intellectual disabilities are provided primarily through contracts with private, non-profit agencies.

- ◆ Developmental Services provides self-maintenance training and nursing care for individuals who are the most severely disabled in areas such as: intensive medical care, behavioral interventions, socialization, communication, fine and gross motor skills, daily living and community living skills, and possibly limited remunerative employment.
- ◆ Sheltered Employment provides individuals full-time, salaried employment in a supervised setting with support services for habilitative development.
- ◆ Group Supported Employment provides individuals intensive job placement assistance for off-site, supervised contract work and competitive employment in the community. Job retention services are also provided.
- ◆ Individualized Supported Employment provides salaried employment with necessary support services. This service primarily serves persons with less severe disabilities and stresses social integration with non-disabled workers.
- ◆ The Cooperative Employment Program (CEP) provides supported competitive employment services to eligible individuals with developmental disabilities. The CEP is jointly funded and operated by the Department of Rehabilitative Services (DRS) and the CSB. Using an individualized approach, program staff assesses skills, analyze job requirements and provide on-the-job training for disabled individuals and disability awareness training for employers. Extensive follow-up services are provided to ensure the success of the job placement. In addition to the job-training component, the CEP offers mobility training to enhance individuals' abilities in the use of public transportation.

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Transportation for Day Support Services is contracted by the CSB through FASTRAN, which provides morning and evening transportation for individuals' to-and-from employment and vocational training sites throughout the Fairfax-Falls Church service area. Alternative transportation services may be available from other qualified providers, including providers who have been approved by the Virginia Department of Medical Assistance Services as eligible for Medicaid reimbursement. The CSB has a fee policy in effect requiring a monthly flat fee collection for non-Medicaid-funded transportation services.

In FY 2010, day support and employment services were provided to 1,190 individuals with intellectual disabilities. The average annual earnings for the people surveyed in FY 2010 that received community-based group supported employment services were \$6,190. The average annual earnings for the people surveyed in FY 2010 that received individual supported employment services were \$16,772. In the directly-operated Cooperative Employment Program (CEP), a total of 145 persons were served and 20 new job placements occurred during FY 2010. Average hourly wages for 129 of these individuals was \$11.08/hour, and total wages earned increased to over \$2.2 million. The average number of hours worked by these individuals was 28 hours per week. In addition, over 53 percent of the employed individuals served by CEP received full or partial benefits as part of the compensation package offered by their employers.

As directed by the Board of Supervisors in FY 2006, CSB staff (along with representatives from the Office of the County Executive, Office of the County Attorney, Department of Management and Budget, and Department of Administration for Human Services) recommended implementation of Self-Directed (SD) Services. SD services, offer an alternative model to traditional day support and employment services and provide adults with intellectual disabilities and their families (including recent graduates from local public and private school special education programs) the opportunity to self-direct day support or employment services to maximize self-determination, enhance personalized service delivery, promote greater community involvement, and reduce service costs. Initiation of SD Services began in FY 2008 via the Individualized Purchase of Service contracts for two consumers.

In FY 2010, five families contracted to receive SD services funding, purchasing 3,542 service hours. The annualized savings for these five contracts was \$23,516, a 23 percent increase in savings from the contracts in effect in FY 2009. Since the SD Services program began three years ago, a total savings to the County of \$50,038 has been realized. The second SD services program evaluation was conducted in July 2010. At that time, families responded to questions about program information, contract development and renewal, contract management, financial management, service management, quality of life, and overall satisfaction with the SD services program. Responses were very positive and suggestions were utilized to improve the program. One hundred percent of survey respondents strongly agreed that "Self-Directed Services enables our family member, and our family, to attain or maintain a personally desired and valued quality of life." Consequently, the continued availability of SD services in FY 2012 and beyond is anticipated.

Working with Fairfax County Public Schools, IDS has determined that there will be 88 special education students with intellectual disabilities in June 2011 who will require day support or employment services. IDS will continue to maximize the provision of services through a combination of new Medicaid Waiver slot allocations, program attrition, efficient use of existing funding, maximization of CEP and SD services, and continuation of management initiatives.

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Budget and Staff Resources

Agency Summary					
Category	FY 2010 Actual	FY 2011 Adopted Budget Plan	FY 2011 Revised Budget Plan	FY 2012 Advertised Budget Plan	FY 2012 Adopted Budget Plan
Authorized Positions/Staff Years					
Regular	104 / 104	104 / 104	122 / 122	122 / 122	122 / 122
Grant	50 / 50	50 / 50	51 / 51	51 / 51	51 / 51
Expenditures:					
Personnel Services	\$12,890,583	\$13,372,415	\$13,440,115	\$13,617,120	\$13,617,120
Operating Expenses	22,773,099	24,081,795	24,482,234	24,281,438	24,918,938
Capital Equipment	0	0	0	0	0
Total Expenditures	\$35,663,682	\$37,454,210	\$37,922,349	\$37,898,558	\$38,536,058
Revenue:					
Fairfax County	\$29,039,949	\$30,296,630	\$29,896,630	\$30,657,123	\$31,294,623
Fairfax City	509,234	509,234	509,234	509,234	509,234
Falls Church City	194,817	194,817	194,817	194,817	194,817
State DBHDS	531	0	0	0	0
Medicaid Waiver	2,547,458	2,176,359	2,615,793	2,260,214	2,260,214
Medicaid Option	2,984,097	3,677,934	3,751,008	3,677,934	3,677,934
Program/Client Fees	629,596	599,236	599,236	599,236	599,236
Fund Balance	(242,000)	0	355,631	0	0
Total Revenue	\$35,663,682	\$37,454,210	\$37,922,349	\$37,898,558	\$38,536,058

FY 2012 Funding Adjustments

The following funding adjustments from the FY 2011 Adopted Budget Plan are necessary to support the FY 2012 program. Included are all adjustments recommended by the County Executive that were approved by the Board of Supervisors, as well as any additional Board of Supervisors' actions, as approved in the adoption of the budget on April 26, 2011.

- ◆ **Employee Compensation** \$0
It should be noted that no funding is included for pay for performance or market rate adjustments in FY 2012.

- ◆ **Special Education Graduates** \$637,500
An increase of \$637,500 in Operating Expenses supports 56 of the 88 June 2011 special education graduates of Fairfax County Public Schools turning 22 years of age who are eligible for day support and employment services who currently do not have a funding source for such services.

- ◆ **Contract Rate Adjustment** \$617,318
An increase of \$617,318 in Operating Expenses is associated with a 3 percent contract rate adjustment for providers of contracted intellectual disability services.

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- ◆ **Fringe Benefit Requirement**

A net increase of \$160,850 is associated with the conversion of positions to a status that allows employees the option of receiving health benefits. The conversion offers employees the option of receiving benefits, and ensures that the County remains in compliance with recently altered federal health care regulations. Additional information regarding the conversion of positions to Merit Regular status is included in the Changes to FY 2011 Adopted Budget Plan section that follows.

\$160,850
- ◆ **Miscellaneous Adjustments**

A decrease of \$33,820 is associated with necessary grant and non-grant adjustments.

(\$33,820)
- ◆ **Reduction**

A decrease of \$300,000 reflects the following reduction utilized to balance the FY 2012 budget:

(\$300,000)

Title	Impact	Posn	SYE	Reduction
Reduce Operating Expenses	A decrease of \$300,000 is associated with a reduction in the purchase of IDS contracted day support and supported employment services for existing clients.	0	0.0	\$300,000

Changes to FY 2011 Adopted Budget Plan

The following funding adjustments reflect all approved changes in the FY 2011 Revised Budget Plan since passage of the FY 2011 Adopted Budget Plan. Included are all adjustments made as part of the FY 2010 Carryover Review, FY 2011 Third Quarter Review, and all other approved changes through April 12, 2011.

- ◆ **Carryover Adjustments**

As part of the *FY 2010 Carryover Review*, the Board of Supervisors approved an increase of \$870,132, comprised of \$112,508 in Personnel Services and \$757,624 in Operating Expenses. This includes \$757,624 in encumbered carryover, \$73,074 for a new grant award for Medicaid case management, and \$39,434 in adjustments to current grants.

\$870,132
- ◆ **Third Quarter Adjustments**

As part of the *FY 2011 Third Quarter Review*, the Board of Supervisors approved a decrease of \$401,993 for funding adjustments and realignment between CSB agencies to reflect projected FY 2011 expenditures. This adjustment is comprised of decreases of \$44,808 in Personnel Services and \$357,185 in Operating Expenses.

(\$401,993)
- ◆ **Position Changes**

As part of the FY 2011 review of County position categories, a conversion of 18/18.0 SYE positions has been made. The status of limited term positions was reviewed in light of recent changes to federal regulations related to health care and other federal tax requirements. As a result of this review, a number of existing limited term positions have been converted to Merit Regular status.

\$0

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Program Management and Supervision

Funding Summary					
Category	FY 2010 Actual	FY 2011 Adopted Budget Plan	FY 2011 Revised Budget Plan	FY 2012 Advertised Budget Plan	FY 2012 Adopted Budget Plan
Authorized Positions/Staff Years					
Regular	15 / 15	15 / 15	15 / 15	15 / 15	15 / 15
Total Expenditures	\$1,630,805	\$1,778,186	\$1,686,121	\$1,778,612	\$1,778,612

Position Summary					
1 Director of ID Programs	1 ID Specialist III	1 Behavioral Nurse Clinician/Case Manager			
3 ID Specialists V	2 ID Specialists II	1 Administrative Assistant IV			
1 ID Specialist IV	1 Management Analyst III	4 Administrative Assistants II			
TOTAL POSITIONS					
15 Positions / 15.0 Staff Years					

Key Performance Measures

Objectives

- ◆ To provide direction and management support to Intellectual Disability programs so that 88 percent of service quality and outcome goals are achieved.

Indicator	Prior Year Actuals			Current Estimate	Future Estimate
	FY 2008 Actual	FY 2009 Actual	FY 2010 Estimate/Actual	FY 2011	FY 2012
Outcome:					
Percent of intellectual disability program performance indicators (service quality and outcome) achieved	100%	75%	88% / 100%	88%	88%

Performance Measurement Results

In FY 2010, eight out of eight or 100 percent of Intellectual Disability Services' (IDS) service quality and outcome goals were met or exceeded. Overall, these results indicate that ID services are operating effectively and meeting the needs of people receiving services.

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Support Coordination Services

Funding Summary					
Category	FY 2010 Actual	FY 2011 Adopted Budget Plan	FY 2011 Revised Budget Plan	FY 2012 Advertised Budget Plan	FY 2012 Adopted Budget Plan
Authorized Positions/Staff Years					
Regular	11 / 11	11 / 11	12 / 12	11 / 11	12 / 12
Grant	44 / 44	44 / 44	45 / 45	45 / 45	45 / 45
Total Expenditures	\$4,122,916	\$4,557,152	\$4,630,316	\$4,568,286	\$4,568,286

Position Summary	
1 ID Specialist V	1 Management Analyst I
3 ID Specialists IV	1 Business Analyst II
6 ID Specialists III	
Grant Positions	
2 ID Specialists III	2 ID Specialists I
41 ID Specialists II	
TOTAL POSITIONS	
12 Positions / 12.0 Staff Years	
45 Grant Positions / 45.0 Staff Years	

Key Performance Measures

Goal

To provide service coordination and behavior management consultations to individuals with intellectual disabilities to maximize their independence in the community.

Objectives

- ◆ To support individuals' self-sufficiency in the community by ensuring that clients receiving Targeted Support Coordination Services meet at least 95 percent of their individual service plan objectives.

Indicator	Prior Year Actuals			Current Estimate	Future Estimate
	FY 2008 Actual	FY 2009 Actual	FY 2010 Estimate/Actual	FY 2011	FY 2012
Output:					
Targeted Support Coordination - Individuals served	1,273	1,298	1,300 / 1,322	1,300	1,300
Efficiency:					
Targeted Support Coordination - Cost per individual served	\$2,920	\$3,071	\$3,203 / \$3,119	\$3,223	\$3,332
Service Quality:					
Targeted Support Coordination - Percent of individuals satisfied with services	92%	93%	90% / 92%	90%	90%
Outcome:					
Targeted Support Coordination - Percent of individual case management service plan objectives met	98%	97%	95% / 98%	95%	95%

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Performance Measurement Results

In FY 2010, 92 percent of individuals surveyed were satisfied with Support Coordination Services, as compared to an estimate of 90 percent. While a slight decrease from the previous year, this result is continued evidence that Support Coordinators consistently exceeds targeted satisfaction levels despite more complicated and increased caseloads. Ninety-eight percent of individual service plan objectives were achieved versus an estimate of 95 percent. In addition, the FY 2010 the number of individuals receiving targeted Support Coordination Services has increased by almost 25 since FY 2009. These results, coupled with consistently strong performance on Medicaid audit reviews, reflect strong training and adherence to best practices among the IDS Support Coordination staff.

The annual cost per individual served was \$3,119, \$84 less than the originally projected amount of \$3,203. This change also represents a \$48 increase above the \$3,071 annual cost per individual receiving targeted Support Coordination in FY 2009, and is reflective of increased spending for assistive technology and environmental modification purchases for Medicaid recipients. These purchases are coordinated by Support Coordination Services, and the associated costs are offset by increased Medicaid Waiver revenue collections.

Residential Services

Funding Summary					
Category	FY 2010 Actual	FY 2011 Adopted Budget Plan	FY 2011 Revised Budget Plan	FY 2012 Advertised Budget Plan	FY 2012 Adopted Budget Plan
Authorized Positions/Staff Years					
Regular	71 / 71	71 / 71	88 / 88	88 / 88	88 / 88
Grant	6 / 6	6 / 6	6 / 6	6 / 6	6 / 6
Total Expenditures	\$15,174,808	\$15,818,158	\$15,881,958	\$16,280,723	\$16,280,723

Position Summary	
Group Homes	Supervised Apartments
1 ID Specialist IV	3 ID Specialists I
3 ID Specialists III	1 ID Specialist II
11 ID Specialists II	
69 ID Specialists I	
Grant Positions	
6 ID Specialists I	
TOTAL POSITIONS	
88 Positions / 88.0 Staff Years	
6 Grant Positions / 6.0 Staff Years	

Key Performance Measures

Goal

To provide residential services to individuals with intellectual disabilities to maximize their independence in the community.

Objectives

- ◆ To achieve a level of at least 92 percent of individuals who are able to remain living in group homes rather than more restrictive settings.

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Indicator	Prior Year Actuals			Current Estimate	Future Estimate
	FY 2008 Actual	FY 2009 Actual	FY 2010 Estimate/Actual	FY 2011	FY 2012
Output:					
Group Homes - Individuals served	314	317	305 / 318	305	305
Efficiency:					
Group Homes - Cost per client served	\$35,749	\$37,603	\$39,585 / \$37,431	\$38,317	\$39,223
Service Quality:					
Group Homes - Percent of individuals who are satisfied with support services	92%	98%	90% / 99%	90%	90%
Outcome:					
Group Homes - Percent of individuals living in group homes who maintain their current level of service	99%	100%	92% / 100%	92%	92%

Performance Measurement Results

In a survey of individuals receiving Residential Services, 99 percent reported satisfaction with support services, exceeding the FY 2010 estimate of 90 percent and the FY 2009 actual of 98 percent. In FY 2010, 100 percent of individuals living in group homes were able to maintain their current level of service despite the fact that those served were more medically and behaviorally challenging.

Overall, 318 individuals were served in group homes in FY 2010, 13 more than the projected total of 305. The average FY 2010 cost to the County per client served in group homes actually decreased by \$172 from FY 2009, to \$37,431. This reflects efficiencies implemented in recent years in both directly-operated and contracted residential settings and higher than anticipated numbers of individuals served.

Day Support

Funding Summary					
Category	FY 2010 Actual	FY 2011 Adopted Budget Plan	FY 2011 Revised Budget Plan	FY 2012 Advertised Budget Plan	FY 2012 Adopted Budget Plan
Authorized Positions/Staff Years					
Regular	7 / 7	7 / 7	7 / 7	8 / 8	7 / 7
Total Expenditures	\$14,735,153	\$15,300,714	\$15,723,954	\$15,270,937	\$15,908,437

Position Summary	
1	ID Specialist IV
6	ID Specialists II
TOTAL POSITIONS	
7 Positions / 7.0 Staff Years	

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Key Performance Measures

Goal

To maximize self-sufficiency and independence for individuals with intellectual disabilities.

Objectives

- ◆ To achieve an annual increase of at least 1 percent in average wage earnings reported for individuals in Supported Employment services (both individual and group-based programs).

Indicator	Prior Year Actuals			Current Estimate	Future Estimate
	FY 2008 Actual	FY 2009 Actual	FY 2010 Estimate/Actual	FY 2011	FY 2012
Output:					
Day Support - Total individuals served	1,218	1,251	1,333 / 1,190	1,280	1,350
Day Support - Non-Medicaid eligible individuals served	687	703	700 / 641	681	720
Supported Employment - Non-Medicaid eligible individuals served	479	494	504 / 577	637	682
Efficiency:					
Day Support - Cost per individual served with local funds	\$18,703	\$17,536	\$18,143 / \$19,426	\$19,866	\$20,317
Supported Employment - Cost per individual served with local funds	\$11,394	\$11,394	\$11,583 / \$9,466	\$11,394	\$11,394
Service Quality:					
Day Support - Percent of individuals satisfied with services	94%	96%	90% / 97%	90%	90%
Outcome:					
Supported Employment - Average wages reported by individuals in group-based programs	\$6,012	\$5,742	\$5,742 / \$6,190	\$6,252	\$6,314
Supported Employment - Average wages reported by individuals in individual-based programs	\$16,519	\$15,925	\$15,925 / \$16,772	\$16,940	\$17,109
Supported Employment - Percent change in average wages reported by individuals in all programs	8.40%	(1.90%)	1.00% / 6.50%	1.00%	1.00%

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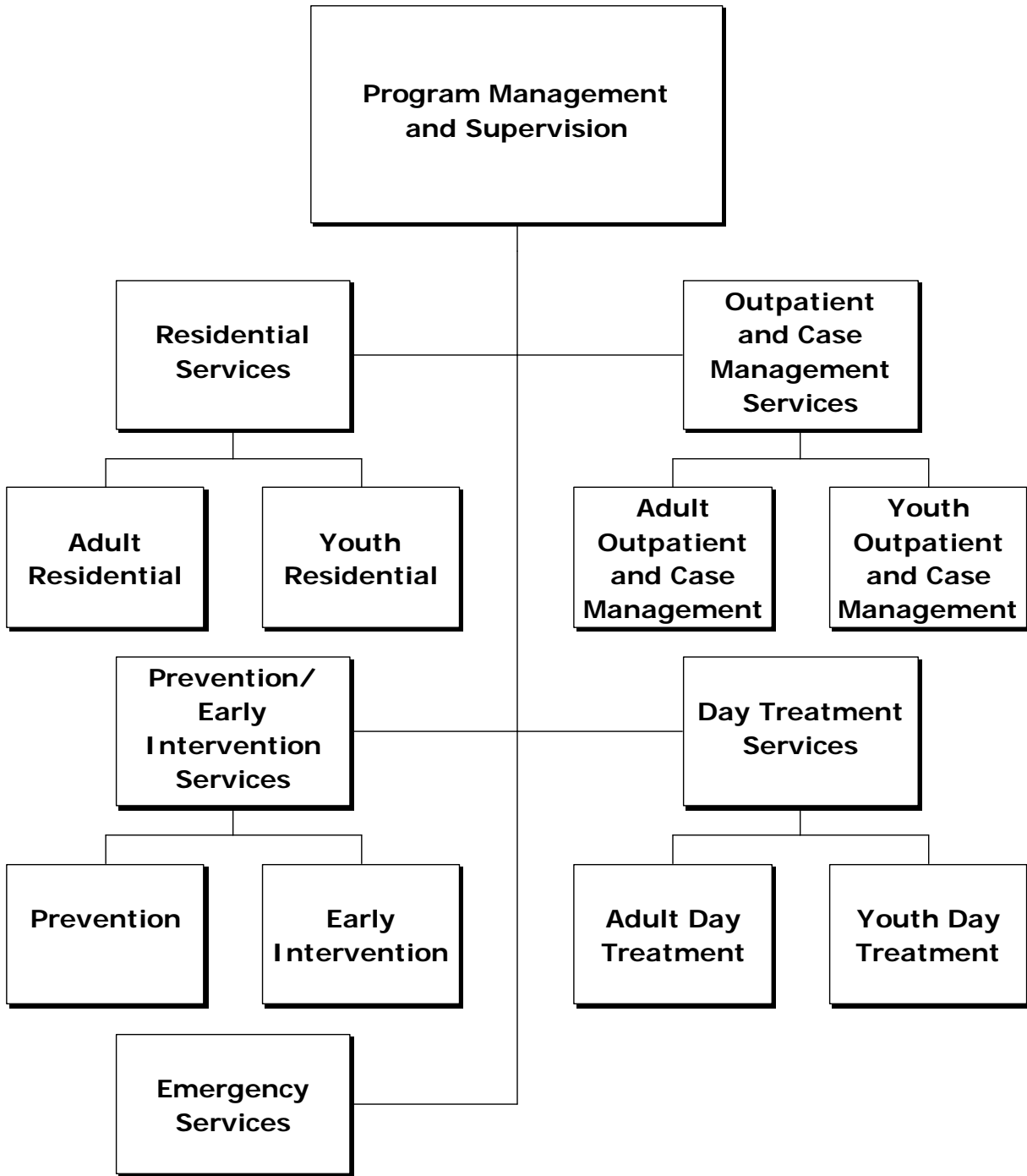
CSB – Intellectual Disability Services

Performance Measurement Results

According to an annual survey, in FY 2010, 97 percent of individuals receiving Day Support Services reported satisfaction, exceeding the goal of 90 percent. Total wages earned by the 374 people surveyed who received group supported employment services in FY 2010 were \$2,314,911, for average annual earnings of \$6,190. The FY 2010 average wage total was \$448 higher than the FY 2009 average wage total of \$5,742. Total wages earned by the 201 people surveyed who received individual employment services in FY 2010 were \$3,371,075, for average annual wage earnings of \$16,772. This group's average annual wage earnings were \$847 higher than the FY 2009 average earnings of \$15,925. Overall, in FY 2010, the percentage change in average wages reported by individuals in all contracted supported employment programs was an increase of 6.50 percent versus a target of a 1.0 percent increase. This positive result is outstanding within the context of a struggling national economy, and illustrates the strength of IDS' supported employment programs, private partners, and supported employment participants.

The FY 2010 a total of 641 non-Medicaid individuals received day support, a significant decrease from the FY 2009 total of 703. It is also lower than the originally projected number of 700 due to higher than anticipated attrition rates and continued prioritization of Medicaid-funded services in FY 2010. The cost per individual served with local funds for Day Support was \$19,426 in FY 2010, a \$1,890 increase from the FY 2009 amount. This increase is attributable to the contract rate adjustments in FY 2010, and higher expenses for private providers in the following areas: direct-care personnel; increased medical, behavioral and accessibility needs for aging consumers; higher insurance premiums; and, necessary provisions for severe weather and emergency management. The cost per individual served with local funds for Supported Employment was \$9,466 in FY 2010, which represents a \$1,928 decrease from the FY 2009 cost. These estimates are determined up to two years ahead of time and are based on estimated state and local funding, number of projected consumers, expected program attrition, and foreseeable contract rate adjustments. Since these variables are continuously changing, the cost per individual for IDS Day Support services is difficult to accurately project.

Fund 106
CSB - Alcohol and Drug Services



Fund 106

CSB - Alcohol and Drug Services

Mission

To reduce the incidence and prevalence of alcohol and drug abuse in Fairfax County and in the cities of Fairfax and Falls Church by providing prevention, treatment and rehabilitation services to individuals and their families who abuse and/or are addicted to alcohol and drugs.

Focus

Alcohol and Drug Services (ADS) provides substance abuse prevention, early intervention and treatment services to residents of Fairfax County and the cities of Fairfax and Falls Church. Services are provided through directly-operated programs and contractual providers through six cost centers: Program Management and Supervision, Residential, Outpatient and Case Management, Prevention/Early Intervention, Day Treatment and Emergency Services.

Program Management and Supervision

Program Management and Supervision provides leadership in the management of services and staff, planning and development of programs, evaluation, quality assurance and resource allocation of local, state, federal and grant funds. This cost center also provides volunteer support services and administrative support.

Residential Services

Residential Services provides comprehensive services to include individual, group and family therapy, medication management and case management. Residential treatment settings are matched to the level of care needed by adolescent and adult clients. Treatment services include detoxification, intermediate and long-term treatment, supervised apartment programming, supported living services and aftercare services. Specialized care is provided for clients with co-occurring substance use disorders, mental illness, pregnant and post-partum women, persons whose primary language is Spanish and persons who are homeless.

In FY 2011, the Board of Supervisors approved two initiatives designed to maintain and enhance detoxification services. Funds were provided to assist the CSB with an analysis of the potential conversion of existing social detoxification beds into medical detoxification beds to serve 235 additional individuals each year and to provide the CSB with the flexibility to purchase medical detoxification services from local hospitals while the analysis is completed. In addition, for FY 2012 the Board of Supervisors approved funds for the Diversion to Detoxification program, which offers an alternative to arrest that preserves law enforcement resources and increases community safety by transporting intoxicated individuals to a safe place (detoxification program) and offers services that intervene in an individual's addiction. The program, which includes four counselor positions, was abolished as part of the FY 2010 County budget reductions, and then funded through the Office of Justice Program with funds from the American Recovery and Reinvestment Act of 2009 (ARRA). The funding which was designed to be used to preserve and create jobs has allowed the CSB to maintain four positions and keep this program operational at a time when cuts were necessary. The grant award will end in July 2011 at which time the County funding will continue to maintain the Diversion to Detoxification program.

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Outpatient and Case Management Services

Outpatient and Case Management Services provides case management and individual, group and family counseling for adult and adolescent clients, specialized care for the dually diagnosed, pregnant and post-partum women, those whose primary language is Spanish and those with HIV/AIDS. Psychiatric consultations to assist in treatment, planning and case management are provided. The Fairfax Adult Detention Center provides services that include court-ordered assessments, evaluations, referral to community treatment and direct services within the jail. Services are provided through the Intensive Addictions Program and the True Freedom Program, which are designed for persons who have a co-occurring disorder. Education groups are also provided in English and Spanish. Psychiatric treatment and medication management are provided as needed through the psychiatrist assigned to the jail. The Juvenile Forensics Program provides evaluation and intervention services to youth in the Juvenile Detention Center.

In FY 2007, Adult Outpatient Services established a 16 session treatment track for both English and Spanish speaking consumers. Consumers who are assessed as appropriate for this treatment component have the option of attending once a week for 16 weeks or twice a week for eight weeks, which allows individuals to receive treatment with minimal disruptions to personal and professional obligations. In Youth Outpatient Services, after a data analysis of consumer choices and needs, a "10 week-twice a week," treatment program was established with additional services available for a longer time period. The intake process was streamlined and work was done with referral sources to facilitate appropriate referrals to services.

Prevention/Early Intervention Services

Prevention/Early Intervention Services seeks to reduce the incidence of substance abuse and other risky behaviors before they become more serious issues. Services include education, consultation, training, screening and referral services, as well as specialized programming to at-risk and high-risk populations. Services are usually offered in community settings and reach those that would not usually seek or access services in traditional manners. Prevention/Early Intervention staff plays a vital role in increasing public knowledge about substance abuse awareness and available resources.

Prevention Services directly implements and trains community partners in the facilitation of the Parents Raising Safe Kids (PRSK) program. PRSK is a violence prevention project that focuses on adults who raise, care for, and teach children ages 0 to 8 years. It is designed to prevent violence by providing young children with positive role models and environments that teach nonviolent problem-solving. In FY 2011, Prevention/Early Intervention Services is also continuing to implement the Substance Abuse and Mental Health Administration (SAMHSA) model program, Too Good For Drugs (TGFD). This multi-week program uses interactive teaching methods and is designed to develop five essential life skills: (1) goal setting; (2) decision making; (3) bonding with pro-social others; (4) identifying and managing emotions; and (5) communicating effectively.

Prevention Services continues to facilitate the Girl Power (GP) program directly. Prevention Services also builds capacity by providing GP training to community partners and ongoing technical assistance for replication and expansion of this service. Prevention/Early Intervention staff plays a vital role in increasing public awareness of evidenced-based practices, substance abuse prevention and mental health promotion.

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Prevention/Early Intervention Services provides the Leadership and Resiliency Program (LRP) and the Student Assistance Program (SAP), which are intensive, school-based programs. LRP is a CSB-developed SAMHSA model substance abuse and violence prevention program for high school students. Nearly 100 jurisdictions nationally and in Canada have purchased LRP licenses, materials, and training to replicate the program. Revenue is used to help fund local prevention services. SAP is an alcohol and drug screening, assessment, and early intervention program serving adolescents and their families. In FY 2011, the SAP intervention model was adjusted to facilitate more direct and timely interventions at schools. It is planned that this will result in more referrals for those who desire treatment services. The plan approved by the Board of Supervisors is for both programs to be implemented in all 28 Fairfax County public high schools in the future, as funding permits. In FY 2011, LRP is in 11 high schools and SAP is in 15 high schools and in FY 2012, the CSB anticipates LRP and SAP serving the same number of high schools as in FY 2011.

Day Treatment Services

Day Treatment Services provides daily intensive case management, individual, group and family counseling to substance abusing adults and adolescents who need more intensive services than the standard outpatient treatment services. Psychiatric consultation to assist in treatment planning and case management is provided. Adolescents served in the Day Treatment Program and Juvenile Detention Center also receive their school services from Fairfax County Public Schools on-site at their treatment program.

In FY 2012, Adult Day Treatment Services will continue a contract with the Virginia Department of Corrections, Department of Parole and Probation to provide relapse prevention services for offenders in need of such specialized service.

Emergency Services

Emergency Services provides crisis intervention, assessment, evaluation, case management and emergency substance abuse services for all adult Alcohol and Drug Services programs, and provides referrals to private treatment programs when needed. Specialized services are offered to those whose primary language is Spanish and those clients with co-occurring substance use disorders and mental illness.

The individuals served throughout these programs include pregnant women, those diagnosed with HIV/AIDS, individuals needing intensive residential treatment services and high-risk youth. These services help the individuals recover from abuse and addiction, increase positive outcomes in pregnancy, reduce homelessness, increase work/school/social productivity, reduce criminal justice involvement and reunite families.

Service provision begins in the call center where Fairfax/Falls Church residents contact the call center for assistance with alcohol and drug, mental health and intellectual disability issues. Staff then conducts a comprehensive screening and makes an assessment, appointment or provides linkage to a community provider for the caller.

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CSB - Alcohol and Drug Services

Budget and Staff Resources



Agency Summary					
Category	FY 2010 Actual	FY 2011 Adopted Budget Plan	FY 2011 Revised Budget Plan	FY 2012 Advertised Budget Plan	FY 2012 Adopted Budget Plan
Authorized Positions/Staff Years					
Regular	294 / 292	291 / 289	293 / 291.25	296 / 294.25	297 / 295.25
Grant	14 / 14	14 / 14	15 / 15	15 / 15	11 / 11
Expenditures:					
Personnel Services	\$23,699,980	\$25,034,754	\$25,401,999	\$24,462,818	\$24,720,218
Operating Expenses	5,047,504	4,314,142	5,709,900	4,776,589	5,156,689
Capital Equipment	0	0	0	0	0
Subtotal	\$28,747,484	\$29,348,896	\$31,111,899	\$29,239,407	\$29,876,907
Less:					
Recovered Costs	(\$361,881)	(\$50,000)	(\$50,000)	(\$50,000)	(\$50,000)
Total Expenditures	\$28,385,603	\$29,298,896	\$31,061,899	\$29,189,407	\$29,826,907
Revenue:					
Fairfax County	\$20,655,731	\$20,057,450	\$20,157,450	\$19,783,687	\$20,421,187
Fairfax City	123,261	123,261	123,261	123,261	123,261
Falls Church City	118,355	118,355	118,355	118,355	118,355
State DBHDS	3,572,214	3,566,914	3,569,564	3,566,914	3,566,914
State Other	122,330	197,839	197,839	207,397	207,397
Federal Block Grant	3,320,517	3,279,121	3,289,869	3,279,121	3,279,121
Federal Other	827,918	434,739	1,313,455	834,739	834,739
Federal ARRA	246,648	0	302,044	0	0
Medicaid Option	32,673	112,000	192,497	112,000	112,000
Program/Client Fees	603,678	1,013,933	1,013,933	1,163,933	1,163,933
CSA Pooled Funds	0	295,784	295,784	0	0
Miscellaneous	99,500	99,500	99,500	0	0
Fund Balance	(1,337,222)	0	388,348	0	0
Total Revenue	\$28,385,603	\$29,298,896	\$31,061,899	\$29,189,407	\$29,826,907

FY 2012 Funding Adjustments

The following funding adjustments from the FY 2011 Adopted Budget Plan are necessary to support the FY 2012 program. Included are all adjustments recommended by the County Executive that were approved by the Board of Supervisors, as well as any additional Board of Supervisors' actions, as approved in the adoption of the budget on April 26, 2011.

- ◆ **Employee Compensation** \$0
It should be noted that no funding is included for pay for performance or market rate adjustments in FY 2012.

- ◆ **Detoxification Services** \$637,500
An increase of \$637,500 in Alcohol and Drug Services (ADS) is associated with the expansion of the Medical Detoxification program and the establishment of 4/4.0 SYE positions to maintain the

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Diversion to Detoxification program. These programs will provide individuals access to the services necessary for their recovery.

- ◆ **Fringe Benefits Requirement** **\$73,507**
A net increase of \$73,507 is associated with the conversion of positions to a status that allows employees the option of receiving health benefits. The conversion offers employees the option of receiving benefits, and ensures that the County remains in compliance with recently altered federal health care regulations. Additional information regarding the conversion of positions to Merit Regular status is included in the Changes to FY 2011 Adopted Budget Plan section that follows.

- ◆ **Supplemental Pay Increase for Public Health Psychiatrists and Doctors** **\$31,610**
A net increase of \$31,610 is associated with a supplemental pay increase necessary to attract, and retain, medical personnel essential to the mission of ADS, based on analysis conducted by the Department of Human Resources.

- ◆ **Contract Rate Adjustment** **\$22,659**
An increase of \$22,659 in Operating Expenses is associated with a 3 percent contract rate adjustment for providers of contracted alcohol and drug services.

- ◆ **Miscellaneous Adjustments** **(\$237,265)**
A decrease of \$237,265 is associated with necessary grant and non-grant adjustments.

- ◆ **Reductions** **\$0**
It should be noted that no reductions to balance the FY 2012 budget are included in this agency based on the limited ability to generate additional personnel savings.

Changes to FY 2011 Adopted Budget Plan

The following funding adjustments reflect all approved changes in the FY 2011 Revised Budget Plan since passage of the FY 2011 Adopted Budget Plan. Included are all adjustments made as part of the FY 2010 Carryover Review, FY 2011 Third Quarter Review, and all other approved changes through April 12, 2011.

- ◆ **Carryover Adjustments** **\$1,319,361**
As part of the *FY 2010 Carryover Review*, the Board of Supervisors approved an increase of \$1,319,361, comprised of \$423,603 in Personnel Services and \$895,758 in Operating Expenses. This includes \$780,427 in unexpended grant balances that carried forward; \$445,039 in encumbered carryover; \$80,497 for a new grant award associated with the Cornerstones residential treatment program; and \$13,398 in non-grant adjustments of which \$10,748 remained unspent from a federal Co-Occurring Residential federal block grant project and \$2,650 remained unspent from Project Link authorized by the State.

- ◆ **Third Quarter Adjustments** **\$443,642**
As part of the *FY 2011 Third Quarter Review*, the Board of Supervisors approved a net increase of \$443,642 due to increases of \$400,000 in the HIDTA grant award and \$100,000 for contracted medical detoxification treatment services; offset by a decrease of \$56,358 for funding adjustments and realignment between CSB agencies to reflect projected FY 2011 expenditures. This adjustment is comprised of a decrease of \$56,358 in Personnel Services and an increase of \$500,000 in Operating Expenses.

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- ◆ **Position Changes** \$0
 As part of the FY 2011 review of County position categories, a conversion of 6/6.0 SYE positions has been made. The status of limited term positions was reviewed in light of recent changes to federal regulations related to health care and other federal tax requirements. As a result of this review, a number of existing limited term positions have been converted to Merit Regular status.

Program Management and Supervision

Funding Summary					
Category	FY 2010 Actual	FY 2011 Adopted Budget Plan	FY 2011 Revised Budget Plan	FY 2012 Advertised Budget Plan	FY 2012 Adopted Budget Plan
Authorized Positions/Staff Years					
Regular	31 / 31	31 / 31	30 / 30	31 / 31	30 / 30
Total Expenditures	\$2,846,173	\$2,990,112	\$2,968,907	\$3,237,659	\$3,237,659

Position Summary	
<u>Program Management & Supervision</u>	<u>Office Support</u>
1 Director, Alcohol and Drug Programs	1 Administrative Associate
4 Substance Abuse Counselors V	5 Administrative Assistants IV
1 Substance Abuse Counselor IV	14 Administrative Assistants III
1 Business Analyst II	2 MH/ID/ADS Aides
1 Management Analyst II	
TOTAL POSITIONS	
30 Positions / 30.0 Staff Years	

Key Performance Measures

Goal

To provide program management, quality assurance, evaluation, administrative support and volunteer support services for the agency's alcohol and substance abuse treatment programs.

Objectives

- ◆ To provide direction and management support to Alcohol and Drug Services (ADS) programs so that 80 percent of service quality and outcome goals are achieved.

Indicator	Prior Year Actuals			Current Estimate	Future Estimate
	FY 2008 Actual	FY 2009 Actual	FY 2010 Estimate/Actual	FY 2011	FY 2012
Outcome:					
Percent of ADS program performance indicators (service quality and outcome) achieved	88.0%	93.7%	80.0% / 85.7%	80.0%	80.0%

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Performance Measurement Results

In FY 2010, 12 out of 14 or 85.7 percent of service quality and outcome measures were met or exceeded by ADS, exceeding the target of 80 percent. The performance measures are designed to measure service satisfaction, access to services, consumer service delivery, consumer productivity in school and/or work and reduction of illegal substance use. ADS will use the results of the FY 2010 performance measures to engage in continuous quality improvement activities throughout FY 2011 and FY 2012.

Residential Services

Funding Summary					
Category	FY 2010 Actual	FY 2011 Adopted Budget Plan	FY 2011 Revised Budget Plan	FY 2012 Advertised Budget Plan	FY 2012 Adopted Budget Plan
Authorized Positions/Staff Years					
Regular	131 / 130	131 / 130	137 / 136	136 / 135	141 / 140
Grant	7 / 7	7 / 7	8 / 8	8 / 8	4 / 4
Total Expenditures	\$12,516,997	\$12,353,332	\$13,657,947	\$12,536,322	\$13,173,822

Position Summary		
<p><u>Social Detoxification</u></p> <p>1 Public Health Doctor, PT 1 Behavioral Nurse Supervisor 5 Behavioral Nurse Clinicians/Case Managers 1 Nurse Practitioner/Physician Assistant 1 Substance Abuse Counselor IV 3 Substance Abuse Counselors III (1) 8 Substance Abuse Counselors II (1) 9 Substance Abuse Counselors I (2) 1 SAS Aide 1 Psychiatrist 2 Licensed Practical Nurses</p> <p><u>Steps to Recovery</u></p> <p>1 Substance Abuse Counselor III 3 Substance Abuse Counselors II 1 Substance Abuse Counselor I</p> <p><u>Dual Diagnosis Facility - Cornerstones</u></p> <p>1 Substance Abuse Counselor IV 1 Substance Abuse Counselor III 3 Substance Abuse Counselors II 1 Substance Abuse Counselor I 1 Food Service Supervisor 1 Cook 1 SAS Aide 1 Licensed Practical Nurse 3 Assistant Residential Counselors</p>	<p><u>Long-Term Rehabilitation - Crossroads</u></p> <p>1 Substance Abuse Counselor IV 3 Substance Abuse Counselors III 10 Substance Abuse Counselors II 3 Substance Abuse Counselors I 1 Behavioral Nurse Clinician/Case Manager 1 Administrative Assistant V 1 Food Service Supervisor 2 SAS Aides 1 Psychiatrist 1 Assistant Residential Counselor</p> <p><u>Supported Living</u></p> <p>1 Substance Abuse Counselor IV 2 Substance Abuse Counselors III 6 Substance Abuse Counselors II</p> <p><u>Long-Term Rehabilitation - New Generations</u></p> <p>1 Behavioral Nurse Supervisor 1 Substance Abuse Counselor IV 1 Substance Abuse Counselor III 1 Substance Abuse Counselor II 4 Substance Abuse Counselors I 2 Day Care Center Teachers I, 1 PT 1 SAS Aide 1 Food Service Supervisor</p>	<p><u>Intermediate Rehabilitation - A New Beginning</u></p> <p>1 Behavioral Clinician/Case Manager 1 Substance Abuse Counselor IV 3 Substance Abuse Counselors III 6 Substance Abuse Counselors II 6 Substance Abuse Counselors I 4 Cooks 1 Administrative Assistant V 1 SAS Aide</p> <p><u>Long-Term Rehabilitation - Crossroads Youth</u></p> <p>1 Substance Abuse Counselor IV 3 Substance Abuse Counselors III 9 Substance Abuse Counselors II 7 Substance Abuse Counselors I 2 Licensed Practical Nurses</p>
<p><u>Crossroads-HIDTA</u></p> <p>2 Substance Abuse Counselors II</p>	<p style="text-align: center;"><u>Grant Positions</u></p> <p><u>New Generation - HUD</u></p> <p>1 Substance Abuse Counselor II</p>	<p><u>Dual Diagnosis Facility - Cornerstones</u></p> <p>1 Senior Clinician</p>
<p>TOTAL POSITIONS 141 Positions (4) / 140.0 Staff Years (4.0) 4 Grant Positions / 4.0 Staff Years</p>		
<p>PT Denotes Part-Time Position () Denotes New Positions</p>		

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CSB - Alcohol and Drug Services

Key Performance Measures

Goal

To provide detoxification services, intermediate and long-term residential substance abuse treatment services for adults, adolescents, pregnant women and mothers with infant children in order to improve their overall functioning in the community.

Objectives

- ◆ To provide substance abuse treatment to clients in the Crossroads program so that 90 percent of clients receiving at least 90 days of treatment have increased functioning in the community as evidenced by reduction in the use of illegal drugs.
- ◆ To provide substance abuse treatment to clients in the Crossroads program so that 80 percent of clients receiving at least 90 days or more of treatment will have no new criminal convictions at follow-up after leaving treatment.
- ◆ To provide substance abuse treatment to clients in the Crossroads program so that 80 percent of clients receiving at least 90 days of treatment are either employed or in school upon leaving the program.

Indicator	Prior Year Actuals			Current Estimate	Future Estimate
	FY 2008 Actual	FY 2009 Actual	FY 2010 Estimate/Actual	FY 2011	FY 2012
Output:					
Crossroads - Clients served	120	135	125 / 125	156	156
Efficiency:					
Crossroads - Cost per client	\$8,757	\$9,368	\$12,425 / \$9,135	\$10,770	\$9,841
Service Quality:					
Crossroads - Percent of clients satisfied with services	95%	98%	90% / 96%	90%	90%
Outcome:					
Crossroads - Percent of clients showing reduction in drug use when leaving the program	NA	NA	NA	90%	90%
Crossroads - Percent of clients showing reduction in criminal behavior	NA	NA	NA	80%	80%
Crossroads - Percent of clients participating in at least 90 days of treatment who are either employed or in school upon leaving the program	76%	82%	80% / 80%	NA	NA

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CSB - Alcohol and Drug Services

Performance Measurement Results

In FY 2010, Crossroads served 125 individuals at an average cost of \$9,135, meeting its output estimate and exceeding its efficiency estimate. The targets for Crossroads service quality and outcome measures were both met or exceeded, as 96 percent of clients were satisfied with the services provided and 80 percent of clients that participated in at least 90 days of treatment were either employed or in school upon leaving the program in FY 2011. During this period, the CSB researched ways to reduce the length of stay while maintaining the appropriate amount of treatment needed for recovery.

In FY 2012, and in future fiscal years, the CSB will utilize two new Outcome indicators. The percent of clients showing a reduction in criminal behavior, and the percent of clients showing a reduction in drug use when leaving the program, will be used to measure outcomes for Crossroad clients. The CSB believes that measuring these outcomes will allow them to enhance the services provided to clients at Crossroads.

Outpatient and Case Management Services

Funding Summary					
Category	FY 2010 Actual	FY 2011 Adopted Budget Plan	FY 2011 Revised Budget Plan	FY 2012 Advertised Budget Plan	FY 2012 Adopted Budget Plan
Authorized Positions/Staff Years					
Regular	56 / 56	56 / 56	54 / 54	57 / 57	54 / 54
Grant	4 / 4	4 / 4	4 / 4	4 / 4	4 / 4
Total Expenditures	\$6,524,005	\$6,440,815	\$6,472,525	\$6,444,861	\$6,444,861

Position Summary		
<u>Adult Outpatient</u>	<u>Youth Outpatient</u>	<u>Community Corrections</u>
3 Senior Clinicians	6 Senior Clinicians	1 Substance Abuse Counselor V
3 Substance Abuse Counselors IV	2 Substance Abuse Counselors IV	1 Substance Abuse Counselor III
3 Substance Abuse Counselors III	4 Substance Abuse Counselors III	3 Substance Abuse Counselors II
16 Substance Abuse Counselors II	11 Substance Abuse Counselors II	
1 Cook		
<u>Grant Positions</u>		
<u>Community Connections</u>		
2 Substance Abuse Counselors II		
1 Mental Health Therapist		
1 Mental Health Supervisor/Specialist		
TOTAL POSITIONS		
54 Positions / 54.0 Staff Years		
4 Grant Positions / 4.0 Staff Years		

Key Performance Measures

Goal

To provide outpatient and case management services that allow people to continue functioning and being productive in their homes, workplace, schools and neighborhoods while receiving treatment.

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Objectives

- ◆ To improve the employment and/or school status for 80 percent of adults who participate in at least 30 days of outpatient treatment.
- ◆ To improve the employment and/or school status for 90 percent of youth who participate in at least 30 days of outpatient treatment.

Indicator	Prior Year Actuals			Current Estimate	Future Estimate
	FY 2008 Actual	FY 2009 Actual	FY 2010 Estimate/Actual	FY 2011	FY 2012
Output:					
Adult Outpatient - Clients served	1,605	1,842	1,630 / 1,649	1,630	1,700
Youth Outpatient - Clients served	674	478	665 / 461	499	499
Efficiency:					
Adult Outpatient - Cost per client	\$1,660	\$1,467	\$1,613 / \$1,492	\$1,613	\$1,294
Youth Outpatient - Cost per client	\$2,944	\$4,236	\$3,082 / \$4,264	\$4,166	\$3,992
Service Quality:					
Adult Outpatient - Percent of clients satisfied with services	93%	90%	90% / 90%	90%	90%
Youth Outpatient - Percent of clients satisfied with services	90%	93%	90% / 90%	90%	90%
Outcome:					
Adult Outpatient - Percent of clients showing improvement in their employment and/or school status after 30 days of treatment	83%	80%	80% / 80%	80%	80%
Youth Outpatient - Percent of clients showing improvement in their employment and/or school status after 30 days of treatment	90%	91%	85% / 85%	90%	90%

Performance Measurement Results

In FY 2010, Adult Outpatient served 1,649 individuals, exceeding its estimate by 19 clients. Adult Outpatient also met the efficiency estimate, resulting in decreased costs per client. The outcome measures for client satisfaction and estimates for obtaining employment or entering school after 30 days of treatment were also met.

In FY 2010, Youth Outpatient served 461 consumers, or 69 percent of the estimate. Individuals in the youth program required more treatment days than anticipated, which caused a decrease in the number served. Projections for future years have been adjusted accordingly.

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CSB - Alcohol and Drug Services

Prevention/Early Intervention Services

Funding Summary					
Category	FY 2010 Actual	FY 2011 Adopted Budget Plan	FY 2011 Revised Budget Plan	FY 2012 Advertised Budget Plan	FY 2012 Adopted Budget Plan
Authorized Positions/Staff Years					
Regular	33 / 33	33 / 33	31 / 31	32 / 32	31 / 31
Total Expenditures	\$2,709,818	\$3,370,140	\$3,407,183	\$3,038,456	\$3,038,456

Position Summary	
<u>Alcohol & Drug Prevention</u>	<u>Early Intervention</u>
1 Substance Abuse Counselor IV	2 Substance Abuse Counselors IV
3 Substance Abuse Counselors III	1 Substance Abuse Counselor III
11 Substance Abuse Counselors II	13 Substance Abuse Counselors II
TOTAL POSITIONS	
31 Positions / 31.0 Staff Years	

Key Performance Measures

Goal

To reduce the incidence of substance abuse, as well as provide community prevention, education, consultation, training and information to business, schools, service providers and residents in order to prevent subsequent alcohol and/or drug abuse.

Objectives

- ◆ To increase knowledge of healthy lifestyles, substance abuse warning signs and available alcohol and drug abuse resources among 90 percent of participants in prevention education programs.

Indicator	Prior Year Actuals			Current Estimate	Future Estimate
	FY 2008 Actual	FY 2009 Actual	FY 2010 Estimate/Actual	FY 2011	FY 2012
Output:					
Units of service for prevention education services	3,487	4,970	3,700 / 3,560	3,800	3,800
Service Quality:					
Percent of clients satisfied with services	91%	92%	90% / 90%	90%	90%
Outcome:					
Percent of participants with higher post-test scores after completion of prevention education programs	85%	87%	90% / 88%	90%	90%

Performance Measurement Results

In FY 2010, Prevention Services provided 3,560 units of prevention education services, 140 less than the projected 3,700. This reduction was the result of two substance abuse counselor positions being held vacant for six months of the fiscal year. The Service Quality estimate was reached, as 90 percent of clients were satisfied with the services they received. The Outcome was not met, as only 88 percent of participants had a higher post-test score following completion of the prevention education program.

Fund 106

CSB - Alcohol and Drug Services

Day Treatment Services **†††**

Funding Summary					
Category	FY 2010 Actual	FY 2011 Adopted Budget Plan	FY 2011 Revised Budget Plan	FY 2012 Advertised Budget Plan	FY 2012 Adopted Budget Plan
Authorized Positions/Staff Years					
Regular	25 / 24.5	22 / 21.5	24 / 23.75	22 / 21.75	24 / 23.75
Grant	3 / 3	3 / 3	3 / 3	3 / 3	3 / 3
Total Expenditures	\$2,227,973	\$2,569,932	\$2,818,562	\$2,329,544	\$2,329,544

Position Summary					
<u>Adult Day Treatment</u>		<u>Youth Day Treatment</u>		<u>Women's Day Treatment</u>	
1	Substance Abuse Counselor III	3	Senior Clinicians	2	Substance Abuse Counselors III
4	Substance Abuse Counselors II	1	Substance Abuse Counselor III	5	Substance Abuse Counselors II
		6	Substance Abuse Counselors II	1	Day Care Center Teacher I, PT
		1	Clinical Psychologist		
<u>Grant Positions</u>					
1	Substance Abuse Counselor III				
2	Substance Abuse Counselors II				
TOTAL POSITIONS					
24 Positions 23.75 Staff Years			PT Denotes Part-Time Position		
3 Grant Positions / 3.0 Staff Years					

Key Performance Measures

Goal

To provide intensive alcohol and drug day treatment services five days a week to keep people functional and productive in their homes, workplaces, schools and neighborhoods while receiving treatment.

Objectives

- ◆ To improve the employment and/or school status for 80 percent of adults who participate in at least 90 days of day treatment services.
- ◆ To improve the employment and/or school status for 80 percent of youth who participate in at least 90 days of day treatment services.

Fund 106 CSB - Alcohol and Drug Services

Indicator	Prior Year Actuals			Current Estimate	Future Estimate
	FY 2008 Actual	FY 2009 Actual	FY 2010 Estimate/Actual	FY 2011	FY 2012
Output:					
Adult Day Treatment - Clients served	150	147	140 / 120	140	145
Youth Day Treatment - Clients served	118	129	130 / 110	130	130
Efficiency:					
Adult Day Treatment - Cost per client	\$3,395	\$3,295	\$4,036 / \$4,034	\$3,435	\$2,868
Youth Day Treatment - Cost per client	\$6,095	\$5,962	\$7,258 / \$6,040	\$5,306	\$4,308
Service Quality:					
Adult Day Treatment - Percent of clients satisfied with services	90%	80%	80% / 80%	80%	80%
Youth Day Treatment - Percent of clients satisfied with services	92%	93%	80% / 80%	80%	80%
Outcome:					
Adult Day Treatment - Percent of adults showing improvement in employment and/or school status after 90 days of treatment	83%	80%	80% / 80%	80%	80%
Youth Day Treatment - Percent of youth showing improvement in employment and/or school status after 90 days of treatment	85%	89%	85% / 85%	80%	80%

Performance Measurement Results

The FY 2010 performance measures for Adult Day Treatment were all met with the exception of the number of clients served which missed its estimate by 20 clients, but the cost to serve those clients was two dollars below the estimate. Client satisfaction and outcome both met their estimates, with 80 percent of adults expressing satisfaction with the services they were provided and 80 percent of adults showing improvement in employment and/or school after 90 days of treatment.

The FY 2010 performance measures for Youth Day Treatment were all met with the exception of the number served, which missed the projection by 20 clients, but the cost to serve those clients was better than the estimate by \$1,218. Client satisfaction and outcome both met their estimates, with 80 percent of the youth served expressing satisfaction with the services they were provided and 85 percent of the youth served showing improvement in employment and/or school after 90 days of treatment.

Fund 106

CSB - Alcohol and Drug Services

Emergency Services

Funding Summary					
Category	FY 2010 Actual	FY 2011 Adopted Budget Plan	FY 2011 Revised Budget Plan	FY 2012 Advertised Budget Plan	FY 2012 Adopted Budget Plan
Authorized Positions/Staff Years					
Regular	18 / 17.5	18 / 17.5	17 / 16.5	18 / 17.5	17 / 16.5
Total Expenditures	\$1,560,637	\$1,574,565	\$1,736,775	\$1,602,565	\$1,602,565

Position Summary	
2 Senior Clinicians	3 Substance Abuse Counselors III
1 Substance Abuse Counselor IV	11 Substance Abuse Counselors II, 1 PT
TOTAL POSITIONS	
17 Positions / 16.5 Staff Years PT Denotes Part-Time Position	

Key Performance Measures

Goal

To provide prompt responses to adult clients seeking crisis intervention, assessment, evaluation and/or emergency substance abuse services and provide centralized entry to all Alcohol and Drug Services programs, as well as referrals to private treatment programs when needed.

Objectives

- ◆ To improve emergency crisis intervention and assessment services so that 85 percent of assessed clients receive the appropriate level of care based on American Society of Addiction Medicines (ASAM) criteria.

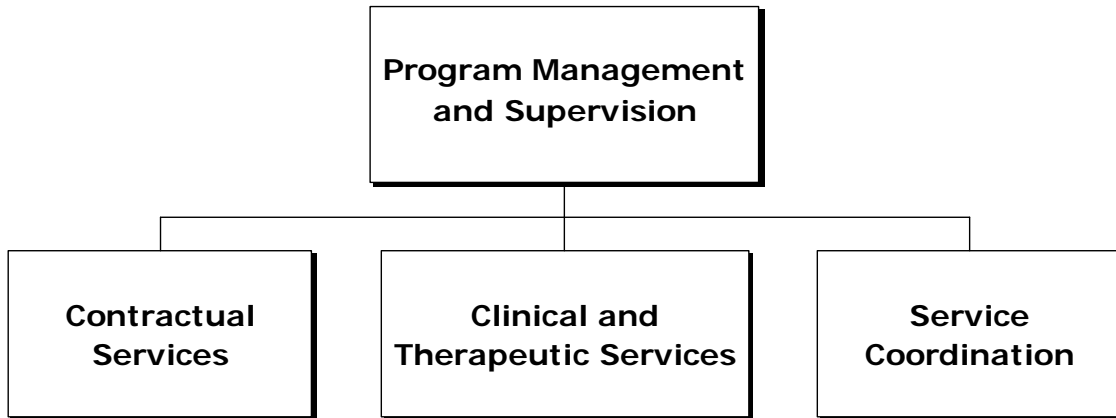
Indicator	Prior Year Actuals			Current Estimate	Future Estimate
	FY 2008 Actual	FY 2009 Actual	FY 2010 Estimate/Actual	FY 2011	FY 2012
Output:					
Clients served	2,265	2,020	2,100 / 2,010	2,150	2,150
Efficiency:					
Cost per client	\$359	\$395	\$391 / \$444	\$428	\$430
Service Quality:					
Percent of clients satisfied with services	98%	97%	95% / 92%	95%	95%
Outcome:					
Percent of clients who access the appropriate level of care based on ASAM criteria	85%	85%	85% / 85%	85%	85%

Performance Measurement Results

In FY 2010, 2,010 consumers were served or 90 less than estimated. Fewer consumers were served due to the number of positions held vacant. The outcome measure of client satisfaction was 92 percent, slightly less than the estimate of 95 percent, but the outcome measure indicating the percent of clients who access the appropriate level of care was met at 85 percent.

Fund 106

CSB - Early Intervention Services



Mission

To support and serve eligible children and their families in order to enhance their day to day activities, facilitate community integration, and promote their overall development. Early Intervention Services (also known as Infant and Toddler Connection or ITC) collaborates with community stakeholders to identify and treat infants and toddlers with developmental delays, a diagnosis with a high probability of delay, and/or atypical development in a timely manner. ITC staff has the expertise to incorporate and advance best practices in the provision of federally-mandated early intervention services and support.

Focus

ITC is a statewide program that provides federally-mandated early intervention services to infants and toddlers as outlined in Part C of the Individuals with Disabilities Education Act (IDEA). ITC provides family-centered intervention to children ages birth to 3 years who need strategies to assist them in acquiring basic developmental skills such as sitting, crawling, walking and/or talking. Families may receive a multidisciplinary evaluation to determine eligibility and service coordination, and to develop an Individual Family Service Plan (IFSP) free of charge. Through public/private partnerships, ITC provides services including, but not limited to: physical, occupational and speech therapy; developmental services; medical, health and nursing services; hearing and vision services; assistive technology (e.g., hearing aids, adapted toys and mobility aids); family training and counseling; service coordination; and transportation. A local coordinating council, known as the Fairfax Interagency Coordinating Council, serves to advise and assist the local lead agency, while the Fairfax-Falls Church Community Services Board (CSB) serves as the fiscal agent and local lead agency.

There has been significant growth in the demand for early intervention services over the last several years, and this growth is expected to continue and even accelerate in the near future. Over the 10-year period between FY 2000 through FY 2010, the number of kids and families served annually by ITC has grown at an average rate of 11.3 percent per year. During the most recent three-year period, the number of kids and families requiring services annually by ITC has increased at an average rate of 13.4 percent per year and from August 1, 2009 to August 1, 2010, the State Part C office reported a 25 percent increase in the number of children served by ITC of Fairfax-Falls Church.

Fund 106

CSB - Early Intervention Services

Coupled with this significant growth, on October 1, 2009, a new Medicaid State Plan amendment for early intervention services took effect and expanded the types of services covered by Medicaid under Part C of the federal plan. In response the State Part C office updated the Part C System of Payments Study (completed in 2003), which identified several issues with the State's implementation of Federal Part C requirements regarding fiscal matters. Changes made as a result of the update standardized reimbursement for all early intervention services across Virginia, and increased access to early intervention services. As a result, the distribution of children covered by Medicaid across all of ITC's contracted vendors has evened out. Previously, vendors were hesitant to accept referrals for children covered by Medicaid because of insufficient payment rates, and ITC was the sole provider of Part C early intervention services for children with Medicaid. The amendment has also further enabled ITC to fill more Medicaid-funded, grant service coordinator positions. The addition of these positions has allowed for caseloads to be moved toward levels where ITC is better able to meet federal compliance requirements at no additional cost to County taxpayers, while maximizing recovery of state and federal Medicaid dollars.

This system transformation posed significant operational challenges for ITC during FY 2010. In preparation for the statewide transformation of Part C services, ITC embarked on a number of system enhancements to ensure that the transition would run smoothly. Beginning in FY 2009 and continuing into FY 2010, ITC moved educational records to a digital database. ITC's Comprehensive Online Data Entry (CODE) system was developed to meet the performance requirements of Part C and to ensure compliance with the Family Educational Rights and Privacy Act regulations. CODE is internet-based and allows providers access to children's educational records in the field, enabling real time communication between therapists and service coordinators. Phase II enhancements to CODE are currently being refined and will be implemented in FY 2012. In addition, ITC secured a private billing contractor to centralize billing for all early intervention service providers and contractors. It is anticipated that centralizing billing will streamline the processes, increase claim appeal filing, facilitate insurance company in-network credentialing to ensure that all children will be served regardless of pay source and ultimately lead to increased insurance company reimbursements.

In anticipation of its continuing growth, ITC also set some business process improvements in motion during FY 2010. The first of these improvements was the change from a centralized program, with all service coordination based out of ITC's Fairfax City office, to a regionalized service coordination delivery model. This model places a service coordination team, an eligibility team and the capacity to assess clients in each of the County's human services regions. It is anticipated that moving staff teams to the communities where families live and providing services in families' homes will not only save staff time and travel expenses, but will also enable staff to benefit from networking and educational opportunities that arise from being co-located with other child-serving agencies. The time gained from reduced travel will also allow more children to be served and greater awareness of and access to ITC services, which will ultimately be better for families. Currently, ITC satellite sites have opened in Human Services Regions 1 and 2, with movement into Region 4 in progress. It is hoped that space in Region 3 can be identified expeditiously and full implementation of ITC satellite sites can be completed by the end of FY 2012.

Fund 106

CSB - Early Intervention Services

The second process improvement implemented by ITC in FY 2010 was the expansion of mobile technology. During FY 2010, ITC of Fairfax-Falls Church was a sub-recipient of federal stimulus funds made available as a result of the American Recovery and Reinvestment Act (ARRA) of 2009. The availability of these ARRA funds enabled the purchase of net books, which allow clinical staff and providers to access and use CODE in the field. This technology allows for documentation of early intervention services to occur in the homes of families while the service is being provided. Not only is documentation done with the family a billable and reimbursable expense (depending on the child's insurance coverage), it also frees up approximately one hour of billable time; time previously used for daily documentation that is now available to serve additional children.

Finally, ITC issued a new Request for Proposal for clinical and therapeutic services in 2009 with hopes of increasing the current contractor pool of early intervention service providers. The resulting contracts awarded to five contractors in September 2009 helped ITC develop a larger pool of private providers to address provider shortages. The anticipated impact of these contracts is coming to fruition. ITC has managed to keep pace with its program population growth with minimal wait times, in part, due to this increased contractor pool. In FY 2010 contractors were evaluated for contract performance through a collaborative process involving contractors' staff, ITC management, ITC staff, and Department of Administration for Human Services (DAHS) contracts management staff. The evaluation process resulted in the acknowledgement of those contractors going above and beyond contract expectations and one contractor requiring a compliance plan to fully meet the expectations of the contract. The process was very educational for all involved, from the contractors' self assessments, through to the post review discussions, and will hopefully contribute to improved outcomes for all service recipients in the future.

ITC also continues to strengthen outreach and support efforts by expanding collaborations with the Fairfax County Health Department, INOVA Fairfax Hospital, and Fairfax County Public Schools (FCPS) to ensure that infants and toddlers get appropriate services as soon as delays are detected. Given the rising incidence of autism in Fairfax County, ITC maintains ongoing relationships with the Virginia Autism Research Center and FCPS to address the early identification of children who might need specialized preschool services for this particular disability. ITC continues to be a leader in the Autism Communities of Practice. In September 2010, ITC provided a two-day training course attended by approximately 200 participants on Pivotal Response Treatment (PRT), a naturalistic intervention model for children with autism. PRT is used to teach language, decrease disruptive/self-stimulatory behaviors, and increase social, communication, and academic skills. PRT targets pivotal areas of a child's development such as motivation, responsiveness to multiple cues, self-management and social initiations, which results in widespread collateral improvements in other social, communicative and behavioral areas in children with autism. In addition, the growing cultural diversity needs of families requiring ITC services across the County is addressed by a list of 43 interpreters maintained by ITC. These interpreters are fluent in 10 languages, including: Spanish, Urdu, Mandarin Chinese, Korean and American Sign Language.

Fund 106

CSB - Early Intervention Services

Budget and Staff Resources

Agency Summary					
Category	FY 2010 Actual	FY 2011 Adopted Budget Plan	FY 2011 Revised Budget Plan	FY 2012 Advertised Budget Plan	FY 2012 Adopted Budget Plan
Authorized Positions/Staff Years					
Regular	20 / 20	20 / 20	21 / 21	21 / 21	21 / 21
Grant	29 / 29	29 / 29	37 / 37	37 / 37	37 / 37
Expenditures:					
Personnel Services	\$3,830,863	\$4,495,753	\$5,001,386	\$5,040,568	\$5,040,568
Operating Expenses	2,634,176	1,703,893	2,436,651	1,130,953	1,130,953
Capital Equipment	0	0	0	0	0
Subtotal	\$6,465,039	\$6,199,646	\$7,438,037	\$6,171,521	\$6,171,521
Less:					
Recovered Costs	\$0	(\$260,000)	(\$260,000)	\$0	\$0
Total Expenditures	\$6,465,039	\$5,939,646	\$7,178,037	\$6,171,521	\$6,171,521
Revenue:					
Fairfax County	\$2,596,465	\$2,625,172	\$2,625,172	\$2,545,745	\$2,545,745
Fairfax City	41,117	41,117	41,117	41,117	41,117
Falls Church City	18,636	18,636	18,636	18,636	18,636
State DBHDS	848,758	570,819	612,365	612,365	612,365
Federal Other	1,101,049	1,101,049	1,121,249	840,937	840,937
Federal ARRA	589,400	0	537,140	0	0
Medicaid Option	430,692	703,094	896,940	1,263,489	1,263,489
Program/Client Fees	562,000	879,759	956,517	849,232	849,232
Fund Balance	276,922	0	368,901	0	0
Total Revenue	\$6,465,039	\$5,939,646	\$7,178,037	\$6,171,521	\$6,171,521

Position Summary		
<p>Program Management</p> <ul style="list-style-type: none"> 1 ID Specialist V 1 ID Specialist IV 1 ID Specialist II 1 Administrative Assistant IV 	<p>Daytime Development Center</p> <ul style="list-style-type: none"> 1 ID Specialist IV 1 ID Specialist III 3 ID Specialists II 2 Physical Therapists II 2 Occupational Therapists II 2 Speech Pathologists II 1 Administrative Assistant II 	<p>Service Coordination</p> <ul style="list-style-type: none"> 2 ID Specialists III 2 ID Specialists II 1 Administrative Assistant II
<p>Program Management</p> <ul style="list-style-type: none"> 1 Administrative Assistant III 	<p>Grant Positions</p> <p>Daytime Development Center</p> <ul style="list-style-type: none"> 3 Physical Therapists II 4 Speech Pathologists II 1 Occupational Therapist II 	<p>Service Coordination</p> <ul style="list-style-type: none"> 2 ID Specialists III 26 ID Specialists II
<p>TOTAL POSITIONS 21 Positions / 21.0 Staff Years 37 Grant Positions / 37.0 Staff Years</p>		

Fund 106

CSB - Early Intervention Services

FY 2012 Funding Adjustments

The following funding adjustments from the FY 2011 Adopted Budget Plan are necessary to support the FY 2012 program. Included are all adjustments recommended by the County Executive that were approved by the Board of Supervisors, as well as any additional Board of Supervisors' actions, as approved in the adoption of the budget on April 26, 2011.

◆ **Employee Compensation** **\$0**

It should be noted that no funding is included for pay for performance or market rate adjustments in FY 2012.

◆ **Miscellaneous Adjustments** **\$161,302**

An increase of \$161,302 is associated with necessary grant and non-grant adjustments.

◆ **Contract Rate Adjustment** **\$47,923**

An increase of \$47,923 in Operating Expenses is associated with a 3 percent contract rate adjustment for providers of contracted early intervention services.

◆ **Fringe Benefits Requirement** **\$22,650**

A net increase of \$22,650 is associated with the conversion of positions to a status that allows employees the option of receiving health benefits. The conversion offers employees the option of receiving benefits, and ensures that the County remains in compliance with recently altered federal health care regulations. Additional information regarding the conversion of positions to Merit Regular status is included in the Changes to FY 2011 Adopted Budget Plan section that follows.

◆ **Reductions** **\$0**

It should be noted that no reductions to balance the FY 2012 budget are included in this agency based on the limited ability to generate additional personnel savings.

Changes to FY 2011 Adopted Budget Plan

The following funding adjustments reflect all approved changes in the FY 2011 Revised Budget Plan since passage of the FY 2011 Adopted Budget Plan. Included are all adjustments made as part of the FY 2010 Carryover Review, FY 2011 Third Quarter Review, and all other approved changes through April 12, 2011.

◆ **Carryover Adjustments** **\$600,894**

As part of the FY 2010 Carryover Review, the Board of Supervisors approved an increase of \$600,894, comprised of \$505,633 in Personnel Services and \$95,261 in Operating Expenses. This includes \$807,744 for new grant awards, including \$537,140 for the federal stimulus American Recovery and Reinvestment Act of 2009 (ARRA) allocation for the Part C grant for children with developmental delays and \$270,604 in partial year funding for the Infant and Toddler Connection program; \$11,716 in encumbered carryover; offset by a decrease of \$218,566 in adjustments to current grants.

◆ **Third Quarter Adjustments** **\$637,497**

As part of the FY 2011 Third Quarter Review, the Board of Supervisors approved a net increase of \$637,497 due to increases of \$357,185 for funding adjustments and realignment between CSB agencies to reflect projected FY 2011 expenditures and \$280,312 in the Part C grant award. This adjustment is comprised of an increase of \$637,497 in Operating Expenses.

Fund 106

CSB - Early Intervention Services

- ◆ **Position Change** \$0
 As part of the FY 2011 review of County position categories, a conversion of 1/1.0 SYE position has been made. The status of limited term positions was reviewed in light of recent changes to federal regulations related to health care and other federal tax requirements. As a result of this review, a number of existing limited term positions have been converted to Merit Regular status.

Key Performance Measures

Goal

To provide early intervention services to infants and toddlers with disabilities and their families to reduce or eliminate the effects of disabling conditions.

Objectives

- ◆ To complete evaluations and develop an Individualized Family Service Plan (IFSP) for 100 percent of families within 45 days from intake call.

Indicator	Prior Year Actuals			Current Estimate	Future Estimate
	FY 2008 Actual	FY 2009 Actual	FY 2010 Estimate/Actual	FY 2011	FY 2012
Output:					
Individuals served	2,044	2,374	2,536 / 2,697	3,098	3,559
Efficiency:					
Annual cost per individual served	\$1,590	\$1,356	\$1,416 / \$1,336	\$1,336	\$1,336
Service Quality:					
Percent of families who agreed that early intervention services made them feel more confident in meeting their child's needs	96%	NA	95% / 91%	95%	95%
Outcome:					
Percent of families who received completed IFSP within 45 days of intake call	81%	100%	100% / 100%	100%	100%
Average number of days from referral to completion of IFSP	34	34	32 / 40	32	32

Performance Measurement Results

ITC served 2,697 infants and toddlers in FY 2010, an increase of 323 individuals over the FY 2009 level of 2,374. This continued increase in the number of children served is reflective of the very large and rapid growth in demand for early intervention services consistently seen over the past several years. This upward trend is expected to continue for the foreseeable future. Consequently, the average number of days from referral to completion of an Individualized Family Service Plan (IFSP) was 40 days in FY 2010, versus a goal of 32 days. The rapidly increasing demand for early intervention services continues to contribute significantly to this shortfall. The new Medicaid State Plan amendment for Early Intervention Services has created new opportunities for provider growth necessary to meet this increase in demand; however, it is still not sufficient to meet the ambitious goal of 32 days. Coupled with the recently implemented increased business process improvements, ITC anticipates being better able to meet this target in the near future.

Fund 106

CSB - Early Intervention Services

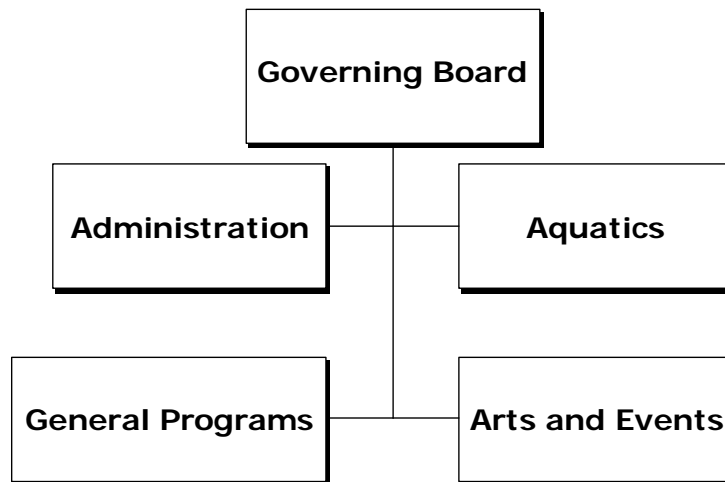
In FY 2010, 91 percent of families agreed that early interventions services made them feel more confident in meeting their child's needs. ITC anticipates being able to use the results of this survey to enhance the methods utilized to make families feel more confident in meeting their child's needs, and therefore, believes that the FY 2011 and FY 2012 estimates will be met or exceeded.

For FY 2010, the percentage of families receiving a completed IFSP within 45 days of intake call was 100 percent, which is compliant with a federally-mandated goal of 100 percent on this measure. Prior difficulties in complying with this mandated requirement were seen in the early part of FY 2008, however, since February 2008, ITC has been in 100 percent compliance with this requirement.

The actual annual cost per individual served with local dollars in FY 2010 was \$1,336, or 5.6 percent lower than the target of \$1,416. This variance was due primarily to ITC receiving additional federal stimulus (ARRA) and Part C grant funds, greater than anticipated collection of non-County revenues from Medicaid and other third-party insurance carriers, and economies of scale accomplished by serving a significantly higher number of kids (i.e., distributing ITC's fixed costs among more kids). Unfortunately, the availability of both local and non-County revenue sources are expected to remain limited for the foreseeable future, particularly with the anticipated loss of federal stimulus (ARRA) funds in FY 2012.

Fund 111

Reston Community Center



Mission

To create positive leisure experiences which enhance the quality of life for all people living and working in Reston by providing a broad range of programs in arts, aquatics, enrichment, recreation and life-long learning, and creating and sustaining community traditions through special events, outreach activities, and facility rentals.

Focus

Reston Community Center (RCC) is a community leader, bringing the community together through enriching leisure time experiences that reach out to all and contribute to Reston's *sense of place*.

RCC provides four 'lines of programming' to the Reston community: Arts and Events, Aquatics, General Programs (i.e., programming designed by age cohort), and Facility Rentals.

RCC operations are supported by revenues from a special property tax collected on all residential and commercial properties within Small District 5. As part of their deliberations on the FY 2007 Adopted Budget Plan, the Board of Supervisors reduced the Small District 5 tax rate for FY 2007 to \$0.047 per \$100 of assessed property value, a decrease of \$0.005 from the FY 2006 rate of \$0.052 per \$100 of assessed value. In addition, the Board passed a resolution in March 2006 that changed the boundaries of Small District 5, resulting in a reduction of 274 parcels. Since these adjustments, the Small District 5 tax rate has remained constant at \$0.047 per \$100 of assessed property value within the revised boundaries. In FY 2012, total property assessments in Small District 5 remain at the same level as FY 2011 pending final assessment evaluations from the Department of Tax Administration.

RCC also collects internal revenues generated by program registration fees, theatre box office receipts, gate admissions and facility rental fees. These activity fees are set at a level substantially below the actual costs of programming and operations since Small District 5 property owners have already contributed tax revenues to fund RCC. Consequently, Small District 5 residents and employees enjoy RCC programs at reduced rates.

Fund 111

Reston Community Center

Beginning in 2002, the RCC Board of Governors adopted a managed reserve structure to provide long-term fiscal security and stability for the fund. The available fund balance is divided now into four reserve accounts designated to provide funds for unforeseen catastrophic facility repairs, feasibility studies for future programming, future capital projects and economic and program contingencies.

In anticipation of both increased demand and resources, the RCC Board of Governors has embarked on in-depth exploration of community needs and best approaches in anticipation of this long-term growth.

To support the Board in strategic planning, the RCC staff team has completed balanced scorecard projects that include increased service delivery in electronic formats, outreach to the community's significant organizations and institutions, collaboration with other entities to support improved communication and services to residents and the business communities, and improving RCC internal processes to continue to deliver outstanding quality services and programs. In FY 2011 RCC will expand its Lake Anne facility by approximately 4,500 square feet to accommodate demand for more fitness/wellness and fine arts offerings. The expansion will be completed and operational by July 1, 2011.

Although the current economic climate presents challenges to maintaining revenues from taxes and fees, the Small District 5 financial outlook is stable. Furthermore, anticipated growth that is predicted to come from revisions to the Reston Master Plan and Metro extension to Dulles International Airport indicate the potential for significant residential population and property value growth and commercial property value growth in the years ahead.

Budget and Staff Resources

Agency Summary					
Category	FY 2010 Actual	FY 2011 Adopted Budget Plan	FY 2011 Revised Budget Plan	FY 2012 Advertised Budget Plan	FY 2012 Adopted Budget Plan
Authorized Positions/Staff Years					
Regular	37 / 37	37 / 37	46 / 46	49 / 49	49 / 49
Exempt	1 / 1	1 / 1	1 / 1	1 / 1	1 / 1
Expenditures:					
Personnel Services	\$3,958,839	\$4,379,896	\$4,379,896	\$4,583,446	\$4,583,446
Operating Expenses	2,621,646	2,867,245	2,882,767	3,066,906	3,066,906
Capital Equipment	0	9,000	9,000	0	0
Subtotal	\$6,580,485	\$7,256,141	\$7,271,663	\$7,650,352	\$7,650,352
Capital Projects	\$393,123	\$750,000	\$2,578,444	\$98,000	\$98,000
Total Expenditures	\$6,973,608	\$8,006,141	\$9,850,107	\$7,748,352	\$7,748,352

FY 2012 Funding Adjustments

The following funding adjustments from the FY 2011 Adopted Budget Plan are necessary to support the FY 2012 program. Included are all adjustments recommended by the County Executive that were approved by the Board of Supervisors, as well as any additional Board of Supervisors' actions, as approved in the adoption of the budget on April 26, 2011.

- ◆ **Employee Compensation** \$0
It should be noted that no funding is included for pay for performance or market rate adjustments in FY 2012.

Fund 111

Reston Community Center

- ◆ **Other Post Employment Benefits** **\$9,107**

An increase of \$9,107 is required to reflect increased costs associated with providing Other Post-Employment Benefits (OPEBs) to retirees, including the Retiree Health Benefits Subsidy. Before FY 2011, costs related to these benefits were paid solely by the General Fund; however, these annual costs are now spread across funds in order to more appropriately reflect benefit-related expenses for the employees within each fund. For more information on Other Post-Employment Benefits, please refer to Fund 603, OPEB Trust Fund, in Volume 2 of the [FY 2012 Adopted Budget Plan](#).

- ◆ **Lake Anne Facility Expansion** **\$394,104**

An increase of \$394,104 is primarily for the Lake Anne facility expansion including \$194,443 for three additional merit positions, 1/1.0 SYE Park/Recreation Specialist II (fitness instructor), 1/1.0 SYE Administrative Assistant II (customer service), and 1/1.0 SYE Facility Attendant I (maintenance) and seasonal employees to alleviate waiting lists for existing programs and to provide classroom instruction at the expanded facility; and \$199,661 in Operating Expenses based on expanded lease and program requirements. The expansion provides RCC Lake Anne facility with an additional 4,471 square feet or 52.7 percent more space, bringing the entire lease premises at the Lake Anne facility to 12,959 square feet. The expansion will be complete and operational early in FY 2012.

- ◆ **Capital Projects** **\$98,000**

Funding of \$98,000 is required to seal the Reston Community Center Hunters' Woods facility roof to create a watertight coating and enhance the environmental "go green" impact allowing roof surface reflectivity.

Changes to FY 2011 Adopted Budget Plan

The following funding adjustments reflect all approved changes in the FY 2011 Revised Budget Plan since passage of the [FY 2011 Adopted Budget Plan](#). Included are all adjustments made as part of the FY 2010 Carryover Review, FY 2011 Third Quarter Review, and all other approved changes through April 12, 2011.

- ◆ **Carryover Adjustments** **\$1,843,966**

As part of the *FY 2010 Carryover Review*, the Board of Supervisors approved an increase of \$1,843,966 due to encumbered carryover of \$15,522, unexpended project balances of \$889,388, and additional project funding of \$939,056 for requested Lake Anne facility upgrades which is supported from an appropriation from Fund Balance.

- ◆ **Position Changes** **\$0**

As part of the FY 2011 review of County position categories, a conversion of 9/9.0 SYE positions has been made. The status of limited term positions was reviewed in light of recent changes to federal regulations related to health care and other federal tax requirements. As a result of this review a number of existing limited term positions have been converted to Merit Regular status.

Cost Centers

The four cost centers in Fund 111, Reston Community Center, are Administration (which includes facility rentals), Arts and Events, Aquatics, and General Programs. These distinct program areas work to fulfill the mission and carry out the key initiatives of the Reston Community Center.

Fund 111

Reston Community Center



Funding Summary					
Category	FY 2010 Actual	FY 2011 Adopted Budget Plan	FY 2011 Revised Budget Plan	FY 2012 Advertised Budget Plan	FY 2012 Adopted Budget Plan
Authorized Positions/Staff Years					
Regular	20 / 20	20 / 20	26 / 26	26 / 26	28 / 28
Exempt	1 / 1	1 / 1	1 / 1	1 / 1	1 / 1
Total Expenditures	\$3,889,320	\$4,729,169	\$6,577,354	\$4,383,465	\$4,383,465

Position Summary					
1 Executive Director, E	1 Management Analyst I	1 Administrative Assistant V			
1 Deputy Director	1 Public Information Officer I	3 Administrative Assistants IV			
1 Financial Specialist II	1 Chief, Bldg. Maintenance Section	1 Administrative Assistant III			
1 Financial Specialist I	2 Senior Bldg. Maintenance Workers	6 Administrative Assistants II (1)			
1 Network Telecom Analyst I	5 Maintenance Workers	1 Graphic Artist III			
1 Communications Specialist II	1 Facility Attendant II (1)				
TOTAL POSITIONS		() Denotes New position			
29 Positions (2) / 29.0 Staff Years (2.0)		E Denotes Exempt Position			

Note: In the FY 2012 Adopted Budget Plan 2/2.0 SYE positions were transferred from the Leisure and Learning cost center.

Key Performance Measures

Goal

To provide effective leadership, supervision and administrative support for Center programs in order to maintain and prepare the facilities of the Reston Community Center for residents of Small Tax District 5.

Objectives

- ◆ Achieve 95 percent public awareness and at least 90 percent patron satisfaction with RCC programs and facilities in Small District 5 of the Reston Community Center and its mission.

Indicator	Prior Year Actuals			Current Estimate	Future Estimate
	FY 2008 Actual	FY 2009 Actual	FY 2010 Estimate/Actual	FY 2011	FY 2012
Outcome:					
Public awareness of the Reston Community Center	95.00%	NA	95.00% / 96.80%	0.00%	95.00%
Patron satisfaction with RCC programs and facilities.	95.00%	NA	90.00% / 94.20%	0.00%	90.00%

Fund 111

Reston Community Center

Performance Measurement Results

The University of Virginia Center for Survey Research conducted a survey of Small District 5 in FY 2010. In FY 2012, RCC will again undertake a survey of Small District 5 to gauge community response to issues that are identified by the Board of Governors.

Efforts in Administration in FY 2010 were also directed toward implementation of the agency's Balanced Scorecard and the related projects therein. The RCC Strategic Plan is scheduled to be reviewed and updated during FY 2011 and will be adjusted based upon issues identified by the Board of Governors, the results of the feasibility study of adaptations to the RCC Hunters Woods Community Room and the RCC Lake Anne facility, and public input through the Community Survey conducted in fall 2009. Further strategic planning will be impacted by the results of Balanced Scorecard project implementation; specifically, migration of processes to electronic environments, enhanced community partnership efforts, and communications strategies on both internal and external bases.

Arts and Events

Funding Summary					
Category	FY 2010 Actual	FY 2011 Adopted Budget Plan	FY 2011 Revised Budget Plan	FY 2012 Advertised Budget Plan	FY 2012 Adopted Budget Plan
Authorized Positions/Staff Years					
Regular	7 / 7	7 / 7	8 / 8	7 / 7	8 / 8
Total Expenditures	\$1,282,919	\$1,355,247	\$1,384,179	\$1,392,721	\$1,392,721

Position Summary					
1	Theatrical Arts Director	2	Asst. Theatre Technical Directors	1	Administrative Assistant IV
2	Park/Recreation Specialists II	1	Theatre Technical Director	1	Administrative Assistant III
TOTAL POSITIONS					
8 Positions / 8.0 Staff Years					

Key Performance Measures

Goal

To provide Performing Arts, Arts Education and Community Event presentations to the residents of Small Tax District 5 in order to increase the cultural awareness of the community in disciplines of dance, theatre, music and related arts as well as to create and sustain community traditions through community events.

Objectives

- ◆ To achieve attendance for Professional Touring Artist Series performances that averages 60 percent or better of capacity.
- ◆ To achieve enrollment in arts education offerings that averages 85 percent or better of capacity.
- ◆ To support community arts organization patrons by providing rental of the CenterStage and related art space as measured by audience attendance of 65 percent of capacity or better at these organizations' presentations.

Fund 111

Reston Community Center

- ◆ To provide artist residency and similar outreach activities in Small District 5 schools and related settings as measured by offerings that reach targeted school age populations (elementary, middle and high school). Outreach activity and performance or other artistic/cultural residency efforts will be provided to 75 percent of eight Small District 5 elementary schools, and 100 percent of the two Small District 5 middle and high schools annually. Attendance totals will vary depending on the nature of the artist residency/outreach activity.

- ◆ To enhance community identity and build community traditions with community events by offering an enhanced number of significant events that averages 12 events per year that feature collaboration and partnership with other community entities with attendance at significant events achieving 92 percent of capacity.

Indicator	Prior Year Actuals			Current Estimate	Future Estimate
	FY 2008 Actual	FY 2009 Actual	FY 2010 Estimate/Actual	FY 2011	FY 2012
Output:					
Professional Artist patrons served	3,746	4,237	3,654 / 3,511	3,306	3,480
Arts' patrons served	1,498	1,574	1,636 / 1,602	1,636	1,885
CenterStage and related art space rental patrons served	NA	9,791	10,420 / 9,275	10,368	10,368
Small District # 5 number of Elementary Schools	NA	0	8 / 5	8	8
Small District # 5 number of Middle and High Schools	NA	2	2 / 2	2	2
Participation in artist residency and outreach activities	NA	800	3,317 / 3,736	3,500	3,500
Number of partnered events offered	NA	11	11 / 13	12	12
Efficiency:					
Cost per Professional Artist patron	\$101.90	\$68.18	\$69.95 / \$107.45	\$102.74	\$95.96
Professional Artist events capacity	7,250	7,740	6,090 / 6,090	5,510	5,800
Efficiency:					
Cost per Arts' patron	\$77.87	\$81.14	\$126.55 / \$97.66	\$117.61	\$91.69
Arts' offerings enrollment capacity	1,698	1,660	1,925 / 1,925	1,925	2,218
CenterStage and related arts space capacity	NA	13,340	15,950 / 13,630	15,950	15,950

Fund 111

Reston Community Center

Indicator	Prior Year Actuals			Current Estimate	Future Estimate
	FY 2008 Actual	FY 2009 Actual	FY 2010 Estimate/Actual	FY 2011	FY 2012
Outcome:					
Professional Artist events attendance as percent of capacity	52.00%	56.00%	60.00% / 57.65%	60.00%	60.00%
Arts' offerings enrollment as percent of capacity	88.2%	95.0%	85.0% / 83.2%	85.0%	85.0%
Attendance as percent of capacity	NA	73.0%	65.0% / 68.0%	65.0%	65.0%
Percent of elementary schools participating	NA	0%	50% / 63%	75%	75%
Percent of Middle/High Schools participating	NA	100%	100% / 100%	100%	100%
Attendance at significant events as a percent of capacity.	NA	90%	92% / 95%	92%	92%

Performance Measurement Results

Performing Arts

During FY 2010, the Arts and Events Department restructured the offerings in the Professional Touring Artist Series by decreasing the number of shows in the season to focus its marketing efforts and increase Arts Education Outreach by visiting artists in Small District 5 schools for master classes and workshops. Cost per patron accordingly increased. Costs include artist fees that provide for Arts Education Outreach activities in addition to Professional Touring Artist Series performances. This also decreased overall capacity and attendance as a percentage of capacity. Snow related closings resulted in rescheduling of two shows. Standardization of ticket pricing at lower Reston prices resulted in a shortfall in projected income for FY 2010.

Arts Education Enrollment

Winter weather decreased enrollment in offerings between December and April in FY 2010.

Rental Capacity

The decrease in rental patrons served and rental capacity is due to the loss of a long time renter and the cancellation of several performances because of winter snowfalls. Rental income targets were missed due to the above and to a significant renter payment delinquency.

Arts Education Outreach

Outreach to area schools was significantly increased by contracting through the Professional Touring Artist Series.

Community Events

Partnered events in the community increased with the addition of the Lake Anne Jazz and Blues Festival and Reston Town Center Holiday Performances.

Fund 111

Reston Community Center

Aquatics

Funding Summary					
Category	FY 2010 Actual	FY 2011 Adopted Budget Plan	FY 2011 Revised Budget Plan	FY 2012 Advertised Budget Plan	FY 2012 Adopted Budget Plan
Authorized Positions/Staff Years					
Regular	5 / 5	5 / 5	5 / 5	6 / 6	5 / 5
Total Expenditures	\$686,695	\$721,627	\$728,668	\$715,549	\$715,549

Position Summary	
1 Park/Recreation Specialist II	2 Administrative Assistants II
1 Park/Recreation Specialist I	
1 Park/Recreation Assistant	
TOTAL POSITIONS	
5 Positions / 5.0 Staff Years	

Key Performance Measures

Goal

To provide a safe and healthy professional pool environment and balanced Aquatic program year round for all age groups in Small Tax District 5.

Objectives

- ◆ To achieve 90 percent enrollment/participation for Instructional, Recreational, and Lap Swimming/Competitive lines of programming.

Indicator	Prior Year Actuals			Current Estimate	Future Estimate
	FY 2008 Actual	FY 2009 Actual	FY 2010 Estimate/Actual	FY 2011	FY 2012
Output:					
Patrons served	43,163	59,290	69,300 / 68,115	69,300	69,300
Efficiency:					
Cost per patron	\$7.12	\$6.79	\$5.46 / \$5.79	\$5.11	\$5.09
Enrollment capacity	77,000	77,000	77,000 / 76,003	77,000	77,000
Outcome:					
Participation enrollment as percent of capacity	56.05%	77.00%	90.00% / 88.46%	90.00%	90.00%

Fund 111

Reston Community Center

Performance Measurement Results

The total cost increased in FY 2010 although the cost per participant decreased due to a 14.9 percent increase the number of patrons served. The increased costs are associated with: (1) increased scheduling of Head Lifeguards to provide required department supervision; (2) new personnel filling 63 percent of the Lifeguard positions during FY 2010 resulting in a significant increase for training hours and related costs; (3) new programming costs associated with DEAP (Drowning Education and Awareness Program); and (4) updated American Red Cross course content for the Learn To Swim classes requiring all Water Safety Instructors to attend orientations to upgrade their certifications. In addition snow storm and other weather-related closures decreased gate sales and use of RCC's Fee Waiver program increased by 50 percent resulting in 323 new patrons participating in the program.

Leisure and Learning

Funding Summary					
Category	FY 2010 Actual	FY 2011 Adopted Budget Plan	FY 2011 Revised Budget Plan	FY 2012 Advertised Budget Plan	FY 2012 Adopted Budget Plan
Authorized Positions/Staff Years					
Regular	5 / 5	5 / 5	7 / 7	10 / 10	8 / 8
Total Expenditures	\$1,114,674	\$1,200,098	\$1,159,906	\$1,256,617	\$1,256,617

Position Summary	
1 Park/Recreation Specialist III	2 Park/Recreation Assistants
5 Park/Recreation Specialists II (1)	
TOTAL POSITIONS	
8 Positions (1) / 8.0 Staff Years (1.0)	
() Denotes New position	

Note: In the FY 2012 Adopted Budget Plan 2/2.0 SYE positions were transferred to the Administration Center Cost Center.

Key Performance Measures

Goal

To provide recreational, educational, and social activities to all age groups in order to provide community-wide, positive, and meaningful experiences in Small Tax District 5.

Objectives

- ◆ To achieve participation rates of 83 percent of maximum enrollment in the Youth, Teen, Adult and Senior registered program offerings.

Fund 111 Reston Community Center

Indicator	Prior Year Actuals			Current Estimate	Future Estimate
	FY 2008 Actual	FY 2009 Actual	FY 2010 Estimate/Actual	FY 2011	FY 2012
Output:					
Enrollment capacity	10,755	8,461	9,360 / 9,943	10,300	11,000
Patrons served	7,173	7,846	7,800 / 8,589	8,549	9,130
Efficiency:					
Cost per participant	\$63.15	\$80.65	\$79.29 / \$73.57	\$79.95	\$73.04
Outcome:					
Participation enrollment as percent of capacity	79.54%	80.00%	83.00% / 86.38%	83.00%	83.00%

Performance Measurement Results

Patron enrollment increased by 743 filled seats and the revenue earned was \$23,352 higher than the projected revenue of \$334,888 due to the refinement and expansion of existing offerings to help alleviate waitlists, as well as the implementation of several new programs. Enrollment capacity will increase in FY 2012 due to the planned expansion in FY 2011 of RCC Lake Anne to accommodate additional fitness and wellness programming. Drop-In program attendance in FY 2010 increased despite multiple inclement weather events that occurred during the winter season. The increase was due to two very successful Teen Lock-In summer events cosponsored by RCC at the Reston Teen Center, as well as very robust and regular attendance for International Mah Jongg, Singles Mingle Book Club, Drop-In Chess, Seniorcize Punch Pass, and the Open Woodshop labs.

Fund 111

Reston Community Center

FUND STATEMENT

Fund Type G10, Special Revenue Funds

Fund 111, Reston Community Center

	FY 2010 Actual	FY 2011 Adopted Budget Plan	FY 2011 Revised Budget Plan	FY 2012 Advertised Budget Plan	FY 2012 Adopted Budget Plan
Beginning Balance	\$8,145,369	\$7,312,506	\$8,746,168	\$6,551,648	\$6,551,648
Revenue:					
Taxes	\$6,581,937	\$6,639,319	\$6,639,319	\$6,639,319	\$6,639,319
Interest	62,398	146,250	146,250	69,644	69,644
Vending ¹	0	0	0	1,800	1,800
Aquatics	266,115	288,000	288,000	284,127	284,127
General Programs	354,850	328,920	328,920	421,259	421,259
Rental	118,270	75,000	75,000	99,000	99,000
Arts and Events	190,837	178,098	178,098	185,206	185,206
Total Revenue	<u>\$7,574,407</u>	<u>\$7,655,587</u>	<u>\$7,655,587</u>	<u>\$7,700,355</u>	<u>\$7,700,355</u>
Total Available	\$15,719,776	\$14,968,093	\$16,401,755	\$14,252,003	\$14,252,003
Expenditures:					
Personnel Services	\$3,958,839	\$4,379,896	\$4,379,896	\$4,583,446	\$4,583,446
Operating Expenses	2,621,646	2,867,245	2,882,767	3,066,906	3,066,906
Capital Equipment	0	9,000	9,000	0	0
Subtotal	<u>\$6,580,485</u>	<u>\$7,256,141</u>	<u>\$7,271,663</u>	<u>\$7,650,352</u>	<u>\$7,650,352</u>
Capital Projects	\$393,123	\$750,000	\$2,578,444	\$98,000	\$98,000
Total Expenditures	<u>\$6,973,608</u>	<u>\$8,006,141</u>	<u>\$9,850,107</u>	<u>\$7,748,352</u>	<u>\$7,748,352</u>
Total Disbursements	\$6,973,608	\$8,006,141	\$9,850,107	\$7,748,352	\$7,748,352
Ending Balance²	\$8,746,168	\$6,961,952	\$6,551,648	\$6,503,651	\$6,503,651
Maintenance Reserve	\$909,504	\$765,559	\$909,687	\$924,043	\$924,043
Feasibility Study Reserve	151,584	153,112	151,615	154,006	154,006
Capital Project Reserve ^{3,4}	3,000,000	3,000,000	3,000,000	3,000,000	3,000,000
Economic and Program Reserve ⁴	3,500,000	3,043,281	2,389,446	2,325,602	2,325,602
Unreserved Balance	\$1,185,080	\$0	\$100,900	\$100,000	\$100,000
Assessed Value	\$0.047	\$0.047	\$0.047	\$0.047	\$0.047

¹ New category to identify sales of vending products.

² The fund balance in Fund 111, Reston Community Center, is maintained at adequate levels relative to projected personnel and operating requirements. Available fund balance is divided into four reserve accounts designated to provide funds for unforeseen catastrophic facility repairs, feasibility studies for future programming, funds for future capital projects and funds for economic and program contingencies.

³ Funds reserved for capital projects are not encumbered based on normal accounting practices; however, they are allocated for future capital projects.

⁴ The Reston Community Center Board of Governors approved the increase of the Capital Project Reserve from \$1,000,000 to a maximum of \$3,000,000 and the creation of an Economic and Program Contingency Reserve on March 2, 2009.

Fund 111

Reston Community Center

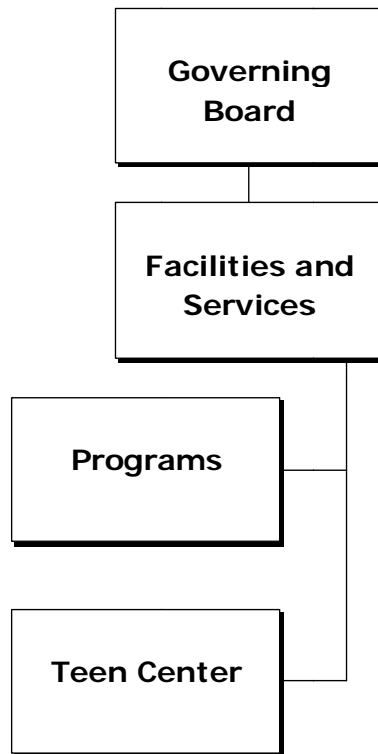
FY 2012 Summary of Capital Projects

Fund: 111, Reston Community Center

Project #	Description	Total Project Estimate	FY 2010 Actual Expenditures	FY 2011 Revised Budget	FY 2012 Advertised Budget Plan	FY 2012 Adopted Budget Plan
003710	Reston Community Center Alterations	\$351,083	\$0.00	\$0.00	\$0	\$0
003716	Reston Community Center Improvements	1,451,636	122,861.00	475,034.00	0	0
003717	RCC HW Facility Renovations	7,107,462	270,261.95	2,103,410.44	98,000	98,000
Total		\$8,910,181	\$393,122.95	\$2,578,444.44	\$98,000	\$98,000

Fund 113

McLean Community Center



Mission

The mission of the McLean Community Center is to provide a sense of community by undertaking programs; assisting community organizations; and furnishing facilities for civic, cultural, educational, recreational, and social activities apportioned fairly to all residents of Small District 1, Dranesville.

Focus

McLean Community Center (MCC or the Center) fulfills its mission by offering a wide variety of civic, social and cultural activities to its residents including families, local civic organizations, and businesses.

MCC offers classes and activities for all ages at nominal fees such as aerobics, computers, acting and tours. Special events and seasonal activities such as McLean Day, Fourth of July, Summer Camp, and a Craft Show are held at MCC, schools and parks. The Alden Theatre presents professional shows, travel films and entertainment for children. The Old Firehouse is a popular teenage social and recreation center in downtown McLean, operated by the Center. Drop-in activities sponsored by MCC are available such as open bridge games and children's cooperative play.



Facilities and operations of the MCC are supported primarily by revenues from a special property tax collected from all residential and commercial properties within Small District 1, Dranesville. The Small District 1 real estate tax rate for FY 2012 is \$0.023 per \$100 of assessed property value which is a decrease of \$0.001 from the FY 2011 tax rate of \$0.024. Other revenue sources include program fees and interest on investments. In FY 2012, total

Fund 113

McLean Community Center

property tax receipts in Small District 1 remain at the same level as FY 2011 pending final assessment evaluations from the Department of Tax Administration.

Financial and operational oversight of the Center is provided by the MCC Governing Board, elected annually. MCC receives its expenditure authority from the Fairfax County Board of Supervisors each fiscal year.

The MCC Governing Board and staff have developed and refined a strategic business plan which directs the expansion of the agency's functions for the next three years. MCC will renovate the theatre as part of the continuing improvements. MCC will train staff to provide information to enhance the Center's capability as a "one-stop shop" for printed and online information on community activities. MCC also seeks to develop programs that increase community involvement of all age groups. Residents and businesses will be included in identifying McLean's community needs and MCC staff will analyze those needs to determine potential areas of expanded programming facilities.

Over the last several years, MCC's Governing Board and staff members have been considering a possible expansion of the main facility, and a relocation or renovation of the Teen Center, a satellite program of MCC that provides after school programs, activities, events and a summer camp program for middle-school-age students. In FY 2007, a survey of Small District 1 residents and users was conducted to provide information concerning their experiences taking classes, attending performances and special events and renting meeting rooms at the Center. A follow up survey is scheduled for FY 2010-11 to gather additional residents and users' opinions about the Center's plans to expand its facilities and programs.

Creating greater awareness of and participation in community activities was also a part of MCC's strategic business plan. MCC will continue to support outreach and marketing and community activities.

MCC will maintain fiscal integrity and expand community support by increasing business and neighborhood partnerships, and by obtaining sponsorships for MCC programs and activities.

Budget and Staff Resources

Agency Summary					
Category	FY 2010 Actual	FY 2011 Adopted Budget Plan	FY 2011 Revised Budget Plan	FY 2012 Advertised Budget Plan	FY 2012 Adopted Budget Plan
Authorized Positions/Staff Years					
Regular	31 / 27.45	31 / 27.45	31 / 27.95	31 / 27.95	31 / 27.95
Expenditures:					
Personnel Services	\$2,357,005	\$2,553,632	\$2,553,632	\$2,561,062	\$2,561,062
Operating Expenses	1,832,056	2,490,908	2,588,173	2,390,795	2,390,795
Capital Equipment	14,259	0	37,633	52,500	52,500
Subtotal	\$4,203,320	\$5,044,540	\$5,179,438	\$5,004,357	\$5,004,357
Capital Projects	\$176,738	\$263,500	\$789,359	\$575,000	\$575,000
Total Expenditures	\$4,380,058	\$5,308,040	\$5,968,797	\$5,579,357	\$5,579,357

Fund 113

McLean Community Center

FY 2012 Funding Adjustments

The following funding adjustments from the FY 2011 Adopted Budget Plan are necessary to support the FY 2012 program. Included are all adjustments recommended by the County Executive that were approved by the Board of Supervisors, as well as any additional Board of Supervisors' actions, as approved in the adoption of the budget on April 26, 2011.

- ◆ **Employee Compensation** **\$0**
It should be noted that no funding is included for pay for performance or market rate adjustments in FY 2012.

- ◆ **Other Post-Employment Benefits** **\$7,430**
An increase of \$7,430 is required to reflect increased costs associated with providing Other Post-Employment Benefits (OPEBs) to retirees, including the Retiree Health Benefits Subsidy. Before FY 2011, costs related to these benefits were paid solely by the General Fund; however, these annual costs are now spread across funds in order to more appropriately reflect benefit-related expenses for the employees within each fund. For more information on Other Post-Employment Benefits, please refer to Fund 603, OPEB Trust Fund, in Volume 2 of the FY 2012 Adopted Budget Plan.

- ◆ **Operating Expenses** **(\$100,113)**
A decrease of \$100,113 is primarily associated with reductions in professional consulting and services, utilities and repairs and maintenance based on prior year actual expenses.

- ◆ **Capital Equipment** **\$52,500**
Funding of \$52,500 is required for the acquisition of a portable sound system and the replacement of an air compressor.

- ◆ **Capital Projects** **\$575,000**
Funding of \$575,000 is required for capital improvements of \$215,000 for the Scene Shop ladder and office, and the heating, ventilation and air conditioning (HVAC) in the theatre balcony; and capital replacements of \$360,000 for MCC carpeting, parking lot paving, theatre seats and HVAC in the sound and lights box office booths.

Changes to FY 2011 Adopted Budget Plan

The following funding adjustments reflect all approved changes in the FY 2011 Revised Budget Plan since passage of the FY 2011 Adopted Budget Plan. Included are all adjustments made as part of the FY 2010 Carryover Review, FY 2011 Third Quarter Review, and all other approved changes through April 12, 2011.

- ◆ **Carryover Adjustments** **\$660,757**
As part of the FY 2010 Carryover Review, the Board of Supervisors approved an increase of \$660,757 due to encumbered carryover of \$269,697 and unexpended Capital Project balances of \$391,060.

Fund 113 McLean Community Center

Cost Centers

The cost center previously entitled Facilities and Services was revised to recognize the component areas included within the cost center. The new title of the cost center is changed to Administration, Facilities and Public Information.

Administration, Facilities and Public Information

Funding Summary					
Category	FY 2010 Actual	FY 2011 Adopted Budget Plan	FY 2011 Revised Budget Plan	FY 2012 Advertised Budget Plan	FY 2012 Adopted Budget Plan
Authorized Positions/Staff Years					
Regular	18 / 14.95	18 / 14.95	17 / 14.45	17 / 14.45	17 / 14.45
Total Expenditures	\$2,068,424	\$2,292,520	\$2,914,790	\$2,633,927	\$2,633,927

Position Summary					
1	Executive Director	1	Communications Specialist II	2	Administrative Assistants V
1	Deputy Community Center Director	1	Facility Attendant II	2	Administrative Assistants III
1	Chief Building Maintenance Section	5	Facility Attendants I, 5 PT	2	Administrative Assistants II, 1PT
1	Accountant II				
TOTAL POSITIONS					
17 Positions / 14.45 Staff Years				PT Denotes Part-Time Positions	

Note: In the *FY 2011 Revised Budget Plan* 1/1.0 SYE was transferred to the Programs Cost Center and the Administrative Assistant V position was converted from a 1/0.5 SYE to 1/1.0 SYE.

Key Performance Measures

Goal

To administer the facilities and programs of the McLean Community Center, to assist residents and local public groups' planning activities and to provide information to citizens in order to facilitate their integration in the life of the community.

Objectives

- ◆ To maintain the number of patrons attending events, activities and classes at or above 87,030.

Fund 113 McLean Community Center

Indicator	Prior Year Actuals			Current Estimate	Future Estimate
	FY 2008 Actual	FY 2009 Actual	FY 2010 Estimate/Actual	FY 2011	FY 2012
Output:					
Patrons served	180,578	179,035	186,519 / 85,340	87,550	87,030
Efficiency:					
Cost per patron	\$9.73	\$9.79	\$10.42 / \$22.17	\$23.85	\$23.05
Service Quality:					
Percent satisfied with service	99%	99%	99% / 99%	99%	99%
Outcome:					
Percent change in patrons using the Center	4.4%	(0.9%)	4.2% / (52.0%)	2.6%	(0.6%)

Performance Measurement Results

Data in the section entitled "Patrons served" captures a different count structure for FY 2010, FY 2011 and FY 2012 when compared to previous fiscal years. The new registration system records data on a per patron registered basis rather than a per patron per class basis. This change from attendance numbers to patron was implemented in FY 2010 with the acquisition of a new registration system (Activenet) which does not calculate attendance. The increase in cost per patron and the decrease in the pro-rated patrons served was due to program cancellations due to inclement weather conditions

Programs

Funding Summary					
Category	FY 2010 Actual	FY 2011 Adopted Budget Plan	FY 2011 Revised Budget Plan	FY 2012 Advertised Budget Plan	FY 2012 Adopted Budget Plan
Authorized Positions/Staff Years					
Regular	10 / 9.5	10 / 9.5	12 / 11.5	12 / 11.5	12 / 11.5
Total Expenditures	\$1,968,896	\$2,584,072	\$2,613,484	\$2,513,982	\$2,513,982

Position Summary		
Instruction & Senior Adult Activities	Performing Arts	Youth Activities
1 Park/Recreation Specialist III	1 Theatrical Arts Director	1 Park/Recreation Specialist II
	1 Theatre Technical Director	
Special Events	1 Asst. Theatre Technical Director	
1 Park/Recreation Specialist II	2 Park/Recreation Specialists I	
1 Park/Recreation Specialist I	1 Administrative Assistant IV	
	1 Facility Attendant II	
	1 Facility Attendant I, PT	
TOTAL POSITIONS		
12 Positions / 11.5 Staff Years		
PT Denotes Part-Time Position		

Note: In the FY 2011 Revised Budget Plan 1/1.0 SYE was transferred from the Teen Center Cost Center and 1/1.0 SYE was transferred from the Administration, Facilities and Public Information Cost Center.

Fund 113 McLean Community Center

Key Performance Measures

Goal

To provide programs and classes to McLean Community Center district residents of all ages in order to promote personal growth and a sense of community involvement.

Objectives

- ◆ To maintain the number of patrons participating in classes and activities at 5,550.
- ◆ To increase the number of patrons attending major community Special Events by 3.1 percent to 33,000, while improving the participant satisfaction level.
- ◆ To decrease the number of patrons served by Performing Arts activities by 6.3 percent to 29,980 consistent with prior years' actual numbers.
- ◆ To increase the number of patrons participating in Youth Activities by 2.8 percent to 18,500.

Indicator	Prior Year Actuals			Current Estimate	Future Estimate
	FY 2008 Actual	FY 2009 Actual	FY 2010 Estimate/Actual	FY 2011	FY 2012
Output:					
Patrons participating in classes and Senior Adult activities.	35,365	37,210	40,425 / 5,530	5,550	5,550
Patrons attending Special Events	28,358	30,145	30,145 / 32,000	32,000	33,000
Patrons at Performing Arts activities	29,358	30,420	31,000 / 29,640	32,000	29,980
Youth Activity patrons	7,232	8,480	10,000 / 18,170	18,000	18,500
Efficiency:					
Cost per patron in classes and Senior Adult activities	\$15.42	\$14.01	\$15.69 / \$13.28	\$16.13	\$16.57
Cost per patron at Special Events	\$11.22	\$9.88	\$12.35 / \$10.31	\$16.09	\$12.69
Cost per patron at Performing Arts activities	\$23.25	\$24.25	\$32.22 / \$26.40	\$29.76	\$32.17
Cost per patron at Youth Activities	\$53.52	\$35.23	\$49.95 / \$18.84	\$27.22	\$25.26
Service Quality:					
Percent satisfied with classes and Senior Adult activities	95%	95%	95% / 95%	95%	95%
Percent satisfied with Special Events	99%	99%	95% / 98%	99%	98%
Percent satisfied with Performing Arts activities	99%	99%	99% / 99%	99%	99%
Percent satisfied with Youth Activities	90%	85%	85% / 90%	90%	93%

Fund 113 McLean Community Center

Indicator	Prior Year Actuals			Current Estimate	Future Estimate
	FY 2008 Actual	FY 2009 Actual	FY 2010 Estimate/Actual	FY 2011	FY 2012
Outcome:					
Percent change in participation in classes and Senior Adult activities	(8.1%)	5.2%	8.6% / NA	0.1%	0.0%
Percent change in participation at Special Events	3.6%	6.3%	0.0% / 2.8%	0.0%	3.1%
Percent change in participation at Performing Arts activities	(6.8%)	3.6%	1.9% / (2.6%)	8.0%	(6.3%)
Percent change in participation at Youth Activities	(36.8%)	17.3%	17.9% / 114.3%	(0.9%)	2.8%

Performance Measurement Results

Data in the section entitled "Patrons participating in classes and Senior Adult activities" captures a different count structure for FY 2010, FY 2011 and FY 2012 when compared to previous fiscal years. The new registration system records data on a per patron registered basis rather than a per patron per class basis. This change from attendance numbers to patron was implemented in FY 2010 with the acquisition of a new registration system (Activenet) which does not calculate attendance.

The number of patrons attending events at MCC, including classes, special events and youth programs, continued to increase in FY 2010. This can be credited to a combination of efforts, including increased marketing, program re-design, and facility improvements. Another contributing factor was the economy as many residents found themselves enjoying their leisure time closer to home and participated in more MCC events. The FY 2010 decrease in patrons at performing arts activities is due to the lack of indoor alternatives to large weather-dependent, outdoor events. Staff members are working to find acceptable auxiliary sites.

Service Quality is measured by customer satisfaction surveys. These are conducted at the conclusion of the classes and other activities, and on-site at special events. A high level of approval has been noted in every aspect of the operation.

Teen Center

Funding Summary					
Category	FY 2010 Actual	FY 2011 Adopted Budget Plan	FY 2011 Revised Budget Plan	FY 2012 Advertised Budget Plan	FY 2012 Adopted Budget Plan
Authorized Positions/Staff Years					
Regular	3 / 3	3 / 3	2 / 2	2 / 2	2 / 2
Total Expenditures	\$342,738	\$431,448	\$440,523	\$431,448	\$431,448

Fund 113

McLean Community Center

Position Summary	
1 Park/Recreation Specialist I	1 Park/Recreation Assistant
TOTAL POSITIONS	
2 Positions / 2.0 Staff Years	

Note: In the FY 2011 Revised Budget Plan 1/1.0 SYE was transferred to the Programs Cost Center.

Key Performance Measures

Goal

To provide a facility for local youth in grades 7 through 12 in order to promote personal growth and provide a safe recreational and productive environment.

Objectives

- ◆ To maintain the yearly number of weekend patrons at 2,500.
- ◆ To increase the yearly number of weekday participants by 500 or 4.2 percent from 12,000 to 12,500, with a future year goal of 13,000.

Indicator	Prior Year Actuals			Current Estimate	Future Estimate
	FY 2008 Actual	FY 2009 Actual	FY 2010 Estimate/Actual	FY 2011	FY 2012
Output:					
Weekend patrons at Teen Center	4,341	5,850	6,233 / 2,571	2,500	2,500
Weekday patrons at Teen Center	10,000	10,325	11,000 / 11,860	12,000	12,500
Efficiency:					
Cost per patron (including weekend and weekday)	\$26.58	\$19.11	\$26.23 / \$23.75	\$29.76	\$28.76
Service Quality:					
Percent of satisfied weekend patrons	90%	95%	95% / 90%	90%	93%
Percent of satisfied weekday patrons	90%	90%	85% / 90%	90%	93%
Outcome:					
Percent change in weekend patrons	0.3%	34.8%	6.5% / (56.1%)	(2.8%)	0.0%
Percent change in weekday patrons	1.0%	3.3%	6.5% / 14.9%	1.2%	4.2%

Performance Measurement Results

In FY 2010 the number of patrons participating in Teen Center weekend and weekday activities decreased by 1,744 or 10.8 percent due to program revisions. The projected future year increase in weekday patrons is attributable to program restructuring as well as the addition of new Teen Center programs.

Fund 113 McLean Community Center

FUND STATEMENT

Fund G10, Special Revenue Funds

Fund 113, McLean Community Center

	FY 2010 Actual	FY 2011 Adopted Budget Plan	FY 2011 Revised Budget Plan	FY 2012 Advertised Budget Plan	FY 2012 Adopted Budget Plan
Beginning Balance	\$11,745,157	\$11,736,776	\$12,551,599	\$12,186,757	\$12,186,757
Revenue:					
Taxes	\$4,076,108	\$4,041,395	\$4,041,395	\$4,041,395	\$4,041,395
Interest	79,501	225,160	225,160	80,000	80,000
Rental Income	44,441	70,900	70,900	30,233	30,233
Instructional Fees	491,808	613,752	613,752	548,772	548,772
Performing Arts	128,257	225,440	225,440	168,375	168,375
Vending	380	975	975	300	300
Special Events	100,104	116,400	116,400	164,820	164,820
Theatre Rentals	6,038	26,200	26,200	6,000	6,000
Intergenerational Programs	138,010	145,800	145,800	143,150	143,150
Miscellaneous Income	25,462	49,233	49,233	15,087	15,087
Teen Center Income	96,391	70,700	70,700	72,300	72,300
Visual Arts	0	18,000	18,000	20,000	20,000
Total Revenue	\$5,186,500	\$5,603,955	\$5,603,955	\$5,290,432	\$5,290,432
Total Available	\$16,931,657	\$17,340,731	\$18,155,554	\$17,477,189	\$17,477,189
Expenditures:					
Personnel Services	\$2,357,005	\$2,553,632	\$2,553,632	\$2,561,062	\$2,561,062
Operating Expenses	1,832,056	2,490,908	2,588,173	2,390,795	2,390,795
Capital Equipment	14,259	0	37,633	52,500	52,500
Capital Projects	176,738	263,500	789,359	575,000	575,000
Total Expenditures	\$4,380,058	\$5,308,040	\$5,968,797	\$5,579,357	\$5,579,357
Total Disbursements	\$4,380,058	\$5,308,040	\$5,968,797	\$5,579,357	\$5,579,357
Ending Balance¹	\$12,551,599	\$12,032,691	\$12,186,757	\$11,897,832	\$11,897,832
Equipment Replacement Reserve ²	\$1,007,426	\$1,007,426	\$1,007,426	\$1,007,426	\$1,007,426
Capital Project Reserve ³	8,574,193	8,574,193	8,574,193	8,574,193	8,574,193
Technology Improvement Fund	200,000	200,000	200,000	200,000	200,000
Unreserved Balance	\$2,769,980	\$2,251,072	\$2,405,138	\$2,116,213	\$2,116,213
Tax Rate per \$100 of					
Assessed Value⁴	\$0.024	\$0.024	\$0.024	\$0.023	\$0.023

¹The ending balance is being set aside to fund a future expansion of the main facility and potentially a relocation or renovation of the Old Firehouse Teen Center, a satellite program of McLean Community Center, providing after school programs, activities, events and a summer camp program for middle-school-age students. It is anticipated that the funding in the Capital Project Reserve will be directed to the expansion and relocation plans. By building up this reserve, the amount of bond funding required will be reduced accordingly.

²Funds reserved for equipment replacement are not encumbered based on normal accounting practices; however, they are allocated for future equipment replacement purchases.

³Funds reserved for capital projects are not encumbered based on normal accounting practices; however, they are allocated for future capital projects.

⁴Effective in FY 2012, the tax rate was reduced to \$0.023 from \$0.024 per \$100 of Assessed Value.

Fund 113

McLean Community Center

FY 2012 Summary of Capital Projects

Fund: 113, McLean Community Center

Project #	Description	Total Project Estimate	FY 2010 Actual Expenditures	FY 2011 Revised Budget	FY 2012 Advertised Budget Plan	FY 2012 Adopted Budget Plan
003601	McLean Community Center Improvements	\$3,649,159	\$176,737.71	\$789,359.29	\$575,000	\$575,000
Total		\$3,649,159	\$176,737.71	\$789,359.29	\$575,000	\$575,000

Fund 115

Burgundy Village Community Center

Mission

To provide and maintain a facility for the citizens of the Burgundy Village district so they may have an opportunity to plan, organize, and implement recreational, social, and civic activities.

Focus

Fund 115, Burgundy Village Community Center, was established in 1970, along with a special tax district, to finance the operations and maintenance of the Burgundy Village Community Center for use by residents of the Burgundy Community. Residents of this district currently pay an additional \$0.02 per \$100 of assessed value on their real estate taxes to fund the Center. The subdivisions of Burgundy Village, Somerville Hill, and Burgundy Manor are included in the special tax district. Funding for Center operations and maintenance is derived from the tax district receipts, interest on Center funds invested by the County, and rentals.

The Burgundy Village Community Center is used for meetings, public service affairs, and private parties. Residents of the Burgundy Community rent the facility for \$50 per event; non-residents are charged \$250 per event. There is no charge for community activities or meetings such as the Burgundy Civic Association, Neighborhood Watch, and community events sponsored by the Operations Board.

The Center is currently governed by a five-member Operations Board elected by the Burgundy Village Community residents.

Budget and Staff Resources

Agency Summary					
Category	FY 2010 Actual	FY 2011 Adopted Budget Plan	FY 2011 Revised Budget Plan	FY 2012 Advertised Budget Plan	FY 2012 Adopted Budget Plan
Authorized Positions/Staff Years					
Regular	0 / 0	0 / 0	0 / 0	0 / 0	0 / 0
Expenditures:					
Personnel Services	\$15,585	\$18,419	\$18,419	\$18,419	\$18,419
Operating Expenses	9,933	25,646	25,646	25,646	25,646
Capital Equipment	0	0	0	0	0
Total Expenditures	\$25,518	\$44,065	\$44,065	\$44,065	\$44,065

FY 2012 Funding Adjustments

The following funding adjustments from the FY 2011 Adopted Budget Plan are necessary to support the FY 2012 program. Included are all adjustments recommended by the County Executive that were approved by the Board of Supervisors, as well as any additional Board of Supervisors' actions, as approved in the adoption of the budget on April 26, 2011.

- ◆ FY 2012 funding remains at the same level as the FY 2011 Adopted Budget Plan.
- ◆ **Employee Compensation** \$0
It should be noted that no funding is included for pay for performance or market rate adjustments in FY 2012.

Fund 115

Burgundy Village Community Center

Changes to FY 2011 Adopted Budget Plan

The following funding adjustments reflect all approved changes in the FY 2011 Revised Budget Plan since passage of the FY 2011 Adopted Budget Plan. Included are all adjustments made as part of the FY 2010 Carryover Review, FY 2011 Third Quarter Review, and all other approved changes through April 12, 2011.

- ◆ There have been no adjustments to this fund since approval of the FY 2011 Adopted Budget Plan.

Key Performance Measures

Objectives

- ◆ To increase the number of community center rentals at least 5.3 percent in FY 2012 in order to maintain a focal point in the community.

Indicator	Prior Year Actuals			Current Estimate	Future Estimate
	FY 2008 Actual	FY 2009 Actual	FY 2010 Estimate/Actual	FY 2011	FY 2012
Output:					
Rentals	241	236	241 / 178	187	197
Efficiency:					
Cost per rental	\$2.09	\$0.00	\$0.00 / \$49.98	\$56.97	\$56.58
Service Quality:					
Percent of users satisfied with the use of the facility	86%	74%	85% / 77%	80%	80%
Outcome:					
Percent change in facility use to create a community focal point	21.1%	(2.1%)	2.1% / (24.6%)	5.1%	5.3%

Performance Measurement Results

In FY 2010, rental revenues decreased as non-resident rentals experienced a sharp decline of 55 percent. This is directly attributed to the loss of a regularly scheduled rental. The Center's cost per rental significantly increased due to this decline in revenue. Although the non-residential bookings have declined, the center did increase their resident engagements by 33 percent which included a regularly scheduled weekly rental. In FY 2010, the customer satisfaction survey indicated an increase in satisfaction with the rental of the facility, which shows the Center is ensuring continued satisfaction to remain a focal point in the community. It is anticipated that rental totals will slightly increase in FY 2011 and FY 2012 as the governing Board has voted to secure paid advertising in local newspapers/magazines/periodicals/bulletins and then ascertain the feasibility of an online application/website to help facilitate application, booking, and payment.

Fund 115

Burgundy Village Community Center

FUND STATEMENT

Fund Type G10, Special Revenue Funds

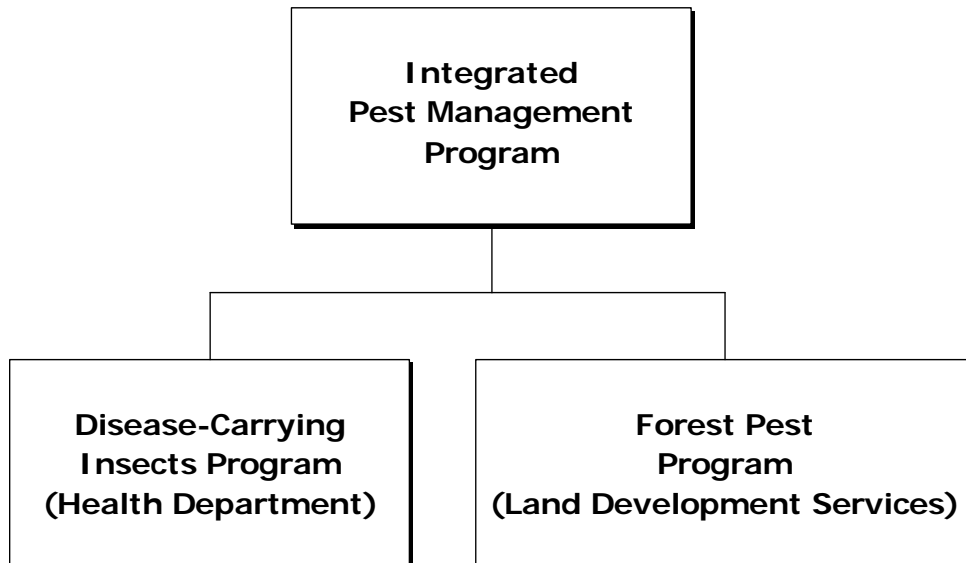
Fund 115, Burgundy Village
Community Center

	FY 2010 Actual	FY 2011 Adopted Budget Plan	FY 2011 Revised Budget Plan	FY 2012 Advertised Budget Plan	FY 2012 Adopted Budget Plan
Beginning Balance	\$241,842	\$256,461	\$258,254	\$271,799	\$271,799
Revenue:					
Taxes	\$24,691	\$23,775	\$23,775	\$23,775	\$23,775
Interest	1,529	4,500	4,500	2,000	2,000
Rent	15,710	29,335	29,335	17,321	17,321
Total Revenue	\$41,930	\$57,610	\$57,610	\$43,096	\$43,096
Total Available	\$283,772	\$314,071	\$315,864	\$314,895	\$314,895
Expenditures:					
Personnel Services	\$15,585	\$18,419	\$18,419	\$18,419	\$18,419
Operating Expenses	9,933	25,646	25,646	25,646	25,646
Total Expenditures	\$25,518	\$44,065	\$44,065	\$44,065	\$44,065
Total Disbursements	\$25,518	\$44,065	\$44,065	\$44,065	\$44,065
Ending Balance¹	\$258,254	\$270,006	\$271,799	\$270,830	\$270,830
Tax Rate per \$100 of Assessed Value	\$0.02	\$0.02	\$0.02	\$0.02	\$0.02

¹ The Burgundy Village Community Center maintains fund balances at adequate levels relative to projected operation and maintenance requirements. These costs change annually; therefore, funding is carried forward each fiscal year, and ending balances fluctuate, reflecting the carryover of these funds.

Fund 116

Integrated Pest Management Program



Mission

To suppress forest pest infestation and insect transmitted human disease throughout the County through surveillance, pest and insect control, as well as public information and education, so that zero percent of the County tree cover is defoliated and human morbidity and mortality are minimized while protecting the environment.

Focus

Fund 116, Integrated Pest Management Program, includes two separate programs – the Forest Pest Program managed by Land Development Services (Department of Public Works and Environmental Services) and the Disease-Carrying Insects Program managed by the Health Department. The Forest Pest Program currently focuses on preventing the spread of gypsy moth caterpillars, cankerworms, emerald ash borers and hemlock woolly adelgid in the County. The Disease-Carrying Insects Program focuses on maintaining a low incidence of the West Nile virus, Lyme disease, and other tick-borne diseases as the prevention of epidemics and spread of disease is one of the core functions of the Health Department.

A countywide tax levy financially supports Fund 116 activities and this levy is subject to change annually due to funding requirements based on the level of infestation. Since FY 2001, the Board of Supervisors-approved tax rate has been \$0.001 per \$100 assessed value and has provided support for both the Forest Pest and the Disease-Carrying Insects Programs. In FY 2012, the same tax rate, along with the existing fund balance, will continue to support both programs.

Forest Pest Program (FPP)

The Forest Pest Program is a cooperative program with the United States Department of Agriculture (USDA) Forest Service and the Virginia Department of Agriculture and Consumer Services (VDACS). VDACS maintains a list of insects that are eligible for control by this program. Currently, four insects are listed -- the gypsy moth, cankerworm, emerald ash borer, and hemlock woolly adelgid.

The gypsy moth program and the cankerworm program investigate tree damage due to both pests by conducting annual monitoring surveys. The surveys check egg masses and larval densities, have an approximate 20 foot radius, are conducted every 2,000 feet throughout the County and are Forest Service

Fund 116

Integrated Pest Management Program

approved. Forested areas with high gypsy moth and cankerworm populations are identified for possible treatment the following spring. The proposed treatment plan and resource requirements for these pests are submitted annually to the Board of Supervisors for approval in February; the County may also be eligible for partial reimbursement for aerial treatment costs from the federal government assuming funding is available. Treatment is conducted in late April through early May before the gypsy moth and cankerworm can damage trees. Throughout the year, staff conducts public hearings, displays information at fairs and exhibits, and distributes brochures, educating Fairfax County communities about pest suppression methods and measures that they may take to alleviate potential forest pest population infestations.

It is noted that the size of pest populations for gypsy moths and cankerworms is cyclical. Populations will be high for a period of years, and then drop for a period, only to rise again. For example, in the early to mid 1990s, annual treatment requirements for the gypsy moth fluctuated from 3,000 to 45,000 acres.

In recent years, gypsy moth populations have moderated. Since FY 2001, treatment acreage has fluctuated between zero acres and 5,500 acres annually, with the average being 2,100 acres. Based on field surveys conducted in the fall of 2010, staff estimates that no acres will require treatment in FY 2011. Cankerworm populations also have moderated in recent years. Treatment has not been necessary since 2003, and none is anticipated in FY 2011. The FY 2012 budget provides capacity to treat 500 acres for gypsy moths and 500 acres for cankerworms, should egg mass surveys conducted between August and January of that fiscal year indicate the need.

The emergence of the emerald ash borer in Fairfax County was identified by VDACS in late 2003. In an effort to ensure that the insects did not spread any further, guidance was given by the USDA Animal Plant Health Inspection Service (APHIS) regarding eradication. Eradication efforts took place in spring 2004 before the adult borers emerged. Those efforts were coordinated among Fairfax County Forest Pest Program staff, APHIS, and VDACS; and, federal funding for eradication efforts was provided to the State. Forest Pest Program staff continued to assist in eradication efforts through monitoring and surveying the treated area; however, County financial support for these efforts is not expected to be significant due to the relatively low emerald ash borer populations found in recent years, and due to potential financial assistance from the Commonwealth of Virginia. In July 2008, staff identified three new infestations in the Newington, Herndon and Bailey's Crossroads areas and one in the Fair Oaks area in July 2009. As a result of these infestations, USDA and VDACS have an established quarantine in northern Virginia which prohibits ash wood material from leaving the area. Fairfax County staff has also implemented an outreach program to inform public and private entities of the state and federal regulations.



On average, County staff annually treats 2,100 acres to combat the gypsy moth infestations.

Hemlock woolly adelgid is a recent addition to the VDACS list of insects that can be controlled by the Forest Pest Program. Staff is considering various control options for this pest.

Fund 116

Integrated Pest Management Program

In March 2011, the Forest Pest Program added monitoring and outreach activities for two additional tree diseases (Thousand Cankers Disease of Black Walnut and Sudden Oak Death) and one additional insect (Asian Longhorned Beetle) to its most recent suppression plan. While staff must petition VDACS to add additional pests to the list of insects and diseases that can be controlled by service districts in Virginia, past experience with new insects and diseases has proven that diligent monitoring, detection, and prevention are much more cost effective and accepted by the public than control.

Black Walnut (*Juglans nigra*) is a tree native to Fairfax County. In the summer of 2010, black walnut trees were observed to be declining near Knoxville, Tennessee. Foresters confirmed that a disease called thousand cankers disease and the walnut twig beetle (*Pityophthorus juglandis*) that spreads it had been artificially introduced to the eastern United States from the West. While thousand cankers disease has not yet been found in Virginia, it is probable that it will reach Virginia in the near future due to the proximity of the infestation in Tennessee. VDACS has recognized the importance of early detection of this pest and is in the process of developing a management plan for its detection and control. Staff recommends that resources in the form of an outreach program be developed in order to monitor for this disease. Key targets of the outreach effort will include homeowners and private tree care companies.

Sudden Oak Death is caused by a fungus (*Phytophthora ramorum*) that has caused wide-scale tree mortality in the western United States since 1995. Fortunately, this disease has been found only in isolated locations in the eastern United States, and officials feel that these infestations have been contained. Diligent monitoring is critical in slowing the spread of this disease, and recent testing methods for it have been developed that are simple and cost-effective. Consequently, staff will implement these monitoring methods and develop a management plan that will address what should happen should Sudden Oak Death be found in Fairfax County. Part of this management plan will include an outreach component that will educate private and public groups on this disease and its control.

The Asian Long-horned Beetle (*Anoplophora glabripennis*) is an invasive, wood-boring beetle that, like the emerald ash borer, has the potential to have drastic economic and social impacts should it be introduced in Fairfax County. The larvae will infest and kill trees by boring into the heartwood of a tree and disrupting its nutrient flow. Imported into the United States via wood packing material used in shipping, infestations of this insect in or near Chicago, New York, and Boston have been discovered since the mid 1990's. These pests will infest many hardwood tree species but seems to prefer maple species, which are one of the predominant trees in Fairfax County's urban forest ecosystem. According to the United States Forest Service, most of the infestation found in the United States have been identified by tree care professionals and informed homeowners. Consequently, staff recommends development of a management plan to address such monitoring and outreach for this invasive species.

Disease-Carrying Insects Program (DCIP)

The West Nile virus (WNV) is transmitted from birds to humans through the bite of infected mosquitoes and it continues to be a public health concern. The first sign of the virus in Fairfax County was in 2000 when a positive bird was detected; subsequently, the disease was found in mosquitoes, horses and eventually in humans. To date there have been 25 human cases detected in the County (13 in FY 2003, three in FY 2004, one in FY 2005, zero in 2006, three in FY 2007, one in FY 2008, one in FY 2009, one in FY 2010, and two to date in FY 2011), with two fatalities, one occurring in FY 2003 and one in FY 2005.

In order to address the presence of emerging diseases, the County established a multi-agency mosquito surveillance and management committee, and the Health Department secured contract services in 2002 to carry out specialized activities in avian (bird) and mosquito surveillance as well as mosquito control.

Fund 116

Integrated Pest Management Program

Currently the program consists of four major components: surveillance, control, outreach/education and emergency preparedness. Inter-jurisdictional cooperation is also a key component of the program, allowing for coordination of surveillance and management activities on public lands and with surrounding jurisdictions.

Since the 2004 WNV season (May to October), avian and mosquito surveillance activities have been performed by County staff in lieu of contracted services. However, contracted services have been retained for the more labor-intensive preemptive control activities that require a significant fleet of vehicles and specialized equipment. A comprehensive larval survey was carried out in FY 2005 and FY 2006 to evaluate the actual extent of mosquito breeding sites in the County. The County continues to proactively treat the stormwater catch basins in an effort to reduce the population of *Culex* mosquitoes that transmit WNV. Catch basins are treated in three six-week cycles from May through October. Treatment cycles are planned to ensure the aggressive suppression of the disease. Weather conditions are the principal factors that determine the number of catch basins that will be treated any given year. Inspection and larviciding activities are carried out in targeted areas of the County identified as significant mosquito breeding areas.

The outreach and education component of the DCIP is aimed at increasing residents' awareness of personal protection actions that can be taken against mosquitoes and ticks, and reduction of mosquitoes, ticks, and mosquito breeding areas on private property. The program continues to produce and distribute outreach material in English, Chinese, Farsi, Korean, Spanish, Urdu and Vietnamese. In FY 2011, the program produced and printed a seventh edition 18-month calendar with complementary captions, facts, figures, important dates, and helpful reminders of things for readers to do around the home to manage mosquitoes and ticks. In addition, the calendar provides helpful hints to protect residents from mosquito- and tick-borne diseases. General facts, local figures and brief descriptions of the County's efforts were included to educate the public about basic mosquito and tick biology and inform them specifically about mosquitoes, ticks, West Nile virus and Lyme disease in Fairfax County. All the educational material is available on the County Web site.

In the past few years, public health awareness has increased in relation to Lyme disease as well as other tick-borne illnesses. Most of these illnesses are bacterial infections transmitted to man by the bite of an infected tick and is an increasing problem in Fairfax County as the endemic area of the diseases continues to expand. A total of 189 human cases of Lyme Disease were recorded in calendar year 2008, 257 cases in 2009, and 283 in 2010. A tick surveillance program was implemented in FY 2005 to determine the distribution and infection rate of the bacterium (*Borrelia burgdorferi*) that causes Lyme disease. In FY 2009, the program expanded to include the sampling of white-footed mice (*Peromyscus leucopus*), the reservoir for this bacterium, to determine its infection rate. Also in FY 2009, the program initiated a tick identification service for County residents to inform them of the type of tick that had bitten them. In FY 2011, the tick surveillance system was able to detect the apparent establishment of a non-native species of tick in the County (the Gulf Coast tick, *Amblyomma maculatum*) that is the vector for a bacterium (*Rickettsia parkeri*) that causes a spotted fever disease. Following detection, focused efforts are being used to try to eliminate this population. These programs and services will continue in FY 2012.

In the spring of FY 2010, the groundwork was laid for a collaborative tick control project between the Health Department (DCIP) and other County agencies. The project uses the four poster tick control device, which is a bait station that applies an insecticide to deer while they are feeding. The insecticide will kill the ticks that are on the deer. Beginning in the spring of FY 2011, the four poster pilot study will

Fund 116

Integrated Pest Management Program

be implemented collaboratively by the DCIP and the Police Department (within its Animal Services cost center).

Budget and Staff Resources

Agency Summary					
Category	FY 2010 Actual	FY 2011 Adopted Budget Plan	FY 2011 Revised Budget Plan	FY 2012 Advertised Budget Plan	FY 2012 Adopted Budget Plan
Authorized Positions/Staff Years					
Regular	10 / 10	10 / 10	12 / 12	12 / 12	12 / 12
Expenditures:					
Personnel Services	\$1,206,831	\$1,195,505	\$1,251,804	\$1,195,505	\$1,195,505
Operating Expenses	969,806	1,707,847	2,030,668	1,707,847	1,827,847
Total Expenditures	\$2,176,637	\$2,903,352	\$3,282,472	\$2,903,352	\$3,023,352

Summary by Program					
Category	FY 2010 Actual	FY 2011 Adopted Budget Plan	FY 2011 Revised Budget Plan	FY 2012 Advertised Budget Plan	FY 2012 Adopted Budget Plan
Forest Pest Program					
Authorized Positions/Staff Years					
Regular	7 / 7	7 / 7	7 / 7	7 / 7	7 / 7
Expenditures	\$851,168	\$1,061,937	\$1,061,937	\$1,061,937	\$1,061,937
Disease-Carrying Insects Program					
Authorized Positions/Staff Years					
Regular	3 / 3	3 / 3	5 / 5	5 / 5	5 / 5
Expenditures	\$1,325,469	\$1,841,415	\$2,220,535	\$1,841,415	\$1,961,415

Position Summary	
FOREST PEST PROGRAM 1 Urban Forester III 4 Urban Foresters II 1 Information Technology Technician III 1 Administrative Assistant II	DISEASE-CARRYING INSECTS PROGRAM 1 Environmental Health Supervisor 1 Environmental Health Specialist III 2 Environmental Health Specialists II 1 Administrative Assistant III
TOTAL POSITIONS	
12 Positions / 12.0 Staff Years	

Fund 116

Integrated Pest Management Program

FY 2012 Funding Adjustments

The following funding adjustments from the FY 2011 Adopted Budget Plan are necessary to support the FY 2012 program. Included are all adjustments recommended by the County Executive that were approved by the Board of Supervisors, as well as any additional Board of Supervisors' actions, as approved in the adoption of the budget on April 26, 2011.

- ◆ **Four Poster Pilot Study** **\$120,000**
An increase of \$120,000 reflects the annual FY 2012 implementation costs of the Four Poster Pilot Study aimed at reducing tick infestation on the County deer population. Total funding for the Four Poster Pilot Study Program is anticipated to be \$382,000 through FY 2014.

- ◆ **Employee Compensation** **\$0**
It should be noted that no funding is included for pay for performance or market rate adjustments in FY 2012.

Changes to FY 2011 Adopted Budget Plan

The following funding adjustments reflect all approved changes in the FY 2011 Revised Budget Plan since passage of the FY 2011 Adopted Budget Plan. Included are all adjustments made as part of the FY 2010 Carryover Review, FY 2011 Third Quarter Review, and all other approved changes through April 12, 2011.

- ◆ **Carryover Adjustments** **\$379,120**
As part of the FY 2010 Carryover Review, the Board of Supervisors approved encumbered funding of \$379,120 in Operating Expenses for contractual agreements.

- ◆ **Position Changes** **\$0**
As part of the FY 2011 review of County position categories, a conversion of 2/2.0 SYE position has been made. The status of limited term positions was reviewed in light of recent changes to federal regulations related to health care and other federal tax requirements. As a result of this review a number of existing limited term positions have been converted to Merit Regular status.

Key Performance Measures

Objectives

- ◆ To control the infestation of gypsy moths, cankerworms, and emerald ash borers through detection and abatement programs so that zero percent of the County tree cover is defoliated in a given year.

- ◆ To suppress the transmission of West Nile virus from infected mosquitoes to the human population, holding the number of human infections to no more than one.

Fund 116 Integrated Pest Management Program

Indicator	Prior Year Actuals			Current Estimate	Future Estimate
	FY 2008 Actual	FY 2009 Actual	FY 2010 Estimate/Actual	FY 2011	FY 2012
Output:					
Gypsy moth/cankerworm field surveys completed annually in areas known or suspected to be infested	4,000	3,200	3,200 / 3,200	3,200	3,200
Mosquito larvicide treatments of catch basins to control West Nile virus	101,416	105,099	105,000 / 109,898	105,000	109,500
Efficiency:					
Gypsy moth/cankerworm field surveys conducted per staff	800	800	800 / 800	800	800
Disease-Carrying Insects Program cost per capita	\$1.25	\$1.28	\$2.02 / \$1.20	\$2.01	\$2.01
Service Quality:					
Percent of County households in gypsy moth and cankerworm treatment areas notified of abatement efforts	100%	100%	100% / 100%	100%	100%
Percent of targeted catch basin areas treated with mosquito larvicide within the scheduled timeframe	96%	90%	100% / 100%	100%	100%
Outcome:					
Percent of County tree defoliation resulting from gypsy moth and cankerworm infestation	0%	0%	0% / 0%	0%	0%
Confirmed human cases of West Nile virus in Fairfax County, Fairfax City and Falls Church City as reported by the Virginia Department of Health	1	1	1 / 1	1	1

Performance Measurement Results

Forest Pest Program: There was no aerial treatment for the gypsy moth in the spring of FY 2010. Based on field surveys of the gypsy moth population in the fall of 2010, staff estimates no acres will require treatment in the spring of FY 2011. Based on surveys for the cankerworm, no treatment was necessary in the spring of FY 2010, and none is required during the spring of FY 2011. Defoliation surveys for both insects conducted in the summer of 2010 indicated that there were no acres of defoliation in Fairfax County during FY 2010, totaling zero percent.

Fund 116

Integrated Pest Management Program

Disease-Carrying Insects Program (DCIP): The goal of DCIP in FY 2012 is to continue to hold the number of human cases of West Nile virus (WNV) as reported by the Virginia Department of Health to no more than one case, the same goal as in the last fiscal year. In FY 2010, there was one human case of WNV in the County.

DCIP costs are based on the number of catch basin treatments and other larvicide treatments carried out by a contractor in a given year, as well as education, outreach and surveillance activities carried out in-house by DCIP. Treatment, although dependent on weather conditions, remain relatively constant throughout the years, maintaining a relatively stable program cost. The total DCIP cost per capita was \$1.20 in FY 2010 and this was lower than the budgeted estimate of \$2.02 per capita due to fewer treatments done than originally budgeted. The actual number of treatments was lower than the estimated number due to unfavorable weather conditions. The estimated cost for FY 2012 provides the capacity for a higher cost per capita; actual spending will depend on environmental factors, insecticide treatments resulting from larval inspections and surveillance activities, as well as follow-up studies for the evaluation of the outreach program.

The tick surveillance program began in FY 2005 and continues in FY 2012. This program will increase the understanding of the magnitude of tick-borne diseases in the County and will define the regions of greatest risk. DCIP has contracted the Johns Hopkins School of Public Health to test the ticks for pathogens they may transmit. The increased testing for the presence of pathogens will also impact DCIP cost per capita in future years.

Fund 116

Integrated Pest Management Program

FUND STATEMENT

Fund Type G10, Special Revenue Funds

Fund 116, Integrated Pest Management Program

	FY 2010 Actual	FY 2011 Adopted Budget Plan	FY 2011 Revised Budget Plan	FY 2012 Advertised Budget Plan	FY 2012 Adopted Budget Plan
Beginning Balance	\$3,275,153	\$2,021,965	\$3,250,878	\$1,782,594	\$1,782,594
Revenue:					
General Property Taxes	\$2,040,864	\$1,765,515	\$1,765,515	\$1,747,860	\$1,747,860
Interest on Investments	21,498	48,673	48,673	4,456	4,456
State Reimbursement	90,000	0	0	0	0
Total Revenue	\$2,152,362	\$1,814,188	\$1,814,188	\$1,752,316	\$1,752,316
Total Available	\$5,427,515	\$3,836,153	\$5,065,066	\$3,534,910	\$3,534,910
Expenditures:					
Forest Pest Program	\$851,168	\$1,061,937	\$1,061,937	\$1,061,937	\$1,061,937
Disease-Carrying Insects Program	1,325,469	1,841,415	2,220,535	1,841,415	1,961,415
Total Expenditures	\$2,176,637	\$2,903,352	\$3,282,472	\$2,903,352	\$3,023,352
Total Disbursements	\$2,176,637	\$2,903,352	\$3,282,472	\$2,903,352	\$3,023,352
Ending Balance¹	\$3,250,878	\$932,801	\$1,782,594	\$631,558	\$511,558
Tax Rate Per \$100 of Assessed Value	\$0.001	\$0.001	\$0.001	\$0.001	\$0.001

¹ Due to the cyclical nature of pest populations, the treatment requirements supported by this fund may fluctuate from year to year. Therefore, Ending Balances may also fluctuate depending on the level of treatment necessary to suppress gypsy moth, cankerworm, emerald ash borer or West Nile Virus - carrying mosquito populations in a given year.

Fund 118

Consolidated Community Funding Pool

Mission

To provide a pool of funds to be awarded on a competitive basis for human service programs offered by community-based agencies. The Department of Administration for Human Services (DAHS) has oversight responsibility for this funding pool.

Focus

The formation of the Consolidated Community Funding Pool (CCFP) began in FY 1997, when the Board of Supervisors approved the development and the implementation of a competitive funding process to fund services best provided by community-based agencies and organizations. These organizations were formerly funded through a contribution or through a contract with an individual County agency. In accordance with the Board's direction, this process was operational in FY 1998 and was guided by the following goals:

- ◆ Provide support for services that are an integral part of the County's vision and strategic plan for human services;
- ◆ Serve as a catalyst to community-based agencies, both large and small, to provide services and leverage resources;
- ◆ Strengthen the community's capacity to provide human services to individuals and families in need through effective and efficient use of resources; and
- ◆ Help build public/private partnerships and improve coordination, especially within the human services regions of the County.

Fund 118 was established in FY 1998 to provide a budget mechanism for this funding process. In FY 2000, Community Development Block Grant (CDBG) funding for community-based organizations was incorporated to form the CCFP.

Prior to FY 2000, the CCFP grant process and the CDBG process were similar activities that operated under different time frames, with separate application requirements and different evaluation criteria. With the December 1997 approval of the Board of Supervisors, these two processes were merged under the title of Consolidated Community Funding Pool. The CCFP is funded from federal CDBG funds for Targeted Public Services and Affordable Housing; federal Community Services Block Grant (CSBG) funds; and local Fairfax County General Funds. Although the process for setting priorities and awarding funds has been consolidated, Fund 118 contains only the local Fairfax County General Fund and CSBG portion of the funds. The federal CDBG funds remain in Fund 142, Community Development Block Grant, for grant accounting purposes. It should also be noted that the CSBG funding is not detailed separately from the General Fund Transfer.

The CCFP process reflects significant strides to improve services to County residents and to usher in a new era of strengthened relations between the County and community nonprofit and faith-based organizations. First, all programs funded through this process are required to develop and track program outcome measures. To aid agencies in meeting this requirement, the County has provided several performance measurement training opportunities for staff and volunteers from all interested community-based agencies. Second, the criteria used to evaluate the proposals explicitly encourage agencies to leverage County funding through strategies such as cash match from other non-County

Fund 118

Consolidated Community Funding Pool

sources, in-kind services from volunteers or contributions from the business community and others. Third, the criteria encourage agencies to develop approaches which build community capacity and involve residents and the individuals and families in the neighborhoods being served. Fourth, the County has implemented a nonprofit organizational development initiative to strengthen current and potential CCFP applicant organizations.

A Request for Proposal (RFP) was issued in the fall of 2009, utilizing these funding criteria as approved by the Board of Supervisors. Funds were awarded for a two-year period on a competitive basis after a citizen Selection Advisory Committee reviewed responses to the RFP. The citizen Selection Advisory Committee recommended two-year funding awards to the Board of Supervisors on April 27, 2010.

Continued efforts have been made to streamline the funding process for both County and community-based agencies. FY 2012 will be the thirteenth year of a consolidated process for setting priorities and awarding funds from both the CCFP and CDBG processes.

FY 2012 initiatives:

- ◆ Continue utilization of the two-year contract awards cycle for agencies receiving funds through the CCFP.
- ◆ Continue provision and coordination of relevant training and technical assistance to build organizational capacity and expand service delivery to meet the County's human services needs.
- ◆ Continue provision of contract oversight which includes program activities, service delivery, contractual compliance and financial management to nonprofit recipients of CCFP funds.
- ◆ Promote approaches which build community capacity and leadership and the involvement of residents and where feasible, the population being served in targeted communities.
- ◆ Review documented service needs and demographic trends and continue to gather relevant information from public meetings, reports and studies, and data from County and nonprofit human service agencies.

FY 2012 is the second year of a two-year funding cycle. The Consolidated Community Funding Advisory Committee (CCFAC) has organized the FY 2011/FY 2012 funding priorities according to four areas, and adopted corresponding outcome statements. The CCFAC also recommended, and the Board approved, target percentage ranges for each priority area for FY 2011/FY 2012, which are intended to be used as guidelines for applicants and for the citizen Selection Advisory Committee.

Priority Area	Outcome Statement	Target
Prevention	Families and individuals get help to remain independent and have the tools and resources to prevent future or ongoing dependence. Communities increase their ability to develop and provide preventive services.	10 -- 20%

Fund 118 Consolidated Community Funding Pool

Priority Area	Outcome Statement	Target
Crisis Intervention	Individuals, families, or communities in crisis overcome short-term problems (generally less than three months) and quickly move back to independence, if appropriate.	15 -- 25%
Self-Sufficiency	Families, individuals, neighborhoods, and communities get comprehensive services addressing many facets and needs so that they can attain self-sufficiency over a period of three months to three years.	45 -- 55%
Ongoing Assistance	People, neighborhoods, and communities that have continuing and long-term needs achieve or maintain healthy, safe and independent lives to the maximum extent possible.	10 -- 20%

The Department of Administration for Human Services has administrative oversight responsibility for the CCFP. Together with the Fairfax County Department of Housing and Community Development, the Department of Family Services, the Department of Neighborhood and Community Services and the Office to Prevent and End Homelessness, they are responsible for planning, implementing and oversight of all facets of the CCFP process.

Recognizing the need for the critical services provided by CCFP contractors to the community, families, and individuals, particularly in the current economic downturn, the Board of Supervisors approved keeping the FY 2012 General Fund transfer at the same level as FY 2011. The Fund 142, CDBG award currently reflects a placeholder amount reflective of the most recent information available, and may decrease pending final assessment of the full impact of federal funding decisions. Final allocation of CCFP funding will be made as part of the *FY 2011 Carryover Review*. At present, total CCFP FY 2012 funding is estimated to be \$11,053,601. A breakdown of this funding is shown in the following table:

Funding Source	FY 2012 Adopted Budget
General Fund Transfer (includes estimated CSBG revenue to General Fund)	\$8,970,687
CDBG (shown in Fund 142, CDBG)	\$2,082,914
Total CCFP	\$11,053,601

Budget and Staff Resources

Agency Summary					
Category	FY 2010 Actual	FY 2011 Adopted Budget Plan	FY 2011 Revised Budget Plan	FY 2012 Advertised Budget Plan	FY 2012 Adopted Budget Plan
Expenditures:					
Operating Expenses	\$9,082,779	\$8,970,687	\$9,154,331	\$8,970,687	\$8,970,687
Total Expenditures	\$9,082,779	\$8,970,687	\$9,154,331	\$8,970,687	\$8,970,687

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Consolidated Community Funding Pool

FY 2012 Funding Adjustments

The following funding adjustments from the FY 2011 Adopted Budget Plan are necessary to support the FY 2012 program. Included are all adjustments recommended by the County Executive that were approved by the Board of Supervisors, as well as any additional Board of Supervisors' actions, as approved in the adoption of the budget on April 26, 2011.

- ◆ FY 2012 funding remains at the same level as the FY 2011 Adopted Budget Plan.

Changes to FY 2011 Adopted Budget Plan

The following funding adjustments reflect all approved changes in the FY 2011 Revised Budget Plan since passage of the FY 2011 Adopted Budget Plan. Included are all adjustments made as part of the FY 2010 Carryover Review, FY 2011 Third Quarter Review, and all other approved changes through April 12, 2011.

- ◆ **Carryover Adjustments** **\$183,644**
As part of the FY 2010 Carryover Review, the Board of Supervisors approved encumbered funding of \$183,644 in Operating Expenses to complete and settle all FY 2010 contracts.

Project Allocations

The FY 2012 Adopted Budget Plan shows the funding allocations for Fund 118, Consolidated Community Funding Pool and the funding distribution for the Community Development Block Grant. The following chart summarizes the projected FY 2012 funding awards (the second year of a two-year cycle) from the Consolidated Community Funding Pool as recommended by the CCFP Citizen Selection Advisory Committee and as approved by the Board of Supervisors on April 26, 2011. It should be noted that Fund 142, CDBG, amounts are based on the federal FY 2011 CDBG allocations from the United States Department of Housing and Urban Development (HUD), and reflect an increase of \$72,124 from the estimated CDBG funding amount shown in the FY 2011 Adopted Budget Plan. The CDBG funding was approved by the Board of Supervisors as part of the Consolidated Plan One-Year Action Plan for FY 2012 pending approval of the final HUD award.

Organization	Program Name	Description	Fund 118 Award	Fund 142 Award
ACE Foundation	Education for Independence	Workforce development program for low-income single parents, single pregnant women and displaced homemakers to attain self-sufficiency.	\$65,000	
Alliance for the Physically Disabled (APD)	APD Housing Administration	Housing with personal assistance services to care for low-income adults with severe physical disabilities.	\$50,000	
Alternative House	Culmore Youth Outreach Program	Tutoring, computer training, individual and group counseling and recreational activities to at-risk youth.	\$85,000	
Alternative House	Annandale Safe Youth Project	Safe activities for youth including tutoring, computer training, recreational opportunities, substance abuse prevention groups, and counseling.	\$52,000	
Alternative House	Assisting Young Mothers	Transitional housing, basic life skills training, employment counseling, case management services and parenting skills services to young women between the ages of 18 and 21.	\$39,500	

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Consolidated Community Funding Pool

Organization	Program Name	Description	Fund 118 Award	Fund 142 Award
Alternative House	Culmore Safe Youth Project	Counseling, homework assistance, supervised recreation, and job training services to at-risk youth.	\$50,000	
Alzheimer's Family Day	Wraparound Family Caregiver Support Program	Support family caregivers of adults with Alzheimer's disease (and related dementia) by providing services that complement the adult day health care that is provided to the individuals with dementia.	\$75,000	
Annandale Christian Community for Action (ACCA)	Nutrition/Hygiene	Emergency food and household needs to low-income individuals and families.	\$22,400	
ACCA	Family Emergency Assistance	Emergency financial assistance for rent, security deposits, utilities, medical services and other non-food essentials.	\$65,000	
Beth El House, Inc.	Beth El House	Mental health, health and social services for mothers and children in transitional housing.	\$30,000	
Bethany House of Northern Virginia	Family Assistance Program	Provide housing and continuum of care to women and children made homeless as a result of domestic violence.	\$133,500	
Big Brother Big Sister of the National Capital Area	Hermanos y Hermanas Mayores Latino Outreach Initiative	Mentoring services for at-risk Latino youth.	\$150,000	
Boat People SOS	Asian Youth Empowerment	Provides a safe, adult supervised environment to prevent youth gang recruitment after school.	\$55,000	
Boat People SOS	Victims of Violence, Exploitation & Trafficking Assistance Program	Case management services and legal assistance for Vietnamese victims of domestic violence and sexual assault.	\$110,750	
Boys & Girls Clubs of Greater Washington	Gang Prevention in Fairfax Regional Clubs	After school and summer youth programs for low income families.	\$50,000	
Brain Foundation, The	Laura's House	Acquisition of two 4 bedroom townhomes which will be rented at affordable rents to extremely low income individuals with mental illness.		\$300,000
Business Development Assistance Group, Inc.	Self Sufficiency through Self Employment	Assistance and training for those who want to become self-sufficient through business ownership.	\$25,000	
Capital Youth Empowerment Program	Fathers In Touch	Enrichment services through a 12-week fatherhood/parenting class that will meet for 2 hours twice a week.	\$50,000	
Catholics for Housing	Virginia Ely Senior Rental Assistance	Rental assistance to low income seniors.	\$157,500	
Christian Relief Services, Inc.	Homes for the Homeless	Support services to individuals and families in transitional housing.		\$117,690
Christian Relief Services, Inc.	Housing Counseling I, II, III	Prevention and intervention services aimed at reducing homelessness.	\$10,607	
Community Preservation & Development Corp.	Island Walk After School Support Program (IWP)	Home support systems to increase literacy and academic skills. The program will provide three educational components: intensive reading tutorial program, homework support for younger children (grades 1-6) and homework support for youth in grades 7-12.	\$86,239	

Fund 118

Consolidated Community Funding Pool

Organization	Program Name	Description	Fund 118 Award	Fund 142 Award
Community Residences	Healthy Lifestyles for People with Disabilities	Comprehensive wellness program utilizing consumer empowerment to promote the adoption of a holistically healthy lifestyle for individual adults with intellectual disabilities.	\$49,306	
Computer CORE	Jobs Skills Education & Training	Assist low-income adults acquire the technological and life skills to enable them to pursue career aspirations.	\$32,000	
Ethiopian Community Development Council Enterprise Development Corp.	Microenterprise Program	Provide loans to low- and moderate-income persons starting or expanding businesses.	\$4,000	\$73,000
Ecumenical Community Helping Others, Inc.	Emergency Needs Assistance	Emergency and basic needs assistance.	\$50,000	
FACETS	Education & Community Development	Life skills training, employment and counseling supports needed to overcome barriers to self-sufficiency.		\$100,110
FACETS	Emergency Services & Supportive Housing	Intervention and support services to individuals and families experiencing homelessness.		\$131,920
Fairfax Court Appointed Special Advocates (CASA), Inc.	Advocating in Court for the Best Interests of Children in Crisis due to Abuse & Neglect	Advocacy and support services for abused and neglected children.	\$203,000	
Fairfax FISH, Inc.	For Immediate Sympathetic Help	Short-term emergency assistance with basic needs for families in crisis.	\$35,400	
Fairfax Law Foundation	Northern Virginia Pro Bono Law Center (formerly Pro Bono Program)	Pro bono civil legal services to low-income families and individuals to promote self-sufficiency.	\$60,000	
Falls Church Community Service Council, Inc.	Emergency Assistance	Emergency financial assistance for rent, utilities, medicines, and special needs.	\$69,000	
Falls Church Community Service Council, Inc.	Homeless Day Shelter - Safe Haven	Day shelter for homeless individuals that will address physical, medical and psychological needs.	\$30,000	
Falls Church Community Service Council, Inc.	Emergency Food Pantry	Food delivered to families and individuals in crisis.	\$22,000	
Falls Church - McLean Children's Center	Successful Start	Comprehensive childhood program	\$50,000	
Family Preservation & Strengthening Services	Family Stabilization & Self-Sufficiency	Intensive case management and rental assistance.	\$85,000	
Food & Friends	Home Delivered Food (Meals & Groceries)	Free home delivered meals, groceries and nutritional counseling to individuals suffering from life threatening illnesses.	\$30,000	
Food for Others	Food for Others/Fairfax	Emergency food services.	\$145,000	
Friends of Guest House	Residential, Aftercare, & Outreach	Transitional residence for female ex-offenders and support services to help transition from prison life.	\$31,272	
Good Shepherd Housing & Family Services, Inc.	Homes for the Working Poor, Elderly, & Disabled	Emergency assistance, rental and homeless transition services.	\$195,191	\$94,054

Fund 118

Consolidated Community Funding Pool

Organization	Program Name	Description	Fund 118 Award	Fund 142 Award
Good Shepherd Housing & Family Services, Inc.	Emergency Services-Keeping Families at Home	Counseling and grants to allow clients in short-term crises to avoid eviction or utility disconnection.	\$1,731	\$59,510
GRACE Ministries of the United Methodist Church	Integrated Immigrant Services Program	Emergency assistance through distribution of food, clothing, health screenings and health resource information, job training, and job skills training in the areas of personal care aide training and commercial driver's license training.	\$54,000	
Helping Children Worldwide	Connections for Hope & HOST Team Region 3 Collaboration	Access to multiple services by providing space for several organizations to co-locate for easier access to services. Services will include health care, ESL, legal services, computer training, services to prevent and end homelessness, and remedial homework assistance.	\$120,000	
Herndon-Reston FISH, Inc.	Family Assistance Prevention/Crisis Intervention	Referral services and financial assistance.	\$93,800	
Hispanic Committee of Virginia	Crisis Intervention & Family Self-Sufficiency	Employment counseling and education training in the areas of financial literacy, homeownership, micro-enterprise development, ESL, citizenship and after-school mentoring.	\$350,000	
Homestretch, Inc.	Homestretch Transitional Housing	Comprehensive transitional housing services for homeless families.		\$380,000
Homestretch, Inc.	ADDRESS - Aggressive Dynamic Debt Reduction Elimination & Savings Strategies	Financial education to help homeless families become self-sufficient.		\$35,000
Homestretch, Inc.	The Homestretch English as a Second Language Program	ESL instruction for individuals enrolled in the transitional housing program.		\$40,000
Housing & Community Services of Northern Virginia	Case Management/Housing Counseling	Housing counseling and case management service to low income individuals and families.	\$130,000	
Infant Toddler Family Day	Family Child Care Teacher Training & Workforce Development	Assist emerging low-income immigrants develop the skills needed to become childcare providers.	\$70,000	
James Mott Community Assistance Program	Self-Sufficiency Program	Emergency assistance with food, rent and utilities.	\$181,203	
Jeanie Schmidt Free Clinic	Screen, Treat, Educate, Program (STEP)	Healthcare services to uninsured, low-income individuals in the Reston-Herndon area.	\$104,145	
Jewish Community Center of Northern Virginia	Camp Shalom	Summer camp sessions for children in grades 1-6 with a variety of communication issues, including Autism Spectrum Disorders, Down Syndrome, and ADHD.	\$25,000	
Jewish Social Service Agency	Helping Troubled Children & Teens by Strengthening Families	Supportive counseling and educational services to troubled teens and their families.	\$49,000	
Just Neighbors Ministry	Immigration Legal Services	Immigration-related legal services.	\$69,000	
Koinonia Foundation, Inc.	Emergency Relief Services	Financial assistance for rental and utility expenses in South County.	\$25,000	

Fund 118

Consolidated Community Funding Pool

Organization	Program Name	Description	Fund 118 Award	Fund 142 Award
Korean American Association of Northern Virginia	Vocational Training for Korean Americans in Fairfax County	Vocational training.	\$65,676	
Korean American Family Counseling Center	Family & Youth Counseling for Korean Americans in Fairfax County	Provides help to low-income Asian-American students in grades K-12 and their parents that are in need of opportunities and resources to achieve academic goals, learn parental skills and develop a social support network.	\$24,000	
Korean American Family Counseling Center	Peer-to-Peer Youth Learning Program	Family and youth counseling services to promote mental health, a school based Support Group for Youth and a monthly educational seminar.	\$17,000	
Korean Community Service Center of Greater Washington	Mental Health Resources Project	Comprehensive range of direct services aimed at helping Asian individuals/families improve their health and mental health status.	\$40,000	
Korean Community Service Center of Greater Washington	Self-Sufficiency Project for Korean Immigrants	Social service, health care, employment, youth and elderly services for Korean families.	\$73,000	
Legal Aid Justice Center	Legal Assistance for Immigrants-Employment	Legal service and education to low-wage immigrants concerning employment rights.	\$100,000	
Legal Services of Northern Virginia	Legal-Aid Families & Consumers	Legal services to low-income families and individuals.	\$438,558	
Legal Services of Northern Virginia	Legal Aid- Housing & Employment	Legal services in the areas of housing and employment.	\$158,000	
Legal Services of Northern Virginia	Legal Aid-Immigrant Law Project	Legal information, outreach, legal advice and representation to immigrants.	\$56,000	
Legal Services of Northern Virginia	Legal Aid - Access to Justice Route 1	Free civil legal services to low-income families and individuals with a focus on family and housing issues.	\$99,907	
Literacy Council of Northern Virginia	Adult Basic Literacy/ESOL Tutoring & Classroom Programs	Beginning-level literacy and English language adult education.	\$82,000	
Lorton Community Action Center	Self Sufficiency	Self-sufficiency case management and basic needs assistance services.	\$46,195	
Lorton Community Action Center	Crisis Intervention	Basic needs to the low-income residents of the Lorton area.	\$57,000	
Lorton Community Action Center	Ongoing Assistance for Independent Living	Assistance to low income at-risk Lorton-area residents through the provision of food, case management, medical and prescription assistance, and direct assistance.	\$25,000	
Lutheran Social Services of the National Capital Area	Refugee Self-Sufficiency Program	Intensive case management and employment services to low-income refugee families with unemployed heads of households who are homeless or threatened with homelessness.	\$60,000	
National Rehabilitation & Rediscovery Foundation	Holistic Approaches for Achieving Self-Sufficiency & Independence for Individuals with Disabilities	Weekly neuromuscular training, movement therapy, motor skills and therapeutic dance/movement workshops.	\$42,000	
New Hope Housing, Inc.	Housing First Services for Chronically Homeless Adults	Community case management for chronically homeless adults in Region 1 and the city of Falls Church.		\$71,250

Fund 118

Consolidated Community Funding Pool

Organization	Program Name	Description	Fund 118 Award	Fund 142 Award
Newcomer Community Service Center	Newcomer Self-Sufficiency Program	Immigration services and citizenship counseling, employment services, ESL instruction, case management, and information and referral services.	\$41,000	
Northern Virginia AIDS Ministry	Medical Transportation Support Services	Transportation and emergency financial assistance for persons infected with AIDS.	\$22,562	
Northern Virginia AIDS Ministry	Access Advocacy for Children/PALS	Mentoring services for children affected by HIV/AIDS.	\$19,600	
Northern Virginia AIDS Ministry	HIV/AIDS Prevention Education for Youth	Train youth to serve as HIV Prevention Educators for their peers and provide outreach through prevention peer education training, skill building workshops, and community outreach.	\$47,390	
Northern Virginia Community College Educational Foundation	American Dream Team	Employment-focused ESL programs to low-income and moderate-income residents of Fairfax County; identification and recruitment of employers whose hiring needs match the target population.	\$95,000	
Northern Virginia Community College Educational Foundation	Northern Virginia Restorative Dental Clinic	Routine and restorative dental care services.	\$75,000	
Northern Virginia Dental Clinic, Inc.	Northern Virginia Dental Clinic	Oral health care services to low-income and uninsured adults.	\$98,000	
Northern Virginia Family Service (NVFS)	Training Futures	Skills training to low-income adults.	\$130,000	
NVFS	Multicultural Human Services	Northern Virginia Family Service Multicultural Human Services (MHS) provides mental health and social service programs to English-limited immigrant and refugee individuals and families for whom existing services are inaccessible due to language and cultural barriers, an overburdened workforce, or fees that are not affordable including intake & referral activities, case management, educational activities, mental health services and Immigration & Citizenship services.	\$369,722	
NVFS	Adult Health Direct Assistance	Financial assistance with medical costs and information referral to affordable medical and dental services.	\$20,000	
NVFS	Accessible Medication Program	Intensive targeted assistance in applying to pharmaceutical companies for free, ongoing medication for chronic illnesses.	\$37,509	
Northern Virginia Urban League	Fairfax Resource Mothers	Pre and post natal intervention support services to teens at risk of delivering infants with low birth weight.	\$325,587	
Northern Virginia Coalition (NOVACO), Inc.	Housing & Services for Victims of Abuse & Low Income Families	Meet basic needs, increase job skills and assistance in obtaining permanent housing.	\$75,000	
Opportunities, Alternatives & Resources (OAR) of Fairfax County, Inc.	Challenge to Change	Employment skills training, mentoring, counseling and family assistance services for incarcerated individuals.	\$640,000	

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Consolidated Community Funding Pool

Organization	Program Name	Description	Fund 118 Award	Fund 142 Award
Our Daily Bread	Family Assistance	Three service components including food assistance, financial assistance and financial education to assist low-income families who are experiencing financial crises.	\$100,000	
Pathway Homes, Inc.	Pathways to Self-Sufficiency	Housing, case management and supportive services to persons with serious mental illness and/or dual diagnosed who are at risk of chronic homelessness.	\$125,000	
PRS, Inc.	Project HOPE	Specialized services to individuals with serious mental health illnesses who are at risk of becoming homeless.	\$65,000	
Residential Youth Services, Inc.	LIFT One & LIFT Two Program	Affordable or transitional housing programs for homeless, at risk and aging-out foster care youth.	\$60,000	
Reston Drop-In Center	Mental Health & Homeless Support	Center where extremely low income homeless persons and persons with psychological illness can receive compassionate and supportive attention, including hot meals, recreational activities and computer training.	\$10,000	
Reston Interfaith Housing Corporation	RIHC Affordable Housing Acquisition	Preserve four scattered site 3 bedroom town homes in the Reston/Herndon area (2 will be acquired in FY 2011 and 2 more in FY 2012).		\$481,000
Reston Interfaith, Inc.	Emergency & Self-Sufficiency Services Program	Emergency and supplemental food donations, financial assistance, case management, clothing, and holiday food baskets/gifts.	\$177,000	
Reston Interfaith, Inc.	Herndon Enrichment Program	Basic math, reading, and writing skills.	\$24,742	
Reston Interfaith, Inc.	Cedar Ridge Community Center	Low- and moderate-income housing management and social service assistance services.	\$63,000	
Reston Interfaith, Inc.	RI Affordable Housing Administration	Preservation, management and asset management of affordable rental units.	\$157,020	
Senior Employment Resources	Unemployment Crisis Prevention for Seniors	Employment services for people over age 50.	\$30,000	
ServiceSource, Inc.	Laurie Mitchell Employment Center-TEC 2000	Information technology training to assist people with disabilities.	\$72,000	
Shepherd Center of Fairfax-Burke	Project Independence: Helping Fairfax-Burke Seniors Age in Place	Supportive services to seniors, including companion shopping, accompanied medical transportation, outreach to low-income seniors, enrichment and socialization activities, exercise classes and informal caregiver programs.	\$31,000	
SkillSource Group, Inc.	SkillSource - Sheriff Employment Center	Employment assistance to inmates to help them gain and retain employment before and after their release.	\$74,000	
Specially Adapted Resource Clubs (SPARC)	Day Support Club House 1 & 2	Accessible club designed for adults with physical and/or developmental disabilities.	\$80,000	
Tahirih Justice Center	Holistic Legal Services to Protect Immigrant Women/Girls from Violence & Achieve Self-Sufficiency	Pro bono legal representation to women and girls fleeing gender-based violence.	\$48,142	

Fund 118

Consolidated Community Funding Pool

Organization	Program Name	Description	Fund 118 Award	Fund 142 Award
Town of Herndon	Bilingual Housing Rehabilitation Specialist	Housing rehabilitation and neighborhood improvement for low-income individuals with limited English skills.		\$89,380
United Community Ministries	Workforce Development Center	Job development and computer training, as well as job placement.	\$289,918	
United Community Ministries	Basic Needs	Emergency food, financial assistance and case management services to develop self-sufficiency.	\$100,000	
United Community Ministries	Bryant Early Learning Center (BEL)	Childcare, quarterly service plans, health and developmental screenings, kindergarten readiness assessments, parent workshops, advisory council meetings, multicultural programming, field trips and special events, music and movement instruction and linkages to needed resources.	\$85,217	
Vietnamese Resettlement Association	Self-sufficiency through Health, Housing & Social Services	Self-sufficiency for low income Asians with limited English by providing skills, counseling, education and support.	\$59,398	
Wesley Housing Development Corporation	Supportive Services	Basic needs to ensure that seniors and persons with disabilities have their basic needs met and that they are healthy, stable, and independent.		\$110,000
Wesley Housing Development Corporation	Building for the Future	Self-sufficiency and basic needs through computer skills training.	\$65,000	
Wesley Housing Development Corporation	Promising Futures	Computer skills training to low-income individuals and families.	\$42,000	
Western Fairfax Christian Ministries	Emergency Financial Services	Emergency financial assistance, food, transportation, clothing and furnishings for low income families to prevent homelessness and hunger.	\$105,000	
Total FY 2012 Award (123 programs)			\$8,970,687	\$2,082,914

Fund 118

Consolidated Community Funding Pool

FUND STATEMENT

Fund Type G10, Special Revenue Funds

Fund 118, Consolidated Community
Funding Pool

	FY 2010 Actual	FY 2011 Adopted Budget Plan	FY 2011 Revised Budget Plan	FY 2012 Advertised Budget Plan	FY 2012 Adopted Budget Plan
Beginning Balance	\$295,736	\$0	\$183,644	\$0	\$0
Transfer In:					
General Fund (001)	\$8,970,687	\$8,970,687	\$8,970,687	\$8,970,687	\$8,970,687
Total Transfer In	\$8,970,687	\$8,970,687	\$8,970,687	\$8,970,687	\$8,970,687
Total Available	\$9,266,423	\$8,970,687	\$9,154,331	\$8,970,687	\$8,970,687
Expenditures:					
Operating Expenses	\$9,082,779	\$8,970,687	\$9,154,331	\$8,970,687	\$8,970,687
Total Expenditures	\$9,082,779	\$8,970,687	\$9,154,331	\$8,970,687	\$8,970,687
Total Disbursements	\$9,082,779	\$8,970,687	\$9,154,331	\$8,970,687	\$8,970,687
Ending Balance¹	\$183,644	\$0	\$0	\$0	\$0

¹The FY 2011 Ending Balance decreases by more than 10 percent due to the projected expenditure of carryover funds to complete and settle all Consolidated Community Funding Pool (CCFP) contracts.

Fund 119 Contributory Fund

Agency Summary					
Category	FY 2010 Actual	FY 2011 Adopted Budget Plan	FY 2011 Revised Budget Plan	FY 2012 Advertised Budget Plan	FY 2012 Adopted Budget Plan
Expenditures:					
Operating Expenses	\$12,854,128	\$12,038,305	\$12,038,305	\$12,212,942	\$12,212,942
Total Expenditures	\$12,854,128	\$12,038,305	\$12,038,305	\$12,212,942	\$12,212,942

Contributory Overview

Fund 119, Contributory Fund, was established in FY 2001 to reflect General Fund support for agencies or organizations that receive County contributions. FY 2012 funding totals \$12,212,942 and reflects an increase of \$174,637 or 1.45 percent over the FY 2011 Adopted Budget Plan funding level of \$12,038,305. The required Transfer In from the General Fund is \$12,162,942. Individual contributions are described in detail on the following pages.

Contributory funding is in compliance with the Board of Supervisors' policy to make General Fund appropriations of specified amounts to various nonsectarian, nonprofit or quasi-governmental entities for the purpose of promoting the general health and welfare of the community. Contributory agency positions are not part of the County merit system and funding for all contributory agencies is reviewed annually. Each request is reviewed on the basis of the benefit to Fairfax County citizens, contractual or regional commitments, the responsibilities of state agencies, and a prior County commitment of funding. When appropriate, a nonprofit agency that provides specific contractual partnership services may be referred to Fund 118, Consolidated Community Funding Pool, for funding consideration by the Consolidated Community Funding Advisory Committee.

Since public funds are being appropriated, disbursements provided to designated agencies are currently made contingent upon submission and review of quarterly, semiannual and/or annual reports. This oversight activity includes reporting requirements prescribed by the County Executive, requiring designated agencies to accurately describe the level and quality of services provided to County residents, as well as the overall financial strength and stability of the County's contributory agencies. Various County agencies may be tasked with oversight of program reporting requirements. Contributory agencies that do not file reports as requested, may, at the discretion of the County Executive, have payments withheld until appropriate reports are filed and reviewed.

It should be noted that population is used by several of the organizations as the basis for their requests for FY 2012 funding from Fairfax County. The population figures cited by the individual organizations for Fairfax County may differ somewhat from one another due to the particular projection service utilized.

The chart on the following pages summarizes the FY 2012 funding for the various contributory organizations.

Fund 119 Contributory Fund

Fairfax County	FY 2010 Actual	FY 2011 Adopted Budget Plan	FY 2011 Revised Budget Plan	FY 2012 Advertised Budget Plan	FY 2012 Adopted Budget Plan
Legislative-Executive					
Functions/Central Service Agencies:					
Alliance for Innovation	\$6,000	\$6,000	\$6,000	\$6,000	\$6,000
Dulles Area Transportation	9,000	9,000	9,000	9,000	9,000
Metropolitan Washington Council of Governments	889,662	883,745	883,745	889,890	889,890
National Association of Counties	19,049	19,049	19,049	19,049	19,049
Northern Virginia Regional Northern Virginia Transportation Commission	557,111	564,382	564,382	568,534	568,534
Virginia Association of Counties	179,609	186,288	186,288	174,499	174,499
Virginia Institute of Government	223,810	227,208	227,208	227,208	227,208
Washington Airports Task Force	20,000	20,000	20,000	20,000	20,000
Subtotal Legislative-Executive	\$1,938,666	\$1,948,376	\$1,948,376	\$1,964,180	\$1,964,180
Public Safety:					
NOVARIS	\$10,118	\$9,577	\$9,577	\$14,677	\$14,677
Partnership For Youth	42,500	40,375	40,375	40,375	40,375
Subtotal Public Safety	\$52,618	\$49,952	\$49,952	\$55,052	\$55,052
Health and Welfare:					
GMU Law and Mental Illness Clinic	\$51,678	\$51,678	\$51,678	\$0	\$0
Health Systems Agency of Northern Virginia	86,750	86,750	86,750	86,750	86,750
Medical Care for Children Northern Virginia Healthcare Center/Birmingham Green Adult Care Residence	166,000	237,000	237,000	237,000	237,000
Volunteer Fairfax	1,753,592	1,847,761	1,847,761	2,165,918	2,165,918
Subtotal Health and Welfare	\$2,363,267	\$2,528,436	\$2,528,436	\$2,794,915	\$2,794,915
Parks, Recreation and Cultural:					
Arts Council of Fairfax County	\$191,257	\$181,694	\$181,694	\$231,694	\$231,694
Arts Council of Fairfax County - Arts Groups Grants	102,000	96,900	96,900	96,900	96,900
Challenge Grant Funding Pool for the Arts	467,500	444,125	444,125	444,125	444,125
Dulles Air and Space Museum	150,000	100,000	100,000	100,000	100,000
Fairfax Symphony Orchestra	248,455	236,032	236,032	236,032	236,032
Fort Belvoir Army Museum	150,000	100,000	100,000	100,000	100,000
Lorton Arts Foundation	1,000,000	1,000,000	1,000,000	750,000	750,000
Northern Virginia Regional Park Authority	2,083,723	1,979,537	1,979,537	1,979,537	1,979,537
Reston Historic Trust	17,000	16,150	16,150	16,150	16,150
Town of Herndon	0	0	0	40,000	40,000
Town of Vienna Teen Center	34,000	32,300	32,300	32,300	32,300
Wolf Trap Foundation for the Performing Arts	106,250	100,938	100,938	100,938	100,938
Subtotal Parks, Recreation & Cultural	\$4,550,185	\$4,287,676	\$4,287,676	\$4,127,676	\$4,127,676

Fund 119 Contributory Fund

Fairfax County	FY 2010 Actual	FY 2011 Adopted Budget Plan	FY 2011 Revised Budget Plan	FY 2012 Advertised Budget Plan	FY 2012 Adopted Budget Plan
Community Development:					
Architectural Review Board	\$2,975	\$2,826	\$2,826	\$2,826	\$2,826
Center for Chesapeake Communities	30,600	29,070	29,070	29,070	29,070
Commission for Women	6,916	6,916	6,916	6,916	6,916
Convention and Visitors Corporation	2,538,837	2,378,965	2,378,965	2,426,544	2,426,544
Earth Sangha	17,000	16,150	16,150	16,150	16,150
Fairfax County History Commission	22,119	21,013	21,013	21,013	21,013
Fairfax ReLeaf	44,200	41,990	41,990	41,990	41,990
Greater Reston Incubator	25,500	24,225	24,225	24,225	24,225
Northern Virginia Community College	91,110	90,181	90,181	89,856	89,856
Northern Virginia Conservation Trust	239,740	227,753	227,753	227,753	227,753
Northern Virginia Soil and Water Conservation District	421,990	0	0	0	0
Occoquan Watershed Monitoring Program	112,559	0	0	0	0
OpenDoor Housing Fund	31,776	31,776	31,776	31,776	31,776
Southeast Fairfax Development Corporation	192,968	183,320	183,320	183,320	183,320
VPI/UVA Education Center	50,000	50,000	50,000	50,000	50,000
Women's Center of Northern Virginia	28,445	27,023	27,023	27,023	27,023
Subtotal Community Development	\$3,856,735	\$3,131,208	\$3,131,208	\$3,178,462	\$3,178,462
Nondepartmental:					
Fairfax Public Law Library	\$92,657	\$92,657	\$92,657	\$92,657	\$92,657
Subtotal Nondepartmental	\$92,657	\$92,657	\$92,657	\$92,657	\$92,657
Total County Contributions	\$12,854,128	\$12,038,305	\$12,038,305	\$12,212,942	\$12,212,942

FY 2012 Funding Adjustments

The following funding adjustments from the FY 2011 Adopted Budget Plan are necessary to support the FY 2012 program. Included are all adjustments recommended by the County Executive that were approved by the Board of Supervisors, as well as any additional Board of Supervisors' actions, as approved in the adoption of the budget on April 26, 2011.

◆ **FY 2012 Baseline Adjustments** **\$174,637**

A net increase of \$174,637 reflects adjustments associated with contributions based on legal requirements, per capita calculations, contractual or regional commitments or based on membership dues. The following summaries describe these adjustments in more detail by program area.

The **Legislative-Executive Functions/Central Service Agencies** program area increases \$15,804 for several organizations based on per capita requirements and adjusted County population figures for which population is cited and used in the calculation. This increase includes \$6,145 or 0.7 percent for Metropolitan Washington Council of Governments (MWCOC) due to an increase in the Water Resources and the Regional Environmental Fund assessment, \$4,152 or 0.7 percent for the Northern Virginia Regional Commission due to a slight increase in the County population estimate, and \$17,296 or 52.9 percent for the Washington Airports Task Force. This increase is partially offset by a decrease of \$11,789 or 6.3 percent for the Northern Virginia Transportation Commission (NVTC) based on the share of revenue to be received by NVTC on behalf of the County (calculation based on state statute). It should be noted that population, as determined by the County's Department of

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Systems Management for Human Services, may differ from other particular projection services, e.g., Weldon Cooper Center for Public Service, used by various contributory agencies as the basis for their contributions.

The **Public Safety** program area increases \$5,100 or 10.2 percent due to an increase in the County's share for the Northern Virginia Regional Identification System (NOVARIS) associated with additional telecommunications costs.

The **Health and Welfare** program area increases \$266,479 due to an increase of \$318,157 or 17.2 percent for the Northern Virginia Healthcare Center/Birmingham Green Adult Care Residence, known collectively as Birmingham Green. The increase for Birmingham Green is based on actual costs and utilization rates at the facility. This increase is partially offset by a decrease of \$51,678 due to transferring of the services currently provided by the George Mason University Law and Mental Illness Clinic to the Fairfax County's Office of the County Attorney.

The **Parks, Recreation and Cultural** program area decreases \$160,000 due to a decrease of \$250,000 or 25.0 percent for the Lorton Arts Foundation (LAF) based on amended agreement between Fairfax County and LAF. This decrease is partially offset by an increase of \$50,000 or 27.5 percent for the Arts Council of Fairfax County to fund the planning and implementation of a Master Arts Plan of Fairfax County and funding of \$40,000 for the Town of Herndon for tourism-related uses.

The **Community Development** program area increases \$47,254 due to an increase of \$47,579 or 2.0 percent for the Convention and Visitors Corporation based on projected Transient Occupancy Tax revenue in FY 2012, partially offset by a slight decrease of \$325 or 0.4 percent for the Northern Virginia Community College based on shifts in population among contributing jurisdictions.

Changes to FY 2011 Adopted Budget Plan

The following funding adjustments reflect all approved changes in the FY 2011 Revised Budget Plan since passage of the FY 2011 Adopted Budget Plan. Included are all adjustments made as part of the FY 2010 Carryover Review, FY 2011 Third Quarter Review, and all other approved changes through April 12, 2011.

- ◆ There have been no adjustments to this fund since approval of the FY 2011 Adopted Budget Plan.

The following pages provide background information and summary budget data for organizations receiving FY 2012 contributory funding.

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FY 2012 Contributions

Legislative-Executive Functions/Central Service Agencies:

Fairfax County	FY 2010 Actual	FY 2011 Adopted Budget Plan	FY 2011 Revised Budget Plan	FY 2012 Advertised Budget Plan	FY 2012 Adopted Budget Plan
Alliance for Innovation	\$6,000	\$6,000	\$6,000	\$6,000	\$6,000

The Alliance for Innovation – formerly known as the Virginia Innovation Group – is part of the Innovation Groups (IG), a network of local government professionals seeking innovation and governmental excellence. IG, now in its 30th year of service, provides a national forum for those seeking to innovate and learn new approaches to providing public service. IG’s purpose is to assist local governments to build and sustain the capacity to be innovative. It provides an ‘organizational’ membership, meaning that everyone at every level in member jurisdictions can utilize its services. These include an online document library, research inquiry service, national and regional networking opportunities, training and other learning events, two annual conferences, research and publications. The International City/County Management Association, IG and Arizona State University founded the Alliance for Innovation to assist local governments across the country by identifying the major forces that will drive local government in the future; responding to those forces by identifying and accelerating innovations; identifying and documenting best practices; and reducing the time from when an innovation is identified to when it becomes practice.

For FY 2012, the membership dues to the Alliance for Innovation for Fairfax County’s share of costs based on population are \$6,000, which is consistent with the FY 2011 Adopted Budget Plan.

Fairfax County	FY 2010 Actual	FY 2011 Adopted Budget Plan	FY 2011 Revised Budget Plan	FY 2012 Advertised Budget Plan	FY 2012 Adopted Budget Plan
Dulles Area Transportation Association	\$9,000	\$9,000	\$9,000	\$9,000	\$9,000

The Dulles Area Transportation Association (DATA) is a public-private, nonprofit, 501(c)(3) tax exempt transportation management association dedicated to improving transportation in a 150-square mile area around Dulles Airport including the Route 28, Route 50, Route 7 and Dulles Corridor (the Greater Dulles Area). Its membership is comprised of elected officials of the Commonwealth of Virginia, Fairfax County, Loudoun County, and the towns of Herndon and Leesburg; senior executives of the Metropolitan Washington Area Airports (MWAA) and the Washington Metropolitan Area Transit Authority (WMATA); and other employer firms, property owners and business professionals, with membership open to all. The Board of Supervisors approved the first contribution for DATA in the FY 1993 budget.

DATA currently has over 130 members; 50 are dues-paying individual corporations and businesses, 10 are dues-paying governmental or quasi-governmental organizations, and the remainder are non-paying local representatives to the General Assembly, representatives of citizen associations, and affiliate members (e.g., Fairfax County Chamber of Commerce), none of whom are obligated to pay dues but allow similar memberships in their organizations.

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DATA provides a neutral public forum for identifying transportation needs within the Greater Dulles Area, as well as generating solutions to meet them. DATA plans and conducts transportation seminars in support of efforts to improve transportation in the greater Dulles area in conjunction with regional members of the Commonwealth Transportation Board and other local governing bodies. Other programs emphasize congestion management and mobility approaches including heavy and light rail, bus rapid transit, and highway improvements and the effects of greenhouse gases and climate change will be explored. DATA staff also works with the County's Department of Transportation to execute targeted projects aimed at raising employer and citizen awareness of the challenges and possible solutions to traffic congestion in the region.

The FY 2012 Fairfax County funding amount for the Dulles Areas Transportation Association is \$9,000, which is the same level as the FY 2011 Adopted Budget Plan contribution amount.

Fairfax County	FY 2010 Actual	FY 2011 Adopted Budget Plan	FY 2011 Revised Budget Plan	FY 2012 Advertised Budget Plan	FY 2012 Adopted Budget Plan
Metropolitan Washington Council of Governments	\$889,662	\$883,745	\$883,745	\$889,890	\$889,890

The Metropolitan Washington Council of Governments (COG) is the regional planning organization of the Washington, D.C. area's local governments. COG works toward solutions to regional problems such as transportation, affordable housing, emergency preparedness and environmental issues. Currently, 21 area jurisdictions are members, including Fairfax County. Funding for COG is provided through federal and state grants, contributed services, special contributions (fees for services) and local government contributions.

Annual COG contributions are based on the per capita rate multiplied by the population estimates provided by member jurisdictions. The FY 2012 per capita rate is \$0.65721, which is consistent with the FY 2011 rate for member contributions.

The FY 2012 Administrative Contribution totals \$720,514 and is consistent with the FY 2011 Adopted Budget Plan amount. COG calculates each jurisdiction's share based on the region's estimated population. In addition to the Administrative Contribution of \$720,514 and Special Contributions of \$169,376 (\$134,127 for the Regional Environmental Fund and \$35,249 for Water Resources), for a total Fund 119 contribution of \$889,890, an amount of \$13,997 is budgeted in Fund 114, I-95 Refuse Disposal, and \$249,628 (\$216,533 for Water Resource Planning and \$33,095 for Blue Plains Users) is budgeted in Fund 401, Sewer Operation Maintenance Fund - Wastewater Management. The total FY 2012 County contribution to COG is \$1,153,515.

Fairfax County	FY 2010 Actual	FY 2011 Adopted Budget Plan	FY 2011 Revised Budget Plan	FY 2012 Advertised Budget Plan	FY 2012 Adopted Budget Plan
National Association of Counties	\$19,049	\$19,049	\$19,049	\$19,049	\$19,049

The National Association of Counties (NACo) is an organization that represents and informs participating governments of current developments and policies that affect services and operations. NACo acts as a liaison with other levels of government, works to improve public understanding of counties, serves as a national advocate for counties and provides them with resources to find innovative

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methods to meet the challenges they face. NACo is involved in a number of special projects that deal with issues such as homeland security, energy, environment, housing and land use, among others.

An amount of \$19,049 is included for FY 2012 dues, which is consistent with the FY 2011 Adopted Budget Plan.

Fairfax County	FY 2010 Actual	FY 2011 Adopted Budget Plan	FY 2011 Revised Budget Plan	FY 2012 Advertised Budget Plan	FY 2012 Adopted Budget Plan
Northern Virginia Regional Commission	\$557,111	\$564,382	\$564,382	\$568,534	\$568,534

The Northern Virginia Regional Commission (NVRC) is a regional council of local governments in Northern Virginia created in 1969 pursuant to the Virginia Area Development Act and a regionally-executed charter. In 1995, the Virginia Area Development Act was amended and renamed the Regional Cooperation Act. It sets forth the purpose of planning district commissions as follows: "...to encourage and facilitate local government cooperation in addressing, on a regional basis, problems of greater than local significance. The cooperation resulting from this Act is intended to assist local governments in meeting their own problems by enhancing their abilities to recognize and analyze regional opportunities and take account of regional influences in planning and implementing their public policies and services."

NVRC's policies and programs are established by a 25-member Board of Commissioners composed entirely of elected council and board members of NVRC's 14 member localities. The work of the Commission is supported in part by contributions from the member local governments and by appropriations from the Virginia General Assembly.

NVRC serves as a neutral forum for decision-making; provides member governments with the information and analyses necessary to make sound local and regionally beneficial decisions; provides professional and technical services to enable member governments to plan for their future individually and as a region; and carries out programs and functions at the request of member governments to supplement their own capacities or to achieve economies of scale through regional approaches. NVRC's services are divided into regional policy programs such as the legislative program; demographics and information services; environmental and land use; and human services programs.

The total FY 2012 Fairfax County contribution of \$568,534 is an increase of \$4,152 or 0.7 percent over the FY 2011 Adopted Budget Plan of \$564,382. This amount provides for the annual contribution of \$499,340, as well as special contributions of \$42,072 to support the Occoquan Watershed Management Program, \$8,085 for the Northern Virginia Waste Management Program and \$19,037 for the Four-Mile Run Watershed Management Program. Consistent with the last several fiscal years, NVRC is holding the per capita rate at \$0.50 for FY 2012. As a result, the increase is attributable to a slight population increase based on the population estimates generated by the Weldon Cooper Center for Public Service.

Fairfax County	FY 2010 Actual	FY 2011 Adopted Budget Plan	FY 2011 Revised Budget Plan	FY 2012 Advertised Budget Plan	FY 2012 Adopted Budget Plan
Northern Virginia Transportation Commission	\$179,609	\$186,288	\$186,288	\$174,499	\$174,499

The Northern Virginia Transportation Commission (NVTC) is the executive agency of the Northern Virginia Transportation District. It was established by state statute as a political subdivision of the

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Commonwealth of Virginia. The principal business activity of the Commission is to manage and control the functions, affairs and property of the Northern Virginia Transportation District, as defined in the Transportation Act of 1964. It represents its constituent jurisdictions (Alexandria, Falls Church, Fairfax City, Arlington County, Fairfax County and Loudoun County) on the Metro Board.

Each NVTC jurisdiction is assigned a percentage of the local portion of NVTC's administrative budget based on the jurisdiction's share of state aid received by NVTC in the previous year. This is determined by the application of a subsidy allocation model that projects the total amount of state aid received by the region and local jurisdictions. This model contains seven formulas including such variables as Metro construction costs, Metrorail service costs, ridership volume and population. These calculated percentages for each jurisdiction are applied to NVTC's remaining administrative budget after other revenue sources such as state aid, interest earned and project chargebacks have been applied.

The NVTC projected expenditure base for FY 2012 is \$1,195,410, which is a decrease of 2.2 percent from the FY 2011 budget; Fairfax County's contribution will decrease by \$11,789 based on its share of revenue received by NVTC on behalf of the County. The total FY 2012 Fairfax County funding is \$174,499, a decrease of \$11,789 or 6.3 percent from the FY 2011 Adopted Budget Plan of \$186,288.

Fairfax County	FY 2010 Actual	FY 2011 Adopted Budget Plan	FY 2011 Revised Budget Plan	FY 2012 Advertised Budget Plan	FY 2012 Adopted Budget Plan
Virginia Association of Counties	\$223,810	\$227,208	\$227,208	\$227,208	\$227,208

The Virginia Association of Counties (VACo) is an organization dedicated to improving county government in the Commonwealth of Virginia. To accomplish this goal, the association represents Virginia counties regarding state legislation that would have an impact on them. The association also provides conferences, publications and programs designed to improve county government and to keep county officials informed about recent developments in the state, as well as across the nation.

The FY 2012 Fairfax County contribution to VACo is \$227,208, which is consistent with the FY 2011 Adopted Budget Plan amount. The per capita rate is projected to remain at \$0.22 for member contributions for FY 2012, which is the same rate as FY 2011 and is subject to final FY 2012 budget approval by VACo's governing board.

Fairfax County	FY 2010 Actual	FY 2011 Adopted Budget Plan	FY 2011 Revised Budget Plan	FY 2012 Advertised Budget Plan	FY 2012 Adopted Budget Plan
Virginia Institute of Government	\$20,000	\$20,000	\$20,000	\$20,000	\$20,000

The Virginia Institute of Government was created by an act of the General Assembly in 1994, and is a nonprofit organization funded half by the Commonwealth of Virginia and half by local government membership contributions. It is part of the University of Virginia and its Weldon Cooper Center for Public Service. Local governments that join the Institute make annual contributions based on membership. While the Institute began with 60 members, it now has a roster of more than 200 Virginia member localities. The Institute operates with an advisory board of 18 members, each appointed for a single two-year term. It is made up of an equal number of members from the state's legislative and executive branches, as well as local governments.

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The Institute is an ongoing informal gathering of organizational development staff from Virginia localities established to exchange ideas and strategies for developing high-performance governments and to help the Institute identify areas of needed assistance. Work products of the Virginia Institute of Government encompass four main areas: training, technical assistance, electronic information services, and select research projects. The Institute also provides staff support to certain state legislative and study committees.

The total Fairfax County FY 2012 funding is \$20,000, which is consistent with the FY 2011 Adopted Budget Plan.

Fairfax County	FY 2010 Actual	FY 2011 Adopted Budget Plan	FY 2011 Revised Budget Plan	FY 2012 Advertised Budget Plan	FY 2012 Adopted Budget Plan
Washington Airports Task Force	\$34,425	\$32,704	\$32,704	\$50,000	\$50,000

The Commonwealth of Virginia, Fairfax County and the private sector support the Washington Airports Task Force. Its purpose is to develop markets, as well as promote domestic and foreign usage of the Metropolitan Washington Airports. It has yielded hundreds of millions of dollars in economic return for the Washington region and the Commonwealth of Virginia, including investment, tourism income, trade opportunities and jobs. Both Dulles and National Airports continue their significant impact on Fairfax County's economy.

The FY 2012 Fairfax County funding is \$50,000, an increase of \$17,296 or 52.9 percent over the FY 2011 Adopted Budget Plan contribution of \$32,704. The contribution will be used to maintain a comprehensive, proactive marketing and sales program to promote the region's air service opportunities to the world's airlines and other air service providers; encourage improvement of airport access; ensure adequate Air Traffic Control, Homeland Security and Customs support services from the federal government; and support the Metropolitan Washington Airports Authority's Capital Development.

Fairfax County	FY 2010 Actual	FY 2011 Adopted Budget Plan	FY 2011 Revised Budget Plan	FY 2012 Advertised Budget Plan	FY 2012 Adopted Budget Plan
Subtotal Legislative-Executive	\$1,938,666	\$1,948,376	\$1,948,376	\$1,964,180	\$1,964,180

Public Safety:

Fairfax County	FY 2010 Actual	FY 2011 Adopted Budget Plan	FY 2011 Revised Budget Plan	FY 2012 Advertised Budget Plan	FY 2012 Adopted Budget Plan
NOVARIS	\$10,118	\$9,577	\$9,577	\$14,677	\$14,677

The Northern Virginia Regional Identification System (NOVARIS) utilizes state-of-the-art computer equipment to identify criminals by categorizing and matching fingerprints. It enables police to match a fingerprint found at the scene of a crime with any individual who has been arrested in the Washington Metropolitan area by comparing the print or partial print with all prints in the database. An Automated Fingerprint Identification System (AFIS) was installed in FY 2007 that enhanced technologies, including palm print and biometric recognition capabilities. Funding of \$8.65 million was secured through an

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Urban Areas Security Initiative grant to cover the cost of AFIS system replacements for the National Capital Region, including NOVARIS, the District of Columbia, as well as Prince George's County and Montgomery County.

Participating Washington metropolitan area jurisdictions share costs associated with NOVARIS based on the sworn police population of each jurisdiction as approved by the NOVARIS Advisory Board on July 30, 1997. As of FY 2008, Montgomery and Prince George's counties no longer participate in NOVARIS as those jurisdictions have joined a Maryland regional fingerprint system. However, Loudoun County and the Virginia State Police joined NOVARIS in FY 2008. The system is housed in Fairfax County and is staffed by personnel contributed by the participating jurisdictions. Fairfax County exercises a fiduciary responsibility for the financial management and operation of NOVARIS, with the County contribution made through the Contributory Fund.

The total Fairfax County FY 2012 contribution of \$14,677 is an increase of \$5,100 or 53.3 percent over the FY 2011 Adopted Budget Plan amount of \$9,577. The contribution consists of the County's annual share of costs associated with operations and upgrades of NOVARIS. The increase is associated with additional telecommunications costs.

Fairfax County	FY 2010 Actual	FY 2011 Adopted Budget Plan	FY 2011 Revised Budget Plan	FY 2012 Advertised Budget Plan	FY 2012 Adopted Budget Plan
Partnership For Youth	\$42,500	\$40,375	\$40,375	\$40,375	\$40,375

The Fairfax Partnership on Youth was created in 1997 as an outgrowth of the Community Initiative to Reduce Youth Violence (CIRYV). Its mission is to bring the community together to reduce youth violence and promote positive youth development. This agency seeks to reduce youth violence by facilitating a forum for public and private providers to collaborate, evaluate and create programs, activities and services to better integrate activities, fill gaps and avoid duplication of efforts in the provision of services to youth in the community.

Among the types of initiatives undertaken by the Partnership for Youth are coordination of the Fairfax Mentoring Partnership; provision of the Support on Suspension (S.O.S.) effort, a voluntary community-based program designed to provide students in grades 6-12 with an opportunity to stay abreast of academic work while out of school due to suspension; the Fairfax County After-School Network for middle school-aged youth to minimize involvement in violence or other risky behaviors; assistance to the County on youth survey analysis; youth services information to provide the community with needed resources; advocacy on youth issues; and the Youth Suicide and Depression Prevention Task Force to study and reduce risk factors for young people.

The Fairfax County contribution for FY 2012 of \$40,375 is consistent with the FY 2011 Adopted Budget Plan. The FY 2012 contribution will be used to supplement funds received from the Commonwealth of Virginia, as well as corporate and other private funding sources.

Fairfax County	FY 2010 Actual	FY 2011 Adopted Budget Plan	FY 2011 Revised Budget Plan	FY 2012 Advertised Budget Plan	FY 2012 Adopted Budget Plan
Subtotal Public Safety	\$52,618	\$49,952	\$49,952	\$55,052	\$55,052

Fund 119 Contributory Fund

Health and Welfare:

Fairfax County	FY 2010 Actual	FY 2011 Adopted Budget Plan	FY 2011 Revised Budget Plan	FY 2012 Advertised Budget Plan	FY 2012 Adopted Budget Plan
GMU Law and Mental Illness Clinic	\$51,678	\$51,678	\$51,678	\$0	\$0

Currently, the George Mason University (GMU) Law and Mental Illness Clinic provides legal services to individuals who are petitioning the court for the commitment of a family member in severe mental stress. In commitment proceedings, the individual against whom the commitment proceeding is brought is commonly represented by appointed counsel, while the family petitioning is rarely represented and is generally not familiar with the rules of evidence. Legal services by the Law Clinic have been provided by law students with an assigned supervising attorney.

In FY 2012, these services will be transferred to Fairfax County's Office of the County Attorney. As a result, no funding is included for the GMU Law and Mental Illness Clinic in the Contributory Fund. Funding of \$51,678 is included in the Office of the County Attorney to provide for counsel required at hearings concerning individuals who have been recommended for commitment for mental health care by the Fairfax-Falls Church Community Services Board. Funding will support an attorney to represent the County's interests on a more consistent daily and year-round basis.

Fairfax County	FY 2010 Actual	FY 2011 Adopted Budget Plan	FY 2011 Revised Budget Plan	FY 2012 Advertised Budget Plan	FY 2012 Adopted Budget Plan
Health Systems Agency of Northern Virginia	\$86,750	\$86,750	\$86,750	\$86,750	\$86,750

The Health Systems Agency (HSA) of Northern Virginia is a regional body charged with coordinating and improving the health care system for Northern Virginia. To accomplish this, the agency establishes short-term objectives and long-range goals, as well as prepares annual implementation plans. In addition, HSA promotes and assists in community-oriented planning among and within local health care systems, documents and evaluates the need for new services in the region, and reviews health service and facility capital expenditure proposals subject to certificate of public need regulation filed by health service provider organizations in the region. Member jurisdictions include the counties of Fairfax, Arlington, Loudoun and Prince William, as well as the cities of Fairfax, Alexandria, Manassas and Falls Church. Funding contributions to HSA from local jurisdictions are encouraged but are not required.

The Health Systems Agency established a per capita contribution standard of \$0.10 over ten years ago. Although Fairfax County has grown significantly in population since that time, the Health Systems Agency's local jurisdiction contribution requests have remained constant due to contributions from other sources. In FY 2012, revenue of \$324,711 is projected to be received from four sources: grants and contracts, \$128,100 or 39.4 percent; local government contributions, \$161,700 or 49.8 percent; fees, \$33,000 or 10.2; and interest earnings and miscellaneous income of \$1,911 or 0.6 percent. Fairfax County is the largest local government contributor in FY 2012, providing \$86,750 or 53.6 percent of the support received from the local government units.

The FY 2012 Fairfax County funding amount for the Health Systems Agency is \$86,750, which is the same level as the FY 2011 Adopted Budget Plan contribution amount.

Fund 119 Contributory Fund

Fairfax County	FY 2010 Actual	FY 2011 Adopted Budget Plan	FY 2011 Revised Budget Plan	FY 2012 Advertised Budget Plan	FY 2012 Adopted Budget Plan
Medical Care for Children	\$166,000	\$237,000	\$237,000	\$237,000	\$237,000

The Medical Care for Children Partnership (MCCP) program provides medical and dental care to children of the working poor in Fairfax County. In January 2009, members of the Medical Care for Children Advisory Council and private citizens concerned about health care for children in Fairfax County formed the Medical Care for Children Partnership which is dedicated to conducting fundraising support on behalf of the County for the care of uninsured children in Fairfax County.

MCCP receives funding from Fairfax County as its sole local government source. The Fairfax County FY 2012 funding amount is \$237,000, which is the same level as the FY 2011 Adopted Budget Plan.

Fairfax County	FY 2010 Actual	FY 2011 Adopted Budget Plan	FY 2011 Revised Budget Plan	FY 2012 Advertised Budget Plan	FY 2012 Adopted Budget Plan
Northern Virginia Healthcare Center/Birmingham Green Adult Care Residence	\$1,753,592	\$1,847,761	\$1,847,761	\$2,165,918	\$2,165,918

Birmingham Green, a collective name, was founded in 1927 as a District Home under legislation passed in 1918 by the General Assembly. The District Home legislation encouraged jurisdictions to join together to establish facilities for indigent persons who need a permanent home and also require assistance with daily living activities. Fairfax was one of five jurisdictions that agreed to participate in the District Home in Manassas.

The property, which is located on 54 acres, includes an original building from 1927, a 180-bed nursing facility, and two joint apartment-type buildings for 92 assisted living residents. The counties of Fairfax, Fauquier, Loudoun and Prince William, as well as the City of Alexandria established the Northern Virginia Healthcare Center Commission in 1987. Each jurisdiction is represented by a member on the Commission.

The present nursing home, Birmingham Green Healthcare Facility, opened in May 1991. The nursing facility accepts residents who are eligible for long-term care Medicaid and who are referred by the five participating jurisdictions. In Fairfax, social workers from the Department of Family Services screen and refer eligible individuals. A few persons are admitted for only rehabilitation and their care is paid for by Medicare or private insurance. For diversification of funding, but in keeping with the mission of serving indigent persons, a limited number of persons who pay privately are admitted.

The old District Home, a licensed assisted living facility, adjacent to the nursing facility, now accepts private pay residents with moderate incomes. The District Home continues to operate under the auspices of the Commission. This facility provides room and board, along with assistance in activities of daily living for older adults and adults with disabilities.

Willow Oaks, a 92-unit licensed assisted living facility replaced the original 64-bed District Home in 2008. Funding for the new facility was primarily provided through the U.S. Department of Housing and Urban Development. As with the nursing facility, individuals are referred by the five participating jurisdictions.

Fund 119 Contributory Fund

To be admitted, individuals must be eligible for auxiliary grants, which supplement the individuals' incomes. Medicaid provides for needed medical care.

Operating costs for Birmingham Green are partially covered through the Medicaid and General Relief programs at the maximum rates established by the state. To the degree that these funds, along with some additional funds from Medicare, other insurance, and private pay, are inadequate to cover the full costs of the operation of the facility, the sponsoring jurisdictions then subsidize Birmingham Green on a user formula basis. Each jurisdiction pays for Personnel Services and Operating Expenses at a level proportionate to the number of the jurisdiction's residents.

The total FY 2012 Fairfax County funding for these facilities is \$2,165,918, an increase of \$318,157 or 17.2 percent over the FY 2011 Adopted Budget Plan based on actual costs and utilization rates at the facility.

Fairfax County	FY 2010 Actual	FY 2011 Adopted Budget Plan	FY 2011 Revised Budget Plan	FY 2012 Advertised Budget Plan	FY 2012 Adopted Budget Plan
Volunteer Fairfax	\$305,247	\$305,247	\$305,247	\$305,247	\$305,247

Volunteer Fairfax is a private, nonprofit corporation created in 1975 and incorporated in the Commonwealth of Virginia. The center promotes volunteerism through a network of over 700 nonprofit agencies by mobilizing people and other resources to improve the community. Its primary goals are: to assist private nonprofit and public agencies in developing strong, efficiently managed organizations and volunteer programs; to increase corporate and citizens' direct involvement in the community; to provide programs and services through partnerships that contribute to the resolution of community issues; and to increase the public's awareness of both the need for and the benefits of volunteer service to the community. The scope of the center's work also includes active participation in emergency preparedness activities and coordination through its support of the Citizen Corps, the County's Emergency Management Coordinating Council and Emergency Operations Center, the Northern Virginia Voluntary Organizations Active in Disaster, and the Metro Coalition of Volunteer Centers.

The center receives funding from Fairfax County as its sole local government source. In addition to the annual contribution, Fairfax County provides in-kind office space to the center at 10530 Page Avenue, the value of which is estimated to be \$53,000. The Fairfax County FY 2012 funding amount is \$305,247, which is consistent with the FY 2011 Adopted Budget Plan.

Fairfax County	FY 2010 Actual	FY 2011 Adopted Budget Plan	FY 2011 Revised Budget Plan	FY 2012 Advertised Budget Plan	FY 2012 Adopted Budget Plan
Subtotal Health and Welfare	\$2,363,267	\$2,528,436	\$2,528,436	\$2,794,915	\$2,794,915

Fund 119 Contributory Fund

Parks, Recreation and Cultural:

Fairfax County	FY 2010 Actual	FY 2011 Adopted Budget Plan	FY 2011 Revised Budget Plan	FY 2012 Advertised Budget Plan	FY 2012 Adopted Budget Plan
Arts Council of Fairfax County	\$191,257	\$181,694	\$181,694	\$231,694	\$231,694

Established in 1964, the Arts Council of Fairfax County is a private, nonprofit organization whose goals are to encourage, coordinate, develop and meet the needs of County residents and organizations for cultural programs. It develops and maintains a broad range of visual and performing arts programs designed to contribute to the growth of an integrated area-wide cultural community. It also supports and encourages the development of local artists and organizations by providing opportunities to reach new audiences through participation in Arts Council-sponsored activities.

The FY 2012 Fairfax County contribution is \$231,694, an increase of \$50,000 or 27.5 percent over the FY 2011 Adopted Budget Plan of \$181,694. The additional funds will be used for the planning and implementation of a Master Arts Plan for Fairfax County spearheaded by the Arts Council. The County's contribution represents 15.9 percent of the total projected revenue of \$1,459,936. In addition, as noted later, funding of \$444,125 for a Challenge Arts Grant program is included for FY 2012.

Fairfax County	FY 2010 Actual	FY 2011 Adopted Budget Plan	FY 2011 Revised Budget Plan	FY 2012 Advertised Budget Plan	FY 2012 Adopted Budget Plan
Arts Council of Fairfax County - Arts Groups Grants	\$102,000	\$96,900	\$96,900	\$96,900	\$96,900

In 1980, the Arts Council Advisory Panel was established to institute a grant system for County arts organizations. The Advisory Panel is the official entity established by the Arts Council for evaluating and ranking all art requests for funds, support services and facilities support from the Fairfax County government. This panel reviews all applications from local arts organizations, and based on eligibility and evaluating criteria, makes recommendations to the County Board of Supervisors for approving grants. It also encourages County arts organizations to seek contributions from a wide range of sources.

The total FY 2012 funding included for the Arts Council of Fairfax County - Arts Groups Grants is \$96,900, which is consistent with the FY 2011 Adopted Budget Plan.

Fairfax County	FY 2010 Actual	FY 2011 Adopted Budget Plan	FY 2011 Revised Budget Plan	FY 2012 Advertised Budget Plan	FY 2012 Adopted Budget Plan
Challenge Grant Funding Pool for the Arts	\$467,500	\$444,125	\$444,125	\$444,125	\$444,125

The Challenge Grant Funding Pool for the Arts was established in FY 2007 by the Board of Supervisors and is administered by the Council on the Arts. Funds are to be used on a competitive basis by community arts organizations, with no more than \$50,000 to support administrative costs of the Arts Council of Fairfax County.

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The Challenge Grant Funding Pool is intended as a means to further leverage private funding and enable the arts to continue to flourish in the County. The grants are intended to leverage private funds by requiring a 2:1 dollar match. Funding is intended to support both arts in public spaces and the performing arts.

The total FY 2012 funding included for the Challenge Grant Funding Pool for the Arts is \$444,125, which is consistent with the FY 2011 Adopted Budget Plan.

Fairfax County	FY 2010 Actual	FY 2011 Adopted Budget Plan	FY 2011 Revised Budget Plan	FY 2012 Advertised Budget Plan	FY 2012 Adopted Budget Plan
Dulles Air and Space Museum	\$150,000	\$100,000	\$100,000	\$100,000	\$100,000

Fairfax County made its first contribution to the Udvar-Hazy Center of the Smithsonian Institute's Dulles Air and Space Museum in FY 2000 and has provided a total of \$2,730,000 through FY 2010. Since the museum opened in December 2003, over 7.1 million people have visited the center, which generates tourism income to the area. A sample showed that nearly 9 percent of visitors to this facility come from abroad, while 46 percent of the domestic audience drove over 100 miles to visit the center. This translates into overnight stays in the region, with the increased likelihood of visits to other nearby attractions.

Education is a vital part of the mission of the Center. There are classrooms and expanded programs for educators and students, particularly those from Fairfax County. The goal is to teach young people about America's aviation and space heritage, and emphasize the importance of technology.

The FY 2012 funding included for the Dulles Air and Space Museum is \$100,000, which is consistent with the FY 2011 Adopted Budget Plan. The County's FY 2012 contribution will continue to support the construction of Phase II of the Center, which will include the Restoration Hangar, the Archives and Collections Processing Center, and the Collections Storage area. To date, over \$74.0 million has been secured from various sources to complete Phase II.

Fairfax County	FY 2010 Actual	FY 2011 Adopted Budget Plan	FY 2011 Revised Budget Plan	FY 2012 Advertised Budget Plan	FY 2012 Adopted Budget Plan
Fairfax Symphony Orchestra	\$248,455	\$236,032	\$236,032	\$236,032	\$236,032

The Fairfax Symphony Orchestra (FSO) is a nonprofit organization chartered by the Virginia State Commission in 1966. A mixture of public and private contributions supports the orchestra. The FSO provides County residents with the opportunity to hear and learn about symphonic and ensemble music. The orchestra sponsors a variety of programs, including its own concert series, programs in the public schools, master classes for young music students, chamber orchestra for young adults, and the special music collection in the Fairfax County Public Library.

The County's contribution to the FSO supports all facets of the orchestra – Masterworks concerts, educational outreach and special concerts. In addition, County support will allow the orchestra to continue its valuable partnership with the Fairfax County Public Schools and the Fairfax County Park Authority to provide music literacy and outreach programs in FY 2012.

FY 2012 funding of \$236,032 is included for the FSO, which is consistent with the FY 2011 Adopted Budget Plan.

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Fairfax County	FY 2010 Actual	FY 2011 Adopted Budget Plan	FY 2011 Revised Budget Plan	FY 2012 Advertised Budget Plan	FY 2012 Adopted Budget Plan
Fort Belvoir Army Museum	\$150,000	\$100,000	\$100,000	\$100,000	\$100,000

Since FY 2005, the Board of Supervisors has provided funding to support construction of the U.S. Army Museum at Fort Belvoir in the southeastern part of Fairfax County. The capital campaign to raise \$200 million in private funds has been underway, managed by the Army Historical Foundation, a nonprofit organization dedicated to preserving the Army's heritage. The museum is expected to draw approximately 740,000 visitors annually when it opens. The museum will feature unique educational programs and resources in the areas of technology, history, geography, political science, engineering and civics for students of all ages. The opening date is tentatively set for 2015.

All of the branches of the military either already have a centralized museum, or are in the process of building one. The Air Force Museum is at Wright-Patterson Air Force Base, Ohio; the Navy Museum is at the Washington Navy Yard; and the U.S. Marine Corps opened its National Heritage Center at Quantico Marine Base, less than 20 miles south of Fort Belvoir in Prince William County in November 2006. A County contribution of \$100,000 has been included for the U.S. Army Museum for FY 2012, which is consistent with the FY 2011 Adopted Budget Plan.

Fairfax County	FY 2010 Actual	FY 2011 Adopted Budget Plan	FY 2011 Revised Budget Plan	FY 2012 Advertised Budget Plan	FY 2012 Adopted Budget Plan
Lorton Arts Foundation	\$1,000,000	\$1,000,000	\$1,000,000	\$750,000	\$750,000

As part of the *FY 2005 Carryover Review*, the Board of Supervisors approved funding to support the Lorton Arts Foundation (LAF) financing and capital renewal plan for operation of a center for the arts at the former Lorton Prison site. The Board had previously approved the negotiation of a lease of the former prison site with the Foundation, which proposed to use funds generated by leasing the various facilities to individual artists and performing arts groups. The Board agreed to provide a dollar for dollar match of donations and contributions received through private fundraising, up to \$1,000,000 per year through FY 2011, for maintenance support. The County also agreed to lease back a portion of the rental space if other tenants were not available, for a timeframe and lease rate to be negotiated between the County and LAF. The lease provides for reducing or eliminating the County's cash support commensurate with the Foundation's ability to become self-sustaining.

Phase I of the Foundation's plan is now complete and has been in operation since September 2008. It consists of the Workhouse Arts Center, including artists' studios, art gallery, exhibition space, administrative offices, and performing arts studios. Phase II of the improvements will include artists' residences, theater, restaurants, visitor and community heritage center, a museum, music barn, and performing arts center.

In March 2010, an amendment to the financing documents between the County and LAF was negotiated. The County agreed to provide, subject to annual appropriation, contingent annual operating deficit support to LAF not to exceed \$750,000 in any given year through 2025.

Funding of \$750,000 is included for FY 2012, which is a decrease of 25.0 percent from the FY 2011 Adopted Budget Plan.

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Fairfax County	FY 2010 Actual	FY 2011 Adopted Budget Plan	FY 2011 Revised Budget Plan	FY 2012 Advertised Budget Plan	FY 2012 Adopted Budget Plan
Northern Virginia Regional Park Authority	\$2,083,723	\$1,979,537	\$1,979,537	\$1,979,537	\$1,979,537

The Northern Virginia Regional Park Authority (NVRPA) is a multi-jurisdictional, special-purpose agency established to provide a system of regional parks for the Northern Virginia area. The NVRPA currently operates 21 regional parks and owns over 11,000 acres of land, of which more than 7,700 acres are in Fairfax County. Parklands within the system include: Bull Run, Bull Run Marina, Fountainhead, Sandy Run, Pohick Bay, Carlyle House Historic Park, Potomac Overlook, Upton Hill, Algonkian, Red Rock, the W&OD Trail, Occoquan, Hemlock Overlook, Cameron Run, Gateway, Meadowlark Gardens, Ball's Bluff, Temple Hall, Brambleton, Aldie Mill and Blue Ridge Park. In addition, the NVRPA administers extensive regional historic and conservation properties throughout Northern Virginia. These community resources are supported primarily from the annual contributions of its six member jurisdictions: the counties of Fairfax, Loudoun and Arlington, and the cities of Fairfax, Alexandria and Falls Church. Each member jurisdiction's contribution is in direct proportion to its share of the region's population. In the past decade, the entire population served by the NVRPA grew to 1.7 million residents and is expected to approach 2.0 million by 2020.

Current projections indicate that \$4,350,964 will be expended from the NVRPA's General Fund and \$14,821,475 will be expended from the NVRPA's Enterprise Fund for a total of \$19,172,439 in FY 2012. The NVRPA is asking member jurisdictions for \$3,440,267, which is consistent with FY 2011. For FY 2012, NVRPA projects that 82.1 percent of operating costs will be funded with park revenues, with the remaining 17.9 percent coming from member jurisdictions. Fairfax County's contribution to the Northern Virginia Regional Park Authority in FY 2012 is \$1,979,537, which is consistent with the FY 2011 Adopted Budget Plan contribution amount.

It should be noted that in addition to the operating contribution, an amount of \$3,000,000 has been included in Fund 306, Northern Virginia Regional Park Authority, as the FY 2012 annual capital contribution.

Fairfax County	FY 2010 Actual	FY 2011 Adopted Budget Plan	FY 2011 Revised Budget Plan	FY 2012 Advertised Budget Plan	FY 2012 Adopted Budget Plan
Reston Historic Trust	\$17,000	\$16,150	\$16,150	\$16,150	\$16,150

The Reston Historic Trust is a community-based 501(c) (3) organization located in the heart of the Lake Anne Revitalization District. It was founded in 1996 as an educational institution to promote the social and economic vitality of Reston through a program of history-based educational activities. Since FY 2000, Fairfax County has provided annual funding to the Reston Historic Trust to assist in the operational costs of the Reston Museum, located at Lake Anne Plaza. The museum has evolved as a focal point in the community, hosting special events, weekend programs and lectures, and providing exhibits that depict Reston's past and future.

In FY 2012, the organization will continue its efforts on education, community outreach, and cultural development, including through collaborative programming and training with other area organizations. The County's FY 2012 contribution to the Reston Historic Trust is \$16,150, which is consistent with the FY 2011 Adopted Budget Plan contribution.

Fund 119 Contributory Fund

Fairfax County	FY 2010 Actual	FY 2011 Adopted Budget Plan	FY 2011 Revised Budget Plan	FY 2012 Advertised Budget Plan	FY 2012 Adopted Budget Plan
Town of Herndon	\$0	\$0	\$0	\$40,000	\$40,000

Funding in the amount of \$40,000 that was included in the FY 2012 Advertised Budget Plan for the Herndon Dulles Visitors Center will be provided directly to the Town of Herndon for tourism-related uses.

Fairfax County	FY 2010 Actual	FY 2011 Adopted Budget Plan	FY 2011 Revised Budget Plan	FY 2012 Advertised Budget Plan	FY 2012 Adopted Budget Plan
Town of Vienna Teen Center	\$34,000	\$32,300	\$32,300	\$32,300	\$32,300

The Vienna Teen Center is operated by the Town of Vienna Parks and Recreation Department. The Center, known as Club Phoenix, provides local teenagers with positive, supervised recreational and educational programs and activities. The County's contribution assists the Town of Vienna in the operation and improvement of the Center, and helps provide funding for programs, staffing and the purchase of materials and other supplies.

The Board of Supervisors first approved funding for the Teen Center in FY 2001. The FY 2012 contribution of \$32,300 is consistent with the FY 2011 Adopted Budget Plan contribution.

Fairfax County	FY 2010 Actual	FY 2011 Adopted Budget Plan	FY 2011 Revised Budget Plan	FY 2012 Advertised Budget Plan	FY 2012 Adopted Budget Plan
Wolf Trap Foundation for the Performing Arts	\$106,250	\$100,938	\$100,938	\$100,938	\$100,938

A private/public partnership was established in 1968 between the Wolf Trap Foundation and the National Park Service for the operation of the Wolf Trap Farm Park for the Performing Arts in Vienna, Virginia. The partnership was founded through a gift of land to the United States Government. The National Park Service maintains the property and conducts parking and audience management.

The Foundation, with a \$29.0 million budget, is responsible for all other aspects of running the facility, including the presentation of a wide variety of performances and educational programs. Foundation programs reach approximately 600,000 people in Fairfax County each year at two sites: the Filene Center, a 7,000-seat outdoor amphitheater in a park-like setting, and the Barns of Wolf Trap, two 18th Century barns reconstructed at Wolf Trap using original building materials and techniques.

In FY 1999, Fairfax County began to contribute funding to Wolf Trap to support the Foundation's efforts to provide Fairfax County citizens with access to the best possible performing arts, as well as position Fairfax County nationally as a leader in the arts and arts-in-education. Educational programs focusing on Fairfax County's young children and their teachers, parents and caregivers include development workshops for teachers, family involvement workshops, and field trip performances. The FY 2012 contribution is \$100,938, which is the same level as the FY 2011 Adopted Budget Plan.

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Fairfax County	FY 2010 Actual	FY 2011 Adopted Budget Plan	FY 2011 Revised Budget Plan	FY 2012 Advertised Budget Plan	FY 2012 Adopted Budget Plan
Subtotal Parks, Recreation & Cultural	\$4,550,185	\$4,287,676	\$4,287,676	\$4,127,676	\$4,127,676

Community Development:

Fairfax County	FY 2010 Actual	FY 2011 Adopted Budget Plan	FY 2011 Revised Budget Plan	FY 2012 Advertised Budget Plan	FY 2012 Adopted Budget Plan
Architectural Review Board	\$2,975	\$2,826	\$2,826	\$2,826	\$2,826

The Architectural Review Board (ARB) administers the Historic Overlay District provisions in the County's Zoning Ordinance and advises the Board of Supervisors (BOS) on other properties that warrant historic preservation through historic district zoning, proffers or easements. There are currently 13 Historic Overlay Districts, with the potential for several more. The BOS frequently requests advice on the preservation of historic structures as part of the County's development review process and the Open Space and Historic Preservation Easement program.

The ARB is comprised of 11 members who have demonstrated knowledge and interest in the preservation of historical and architectural landmarks. The amount funded for FY 2012 is \$2,826, which is consistent with the FY 2011 Adopted Budget Plan contribution.

Fairfax County	FY 2010 Actual	FY 2011 Adopted Budget Plan	FY 2011 Revised Budget Plan	FY 2012 Advertised Budget Plan	FY 2012 Adopted Budget Plan
Center for Chesapeake Communities	\$30,600	\$29,070	\$29,070	\$29,070	\$29,070

In September 2007, the Board of Supervisors designated three non-profit organizations as contributory agencies in order to further assist the County in achieving a tree canopy goal of 45 percent, requiring the community to plant millions of trees over the next 30 years. In accordance with this effort, funding was approved for the Center for Chesapeake Communities, a nonprofit organization that helps provide local governments with tools to protect their own natural resources and the Chesapeake Bay, with the recognition that actions at the local level, from land use planning to stream protection, greatly affect the Bay.

The Center's goal is to provide localities with the information, education and training that supports sustainable development practices that protect the Bay. The central principle of the Center is that economic, social and environmental goals can be achieved simultaneously if systems, policies and procedures are designed to work interdependently. To help achieve that, the Center maintains a clearinghouse of models, tools and strategies pertaining to stormwater management, site planning, and pollution prevention that local governments are successfully implementing. The Center also holds topic-specific training sessions where local government officials can hear about the latest environmental protection techniques. The Center also helps to put local government experts in touch with each other to share expertise and experiences on resource protection, planning, and management.

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The FY 2012 Fairfax County funding is \$29,070, which is consistent with the FY 2011 funding level. County funding will assist the Center in developing a tree canopy tracking mechanism that will be used as a regional model to report tree planning for the regional Air Quality Management Plan.

Fairfax County	FY 2010 Actual	FY 2011 Adopted Budget Plan	FY 2011 Revised Budget Plan	FY 2012 Advertised Budget Plan	FY 2012 Adopted Budget Plan
Commission for Women	\$6,916	\$6,916	\$6,916	\$6,916	\$6,916

The Commission for Women was created by the Board of Supervisors in 1971 to promote the equality of women and girls in Fairfax County, to advise the Board on the concerns of Fairfax County's women and girls; to present possible solutions; and to effect long-term change through public education, policy reform and building community partnerships. The Commission is comprised of 11 members, nine of whom are appointed by members of the Board of Supervisors and two at-large members appointed by the Board's Chairman. There is also a student representative from a local college or university who is a non-voting member.

The total FY 2012 Fairfax County contribution to the Commission for Women is \$6,916, which is consistent with the FY 2011 Adopted Budget Plan.

Fairfax County	FY 2010 Actual	FY 2011 Adopted Budget Plan	FY 2011 Revised Budget Plan	FY 2012 Advertised Budget Plan	FY 2012 Adopted Budget Plan
Convention and Visitors Corporation	\$2,538,837	\$2,378,965	\$2,378,965	\$2,426,544	\$2,426,544

As a result of enabling legislation approved by the 2004 General Assembly, the County was granted the authority to impose an additional 2 percent Transient Occupancy tax beginning July 1, 2004. As required by the legislation, no less than 25 percent of the additional revenue is to be designated for and appropriated to a nonprofit Convention and Visitors Corporation located in Fairfax County.

The mission of the Convention and Visitors Corporation, known as Visit Fairfax, is "to create and effectively market exciting products, programs and activities that will distinguish Fairfax County as a premier tourism destination." Visit Fairfax is a 503(c) (3) organization with 25 board members appointed by the Board of Supervisors and the tourism industry.

Based on a projected increase in Transient Occupancy tax revenue in FY 2012, funding of \$2,426,544 is included for the Convention and Visitors Corporation, which is an increase of \$47,579 or 2.0 percent over the FY 2011 Adopted Budget Plan.

Fairfax County	FY 2010 Actual	FY 2011 Adopted Budget Plan	FY 2011 Revised Budget Plan	FY 2012 Advertised Budget Plan	FY 2012 Adopted Budget Plan
Earth Sangha	\$17,000	\$16,150	\$16,150	\$16,150	\$16,150

In September 2007, the Board of Supervisors designated three non-profit organizations as contributory agencies in order to further assist the County in achieving a tree canopy goal of 45 percent, requiring the community to plant millions of trees over the next 30 years. In accordance with this effort, funding was approved for Earth Sangha, an environmental nonprofit organization committed to helping people

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become better stewards of the planet by providing numerous volunteer opportunities involving environmental work.

Notably, Earth Sangha supports a native forest gardener network which produces, conserves and restores native plants of the Washington, DC metropolitan area. This program aims to produce batches of seedlings that are genetically diverse, locally adapted and representative of the native forest flora. Volunteer opportunities involve planting the native seedlings, shrubs, wild flowers, grasses and trees in local parks, fields, and forests. Earth Sangha partners with the Fairfax County Department of Public Works and Environmental Services and the Fairfax County Park Authority on several planting events throughout the County, including at Wilburdale Park in Annandale, Waverly Park in Vienna, Frying Pan Park in Herndon, and Sully Historic Park in Chantilly, among others.

The FY 2012 Fairfax County funding is \$16,150, which is consistent with the FY 2011 funding level.

Fairfax County	FY 2010 Actual	FY 2011 Adopted Budget Plan	FY 2011 Revised Budget Plan	FY 2012 Advertised Budget Plan	FY 2012 Adopted Budget Plan
Fairfax County History Commission	\$22,119	\$21,013	\$21,013	\$21,013	\$21,013

The History Commission was created by the Board of Supervisors in 1969 to advise County government and generally promote the public interest in matters concerning the history of Fairfax County. There are 20 members who are appointed by the Board of Supervisors for three-year terms and who may be reappointed. The Commission advises the Board and County on matters involving the County's history; maintains an inventory of historic sites in the County; proposes and monitors historic districts and provides to local groups on matters of historic preservation. Major programs include: educational activities, cooperative ventures with local universities in local history activities, liaison functions with state/national historic preservation organizations, historic record indexing projects, archaeology programs and expansion of photographic archives.

The FY 2012 Fairfax County funding is \$21,013, which is consistent with the FY 2011 Adopted Budget Plan amount.

Fairfax County	FY 2010 Actual	FY 2011 Adopted Budget Plan	FY 2011 Revised Budget Plan	FY 2012 Advertised Budget Plan	FY 2012 Adopted Budget Plan
Fairfax ReLeaf	\$44,200	\$41,990	\$41,990	\$41,990	\$41,990

In September 2007, the Board of Supervisors designated three non-profit organizations as contributory agencies in order to further assist the County in achieving a tree canopy goal of 45 percent, requiring the community to plant millions of trees over the next 30 years. In accordance with this effort, funding was approved for Fairfax ReLeaf, a nonprofit organization of volunteers who plant and preserve trees and restore forest cover on public and common lands in Northern Virginia. Fairfax ReLeaf activities are aimed at preserving trees and offsetting tree loss by planting thousands of trees each year in order to improve air and water quality, reduce noise, preserve wildlife habitats, and reduce surface runoff. Since its founding in 1992, Fairfax ReLeaf has planted over 100,000 trees in the Counties of Fairfax, Loudon, and Prince William.

The FY 2012 Fairfax County funding is \$41,990, which is the same as the FY 2011 funding level.

Fund 119 Contributory Fund

Fairfax County	FY 2010 Actual	FY 2011 Adopted Budget Plan	FY 2011 Revised Budget Plan	FY 2012 Advertised Budget Plan	FY 2012 Adopted Budget Plan
Greater Reston Incubator	\$25,500	\$24,225	\$24,225	\$24,225	\$24,225

The FY 2012 Fairfax County funding for the Greater Reston Chamber of Commerce's (GRCC) Incubator Program is \$24,225, which is the same as the FY 2011 Adopted Budget Plan contribution. The GRCC's Incubator Program assists entrepreneurs in developing high-growth businesses in various sectors of the regional economy including technology, government services and supporting industries. The program provides business services, technical support, and physical space to help emerging businesses grow. Job creation and increased regional prosperity are the program's primary goals. This volunteer-driven program has helped 47 companies over the past 10 years, created over 450 jobs in the region, attracted over \$45 million in investment, and occupied in excess of 80,000 square feet of commercial space in Fairfax County.

Fairfax County	FY 2010 Actual	FY 2011 Adopted Budget Plan	FY 2011 Revised Budget Plan	FY 2012 Advertised Budget Plan	FY 2012 Adopted Budget Plan
Northern Virginia Community College	\$91,110	\$90,181	\$90,181	\$89,856	\$89,856

Northern Virginia Community College (NVCC) is a comprehensive institution of higher education offering programs of instruction generally extending not more than two years beyond the high school level. The College currently has six campuses (Alexandria, Annandale, Loudoun, Manassas and Springfield for medical education and Woodbridge) with permanent facilities constructed on each site. Each year, the College serves more than 60,000 students in credit-earning courses and more than 25,000 students in continuing education and training activities.

NVCC projects FY 2011 expenditures of \$246,968 for base operating requirements to be funded with \$187,429 from local jurisdictions. This amount includes \$214,792 for General Administration (President's Office, College Board travel and memberships, student scholarships, loans, and grants), \$18,000 for Community Services (community information), and \$14,176 for a contingency reserve. The base, which is funded by the governing bodies of the local jurisdictions served by the College, as well as any fund balances supports additional services that cannot be provided under the College's annual state fiscal appropriations. For example, local funding provides for increased matching loan funds and support of community service activities. This local funding is for Operating Expenses only and is not applied toward Personnel Services. The local jurisdictions served by the College are requested to contribute their share of the College's base expenditure, which is calculated on a per capita basis as reported by the College using population figures from the Weldon Cooper Center for Public Service.

The FY 2012 Fairfax County contribution to this agency for operations and maintenance is \$89,856, a decrease of \$325 or 0.4 percent from the FY 2011 Adopted Budget Plan, due primarily to shifts in population among the contributing jurisdictions. This amount reflects the County's share of the services provided to Fairfax County residents as reported by the College and is 47.9 percent of the local jurisdictions' contributions totaling \$187,429 for FY 2012.

In addition, County funding of \$1,554,710 is included in Fund 303, County Construction, for an annual capital contribution to the College based on a \$1.50 per capita population figure provided by the Weldon Cooper Center. Funding provides for the continued construction and maintenance of various capital projects on college campuses within the NVCC system.

Fund 119 Contributory Fund

Fairfax County	FY 2010 Actual	FY 2011 Adopted Budget Plan	FY 2011 Revised Budget Plan	FY 2012 Advertised Budget Plan	FY 2012 Adopted Budget Plan
Northern Virginia Conservation Trust	\$239,740	\$227,753	\$227,753	\$227,753	\$227,753

The primary purpose of the public/private partnership between the Northern Virginia Conservation Trust (NVCT) and Fairfax County is for NVCT to assist the County in the preservation of natural areas and historic properties through the use of conservation/open space easements, land gifts and acquisition of open space. The Trust is also tasked with educating the public on the importance of conservation and the County's abundant natural resources through outreach programs. Through this partnership, NVCT has been able to permanently conserve over 650 acres in Fairfax County. Some of the conserved land serves as a habitat for a variety of rare species and different vegetation communities.

The Trust also operates an "Adventures in Conservation" outreach program to bring hands-on volunteerism and environmental education opportunities to the public. Some of the activities include planting thousands of native trees, removing invasive plants, and conducting birding trips and guided hikes. FY 2012 funding of \$227,753 is included, which is the same as the FY 2011 Adopted Budget Plan contribution.

Fairfax County	FY 2010 Actual	FY 2011 Adopted Budget Plan	FY 2011 Revised Budget Plan	FY 2012 Advertised Budget Plan	FY 2012 Adopted Budget Plan
Northern Virginia Soil and Water Conservation District	\$421,990	\$0	\$0	\$0	\$0

The Northern Virginia Soil and Water Conservation District (NVSWCD) is an independent subdivision of the Commonwealth of Virginia to provide leadership in the conservation and protection of Fairfax County's soil and water resources. It is governed by a five-member Board of Directors, three of whom are elected every four years by the voters of Fairfax County and two who are appointed by the Virginia Soil and Water Conservation Board. Accordingly, the work of NVSWCD supports many of the environmental efforts set forth in the Board of Supervisors' Environmental Excellence 20-year Vision Plan. Technical assistance and information are provided to state and local government agencies as well as private citizens. The goal of the NVSWCD is to continue to improve the quality of the environment and general welfare of the citizens of Fairfax County by providing them with a means of dealing with soil, water conservation and related natural resource problems. It provides County agencies with comprehensive environmental evaluations for proposed land use changes with particular attention to the properties of soils, erosion potential, drainage and the impact on the surrounding environment.

Beginning in FY 2011, funding of the County share of the base operating requirements for NVSWCD is being provided in the newly created Fund 125, Stormwater Services. The FY 2012 contribution included in Fund 125 is \$429,293.

Fund 119 Contributory Fund

Fairfax County	FY 2010 Actual	FY 2011 Adopted Budget Plan	FY 2011 Revised Budget Plan	FY 2012 Advertised Budget Plan	FY 2012 Adopted Budget Plan
Occoquan Watershed Monitoring Program	\$112,559	\$0	\$0	\$0	\$0

The Occoquan Watershed Monitoring Program (OWMP) and the Occoquan Watershed Monitoring Laboratory (OWML) were established to ensure that water quality is monitored and protected in the Occoquan Watershed. Given the many diverse uses of the land and water resources in the Occoquan Watershed (agriculture, silviculture, urban residential development, commercial and industrial activity, water supply, and wastewater disposal), the OWMP provides a critical role as the unbiased interpreter of basin water quality information. The cost of the OWMP is equally divided between water supply and sewage users. As a result, 50 percent of Operating Expenses is supported by the Fairfax County Water Authority and 50 percent by the participants: Fairfax, Fauquier, Loudoun and Prince William counties, and the cities of Manassas and Manassas Park. The Watershed Monitoring Program Funding Agreement of 1988 requires that Fairfax County provide 12.5 percent of the direct costs.

Beginning in FY 2011, funding of the County share for OWMP is being provided in the newly created Fund 125, Stormwater Services. The total amount included in Fund 125, Stormwater Services for Fairfax County's FY 2012 share is \$112,559.

Fairfax County	FY 2010 Actual	FY 2011 Adopted Budget Plan	FY 2011 Revised Budget Plan	FY 2012 Advertised Budget Plan	FY 2012 Adopted Budget Plan
OpenDoor Housing Fund	\$31,776	\$31,776	\$31,776	\$31,776	\$31,776

The OpenDoor Housing Fund's mission is to provide flexible capital from a variety of sources including government, philanthropic and corporate entities to increase the supply of affordable and workforce housing for low and moderate income households and housing for various special needs populations in the Washington metropolitan area; to positively impact the delivery of affordable housing finance in this region; and provide technical assistance to help potential borrowers obtain financing and successfully complete affordable housing development.

In FY 2012, Fairfax County's share for the OpenDoor Housing Fund is \$31,776, which is consistent with the FY 2011 Adopted Budget Plan.

Fairfax County	FY 2010 Actual	FY 2011 Adopted Budget Plan	FY 2011 Revised Budget Plan	FY 2012 Advertised Budget Plan	FY 2012 Adopted Budget Plan
Southeast Fairfax Development Corporation	\$192,968	\$183,320	\$183,320	\$183,320	\$183,320

The Southeast Fairfax Development Corporation (SFDC) is a private, nonprofit organization that operates under a Memorandum of Understanding between the Fairfax County Board of Supervisors and the SFDC. Over the years, the Corporation has promoted, encouraged, facilitated and guided economic development and revitalization on the 7.5 mile length of Richmond Highway from the Capital Beltway to Fort Belvoir, the largest of the County's seven designated revitalization areas. It provides marketing and promotion aimed at business attraction and retention; direct assistance to developers and businesses; and to a lesser degree, land use planning and coordination with the Richmond Highway community. It is this

Fund 119 Contributory Fund

community consensus that makes revitalization/redevelopment possible. SFDC is committed to improving the quality of life, creation and retention of jobs, community appearance and increased tax base. Its 18-member volunteer Board of Directors is representative of the community.

SFDC continues to foster growth in existing businesses, while simultaneously promoting the physical renovation of the area through initiatives involving beautification, developmental planning, and ongoing market studies and needs assessments. SFDC sees an even greater need for its services as a result of the Department of Defense's (DoD) Base Realignment and Closure (BRAC) recommendations announced in May 2005. It is anticipated that over 19,000 DoD employees will be redeployed to Fort Belvoir and the Engineer Proving Grounds, with an additional 23,000 government contracting jobs also expected to relocate to the area. The total FY 2012 Fairfax County contribution for SFDC is \$183,320, which is the same level as the FY 2011 Adopted Budget Plan contribution.

Fairfax County	FY 2010 Actual	FY 2011 Adopted Budget Plan	FY 2011 Revised Budget Plan	FY 2012 Advertised Budget Plan	FY 2012 Adopted Budget Plan
VPI/UVA Education Center	\$50,000	\$50,000	\$50,000	\$50,000	\$50,000

In FY 1995, Fairfax County entered into an agreement with the City of Falls Church, the Virginia Polytechnic Institute and State University (VPI), and the University of Virginia (UVA) to provide support for a new Education Center to be constructed in Falls Church, offering graduate and continuing professional education services. As part of this agreement, the Board of Supervisors agreed to waive all development/regulatory fees and costs, and provide review and inspection services necessary for the development of this center. In addition to one-time FY 1996 sewer availability and connection charges of \$70,881, the County agreed to contribute an annual amount of \$50,000 toward the facility, to be paid each year for 20 years, commencing in FY 1995. The total value of this 20-year contribution will be \$1,000,000.

The total FY 2012 Fairfax County contribution for the VPI/UVA Education Center is \$50,000, which is consistent with the FY 2011 Adopted Budget Plan.

Fairfax County	FY 2010 Actual	FY 2011 Adopted Budget Plan	FY 2011 Revised Budget Plan	FY 2012 Advertised Budget Plan	FY 2012 Adopted Budget Plan
Women's Center of Northern Virginia	\$28,445	\$27,023	\$27,023	\$27,023	\$27,023

The Women's Center is a private, nonprofit organization that provides personal and professional development services to women in Northern Virginia. Since FY 1978, the Board of Supervisors has contributed to this center in order to provide free or sliding-fee scale services to Fairfax County female residents who are unemployed, separated, abandoned or divorced, and the head of a household. Services include individual and group workshop sessions for women covering such areas as divorce, separation, financial planning and legal rights.

In FY 2012, the Center anticipates receiving approximately 50,000 requests for services from County residents to meet the interrelated psychological, practical, legal and financial needs of these County residents, many of whom are financially disadvantaged and require low-cost services. Access to these services enables community members to become self-sufficient and ultimately more productive community members.

Fund 119 Contributory Fund

The total FY 2012 Fairfax County contribution is \$27,023, which is the same as the FY 2011 Adopted Budget Plan contribution.

Fairfax County	FY 2010 Actual	FY 2011 Adopted Budget Plan	FY 2011 Revised Budget Plan	FY 2012 Advertised Budget Plan	FY 2012 Adopted Budget Plan
Subtotal Community Development	\$3,856,735	\$3,131,208	\$3,131,208	\$3,178,462	\$3,178,462

Nondepartmental:

Fairfax County	FY 2010 Actual	FY 2011 Adopted Budget Plan	FY 2011 Revised Budget Plan	FY 2012 Advertised Budget Plan	FY 2012 Adopted Budget Plan
Fairfax Public Law Library	\$92,657	\$92,657	\$92,657	\$92,657	\$92,657

The mission of the Fairfax Public Law Library is to promote justice by providing all citizens with access to legal information. The legal resources available in the Law Library are not available to the public at any other single location within the County. In 2001, Fairfax County, the Clerk of the Court, and the Fairfax Bar Association (FBA) entered into an agreement with regard to the Law Library. The agreement provides that Fairfax County, through the Fairfax County Public Library (FCPL), shall have primary responsibility for the administration of the Law Library, while the FBA has primary responsibility for its management.

Currently located in the recently expanded Fairfax County Judicial Center, the Fairfax Public Law Library assists the public, as well as members of the legal community, with locating sources for legal information and provides bibliographic instruction. In addition to the collection, the Law Library has four work stations dedicated to providing general information on divorce, immigration, estate planning and employment for patrons, as well as five computer work stations where the public may access legal materials on CD-ROMs and online databases. In recent years, the Law Library has decreased its printed materials and increased subscriptions to online databases. The Fairfax Public Law Library anticipates to serve over 80,000 patrons in FY 2012. Many are in need of legal information because they are unable to afford legal representation but do not qualify for free legal services.

The total FY 2012 Fairfax County funding is \$92,657, which is unchanged from the FY 2011 Adopted Budget Plan.

Fairfax County	FY 2010 Actual	FY 2011 Adopted Budget Plan	FY 2011 Revised Budget Plan	FY 2012 Advertised Budget Plan	FY 2012 Adopted Budget Plan
Subtotal Nondepartmental	\$92,657	\$92,657	\$92,657	\$92,657	\$92,657

Total County Contributions	\$12,854,128	\$12,038,305	\$12,038,305	\$12,212,942	\$12,212,942
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Fund 119 Contributory Fund

FUND STATEMENT

Fund Type G10, Special Revenue Funds

Fund 119, Contributory Fund

	FY 2010 Actual	FY 2011 Adopted Budget Plan	FY 2011 Revised Budget Plan	FY 2012 Advertised Budget Plan	FY 2012 Adopted Budget Plan
Beginning Balance	\$210,569	\$210,569	\$291,881	\$291,881	\$291,881
Transfer In:					
General Fund (001)	\$12,935,440	\$12,038,305	\$12,038,305	\$12,162,942	\$12,162,942
Total Transfer In	\$12,935,440	\$12,038,305	\$12,038,305	\$12,162,942	\$12,162,942
Total Available	\$13,146,009	\$12,248,874	\$12,330,186	\$12,454,823	\$12,454,823
Expenditures:					
Legislative-Executive Functions/Central Services					
Agencies	\$1,938,666	\$1,948,376	\$1,948,376	\$1,964,180	\$1,964,180
Public Safety	52,618	49,952	49,952	55,052	55,052
Health and Welfare	2,363,267	2,528,436	2,528,436	2,794,915	2,794,915
Parks, Recreational and Cultural	4,550,185	4,287,676	4,287,676	4,127,676	4,127,676
Community Development	3,856,735	3,131,208	3,131,208	3,178,462	3,178,462
Nondepartmental	92,657	92,657	92,657	92,657	92,657
Total Expenditures	\$12,854,128	\$12,038,305	\$12,038,305	\$12,212,942	\$12,212,942
Total Disbursements	\$12,854,128	\$12,038,305	\$12,038,305	\$12,212,942	\$12,212,942
Ending Balance¹	\$291,881	\$210,569	\$291,881	\$241,881	\$241,881

¹ For several contributory agencies where Fairfax County funding is based upon actual usage that can fluctuate, unused appropriation falls to fund balance, which is then reappropriated after leaving a nominal balance for flexibility.

Contributory Fund Fund 703 - NOVARIS

Non-Appropriated Funds

Fairfax County exercises a fiduciary responsibility for the financial management and operation of the Northern Virginia Regional Identification System (NOVARIS). Therefore, this fund is displayed here for information. Participating Washington Metropolitan Area jurisdictions share costs associated with NOVARIS based on the sworn police population of each jurisdiction as approved by the NOVARIS Advisory Board on July 30, 1997.

The Fairfax County contribution is made through the Contributory Fund. The total Fairfax County FY 2012 contribution is \$14,677, which represents the County's annual share of costs associated with operations and upgrades of NOVARIS. The NOVARIS Fund Statement is shown on the next page.

NOVARIS utilizes state-of-the-art computer equipment to identify criminals by categorizing and matching fingerprints. It enables police to match a fingerprint found at the scene of a crime with any individual who has been arrested in the Washington metropolitan area by comparing the print or partial print with all prints in the database. In FY 2010, 546,000 fingerprint cards were maintained in the system and the database, which helped to make 609 latent fingerprint identifications.

Funding of \$4.57 million was secured through an Urban Areas Security Initiative (UASI) grant to cover the cost of Automated Fingerprint Identification System (AFIS) system replacements and maintenance for the National Capital Region, including NOVARIS, the District of Columbia, as well as Prince George's County and Montgomery County. In FY 2010, NOVARIS was awarded additional Urban Areas Security Initiative (UASI) funding for the maintenance of the new system which reduced member jurisdictions' payments in FY 2011 and will continue to do so in FY 2012. Future grants will be explored to fund maintenance after FY 2012.

Contributory Fund Fund 703 - NOVARIS

FUND STATEMENT

Fund Type G70, Trust and Agency Funds

Fund 703, Northern Virginia Regional
Identification System (NOVARIS)

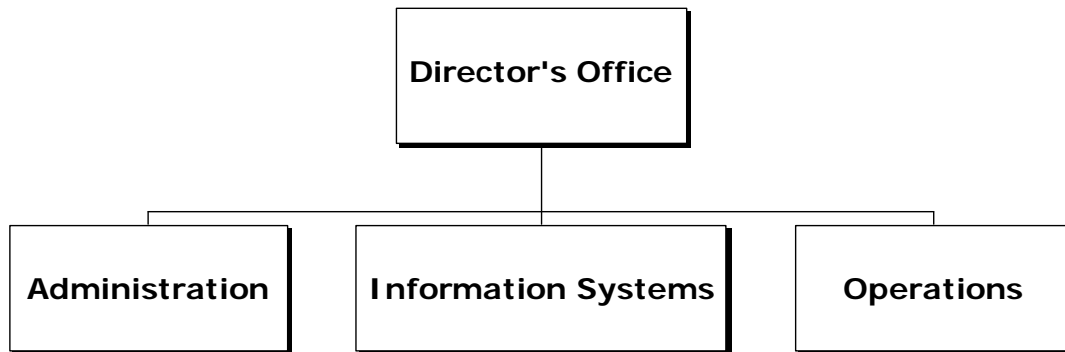
	FY 2010 Actual	FY 2011 Adopted Budget Plan	FY 2011 Revised Budget Plan	FY 2012 Advertised Budget Plan	FY 2012 Adopted Budget Plan
Beginning Balance	\$19,273	\$19,984	\$37,710	\$38,421	\$38,421
Revenue:					
Interest on Investments	\$177	\$529	\$529	\$529	\$529
Fairfax County (Police and Sheriff)	10,118	9,577	9,577	14,677	14,677
Arlington County	2,148	2,149	2,149	3,293	3,293
Prince William County	2,049	2,395	2,395	3,670	3,670
City of Fairfax	380	376	376	576	576
City of Falls Church	187	188	188	288	288
City of Alexandria	1,663	1,690	1,690	2,590	2,590
Loudoun County	2,049	2,218	2,218	3,399	3,399
VA State Police/Bureau of Forensic Science	0	188	188	288	288
Total Revenue:	\$18,771	\$19,310	\$19,310	\$29,310	\$29,310
Total Available	\$38,044	\$39,294	\$57,020	\$67,731	\$67,731
Expenditures:					
Operating Expenses ¹	\$334	\$18,599	\$18,599	\$34,599	\$34,599
Total Expenditures	\$334	\$18,599	\$18,599	\$34,599	\$34,599
Total Disbursements	\$334	\$18,599	\$18,599	\$34,599	\$34,599
Ending Balance²	\$37,710	\$20,695	\$38,421	\$33,132	\$33,132

¹The increase in Operating Expenses in FY 2012 is associated with additional telecommunications costs resulting from the move of NOVARIS to the McConnell Public Safety and Transportation Operations Center (MPSTOC).

²Ending balances fluctuate due to variable expenditure requirements and the carryover of unspent funds.

Fund 120

E-911



Mission

To provide and maintain highly professional and responsive 9-1-1 emergency and non-emergency communication services to the citizens of Fairfax County, City of Fairfax, Town of Herndon, Town of Vienna, Fort Belvoir, citizens that work in and visit Fairfax County on a daily basis and to the Fairfax County Police, Fire & Rescue and Sheriff departments in a collaborative and supportive work environment that utilizes highly trained and qualified staff. To deliver emergency and non-emergency communications utilizing state-of-the-art technology through a variety of systems integrated to provide 9-1-1 telephone, computer aided dispatch, multi-channel trucked radio and wireless data networks in a cost effective, sustainable, reliable and technologically innovative manner. And, to utilize, industry accepted best policies, practices and standards in an efficient and cost effective manner.

Focus

The activities and programs in Fund 120, E-911 provides support to the operations of the Department of Public Safety Communications (DPSC) and various other public safety information technology projects. The DPSC is designated as the primary 9-1-1 Public Safety Answering Point (PSAP) for all 9-1-1 calls originating within Fairfax County as well as the city and towns therein. The agency also provides Emergency Medical Dispatch (EMD)/Pre-Arrival Instruction (PAI), which is an emergency medical service (EMS) intervention program where DPSC call takers provide emergency medical instructions until fire-rescue-EMS units arrive on the scene of an emergency incident. Due to the vital, mission-critical, and time sensitive service provided by DPSC personnel, they are, in many ways, recognized as the "First of the First Responders." Additionally, DPSC receives all commercial and residential security, fire and medical alarm requests for service calls from private alarm service providers. Non-emergency services provided include responding to police non-emergency calls received; reporting of towed vehicles and private vehicle impounds; calls for Animal Control Unit services, a subsidiary of the Fairfax County Police Department (FCPD) resolution and non-emergency calls for service for fire and rescue assistance and information. DPSC also provides National Crime Information Center (NCIC) and Virginia Criminal Information Network (VCIN) teletype operations related to property (e.g., stolen guns and vehicles), people (e.g., protective orders and missing persons), events (e.g., fatal accidents and security matters), and queries (e.g., wanted persons/warrant confirmation). These operations ensure that criminal and investigative information is shared with the appropriate authorities within the County and on a regional, state and federal level. Additionally, DPSC serves as the official custodian of nearly 10,000 hours of audio recordings of all telephone calls and radio traffic pertaining to public safety as required by law. DPSC receives and responds to court subpoenas and Freedom of Information Act (FOIA) requests for audio transmissions. Audio recordings are also maintained per national standards for investigative, quality assurance and training purposes.

Fund 120 E-911

Department of Public Safety Communications-History

In FY 2005, the County identified several operational issues within the existing Public Safety Communication Center (PSCC). Issues reviewed and addressed included: organizational placement of the then PSCC as an independent agency within County government to ensure an effective representation of its broad public safety service role and broad client base; organizational leadership and management to reframe the role of the Director position from a sworn officer in the Police Department chain of command structure to that of a 9-1-1 public safety communications professional civilian position who will work with new additional management level staff positions to support and encourage innovation and improve efficiency and performance; establish/reconstruct operational and performance measurements to standardize the process for quality control and quality assurance, to monitor a complex budget from multiple funding streams and the allocation of funds to the attainment of performance objectives; and finally recruitment and retention issues. As a result of this internal review of existing operations, a change plan was developed to provide a framework for facilitating successful implementation of both current and future action steps to overhaul and improve the public safety communications center and its operations. The reorganization of the existing PSCC was a first step in the change plan.

In FY 2006, the PSCC was moved from a division within the Police Department to independent agency status, supported in Fund 120 as Agency 95, the Department of Public Safety Communications. This agency now reports directly to the Deputy County Executive for Public Safety, responsible for the other public safety agencies within the County. Early efforts of the new agency centered on reengineering the recruitment program; redesigning the new hire program; promoting programs to encourage retention; improved internal and external communications, enhancing the management structure to provide leadership in the areas of client services and 9-1-1 center operations; recognizing and rewarding employees; and developing business analyses to measure and monitor performance. The agency will continue to focus on these types of organizational issues into FY 2012 and beyond.

The changes underway within DPSC continue to have a positive impact on operations and agency leadership is focused on maintaining the momentum of positive change, with emphasis on improving performance standards and performance call statistics, recruitment and retention, training, and continued operational adjustments associated with DPSC's move to the McConnell Public Safety and Transportation Operations Center (MPSTOC) that occurred on October 4, 2009. As a critical operation in Fairfax County that affects the lives and safety of residents, the changes underway are intended to ensure that the DPSC is able to provide world-class public safety communication services.

Public Safety Information Technology Projects

With the migration to the new MPSTOC facility, the DPSC has had a complete technology refresh of the telephone system, CAD system and radio system in use for public safety operations. Expanded services have also been introduced into the public safety environment that include; combined center with the Virginia State Police and Virginia Department of Transportation; collocation with the Office of Emergency Management; a new expanded computer aided dispatch system; audio visual technology and traffic monitoring via VDOT traffic cameras; expanded County enterprise access for all employees; increased number of call taking and dispatch positions; and a host of other technology refresh items to make the center one of the country's premiere emergency operations centers. The CAD system is used to dispatch appropriate public safety equipment and personnel to events and emergencies and to communicate and track up-to-date information in a rapidly changing environment for public safety units disbursed throughout the entire County. The mobile data communications component of CAD allows the dispatch of resources without the need for voice radio communications, thus avoiding saturation of the

Fund 120 E-911

voice radio frequencies which can then be used to handle priority transmissions and traffic. It also provides field units direct access to local, state, and national databases, access to remote records management databases, access to email and departmental processes and procedures and continuous contact with the DPSC independent of the voice radio system.

Installation of the sonnet radio network was completed and brought online in October 2000. Subsequent to the September 11, 2001 terrorist attacks, a reevaluation of the network determined that three additional tower sites were needed to be added to ensure proper coverage to areas of the County that had grown more populous since the original radio signal coverage propagation studies were completed. This expansion was funded through a Homeland Security grant and is now complete.

In FY 2012, IT Projects requirements remain at the same level as the FY 2011 Adopted Budget Plan level. Funding was included in FY 2011 to support year three of a five year upgrade to the County's Public Safety Radio System. In FY 2012, fourth year funding will be required to continue the upgrade to the County's Voice Public Radio System.

Additionally, the Mobile Data Communications System (MDCS) Mobile Computer Terminal (MCT) Replacement project will require FY 2012 funding to provide for the lifecycle replacement of field mobile data computers by replacing one-fifth of the fleet each year for five years. FY 2012 funding represents the fifth year of the five year replacement funding required. In FY 2013 a new cycle will begin with another five year replacement cycle.

Revenues

There are four main revenue categories in the E-911 Fund: Communication and Sales Use Tax Fees, State Wireless E-911 Revenue, Interest Income and Other Revenue (which reflects annual revenue from the City of Fairfax for dispatch services, FOIA fees, and reimbursement from Nextel to cover County expenses related to the Nextel 800 MHz rebanding initiative). Combined with the General Fund Transfer from the County, these revenues support the expenditure requirements of the E-911 Fund.

The Communication Sales and Use Tax Fee is a landline E-911 tax of \$0.75 per landline appears as a line item on customers' telephone bills. Revenues from this source are collected and remitted monthly by communications services providers into a statewide fund, known as the Communications Sales and Use Tax Trust Fund. Revenue received into the fund is distributed to localities based on their share of the total local revenues received in FY 2006.

The Wireless E-911 Revenue category is derived from a monthly \$0.75 surcharge on all wireless/cellular telephones and is distributed to localities as part of the Wireless E-911 State Reimbursement. It should be noted that the Commonwealth has transferred approximately \$8 million from the Wireless E-911 fund to support non 9-1-1 matters in other state agencies. The FY 2012 estimate for this category has been decreased from \$4.4 to \$4.0 million, based on a revised methodology in the new contract that resulted in Fairfax County's costs increasing by approximately \$400,000. These additional costs incurred by the new contract are likely going to be passed on to jurisdictions through lower Wireless E-911 reimbursements; therefore, the FY 2012 revenue estimate for this category has been revised to reflect this situation.

Overall, the FY 2012 revenue estimate for Fund 120, E-911 is \$22,441,353, reflecting a decrease of \$795,327, or 3.4 percent, from the FY 2011 Adopted Budget Plan total of \$23,236,680. The decrease is due to the Wireless E-911 issue noted above, as well as slightly lower projections for Communications Sales and Use Tax Fees and Interest Income.

Fund 120 E-911

General Fund Transfer

The General Fund Transfer is funded at \$14,058,303 in FY 2012, which reflects no change from the FY 2011 Adopted Budget Plan.

Budget and Staff Resources

Agency Summary					
Category	FY 2010 Actual	FY 2011 Adopted Budget Plan	FY 2011 Revised Budget Plan	FY 2012 Advertised Budget Plan	FY 2012 Adopted Budget Plan
Authorized Positions/Staff Years					
Regular	204 / 204	204 / 204	205 / 205	205 / 205	205 / 205
Expenditures:					
Personnel Services	\$19,681,336	\$20,879,510	\$20,879,510	\$20,879,510	\$20,879,510
Operating Expenses	9,748,001	11,736,777	14,639,452	11,736,777	11,736,777
IT Projects	3,191,177	4,629,000	11,549,970	4,629,000	4,629,000
Total Expenditures	\$32,620,514	\$37,245,287	\$47,068,932	\$37,245,287	\$37,245,287

FY 2012 Funding Adjustments

The following funding adjustments from the FY 2011 Adopted Budget Plan are necessary to support the FY 2012 program. Included are all adjustments recommended by the County Executive that were approved by the Board of Supervisors, as well as any additional Board of Supervisors' actions, as approved in the adoption of the budget on April 26, 2011.

- ◆ FY 2012 funding remains at the same level as the FY 2011 Adopted Budget Plan.
- ◆ **Employee Compensation** \$0
It should be noted that no funding is included for pay for performance or market rate adjustments in FY 2012.

Changes to FY 2011 Adopted Budget Plan

The following funding adjustments reflect all approved changes in the FY 2011 Revised Budget Plan since passage of the FY 2011 Adopted Budget Plan. Included are all adjustments made as part of the FY 2010 Carryover Review, FY 2011 Third Quarter Review, and all other approved changes through April 12, 2011.

- ◆ **Carryover Adjustments** \$9,823,645
As part of the FY 2010 Carryover Review, the Board of Supervisors approved an increase of \$9,823,645, including the carryover of Information Technology project balances of \$6,920,970 and encumbered carryover of \$2,902,675 in Operating Expenses.
- ◆ **Position Changes** \$0
As part of the FY 2011 review of County position categories, a conversion of 2/2.0 SYE positions has been made. The status of limited term positions was reviewed in light of recent changes to federal regulations related to health care and other federal tax requirements. As a result of this review a number of existing limited term positions have been converted to Merit Regular status. In addition, it should be noted that due to workload-related issues, a transfer of 1/1.0 SYE position from Fund 120 to the Fire and Rescue Department was approved in FY 2011.

Fund 120 E-911

Department of Public Safety Communications¹



Funding Summary					
Category	FY 2010 Actual	FY 2011 Adopted Budget Plan	FY 2011 Revised Budget Plan	FY 2012 Advertised Budget Plan	FY 2012 Adopted Budget Plan
Authorized Positions/Staff Years					
Regular	204 / 204	204 / 204	205 / 205	205 / 205	205 / 205
Total Expenditures	\$29,429,337	\$32,616,287	\$35,518,962	\$32,616,287	\$32,616,287

¹ It should be noted that the Cost Center table does not include funding of IT Projects-related funding. In FY 2012, this totals an amount of \$4,629,000.

Position Summary					
1 Director	1 Business Analyst IV	1 Human Resources Generalist III			
2 Assistant Directors	1 Business Analyst III	1 Human Resources Generalist I			
5 PSC Squad Supervisors	1 Management Analyst III	1 Geog. Info. Spatial Analyst III			
20 PSC Asst. Squad Supervisors	1 Management Analyst II	1 Geog. Info. Spatial Analyst I			
158 PSCs III	1 Financial Specialist III	2 Administrative Assistants IV			
1 Programmer Analyst III	1 Financial Specialist II	3 Administrative Assistants III			
1 PSTOC General Manager	1 Financial Specialist I	1 Info. Tech. Program Manager I			
TOTAL POSITIONS					
205 Positions / 205.0 Staff Years					

Key Performance Measures

Goal

To provide the telecommunications necessary for the rapid dispatch of Police and Fire and Rescue units to the scene of citizen or other agency requests for assistance. To maintain effective command, control, communications, and information support for public safety field personnel required for the safe, orderly conduct of public safety activities 24 hours a day, 365 days a year.

Objectives

- ◆ To meet the National Emergency Number Association (NENA) Call Taking Operational Standard/Model Recommendation of answering 95 percent of all 9-1-1 calls arriving at DPSC within 20 seconds.
- ◆ To exceed the National Emergency Number Association (NENA) Call Taking Operational Standard/Model Recommendation of answering 90 percent of all 9-1-1 calls arriving at DPSC within 10 seconds.

Fund 120 E-911

Indicator	Prior Year Actuals			Current Estimate	Future Estimate
	FY 2008 Actual	FY 2009 Actual	FY 2010 Estimate/Actual	FY 2011	FY 2012
Output:					
Calls received on emergency lines	610,180	575,644	581,400 / 589,178	595,070	601,020
Calls received on non-emergency lines	499,729	526,504	531,769 / 495,527	500,482	505,487
Efficiency:					
Cost per call	\$28.13	\$37.07	\$32.19 / \$30.07	\$34.00	\$33.66
Service Quality:					
Founded complaints per 100,000 calls	NA	1.7	1.7 / 2.5	2.1	2.1
Outcome:					
Percent 9-1-1 calls arriving at DPSC answered within 20 seconds	NA	95%	95% / 89%	95%	95%
Percent 9-1-1 calls arriving at DPSC answered within 10 seconds	NA	93%	93% / 85%	90%	90%

Performance Measurement Results

In FY 2010, DPSC did not meet the National Emergency Number Association (NENA) standard of 90 percent of 9-1-1 calls answered within 10 seconds by 5 percentage points in FY 2010 and did not meet the NENA standard of 95 percent of 9-1-1 calls answered within 20 seconds by 6 percentage points. The agency did not meet its objectives due to the relatively high amount of staff turnover it experienced in FY 2010 causing the number of public safety communicators qualified to answer calls to decrease. DPSC anticipates making progress in meeting the NENA standard in FY 2011 and FY 2012 due to its emphasis on filling staff vacancies and training new public safety communicators. It should be noted that in FY 2010, DPSC revised its performance measurement objectives to reflect NENA standards, and measures and estimates have been updated accordingly.

Fund 120 E-911

FUND STATEMENT

Fund Type G10, Special Revenue Funds

Fund 120, E-911

	FY 2010 Actual	FY 2011 Adopted Budget Plan	FY 2011 Revised Budget Plan	FY 2012 Advertised Budget Plan	FY 2012 Adopted Budget Plan
Beginning Balance	\$11,037,477	\$175,170	\$12,062,616	\$1,114,791	\$1,114,791
Revenue:					
Communications Sales and Use					
Tax Fees	\$17,240,334	\$18,456,745	\$16,956,745	\$18,146,045	\$18,146,045
State Reimbursement (Wireless E-911)	4,880,731	4,384,627	4,384,627	4,000,000	4,000,000
Other Revenue ¹	614,636	195,308	621,432	195,308	195,308
Interest Income	86,890	200,000	100,000	100,000	100,000
Total Revenue	\$22,822,591	\$23,236,680	\$22,062,804	\$22,441,353	\$22,441,353
Transfer In:					
General Fund (001)	\$10,823,062	\$14,058,303	\$14,058,303	\$14,058,303	\$14,058,303
Total Transfer In	\$10,823,062	\$14,058,303	\$14,058,303	\$14,058,303	\$14,058,303
Total Available	\$44,683,130	\$37,470,153	\$48,183,723	\$37,614,447	\$37,614,447
Expenditures:					
Personnel Services	\$19,681,336	\$20,879,510	\$20,879,510	\$20,879,510	\$20,879,510
Operating Expenses	9,748,001	11,736,777	14,639,452	11,736,777	11,736,777
IT Projects	3,191,177	4,629,000	11,549,970	4,629,000	4,629,000
Total Expenditures	\$32,620,514	\$37,245,287	\$47,068,932	\$37,245,287	\$37,245,287
Total Disbursements	\$32,620,514	\$37,245,287	\$47,068,932	\$37,245,287	\$37,245,287
Ending Balance²	\$12,062,616	\$224,866	\$1,114,791	\$369,160	\$369,160

¹ This revenue category includes annual revenue from the City of Fairfax for dispatch services, FOIA fees, and reimbursement from Nextel to cover County expenses related to the Nextel 800 MHz rebanding initiative.

² IT projects are budgeted based on the total project costs and most projects span multiple years. Therefore, funding for IT projects is carried forward each fiscal year, and ending balances fluctuate, reflecting the carryover of these funds.

Fund 120 E-911

IT0001	Public Safety Communications Network/Systems					
Total Project Estimate	Prior Expenditures	FY 2010 Expenditures	FY 2011 Revised Budget Plan	FY 2012 Advertised Budget Plan	FY 2012 Adopted Budget Plan	Future Years
TBD	\$62,923,101	\$3,191,177	\$11,342,024	\$4,629,000	\$4,629,000	TBD

Project IT0001 was established in FY 1995 to replace and upgrade the County's critical Public Safety Communications Network (PSCN) and its various component systems. The network's component systems are vital for ensuring immediate and systematic response to emergencies, and replacement and enhancement is necessary to maintain performance, availability, reliability, and capacity for growth due to increases in County population and demand for public safety services. The PSCN supports emergency communications for the DPSC, Police, Fire and Rescue, and Sheriff's departments. This includes public safety call taking (E-911, Cellular E-911, non-emergency), dispatching, and all affiliated communications support for public safety agencies. Two of the major technologies utilized are a Computer Aided Dispatch (CAD) system with an integrated mobile data communications component and a wireless digital radio network for voice communications.

The CAD system is used to dispatch appropriate equipment and personnel to emergency and non-emergency events and to communicate and track up-to-date investigative, personnel and unit information in a rapidly changing public safety environment. The mobile data communications component of CAD allows the dispatch of resources with minimal voice communications, provides field units direct access to local, state, and national databases, records management systems and other business processes, and allows continuous contact with the Public Safety Communications Center (PSCC). This project provides funding for enhancements and upgrades to the CAD system and its mobile data communications component including hardware replacement cycles. The CAD enhancement funds and MCT replacement cycle was established in the late 1980s to replace old systems that were technologically obsolete, severely undersized, and at the end of their effective, supportable life cycle. Upgrades ensure continued reliable operation of these critical systems, incorporates software, hardware, and user functionality advances made since the last upgrade, and allow for future migration in capability as new technologies emerge.

Fairfax County migrated to the new digital radio network in FY 2006 to accommodate growing public safety voice communications requirements and to remedy performance, coverage, fragmentation, and reliability problems associated with an aging, technologically obsolete system at the very end of its sustainable life cycle. Deficiencies in the old system severely impeded critical communications and safety in emergency situations. The new trunked wireless digital voice communications system consolidates all County public safety voice communication and is designed to address coverage, reliability, and operational limitations of the old system used by public safety agencies in the County. It provides capacity for growth and enhancement for the next 20 years.

FY 2012 funding is included for the fifth year of a five-year replacement cycle for Mobile Computer Terminals (MCTs) (\$1,200,000). Additionally, FY 2012 funding will support upgrading the County's Public Safety Radio System to a newer technology platform (\$3,429,000), in conjunction with the activation of the MPSTOC facility. The FY 2010 projection represents project costs and year three of a lease-purchase agreement for the new network infrastructure.

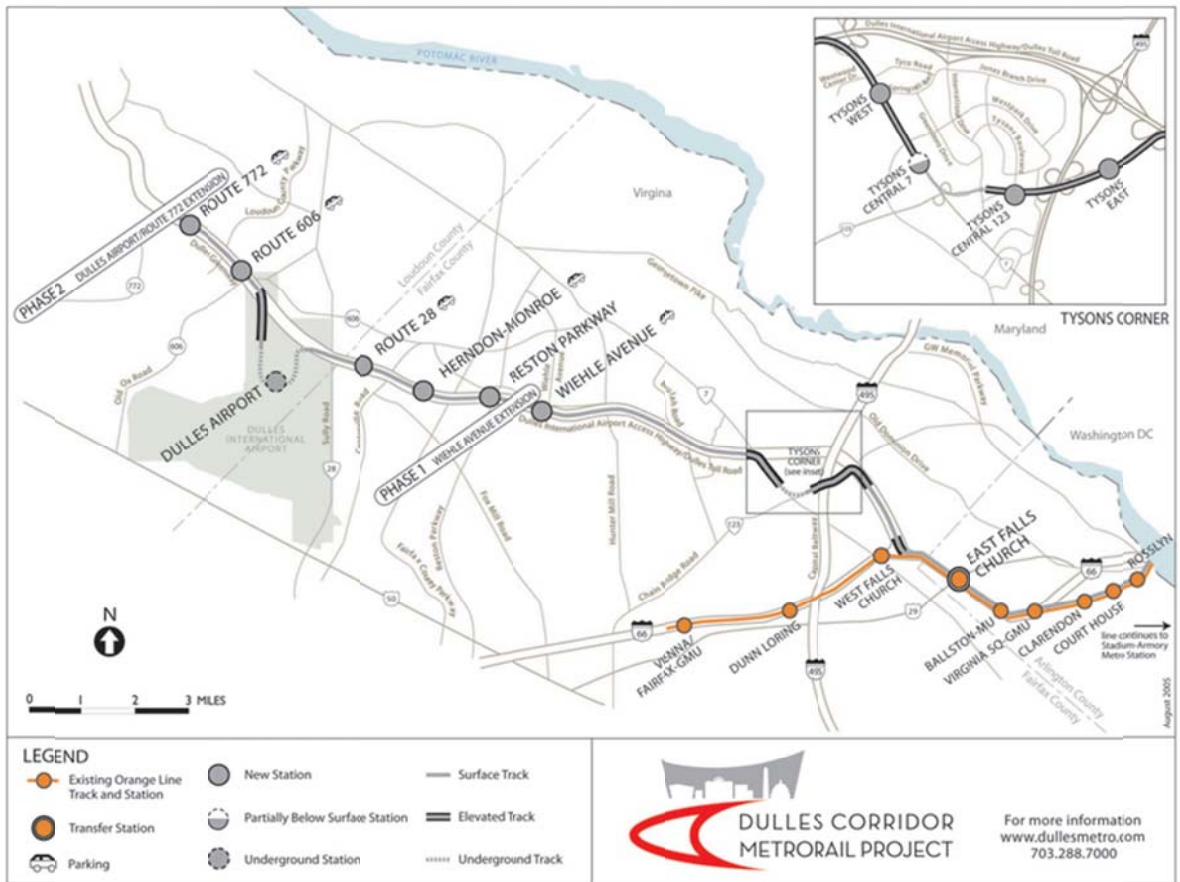
Return on Investment (ROI): The return on investment for this project is realized by the performance, productivity, and effectiveness of public safety services in Fairfax County. Replaced and upgraded technology for these systems is critical to the safety of the public and the public safety personnel they support. Upgraded technology preserves the investments in technology that have been made and allow increased functionality, performance, and reliability to be achieved to facilitate responses to, and management of, emergencies. It mitigates the need for extraordinarily large additions of personnel that would be necessary to provide the same level of service and results without this technology. The increased access to important information, improvements to maintenance and reliability, increased capacity for growth, and enhanced functionality for users now and in the future builds upon past investments, responds to critical existing requirements, and sets the stage for the next generation of public safety communications technology.

Fund 121

Dulles Rail Phase I Transportation Improvement District

Focus

Metrorail service is planned to be extended approximately 23 miles from an area east of West Falls Church station, along the median of the Dulles Connector Road (DCR) through Tysons Corner, then further out the Dulles International Airport Access Highway (DIAAH), through Dulles International Airport, to Route 772 in Loudoun County. The total cost of the Rail to Dulles Project currently is estimated to be between \$5.8 billion to \$6.5 billion. Due to financial constraints imposed by the federal government, the project is currently expected to be completed in two phases. The Phase I cost is approximately \$2.64 billion for the segment from the Metrorail Orange line to Wiehle Avenue in Reston, including construction of five new stations.



The Phase I cost of \$2.64 billion is being financed by the federal government, the Commonwealth of Virginia, Fairfax County, and revenue from the Dulles Toll Road (DTR). In March 2009, the Federal Transit Administration executed a Full funding Grant Agreement with Metropolitan Washington Airports Authority (MWAA) for \$900 million for Phase 1 of the project. Fairfax County's share of Phase 1, \$400 million is being financed from the Phase I Tax District; the remaining funding for Phase I is a combination of state and DTR funds.

The total project costs are expected to be shared by the federal government, the Commonwealth, Fairfax County, Loudoun County, MWAA, and operation of the Dulles Toll Road. It should be noted that the County's participation rate is determined on the basis of total project costs and sharing the cost of common features necessary to complete an operational line, such as soft costs, rail yards and power stations.

Fund 121

Dulles Rail Phase I Transportation Improvement District

The primary source of revenue to support construction of the rail line is expected to be tolls from the Dulles Toll Road. Control and operation of the Dulles Toll Road was transferred to MWAA on November 1, 2008. The local funding partners, Fairfax County, Loudoun County, and MWAA have entered into an agreement which specifies the level of funding responsibility for each partner; the Fairfax share is approximately 16.1 percent of total costs and approximately \$400.0 million for Phase I. This is also the maximum permitted under the terms of the Phase I Tax District. Additionally, landowners in Phase II submitted a petition to the Board of Supervisors to form a Phase II tax district which would commit \$330 million to the County's share of Phase II funding.

On January 21, 2004, a petition was filed with the Clerk to the Board of Supervisors (the Petition) by owners of commercial and industrial property (the Petitioners) asking the Board of Supervisors to create a Phase I Dulles Rail Transportation Improvement District (the Phase I District), as provided by Chapter 15 of Title 33.1 of the Code of Virginia, as amended (the Act). The Act is similar although not identical to the law that empowered the Board and the Board of Supervisors of Loudoun County to create the Route 28 Highway Transportation Improvement District (the Route 28 District) in both counties in 1988.

The Phase I District was approved and established by the Board of Supervisors on February 23, 2004, following a public hearing. The Phase I District is governed by a District Commission, consisting of four Board members and the Chairman of the Commonwealth Transportation Board (CTB) or his/her designee. The District Commission is advised by a District Advisory Board, composed of landowner representatives. This is the same basic governance structure used for the Route 28 Tax District.

Commercial and industrial property within the district created pursuant to the Act can be taxed to raise funds for transportation improvements in the district. Such a district can be created upon the petition of the owners of at least 51 percent, measured by land area or assessed value, of the real property located within the proposed district that is zoned or used for commercial or industrial purposes. The properties listed on the signature pages of the Petition constituted over 64 percent of such property located within the Phase I District, measured by assessed value.

Per the Code of Virginia § 33.1-435, properties zoned to permit multi-unit residential use but not yet used for that purpose and multi-unit properties primarily leased or rented to residential tenants or other occupants by an owner who is engaged in such a business are deemed to be in commercial use for purposes of the Act. But no other residential properties are subject to any tax that may be levied on behalf of such a district, even if they are within the boundaries of such a district.

The boundaries, as proposed by the Petitioners, encompass most if not all of the Tysons Corner Urban Center, commercial and industrial properties near the proposed Metrorail station at Wiehle Avenue, and the necessary DAAR right-of-way. The proposed transportation improvements include that portion in the Phase I District of the capital improvements described as the Locally Preferred Alternative (LPA) in a resolution of the Board of Directors of the Washington Metropolitan Area Transit Authority (WMATA), adopted on November 21, 2002.

The Petitioners will contribute up to \$400.0 million of the County's share for Phase I through the imposition of a voluntary tax on commercial and industrial properties within the Phase I District. Included in the proposal is a provision for full coverage of the long-term financing costs for the County's net share of construction costs. At the maximum contribution, under the current plan, the total expected cost including interest costs over the life of the district to be provided by the tax on behalf of the Phase I District is approximately \$882.5 million. As of December 2006, funds for the tax district are expected to

Fund 121

Dulles Rail Phase I Transportation Improvement District

fully fund the County's expected share of Phase I costs. Funding requirements in excess of the amount to be provided by the District are expected to be funded by other available revenue sources.

The plan as set forth in the Petition contains specific provisions regarding timing, tax rates, total costs, and percentage of costs to be paid with Phase I District revenue. The plan contemplates the establishment of a Revenue Stabilization Fund (RSF) with early collection of taxes commencing in FY 2005 to build reasonable reserves to help maintain the rate parameters in view of the cyclic pattern of changes in assessed value from year to year. It is anticipated that the RSF and perhaps other rate or coverage covenants will be required by rating agencies to achieve an investment grade rating on bonds issued that are supported by Phase I District tax revenues.

Under the terms of the petition, before any Phase I District revenues are committed the tax rate is capped at 22 cents per \$100 of assessed value, and taxes collected accumulate in the RSF. If a federal Full Funding Grant Agreement (FFGA) for Phase I is not executed, then the owners of 51 percent of the commercial and industrial property within the Phase I District may petition for its dissolution, and individual property owners can ask for the return of taxes previously paid and accumulated in the RSF. The Federal Transit Administration (FTA) received the Full Funding Grant Agreement application on October 22, 2008 and approved it and forwarded it to the Secretary of the United States Department of Transportation and the Office of Management and Budget on December 19, 2008 for their approval. Secretary Peters, after reviewing the FFGA application with OMB, approved the FFGA on January 7, 2009, and forwarded it to Congress for their approval. The FFGA between the FTA and the Metropolitan Washington Airports Authority (MWAA) was executed on March 10, 2009.

Before committing Phase I District tax revenues, the District Commission must determine that the District's actual share of the financing will not exceed \$400 million of construction funds for Phase I costs, and that a tax rate of no more than 29 cents per \$100 of assessed value will be sufficient to meet the Phase I District's obligations at an assumed rate of growth in assessed value of 1.5 percent. If at the time the District Commission expects either of those parameters to be exceeded, then they must seek approval from the owners of 51 percent of the commercial or industrial property within the Phase I District before proceeding to commit the revenues.

However, once Phase I District revenues have been committed, allowing the financing to be put into place for the sale of bonds supported by those revenues, there is no "hard" cap on the Phase I District tax rate other than the statutory cap of 40 cents per \$100 of assessed value. Thus there would be full latitude to set the tax rate up to the statutory maximum, if necessary, to meet the obligations of the Phase I District, e.g., if necessary to meet debt service requirements in the event assessed value growth rates cannot be sustained at 1.5 percent or greater.

On June 22, 2009, the Board of Supervisors approved preliminary bond documents for Phase I Dulles Rail Tax District financing and authorization of judicial proceedings to validate the bonds. It was determined that prior to the issuance of bonds by the Economic Development Authority, there should be a judicial determination of the validity of the bonds to ensure broad financial market acceptance of the bonds. The initial judicial review was completed at the Circuit Court level on August 28, 2009, at which time the County received a favorable ruling. On November 4, 2010, the Virginia Supreme Court affirmed the lower court ruling. On May 9 and 10, 2011, the bonds for the project were sold to provide the proportional share of project funding required in accordance with the funding agreement with the Metropolitan Washington Airports Authority and the County.

Fund 121

Dulles Rail Phase I Transportation Improvement District

Prior to the execution of the Full funding Grant Agreement between the Federal Transit Administration (FTA) and the Metropolitan Washington Airports Authority (MWAA) on March 10, 2009, the only construction work occurring on the Dulles Corridor Metrorail Project (DCMP) concerned the relocation of utilities along Route 7 and Route 123 in Tysons Corner. As of May 2011, the following construction activities are underway:

- ◆ Construction has been completed on three (3) rail bridges: 2 on the DCR and 1 on the Dulles International Airport Access Highway (DIAAH).
- ◆ Three guideway-building trusses have been employed on the DCR, Route 123, and crossing the Beltway. A guideway-building truss will be deployed along Route 7 late this year.
- ◆ The tunnel boring operation was completed in December 2010 ahead of schedule. A short segment of cut and cover tunnel at Routes 7 and 123 is the final portion of excavation necessary to complete the tunnel. The tunnel is now being outfitted with linings and will be outfitted with train control and fire suppression equipment next year.
- ◆ All five Phase I Stations are now under construction.
- ◆ For additional cost information about the Dulles Rail project, please see Fund 122, Dulles Rail Phase II Transportation Improvement District contained in Volume 2, Capital Construction and Other Operating Funds.

FY 2012 Funding Adjustments

The following funding adjustments from the FY 2011 Adopted Budget Plan are necessary to support the FY 2012 program. Included are all adjustments recommended by the County Executive that were approved by the Board of Supervisors, as well as any additional Board of Supervisors' actions, as approved in the adoption of the budget on April 26, 2011.

- ◆ **Debt Service Adjustments** **\$11,650,000**
An increase of \$11,650,000 or 87.3 percent over the FY 2011 Adopted Budget Plan amount of \$13,350,000 due to adjustments necessary to accommodate estimated debt service payments based on a projected Spring 2011 bond sale.

Changes to FY 2011 Adopted Budget Plan

The following funding adjustments reflect all approved changes in the FY 2011 Revised Budget Plan since passage of the FY 2011 Adopted Budget Plan. Included are all adjustments made as part of the FY 2010 Carryover Review, FY 2011 Third Quarter Review, and all other approved changes through April 12, 2011.

- ◆ **Carryover Adjustments** **\$36,650,000**
As part of the FY 2010 Carryover Review, the Board of Supervisors approved increased expenditures of \$36,650,000 in Operating Expenses, to allow for construction payments to MWAA.
- ◆ **Third Quarter Adjustments** **\$16,000,000**
As part of the FY 2011 Third Quarter Review, the Board of Supervisors approved increased expenditures of \$16,000,000 in Operating Expenses, to allow for construction payments to MWAA.

Fund 121

Dulles Rail Phase I Transportation Improvement District

FUND STATEMENT

Fund Type G10, Special Revenue Funds

Fund 121, Dulles Rail
Phase I Transportation Improvement District

	FY 2010 Actual	FY 2011 Adopted Budget Plan	FY 2011 Revised Budget Plan	FY 2012 Advertised Budget Plan	FY 2012 Adopted Budget Plan
Beginning Balance	\$84,573,977	\$60,120,525	\$90,099,993	\$63,868,264	\$47,868,264
Revenue:					
Real Estate Taxes-Current	\$27,427,441	\$22,431,463	\$22,431,463	\$22,436,338	\$22,436,338
Interest on Investments	589,916	1,336,808	1,336,808	785,272	785,272
Total Revenue	\$28,017,357	\$23,768,271	\$23,768,271	\$23,221,610	\$23,221,610
Total Available	\$112,591,334	\$83,888,796	\$113,868,264	\$87,089,874	\$71,089,874
Expenditures:					
Debt Service	\$0	\$13,350,000	\$13,350,000	\$25,000,000	\$25,000,000
Construction Payments	22,000,000	0	52,650,000	0	0
District Expenses	491,341	0	0	0	0
Total Expenditures	\$22,491,341	\$13,350,000	\$66,000,000	\$25,000,000	\$25,000,000
Total Disbursements	\$22,491,341	\$13,350,000	\$66,000,000	\$25,000,000	\$25,000,000
Ending Balance¹	\$90,099,993	\$70,538,796	\$47,868,264	\$62,089,874	\$46,089,874
Tax rate/per \$100 Assessed Value	\$0.22	\$0.22	\$0.22	\$0.22	\$0.22

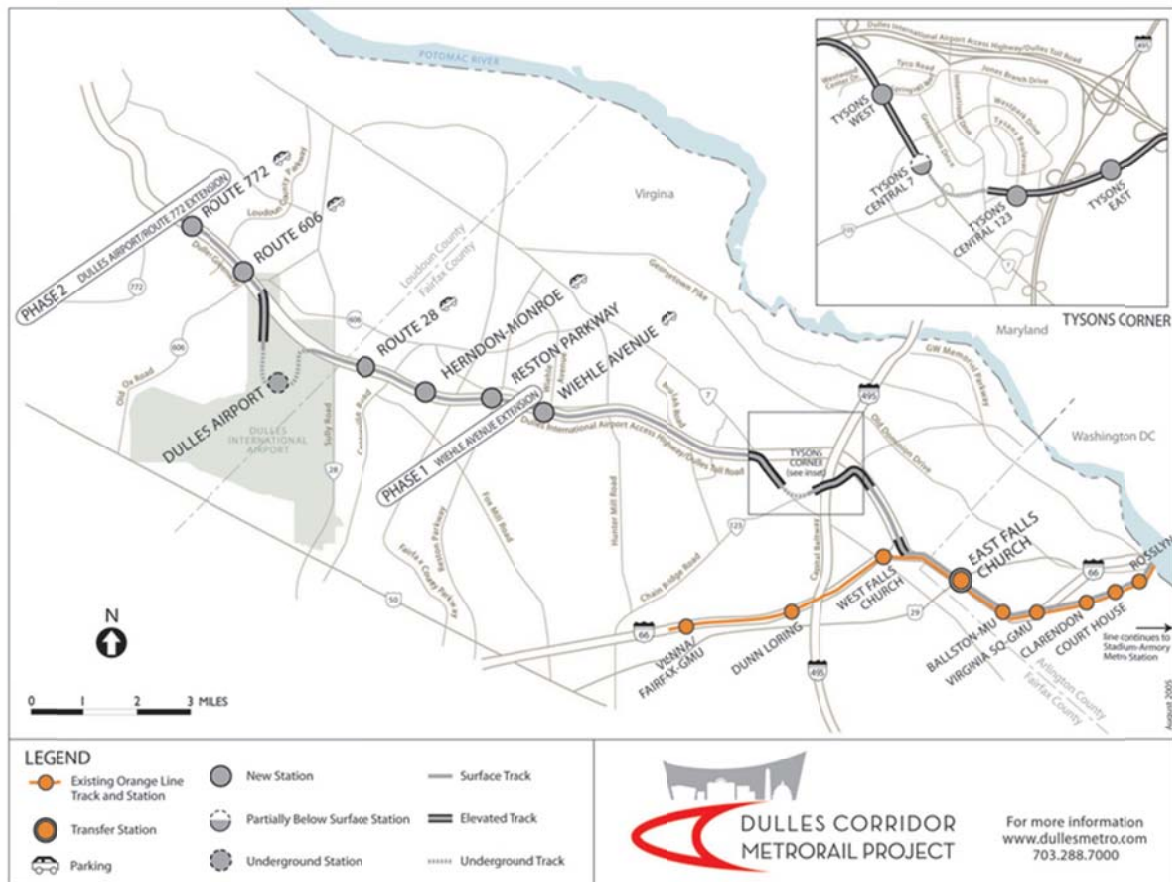
¹ The ending balance has been fluctuating based on cash funding of construction due to delays in the sale of bonds necessitated by legal challenges. Legal challenges to the sale of the bonds have been resolved and a bond sale is planned for May 2011.

Fund 122

Dulles Rail Phase II Transportation Improvement District

Focus

The purpose of Dulles Metrorail is to provide high-quality, high-capacity transit service in the Dulles Corridor. New Metrorail service in the corridor will result in travel time savings between the corridor and downtown D.C., expand the reach of the existing regional rail system, offer a viable alternative to automobile travel and support future transit-oriented development along the corridor.



On October 9, 2009 a petition (the “Petition”) was filed with the Clerk to the Board of Supervisors to create the Phase II Dulles Rail Transportation Improvement District (the “Phase II District”). As required by Code of Virginia Ann. § 33.1-431, the petition was signed by owners of more than 51 percent of the commercial and industrial property within the proposed Phase II District, measured by assessed value, that would be subject to a special tax pursuant to Code of Virginia Ann. § 33.1-435 (a “District Tax”). Pursuant to that statute, following a public hearing on December 7, 2009, the Board created the Phase II District on December 21, 2009. It should be noted that on November 10, 2009, the Town of Herndon approved the creation of the Phase II District.

Phase II of the Dulles Metrorail project (the “Project”) will run from just west of Wiehle Avenue to Ashburn in eastern Loudoun County. This extension will serve Reston Town Center, Herndon, Dulles Airport, Route 606, and Ashburn. Commercial and industrial properties in the Phase II District, which lie near the Project on either side of the right-of-way of the Dulles Airport Access and Toll Road (“DTR”) within Fairfax County, will be taxed to help Fairfax County fund the County’s share of the Project. Consistent with the Petition and the resolution adopted by the Board to create the Phase II District, a tax

Fund 122

Dulles Rail Phase II Transportation Improvement District

rate of \$0.05 per hundred dollars of assessed value was proposed for FY 2011 for commercial and industrial properties within the Phase II District. According to the Petition, for FY 2012, this tax rate increases to \$0.10 per hundred dollars of assessed value. It is expected to yield approximately \$6.6 million in revenue for the fund. The Petition proposed annual tax increases of \$0.05 cents per year until the rate reaches \$0.20 cents per \$100 of assessed value in FY 2014. The tax rate will be held at \$0.20 until full revenue operations commence on Phase II, which is expected in late 2016. At that time, the rate may be set at the level necessary to support the District's debt obligations. For planning purposes the Phase II District may not enter into a financing agreement unless it is reasonably believed that it can be accomplished within the maximum rate established by the petition of \$0.25 per \$100 of assessed value.

The original funding plan was that the federal government (through grants from the FTA) would pay 50 percent of the entire Metrorail Project cost (i.e., both Phases I and II), the Commonwealth would pay 25 percent using DTR revenues, and local governments would pay 25 percent. That plan was based on an early cost estimate made a number of years ago and prior to preliminary engineering and environmental studies that resulted in an improved estimate for the total project cost.

However, the Full Funding Agreement later entered into with the federal government provides for a federal share for Phase I only (Interstate 66 to Wiehle Avenue) and caps that contribution at \$900 million, which necessarily changes the percentages for the partners' shares. At this time, no federal funds have been committed to Phase II. The current absence of federal funds for Phase II has resulted in the DTR taking over the share of Phase II costs that the original plan had "assigned" to the federal government.

No funds may be expended until certain other conditions are met. Among these conditions is completion of the preliminary design and cost estimate for Phase II, acceptable to the Board or Supervisors, which is expected during 2011. Other key conditions include: 1) appropriate commitments from all sources contributing to Phase II are in place to assure completion of the Phase II Transportation Improvements; 2) the Phase II District's share of the aggregate capital cost does not exceed \$330,000,000; 3) the County's share of aggregate costs remain reasonably consistent with currently anticipated contributions; and 4) there is no "Supplemental Tax" on the commercial and industrial real estate within in the Phase II District that exceeds \$0.11 per \$100 of assessed value unless a credit or other benefit is extended substantially equivalent to the Supplemental Tax.

As a result of increases in estimated project costs and the lack of a federal funding commitment for Phase II, the original funding plan was revised. The current funding structure for the full project including both Phase I and Phase II is as follows:

- ◆ Fairfax County, Loudoun County and Airports Authority contribution is 25 percent.
- ◆ Federal contribution is 17.1 percent, which is based upon a fixed FTA grant for Phase 1 of \$900 million.
- ◆ The Commonwealth contribution is 5.2 percent, which is based upon a fixed contribution of \$275 million consisting of non-toll road funding.
- ◆ The DTR contribution provides the remaining amount, and is 52.7 percent.

Fund 122

Dulles Rail Phase II Transportation Improvement District

The total County share of the project cost is estimated to be 16.1 percent of the total project cost. Recent updates to preliminary engineering estimates indicate a cost range for Phase II from \$3.0 to \$3.8 billion, or a total project cost range of \$5.8 billion to \$6.5 billion. The total County share of the project is expected to range from \$933 million to \$998 million with \$400.0 million from the Phase I tax district and \$330.0 million from the Phase II tax district and the source for the remaining portion cost of \$203 to \$268 million to be determined.

FY 2012 Funding Adjustments

The following funding adjustments from the FY 2011 Adopted Budget Plan are necessary to support the FY 2012 program. Included are all adjustments recommended by the County Executive that were approved by the Board of Supervisors, as well as any additional Board of Supervisors' actions, as approved in the adoption of the budget on April 26, 2011.

- ◆ FY 2012 funding remains at the same level as the FY 2011 Adopted Budget Plan.

Changes to FY 2011 Adopted Budget Plan

The following funding adjustments reflect all approved changes in the FY 2011 Revised Budget Plan since passage of the FY 2011 Adopted Budget Plan. Included are all adjustments made as part of the FY 2010 Carryover Review, FY 2011 Third Quarter Review, and all other approved changes through April 12, 2011.

- ◆ There have been no adjustments to this fund since approval of the FY 2011 Adopted Budget Plan.

Fund 122

Dulles Rail Phase II Transportation Improvement District

FUND STATEMENT

Fund Type G10, Special Revenue Funds

Fund 122, Dulles Rail
Phase II Transportation Improvement District

	FY 2010 Actual	FY 2011 Adopted Budget Plan	FY 2011 Revised Budget Plan	FY 2012 Advertised Budget Plan	FY 2012 Adopted Budget Plan
Beginning Balance	\$0	\$0	\$0	\$3,097,035	\$3,097,035
Revenue:					
Real Estate Taxes	\$0	\$3,582,035	\$3,582,035	\$6,654,110	\$6,654,110
Interest on Investments	0	15,000	15,000	65,210	65,210
Total Revenue	\$0	\$3,597,035	\$3,597,035	\$6,719,320	\$6,719,320
Total Available	\$0	\$3,597,035	\$3,597,035	\$9,816,355	\$9,816,355
Expenditures:					
Operating Expenses	\$0	\$500,000	\$500,000	\$500,000	\$500,000
Total Expenditures	\$0	\$500,000	\$500,000	\$500,000	\$500,000
Total Disbursements	\$0	\$500,000	\$500,000	\$500,000	\$500,000
Ending Balance¹	\$0	\$3,097,035	\$3,097,035	\$9,316,355	\$9,316,355
Tax rate/per \$100 Assessed Value²	\$0.00	\$0.05	\$0.05	\$0.10	\$0.10

¹ The ending balance will be accumulating in anticipation of the sale of bonds to fund the district's share of the project.

² Per the Petition the annual tax rate will increase \$0.05 cents per year until the rate reaches \$0.20 cents per \$100 of assessed value in FY 2014. The rate will be held at \$0.20 until full revenue operations commence on Phase II, which is expected in late 2016.

Fund 124

County and Regional Transportation Projects

Focus

Fund 124, County and Regional Transportation Projects supports the County's implementation of new transportation projects and is funded by the commercial and industrial real estate tax for transportation. This taxing authority was authorized under the Transportation Funding and Reform Act of 2007 (HB 3202), approved by the Virginia General Assembly on April 4, 2007, and implemented by the Board of Supervisors as part of the FY 2009 Adopted Budget Plan. This revenue helps accelerate the County's implementation of roadway, transit and pedestrian projects.

HB 3202 allows localities to assess a tax on the value of commercial and industrial real estate and to use the proceeds on new transportation improvements. In 2009, the Virginia General Assembly temporarily set the maximum rate localities could levy at 12.5 cents per \$100 assessed value. After four years, the maximum rate will return to 25 cents per \$100 assessed value. The County's current rate is set at 11 cents and remains unchanged in FY 2012. It is estimated that the current rate will generate approximately \$42.0 million in revenue for FY 2012. This estimate is based on actual revenue received to date in FY 2011 and includes the projection of a slight increase in the commercial real estate market.

Fund 124 funded projects are periodically updated for consistency with a transportation funding list approved by the Board of Supervisors. The types of projects include:

- roadway improvements;
- transit improvements;
- pedestrian, bike, and small intersection improvements;
- planning and design work for future projects; and
- advance right-of-way purchases for future projects.

FY 2012 disbursements include \$18.9 million for capital projects, \$3.6 million for operating and staff support for project implementation and a \$19.5 million transfer to FAIRFAX CONNECTOR bus service (Fund 100, County Transit Systems). The transfer to Fund 100 funds continued support for West Ox Division rush hour and midday service, support for increased frequencies on overcrowded priority bus routes (Routes 171, 401/402, 950) which were expanded in FY 2010, and support of Transit Development Plan expansions of bus service hours at all three operating divisions. These transit services are in keeping with the legislative constraints for commercial and industrial tax funds which must be used to support new transportation initiatives. Continuing in FY 2012, Fund 124 supports 19/19.0 SYE staff positions in order to manage and advance critical transportation projects in the County.

A Fund Statement and a Summary of Capital Projects are provided on the following pages. The Summary of Capital Projects may include some projects without a Total Project Estimate amount. These projects are considered "continuing" projects or projects for which funding is necessary on an ongoing basis (e.g., a contingency or planning project).

Fund 124

County and Regional Transportation Projects

Budget and Staff Resources

Agency Summary					
Category	FY 2010 Actual	FY 2011 Adopted Budget Plan	FY 2011 Revised Budget Plan	FY 2012 Advertised Budget Plan	FY 2012 Adopted Budget Plan
Authorized Positions/Staff Years					
Regular	19 / 19	19 / 19	19 / 19	19 / 19	19 / 19
Expenditures:					
Personnel Services	\$844,165	\$1,775,322	\$1,775,322	\$1,830,721	\$1,830,721
Operating Expenses	1,432,481	1,756,871	1,756,871	1,756,871	1,756,871
Recovered Costs	(1,365)	0	0	0	0
Capital Equipment	7,556,285	0	8,686,267	0	0
Capital Projects	11,961,606	24,066,145	130,370,841	18,952,936	18,952,936
Total Expenditures	\$21,793,172	\$27,598,338	\$142,589,301	\$22,540,528	\$22,540,528

Position Summary	
1 Engineer V	2 Planning Technicians II
3 Engineers IV	1 Project Coordinator
3 Transportation Planners III	2 Management Analysts III
3 Transportation Planners II	1 Network Analyst I
1 Assistant Supervisor Facilities Support	2 Administrative Assistants III
TOTAL POSITIONS	
19 Positions / 19.0 Staff Years	

FY 2012 Funding Adjustments

The following funding adjustments from the FY 2011 Adopted Budget Plan are necessary to support the FY 2012 program. Included are all adjustments recommended by the County Executive that were approved by the Board of Supervisors, as well as any additional Board of Supervisors' actions, as approved in the adoption of the budget on April 26, 2011:

- ◆
Employee Compensation \$0
 It should be noted that no funding is included for pay for performance or market rate adjustments in FY 2012.
- ◆
Other Post-Employment Benefits \$55,399
 An increase of \$55,399 reflects the cost of providing Other Post-Employment Benefits (OPEBs) to retirees, including the Retiree Health Benefits Subsidy. In prior years, costs related to these benefits were paid solely by the General Fund; however these annual costs are now spread across funds in order to more appropriately reflect benefit-related expenses for the employees within each fund. For more information on Other Post-Employment Benefits, please refer to Fund 603, OPEB Trust Fund, in Volume 2 of the FY 2012 Adopted Budget Plan.
- ◆
Capital Projects \$18,952,936
 Funding in the amount of \$18,952,936 has been included for FY 2012 priority projects supported by the commercial and industrial tax revenue, consistent with a transportation funding list periodically updated and approved by the Board of Supervisors.

Fund 124

County and Regional Transportation Projects

Changes to FY 2011 Adopted Budget Plan

The following funding adjustments reflect all approved changes in the FY 2011 Revised Budget Plan since passage of the FY 2011 Adopted Budget Plan. Included are all adjustments made as part of the FY 2010 Carryover Review, FY 2011 Third Quarter Review, and all other approved changes through April 12, 2011:

- ◆ **Carryover Adjustments** **\$115,067,708**

As part of the *FY 2010 Carryover Review*, the Board of Supervisors approved an increase of \$115,067,708 due to the carryover of unexpended project balances of \$109,042,991, and other adjustments of \$6,024,717 to appropriate available balances. A net balance of \$1,385,119 was available primarily due to position vacancies and operational savings. In addition, an amount of \$4,639,598 was available based on prior year savings in Fund 100, County Transit Systems, resulting from the delayed implementation of expanded bus routes. These savings were applied to meet a portion of FY 2011 requirements in Fund 100 for the expanded bus routes, and therefore reduced the Fund 124 transfer requirement. The available savings of \$6.0 million in Fund 124 were appropriated to the Construction Reserve Project and to other capital projects previously approved by the Board of Supervisors which required additional funds.

Fund 124

County and Regional Transportation Projects

FUND STATEMENT

Fund Type G10, Special Revenue Funds

Fund 124, County and Regional
Transportation Projects

	FY 2010 Actual	FY 2011 Adopted Budget Plan	FY 2011 Revised Budget Plan	FY 2012 Advertised Budget Plan	FY 2012 Adopted Budget Plan
Beginning Balance	\$46,777,323	\$0	\$60,351,365	\$0	\$0
Revenue:					
Commercial Real Estate Tax for Transportation ¹	\$50,874,426	\$43,105,550	\$43,105,550	\$42,000,000	\$42,000,000
EDA Bonds ²	0	0	50,000,000	0	0
Total Revenue	\$50,874,426	\$43,105,550	\$93,105,550	\$42,000,000	\$42,000,000
Total Available	\$97,651,749	\$43,105,550	\$153,456,915	\$42,000,000	\$42,000,000
Expenditures:					
Personnel Services	\$844,165	\$1,775,322	\$1,775,322	\$1,830,721	\$1,830,721
Operating Expenses	1,432,481	1,756,871	1,756,871	1,756,871	1,756,871
Recovered Costs	(1,365)	0	0	0	0
Capital Equipment ³	7,556,285	0	8,686,267	0	0
Capital Projects ^{4,5}	11,961,606	24,066,145	130,370,841	18,952,936	18,952,936
Total Expenditures	\$21,793,172	\$27,598,338	\$142,589,301	\$22,540,528	\$22,540,528
Transfers Out:					
County Transit (100) ⁶	\$15,507,212	\$15,507,212	\$10,867,614	\$19,459,472	\$19,459,472
Total Transfers Out	\$15,507,212	\$15,507,212	\$10,867,614	\$19,459,472	\$19,459,472
Total Disbursements	\$37,300,384	\$43,105,550	\$153,456,915	\$42,000,000	\$42,000,000
Ending Balance⁷	\$60,351,365	\$0	\$0	\$0	\$0
Tax Rate per \$100 of Assessed Value					
Value	\$0.11	\$0.11	\$0.11	\$0.11	\$0.11

¹ The Board of Supervisors implemented this tax in FY 2009 at a rate of 11 cents per \$100 of assessed value, and the rate remains constant in FY 2012. The Transportation Funding and Reform Act of 2007 (HB 3202) provided the enabling legislation for this new tax.

² Economic Development Authority (EDA) transportation contract revenue bonds of \$50,000,000 are expected to provide additional support for transportation projects, as endorsed by the Board of Supervisors.

³ Reflects Capital Equipment which provides support for CONNECTOR bus purchases. In FY 2010, 18 new CONNECTOR buses were purchased to expand service on overcrowded high priority routes (Routes 170, 401/402 and 950). In FY 2011, funding supports the purchase of 19 buses for the continuing expansion of CONNECTOR services as identified within the Transit Development Plan.

⁴ Capital Projects include roadway, pedestrian and transit funding. A portion of funding is held in a reserve project and adjustments are made to reflect project funding for specific projects approved by the Board of Supervisors as projects approach implementation.

⁵ In order to account for revenues and expenditures in the proper fiscal year, an audit adjustment in the amount of \$76,745.24 has been reflected as an increase to FY 2010 expenditures to accurately record expenditure accruals. This impacts the amount carried forward and results in a decrease of \$76,745.24 to the FY 2011 Revised Budget Plan. The project affected by this adjustment is Project TDULRL, Wiehle Avenue Metrorail Facility. The audit adjustment has been included in the FY 2010 Comprehensive Annual Financial Report (CAFR). Details of the FY 2010 audit adjustments were included in the FY 2011 Third Quarter Package.

⁶ The FY 2012 transfer of \$19,459,472 to Fund 100, County Transit Systems is consistent with a transportation funding list periodically updated and approved by the Board of Supervisors. This amount will fund: the continuation of support for West Ox Division rush hour and midday service, continued support for increased frequencies on overcrowded priority bus routes (Routes 171, 401/402 and 950) which were expanded in FY 2010, and support of Transit Development Plan expansions of bus service hours at all three operating divisions in FY 2012.

⁷ Capital Projects are budgeted based on total project cost. Most projects span multiple years, from design to construction completion. Therefore, funding for capital projects is carried forward each fiscal year, and ending balances fluctuate, reflecting the carryover of these funds.

Fund 124

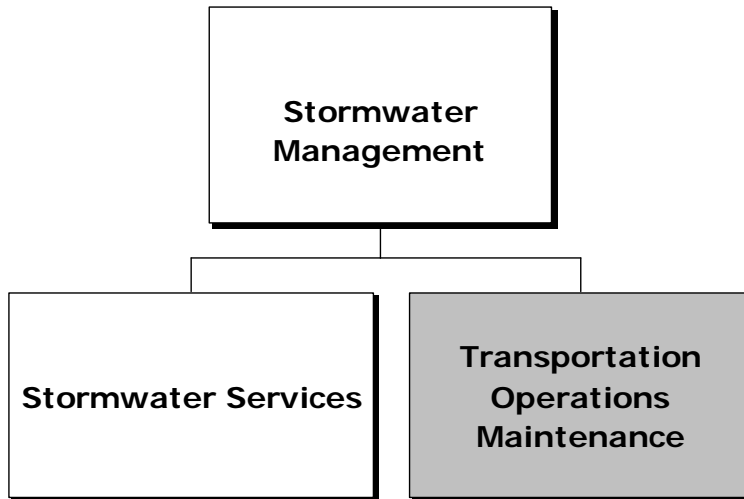
County and Regional Transportation Projects


FY 2012 Summary of Capital Projects

Fund: 124, County and Regional Transportation Projects

Project #	Description	Total Project Estimate	FY 2010 Actual Expenditures	FY 2011 Revised Budget	FY 2012 Advertised Budget Plan	FY 2012 Adopted Budget Plan
01240R	Project Construction Reserve		\$0.00	\$48,709,265.40	\$18,952,936	\$18,952,936
BOSBRA	Braddock District Transportation Projects	100,000	0.00	100,000.00	0	0
BOSCHA	Chairman Transportation Projects	100,000	22,500.00	77,500.00	0	0
BOSDRA	Dranesville District Transportation Projects	100,000	2,992.44	97,007.56	0	0
BOSHUN	Hunter Mill District Transportation Projects	100,000	22,500.00	77,500.00	0	0
BOSLEE	Lee District Transportation Projects	100,000	0.00	100,000.00	0	0
BOSMAS	Mason District Transportation Projects	100,000	0.00	100,000.00	0	0
BOSMOU	Mount Vernon Transportation Projects	100,000	0.00	100,000.00	0	0
BOSPRO	Providence District Transportation Projects	100,000	0.00	100,000.00	0	0
BOSSPR	Springfield District Transportation Projects	100,000	0.00	100,000.00	0	0
BOSsul	Sully District Transportation Projects	100,000	0.00	100,000.00	0	0
POSRO1	Sidewalk Replacement/VDOT Participation	600,000	0.00	600,000.00	0	0
PBFP01	Bicycle Facilities Program	1,000,000	14,369.33	985,630.67	0	0
PEMT01	Emergency Maint. Existing Trails		17,566.95	33,039.07	0	0
PPTF01	Pedestrian Task Force Recommendations	10,779,700	1,383,199.70	9,384,110.86	0	0
R00101	Route I Widening - Design	3,000,000	103,714.75	2,896,285.25	0	0
R00701	Rt. 7 Widening-Rolling Holly to Reston Ave.	8,000,000	0.00	8,000,000.00	0	0
R08681	Davis Drive Extension	85,000	17,167.84	67,832.16	0	0
R12301	Rt. 123/Braddock Road Improvements	3,000,000	55,437.24	2,944,562.76	0	0
R123X1	Braddock Rd/Route 123 Interchange Study	952,000	171,515.38	375,621.27	0	0
R19301	Georgetown Pike/Walker Rd. RTL	500,000	119,657.11	246,501.85	0	0
R267X1	Tysons Dulles Toll Road Connections	108,544	108,544.00	0.00	0	0
R29212	Route 29 Widening - Centerville to Fairfax City	2,000,000	0.00	2,000,000.00	0	0
R5062X	Jones Branch Connector Preliminary Engineering	212,000	113,184.48	98,815.52	0	0
R61101	Telegraph Rd Widening/S Van Dorn	2,000,000	1,982.15	1,998,017.85	0	0
R61113	Lorton Rd.-Rt. 123/Silverbrook Rd.	2,284,000	7,094.49	2,273,246.31	0	0
R61901	BRAC- Mulligan Road	12,100,000	0.00	9,100,000.00	0	0
R64501	Stringfellow Road Widening	6,000,000	0.00	6,000,000.00	0	0
R65701	Walney Rd. at Dallas St.	1,100,000	0.00	1,100,000.00	0	0
R75701	Annandale R-O-W McWhorter Pl.	3,000,000	1,663,856.63	1,318,961.27	0	0
RRVP01	Road Viewers Program	100,000	0.00	100,000.00	0	0
RSPI01	Spot Improvements	6,990,000	167,544.98	6,822,455.02	0	0
RZ0001	Eskridge Rd. Extension	3,000,000	108,973.15	2,804,915.59	0	0
TCLPK1	Columbia Pike Streetcar Planning	912,000	91,200.00	820,800.00	0	0
TDULRL	Weihle Avenue Metrorail Facility	18,600,000	3,244,650.54	15,349,076.46	0	0
TSP001	Springfield Park and Ride	7,419,158	4,523,954.66	2,889,696.35	0	0
TWTOX1	West Ox Bus Facility - Parking Expansion	2,500,000	0.00	2,500,000.00	0	0
Total		\$97,242,401	\$11,961,605.82	\$130,370,841.22	\$18,952,936	\$18,952,936

Fund 125 Stormwater Services



 Denotes functions that are included in both the General Fund, Agency 87, Unclassified Administrative Expenses, and Fund 125, Stormwater Services.

Mission

To develop and maintain a comprehensive watershed and infrastructure management program to protect property, health and safety; to enhance the quality of life; to preserve and improve the environment for the benefit of the public. To plan, design, construct, operate, maintain and inspect the infrastructure, and perform environmental assessments through coordinated stormwater and maintenance programs in compliance with all government regulations utilizing innovative techniques, customer feedback and program review; and to be responsive and sensitive to the needs of the residents, customers and public partners.

Focus

As part of the FY 2010 Adopted Budget Plan, a special service district was created to support the Stormwater Management Program, as authorized by Va. Code Ann. Sections 15.2-2400. The service district levy was increased from \$0.010 to \$0.015 per \$100 of assessed real estate value as part of the FY 2011 Adopted Budget Plan. Since FY 2006, the Board of Supervisors had dedicated the value of one penny of the real estate tax, or approximately \$20 million annually to stormwater capital projects. In FY 2009, due to budget constraints, staffing and operating costs began to be charged to the stormwater penny fund, resulting in an approximate 50 percent reduction in funding for capital project support. The service district was created in FY 2010 to provide a dedicated funding source for both operating and capital project requirements. In FY 2011 the Board of Supervisors approved an increase in the levy from \$0.010 to \$0.015 based on increased enforcement by the Environmental Protection Agency (EPA) and the state.

Fund 125, Stormwater Services, is essential to protect public safety, preserve property values and support environmental mandates, such as those aimed at protecting the Chesapeake Bay and the water quality of other local jurisdictional waterways. Projects include: repairs to stormwater infrastructure, measures to improve water quality, such as stream stabilization, rehabilitation, safety upgrades of state regulated dams, repair and replacement of underground pipe systems, surface channels, structural flood proofing and best management practices (BMP) site retrofits and improvements. This funding also supports

Fund 125 Stormwater Services

development of watershed master plans, increased public outreach efforts, and stormwater monitoring activities, as well as operational maintenance programs related to the existing storm drainage infrastructure as it pertains to stormwater conveyance and stormwater quality improvements. The FY 2012 levy of \$0.015 will generate \$28.8 million, supporting \$11.8 million for staff and operational costs, and \$17 million for capital project implementation and infrastructure reinvestment, regulatory requirements, dam safety, and contributory funding requirements. This dedicated capital funding support will allow the County to implement capital projects in a more efficient manner to meet state and EPA stormwater requirements.

The state mandated Municipal Separate Storm Sewer System (MS4) permit establishes regulatory requirements pertaining to stormwater management. The overarching guidelines for the MS4 permit are based on the National Pollutant Discharge Elimination Systems (NPDES) federal requirements. Compliance with these mandated regulations defines the basis of the Stormwater Management Program. The County is currently operating under an extension of the existing MS4 discharge permit that expired in FY 2007. Negotiations between the Commonwealth of Virginia and Fairfax County, as well as negotiations between the state and many surrounding local communities, continue as several issues related to permit compliance are defined and established. The difficult and challenging permit negotiation process has spanned several years as the exact permit requirements are being developed and refined. It is anticipated that Fairfax County will soon be under new and increased regulatory requirements as a result of these permit negotiations. In addition, recent nutrient loading restrictions related to the Chesapeake Bay requirements are anticipated to impact the regulatory and operational programs within the Stormwater Program.

There are several other program elements in the Stormwater Management Program. Services provided in all of the program areas are critical for compliance with the state and federal regulations pertaining to stormwater management. Details of the program elements for FY 2012 follow:

Stormwater Regulatory Program

All program elements within the Stormwater Management Program, including maintenance operations, are required components for compliance with the MS4 regulations. Increased MS4 requirements are expected to increase inspection cycles and monitoring efforts, and enhance restrictions for total maximum daily loads of harmful nutrients entering the streams and rivers within the County. Funding for this program is specific to permit administration, public outreach programs, stormwater facility inspections and assessment, and stormwater monitoring programs. The County's Stormwater regulatory program also includes the Fairfax County Public Schools (FCPS) MS4 permit requirements. Consolidation efforts continue to focus on updating the inventory of the School's stormwater management facilities, inspection of the facilities, and initiation of joint County/School programs for required permit compliance. Funding in the amount of \$2,700,000 is included for the Stormwater Regulatory Program in FY 2012.

Stormwater Management Facility Program

The Stormwater Management Facility Program, a component of the Stormwater Regulatory Program, provides for annual inspections and assessments of the projected 1,510 publicly maintained stormwater management ponds and 3,750 privately maintained stormwater management ponds. Inspections and assessment work are required to remain in compliance with MS4 mandated stormwater facility inspection cycles. Additionally, the stormwater inspection program provides enhanced outreach efforts for owners of privately maintained stormwater facilities to provide useful facility operations and maintenance guidance for these facilities. Funding in the amount of \$1,000,000 is included for the Stormwater Management Facility Program in FY 2012.

Fund 125

Stormwater Services

Kingstowne Monitoring

Kingstowne Monitoring is also a component of the Stormwater Regulatory Program. This project supports the Kingstowne environmental program, established by the Board of Supervisors in 1985. In FY 2002, the program was expanded to include the water quality monitoring requirements of the U.S. Army Corps of Engineers for the development of the South Van Dorn Street extension. Funding in the amount of \$300,000 is included for the Kingstowne Monitoring Program in FY 2012. Other efforts specific to the stabilization of the failed Kingstowne Park Dam are funded under the Stormwater Infrastructure Reinvestment Program.

Stormwater Dam Safety Program

In FY 2012, the Dam Safety Program will continue to focus on obtaining and maintaining the six-year maintenance and operating certificates on all state regulated dams in the County. Based on recent revisions in federal and state dam safety standards, this program includes the oversight and funding of required critical upgrades of dams and emergency spillways to four of the six high hazard flood control facilities maintained under the PL566 Dam Maintenance Program. The four dam upgrade projects include: Lake Royal which has been completed; Lake Woodglen which was completed in FY 2011; Lake Barton which began construction in FY 2011; and Lake Huntsman which is currently in the design phase with anticipated construction to begin in FY 2012. These are major, multi-million dollar projects, which to date, have received federal joint participation funds and approximately \$3.5 million in American Reinvestment and Recovery Act funds. In addition, the electronic flood control signalization project for the County's largest most hazardous dam facilities and the flood prone areas in New Alexandria/Belleview continues to address other flood prone areas in the County. It is anticipated that operational upgrades and expansion to the system will continue into FY 2012. The signalization process will provide greater flood monitoring capabilities through instantaneous water level condition assessment. This public safety improvement is intended to provide an enhanced warning system that will link to an early notification system during flood response events. Funding in the amount of \$2,700,000 is included for the Dam Safety Program in FY 2012.

Stormwater Infrastructure Reinvestment Program

The Infrastructure Reinvestment Program provides inventory inspection and assessment services for repair and rehabilitation of the 1,586 miles of storm drainage conveyance systems and 42,800 stormwater drainage structures in the County. To date, approximately 44,819 linear feet or 8.5 miles of identified storm drainage systems deficiencies have been rehabilitated. Rehabilitation projects have a wide range in scope, varying from repairs of individual structures and single line segments to rehabilitation of entire drainage systems. It should be noted that this program funds emergency actions, stabilization, and initial engineering analysis of the Kingstowne Park Dam, which failed in October 2010. The stabilization measures will avoid further degradation of the dam system. The engineering analysis includes an options matrix and condition assessment of the Kingstowne Park site to identify alternative solutions for implementation once a project funding source has been identified. This program also funds the development of the digital Geographic Information System (GIS) layers related to the storm drainage network and the storm drainage easement layers. Currently, these layers are being updated to ensure a continuous network of pipes and streams for use in analysis related to the MS4 permit requirements and watershed modeling efforts. The digital storm drainage layer also provides emergency response support via instantaneous electronic imaging of storm drainage system connectivity for response issues such as hazardous material spills. The GIS database layer maintenance updates for new easement acquisitions and added drainage systems to the network will continue into FY 2012. Funding in the amount of \$4,893,808 is included for the Stormwater Infrastructure Reinvestment Program in FY 2012.

Fund 125

Stormwater Services

Stormwater Project Implementation Program

While the primary driver of this program is the implementation of projects generated by the 30 watershed master plans in Fairfax County, the list of projects also includes flood control projects, citizen response projects, and other special project needs meeting established project implementation criteria. Project types include: the design and construction of watershed specific projects throughout the County; projects to correct unexpected emergency drainage problems; and engineering studies and construction to alleviate recurring flooding problems. The project implementation program ensures adherence to the most current design and construction standards and includes coordination with property owners, stakeholders, and regulators on project design and construction requirements. Funding in the amount of \$4,893,808 is included for the Stormwater Project Implementation Program in FY 2012.

Stormwater Related Contributory Program

Beginning in FY 2011, funding has been provided for contributory agencies closely related to the Stormwater Program. Contributory funds are provided to the Northern Virginia Soil and Water Conservation District (NVSWCD), and the Occoquan Watershed Monitoring Program (OWMP). The NVSWCD is an independent subdivision of the Commonwealth of Virginia that provides leadership in the conservation and protection of Fairfax County's soil and water resources. It is governed by a five-member Board of Directors, three of whom are elected every four years by the voters of Fairfax County and two who are appointed by the Virginia Soil and Water Conservation Board. Accordingly, the work of NVSWCD supports many of the environmental efforts set forth in the Board of Supervisors' Environmental Excellence 20-year Vision Plan. The goal of the NVSWCD is to continue to improve the quality of the environment and general welfare of the citizens of Fairfax County by providing them with a means of dealing with soil, water conservation and related natural resource problems. It provides County agencies with comprehensive environmental evaluations for proposed land use changes with particular attention to the properties of soils, erosion potential, drainage and the impact on the surrounding environment. NVSWCD has consistently been able to create partnerships and leverage state, federal and private resources to benefit natural resources protection in Fairfax County. FY 2012 funding of \$429,293 is included in Fund 125 for the County contribution to the NVSWCD.

The OWMP and the Occoquan Watershed Monitoring Laboratory (OWML) were established to ensure that water quality is monitored and protected in the Occoquan Watershed. Given the many diverse uses of the land and water resources in the Occoquan Watershed (agriculture, urban residential development, commercial, and industrial activity, water supply, and wastewater disposal), the OWMP provides a critical role as the unbiased interpreter of basin water quality information. FY 2012 funding of \$112,559 is included in Fund 125 for the County contribution to the OWMP.

Stormwater Watershed Planning Program

The County has fulfilled one of the original goals of the Watershed Planning Program by completing comprehensive master watershed plans for all 30 watersheds in Fairfax County in an effort to meet the County's commitment to the 2000 Chesapeake Bay agreement. Several program modifications were made following completion of the initial watershed master plans to help improve the quality and timeliness of the planning process by providing more focused community involvement and by standardizing reporting processes. The watershed master plans provide a strong basis for management and control of stormwater runoff related to the overall water quality and conveyance in Fairfax County. The Stormwater Watershed Planning Program provides support and assistance to the MS4 compliance program as well as stream condition assessments, and coordinates continuing education of stormwater issues through a multitude of public outreach opportunities. The Watershed Planning Program oversees and administers the pro rata share drainage program that generates stormwater funding through the

Fund 125 Stormwater Services

County land development process as well as coordination with County property owners, developers and state and federal capital improvement projects in terms of stormwater quality and conveyance requirements in the County. All watersheds planning funding was obligated in previous fiscal years, therefore no additional capital funding is required in FY 2012.

Stormwater Services Operational Support

Fund 125 funds staff salaries, fringe benefits, and operating expenses for all stormwater operations. Maintenance operations are largely influenced by a multitude of citizen requests for service, and internal Storm Drainage (SD) and Stormwater Management (SWM) maintenance programs within the operations maintenance programs. In addition, Fund 125 also provides funding for 23/23.0 SYE positions related to transportation operations maintenance provided by the Maintenance and Stormwater Management Division. All funding of the transportation related salary expenses and equipment are recovered from General Fund Agency 87, Department of Public Works and Environmental Services (DPWES) Unclassified Administrative Expenses, as they do not qualify for expenses related to the stormwater service district. SD maintenance programs provide operational support for service response and investigation to citizen requests for various storm drainage system deficiencies to include removal of system blockages, overland relief deficiencies, and a significant amount of system structural repairs. The SWM maintenance programs provide operational support for various maintenance activities related to SWM facilities, including SWM facility mowing operations, dam repairs, rehabilitation, and routine maintenance. Both maintenance programs leverage the use of in-house forces and contracted services to support both pro-active and re-active maintenance operations. Funding in the amount of \$11,770,532 is included for Stormwater Services Operational Support for FY 2012.

Recognizing the growth in the Stormwater Management Program, and the projected growth in the number of construction projects generated from the completion of watershed management plans, continued staffing and resource management needs require innovative project management between County staff and contracted services.

Budget and Staff Resources

Agency Summary					
Category	FY 2010 Actual	FY 2011 Adopted Budget Plan	FY 2011 Revised Budget Plan	FY 2012 Advertised Budget Plan	FY 2012 Adopted Budget Plan
Authorized Positions/Staff Years					
Regular	140 / 140	140 / 140	144 / 144	144 / 144	144 / 144
Expenditures:					
Personnel Services	\$10,800,952	\$10,912,882	\$10,912,882	\$11,321,084	\$11,321,084
Operating Expenses	2,064,454	2,076,526	2,282,594	2,076,526	2,076,526
Capital Equipment	101,166	112,400	180,549	87,754	87,754
Capital Projects	1,026,663	16,613,024	20,207,998	17,029,468	17,029,468
Subtotal	\$13,993,235	\$29,714,832	\$33,584,023	\$30,514,832	\$30,514,832
Less:					
Recovered Costs	(\$2,003,569)	(\$1,714,832)	(\$1,714,832)	(\$1,714,832)	(\$1,714,832)
Total Expenditures	\$11,989,666	\$28,000,000	\$31,869,191	\$28,800,000	\$28,800,000

Fund 125 Stormwater Services

Position Summary		
<p>Maintenance and Stormwater Management (MSMD) MSMD Administration</p> <p>1 Director Maintenance and SW 2 Engineers V 2 Management Analysts II 1 Safety Analyst 1 Communications Specialist II 1 Network/Telecom Analyst I 1 Administrative Assistant IV 3 Administrative Assistants III 2 Administrative Assistants II 1 Information Technology Tec III</p> <p>Contracting Services</p> <p>1 Management Analyst III 1 Engineering Technician III 1 Engineering Technician II</p> <p>Engineering/Technical Support</p> <p>1 Engineer IV 1 Senior Engineer III 4 Engineers III 1 Ecologist III 1 Ecologist II 3 Engineering Technicians III 1 Engineering Technician II 1 GIS Analyst III 1 GIS Analyst II 1 GIS Analyst I 1 GIS Technician</p>	<p>Field Operations</p> <p>1 Env. Services Manager 4 Env. Services Supervisors 2 Senior Maintenance Supervisors 7 Maintenance Supervisors 7 Maintenance Crew Chiefs 14 Senior Maintenance Workers 6 Maintenance Workers 8 Heavy Equipment Operators 9 Motor Equipment Operators 2 Masons</p> <p>Maintenance Inspections</p> <p>1 Engineer IV 6 Engineering Technicians III 1 Engineering Technician I</p> <p>Materials Support</p> <p>1 Inventory Manager 1 Material Mgmt. Specialist III 1 Motor Equipment Operator</p> <p>Equipment/Specialty Trades</p> <p>1 Heavy Equipment Operator 1 Carpenter I 1 Equipment Repairer 1 Welder II</p>	<p>Stormwater Planning Division</p> <p>1 Director 3 Engineers V 1 Engineer IV 2 Senior Engineers III 9 Engineers III 1 Project Coordinator 1 Project Manager II 1 Project Manager I 3 Ecologists III 6 Ecologists II 1 Accountant I 1 Management Analyst II 1 Administrative Assistant III 1 Landscape Architect III 2 Engineering Technicians III 1 Engineering Technician I</p>
TOTAL POSITIONS		
144 Positions / 144.0 Staff Years		

FY 2012 Funding Adjustments

The following funding adjustments from the FY 2011 Adopted Budget Plan are necessary to support the FY 2012 program. Included are all adjustments recommended by the County Executive that were approved by the Board of Supervisors, as well as any additional Board of Supervisors' actions, as approved in the adoption of the budget on April 26, 2011.

- ◆ **Employee Compensation**

It should be noted that no funding is included for pay for performance or market rate adjustments in FY 2012.

\$0
- ◆ **Other Post-Employment Benefits**

An increase of \$408,202 is required to reflect increased costs associated with providing Other Post-Employment Benefits (OPEBs) to retirees, including the Retiree Health Benefits Subsidy. Before FY 2011, costs related to these benefits were paid solely by the General Fund; however, these annual costs are now spread across funds in order to more appropriately reflect benefit-related expenses for the employees within each fund. For more information on OPEB, please refer to Fund 603, OPEB Trust Fund, in Volume 2 of the FY 2012 Advertised Budget Plan.

\$408,202
- ◆ **Capital Equipment**

Capital Equipment funding of \$87,754 is included for requirements associated with replacement equipment that has outlived its useful life. The equipment includes: \$48,000 to replace a tool utility vehicle with attachments for lifting silt and sediment from detention ponds; \$19,354 to replace two 11-year old backpack electro fishers which are experiencing frequent breakdowns and are used to

\$87,754

Fund 125 Stormwater Services

complete annual sampling and biological monitoring required by the County's MS4 permit; \$5,200 to replace skid loader sweeper attachment used to clear parking lots, trails and other paved surfaces of sand, salt and debris required by the County's MS4 permit; and \$15,200 to replace two 16-year old large chemical spreaders used in snow removal operations, the cost of which is fully recovered from General Fund Agency 87, Department of Public Works and Environmental Services (DPWES) Unclassified Administrative Expenses.

- ◆ **Capital Projects** **\$17,029,468**
Funding in the amount of \$17,029,468 has been included in FY 2012 for priority stormwater capital projects. This level of funding will allow for increased efforts to implement and fulfill regulatory requirements and move forward on capital project work.

Changes to FY 2011 Adopted Budget Plan

The following funding adjustments reflect all approved changes in the FY 2011 Revised Budget Plan since passage of the FY 2011 Adopted Budget Plan. Included are all adjustments made as part of the FY 2010 Carryover Review, FY 2011 Third Quarter Review, and all other approved changes through April 12, 2011.

- ◆ **Carryover Adjustments** **\$3,869,191**
As part of the FY 2010 Carryover Review, the Board of Supervisors approved the carryover of unexpended project balances in the amount of \$3,080,161, encumbered funding of \$206,068 in Operating Expenses and \$68,149 in Capital Equipment, and \$514,813 in other adjustments. The \$514,813 represented savings resulting from higher than anticipated position vacancies and operating expenses and was appropriated to the capital projects reserve to support capital projects including: regulatory compliance, dam safety, infrastructure reinvestment and project implementation.
- ◆ **Position Changes** **\$0**
As part of the FY 2011 review of County position categories, a conversion of 4/4.0 SYE positions has been made. The status of limited term positions was reviewed in light of recent changes to federal regulations related to health care and other federal tax requirements. As a result of this review a number of existing limited term positions have been converted to Merit Regular Status.

Objectives

- ◆ To ensure zero violations in order to maintain compliance with the terms of the federally mandated Municipal Separate Storm Sewer System (MS4) Permit, as part of the comprehensive Stormwater Management Program.
- ◆ To ensure that 100 percent of Emergency Action plans are updated and operational to minimize impact to Fairfax County citizens, as well as protect property from weather events and other emergency situations.
- ◆ To ensure that 100 percent of the Commuter Rail, Park-and-Ride and Bus Transit facilities maintained by the County are functional 365 days per year in support of Fairfax County alternative transportation initiatives in order to reduce air pollution.

Fund 125 Stormwater Services

Indicator	Prior Year Actuals			Current Estimate	Future Estimate
	FY 2008 Actual	FY 2009 Actual	FY 2010 Estimate/Actual	FY 2011	FY 2012
Output:					
Annual private stormwater management facility inventory	2,772	3,125	3,425 / 3,547	3,625	3,750
Public stormwater management facilities inspected and maintained annually	1,266	1,367	1,407 / 1,419	1,460	1,510
Emergency Action plans updated	16	18	20 / 20	20	20
Average weekly private vehicle trips into maintained facilities	23,470	23,212	30,177 / 23,210	23,793	24,507
Average weekly commuter bus trips into maintained facilities	9,520	9,435	9,529 / 9,680	9,777	9,875
Average weekly train trips into maintained facilities	265	265	265 / 265	265	265
Efficiency:					
Annual cost per private stormwater management facility	\$622	\$356	\$350 / \$253	\$251	\$243
Cost of inspection and maintenance per public stormwater management facility	\$1,582	\$1,572	\$1,646 / \$997	\$982	\$949
Cost of Emergency Response program per 100,000 population	\$57,244	\$74,699	\$79,789 / \$177,954	\$176,074	\$171,781
Cost per transit trip	\$0.46	\$0.34	\$0.30 / \$0.60	\$0.56	\$0.56
Service Quality:					
Percent of private facilities inspected within the fiscal year	20%	17%	23% / 22%	20%	21%
Percent of public facilities inspected and maintained within the fiscal year	100%	89%	58% / 50%	51%	52%
Dollar loss per 100,000 population for claims paid as a result of annual emergency events	\$1,970	\$2,103	\$2,865 / \$392	\$1,482	\$861
Annual commuter facilities complaints received	18	32	48 / 20	29	32
Outcome:					
MS4 permit violations received	0	0	0 / 0	0	0
Percent of Emergency Action Plans current	88%	100%	100% / 100%	100%	100%
Percent of commuter facilities available 365 days per year	100%	100%	100% / 100%	100%	100%

Fund 125

Stormwater Services

Performance Measurement Results

In FY 2010 the “Outcome” objective to receive no MS4 permit violations related to inspection and maintenance of public and private stormwater management facilities was met. Inventory growth was greater than anticipated, and maintenance costs per facility were less than anticipated. Inspection cycles were sufficient to meet current permit requirements.

The “Outcome” objective to update 100 percent of the emergency action plans that Stormwater is responsible to update annually was met. The emergency program cost, per population, was greater than anticipated, primarily based on the large snow removal program costs in FY 2010. The estimated dollar loss based on claims paid out was less than estimated.

The “Outcome” objective to keep 100 percent of the commuter facilities operational for 365 days was met. Heavy snows limited service, on several occasions, but the facilities were operational for limited service during those events. Maintenance costs per vehicle trip were greater than anticipated, which is primarily attributed to the large cost for the excessive snow removal services provided. Patronage complaints were significantly lower than estimated.

Fund 125 Stormwater Services

FUND STATEMENT

Fund Type G10, Special Revenue Funds

Fund 125, Stormwater Services

	FY 2010 Actual	FY 2011 Adopted Budget Plan	FY 2011 Revised Budget Plan	FY 2012 Advertised Budget Plan	FY 2012 Adopted Budget Plan
Beginning Balance	\$0	\$0	\$3,869,191	\$0	\$0
Revenue:					
Stormwater Service District Levy	\$10,170,890	\$28,000,000	\$28,000,000	\$28,800,000	\$28,800,000
Total Revenue	\$10,170,890	\$28,000,000	\$28,000,000	\$28,800,000	\$28,800,000
Transfer In:					
General Fund (001) ¹	\$362,967	\$0	\$0	\$0	\$0
Stormwater Management Fund (318) ²	5,325,000	0	0	0	0
Total Transfers In	\$5,687,967	\$0	\$0	\$0	\$0
Total Available	\$15,858,857	\$28,000,000	\$31,869,191	\$28,800,000	\$28,800,000
Expenditures:					
Personnel Services	\$10,800,952	\$10,912,882	\$10,912,882	\$11,321,084	\$11,321,084
Operating Expenses	2,064,454	2,076,526	2,282,594	2,076,526	2,076,526
Recovered Costs	(2,003,569)	(1,714,832)	(1,714,832)	(1,714,832)	(1,714,832)
Capital Equipment	101,166	112,400	180,549	87,754	87,754
Capital Projects	1,026,663	16,613,024	20,207,998	17,029,468	17,029,468
Total Expenditures	\$11,989,666	\$28,000,000	\$31,869,191	\$28,800,000	\$28,800,000
Total Disbursements	\$11,989,666	\$28,000,000	\$31,869,191	\$28,800,000	\$28,800,000
Ending Balance³	\$3,869,191	\$0	\$0	\$0	\$0
Tax Rate Per \$100 of Assessed Value	\$0.010	\$0.015	\$0.015	\$0.015	\$0.015

¹ Represents encumbrances associated with Agency 29, Stormwater Management which were required within Fund 125. This agency was eliminated based on the creation of the new Stormwater Service District.

² As part of the FY 2009 Carryover Review an amount of \$5.325 million was transferred from Fund 318, Stormwater Management Program, in order to support capital projects. Capital projects include operations support, regulatory compliance, dam safety, infrastructure reinvestment, project implementation, and watershed planning.

³ Capital projects are budgeted based on the total project costs. Most projects span multiple years, from design to construction completion. Therefore, funding for capital projects is carried forward each fiscal year, and ending balances fluctuate, reflecting the carryover of these funds.

Fund 125 Stormwater Services

FY 2012 Summary of Capital Projects

Fund: **125, Stormwater Services**

Project #	Description	Total Project Estimate	FY 2010 Actual Expenditures	FY 2011 Revised Budget	FY 2012 Advertised Budget Plan	FY 2012 Adopted Budget Plan
DC0800	Kingstowne Monitoring Program	\$300,000	\$0.00	\$0.00	\$300,000	\$300,000
FX0000	Stormwater Capital Projects Reserve	514,813	0.00	514,813.00	0	0
FX0100	Project Implementation Program	10,082,405	0.00	5,188,597.00	4,893,808	4,893,808
FX0400	Dam Safety Program	5,400,000	0.00	2,700,000.00	2,700,000	2,700,000
FX0500	Stormwater Management Facility	3,000,000	244,244.75	1,755,755.25	1,000,000	1,000,000
FX0600	Infrastructure Reinvestment Program	12,189,229	482,046.81	6,813,374.19	4,893,808	4,893,808
FX0700	Stormwater Regulatory Program	5,700,000	300,371.12	2,699,628.88	2,700,000	2,700,000
SP0001	NVSWCD Contributory		0.00	423,271.00	429,293	429,293
SP0002	Occoquan Monitoring Contributory		0.00	112,559.00	112,559	112,559
Total		\$37,186,447	\$1,026,662.68	\$20,207,998.32	\$17,029,468	\$17,029,468

Fund 191

Public School Food and Nutrition Services

Focus

Fund 191, Food and Nutrition Services, totals \$87.8 million in FY 2012 for all Food and Nutrition Services' operational and administrative costs. This fund is entirely self-supporting and is operated under the federally-funded National School Lunch and Child Nutrition Acts.



The Food and Nutrition Services program:

- Procures, prepares and serves lunches, breakfasts, and a la carte items to over 140,000 customers daily;
- Offers breakfasts in 159 schools and centers;
- Contracts meal provision to day care centers, Family and Early Childhood Education Program (FECEP) centers and private schools, and snack provision to all School-Age Child Care (SACC) programs; and
- Provides meals and dietetic consultation at senior nutrition sites and Meals on Wheels programs.

Other responsibilities include nutrition education, enforcement of sanitary practices, specifications for food and equipment, and layout and design of kitchens in new schools.

No support from Fund 090, School Operating Fund, is required as sufficient revenues are derived from food sales and federal and state aid.

Fund 191

Public School Food and Nutrition Services

FUND STATEMENT

Fund Type G10, Special Revenue Funds

Fund 191, Public School Food
and Nutrition Services

	FY 2010 Actual	FY 2011 Adopted Budget Plan	FY 2011 Revised Budget Plan ¹	FY 2012 Superintendent's Proposed	FY 2012 Adopted Budget Plan ²
Beginning Balance	\$10,870,140	\$11,281,198	\$16,042,275	\$13,591,947	\$13,591,947
Revenue:					
Food Sales	\$47,207,125	\$49,038,246	\$49,038,246	\$47,422,168	\$47,422,168
Federal Aid	24,307,440	21,756,710	21,756,710	25,979,065	25,979,065
State Aid	776,918	805,500	805,500	791,612	791,612
Other Revenue	69,292	135,548	135,549	61,741	61,741
Total Revenue	\$72,360,775	\$71,736,004	\$71,736,005	\$74,254,586	\$74,254,586
Total Available	\$83,230,915	\$83,017,202	\$87,778,280	\$87,846,533	\$87,846,533
Total Expenditures	\$67,366,590	\$71,159,603	\$74,186,333	\$72,472,366	\$72,472,366
Food and Nutrition Services					
General Reserve ³	\$0	\$11,857,599	\$13,591,947	\$15,374,167	\$15,374,167
Total Disbursements	\$67,366,590	\$83,017,202	\$87,778,280	\$87,846,533	\$87,846,533
Inventory Change	\$177,950	\$0	\$0	\$0	\$0
Ending Balance	\$16,042,275	\$0	\$0	\$0	\$0

¹The FY 2011 Revised Budget Plan reflects adjustments adopted by the Fairfax County School Board on March 24, 2011 during their FY 2011 Third Quarter Review.

²Fairfax County School Board action on the FY 2012 budget was taken on May 26, 2011 and will be included for approval by the Board of Supervisors as part of the FY 2011 Carryover Review.

³Any unused portion of the allocated Food and Nutrition Services General Reserve carries forward into the subsequent budget year. Accordingly, the FY 2012 beginning balance is the projected ending balance for FY 2011 of \$0 plus the estimated ending balance for the reserve of \$13,591,947.

Fund 192

Public School Grants and Self-Supporting Programs

Focus

Fund 192, Public School Grants and Self-Supporting Programs, totals \$63.6 million for FY 2012 and consists of two subfunds: the Grants Subfund and the Summer School and Standards of Learning (SOL) Remediation Subfund. FY 2012 revenue reflects federal, state and private industry grants, summer school fees and transfers from Fund 090, School Operating, and Fund 105, Cable Communications.

Fund 192

Public School Grants and Self-Supporting Programs

FUND STATEMENT

Fund Type G10, Special Revenue Funds

Fund 192, Public School Grants and
Self-Supporting Programs

	FY 2010 Actual	FY 2011 Adopted Budget Plan	FY 2011 Revised Budget Plan ¹	FY 2012 Superintendent's Proposed	FY 2012 Adopted Budget Plan ²
Beginning Balance	\$5,837,182	\$0	\$13,216,096	\$1,357,741	\$1,357,741
Revenue:					
State Aid	\$14,881,367	\$10,005,768	\$9,822,665	\$9,713,520	\$9,713,520
Federal Aid	35,621,490	43,183,330	53,986,082	33,602,281	33,602,281
Tuition	1,928,325	793,868	2,034,042	2,040,294	2,040,294
Industry, Foundation, Other	1,447,726	26,421	588,331	26,421	26,421
Total Revenue	\$53,878,908	\$54,009,387	\$66,431,120	\$45,382,516	\$45,382,516
Transfers In:					
School Operating Fund Grants (090)	\$8,865,954	\$8,865,952	\$8,865,952	\$8,865,952	\$8,865,952
School Operating Fund Summer School (090)	11,936,493	5,501,757	5,501,757	5,501,757	5,501,757
Cable Communications Fund (105) ³	2,386,548	2,517,729	2,517,729	2,517,729	3,726,203
County General Fund (001)	0	0	0	0	0
Total Transfers In	\$23,188,995	\$16,885,438	\$16,885,438	\$16,885,438	\$18,093,912
Total Available	\$82,905,085	\$70,894,825	\$96,532,654	\$63,625,695	\$64,834,169
Total Expenditures	\$69,688,989	\$70,894,825	\$96,532,654	\$63,625,695	\$64,834,169
Total Disbursements	\$69,688,989	\$70,894,825	\$96,532,654	\$63,625,695	\$64,834,169
Ending Balance	\$13,216,096	\$0	\$0	\$0	\$0

¹ The FY 2011 Revised Budget Plan reflects adjustments adopted by the Fairfax County School Board on March 24, 2011 during their FY 2011 Third Quarter Review.

² Fairfax County School Board action on the FY 2012 budget was taken on May 26, 2011 and will be included for approval by the Board of Supervisors as part of the FY 2011 Carryover Review.

³ The FY 2012 Adopted Budget Plan transfer from Fund 105, County Cable Communications Fund, as well as the corresponding expenditures which it supports, have been adjusted to reflect the final amount from the County of \$3,726,203.

Fund 193

Public School Adult and Community Education

Focus

Fund 193, Public School Adult and Community Education, provides lifelong literacy and education opportunities for all residents and students of Fairfax County through adult education programs such as basic skill education, high school completion and English for Speakers of Other Languages (ESOL). FY 2012 expenditures are estimated at \$10.8 million.

The Fund also provides for pre-kindergarten through grade 12 support programs, including behind-the-wheel driver education, SAT preparation, summer school, before- and after-school enrichment activities and remediation support.



Fund 193

Public School Adult and Community Education

FUND STATEMENT

Fund Type G10, Special Revenue Funds

Fund 193, Public School Adult and
Community Education

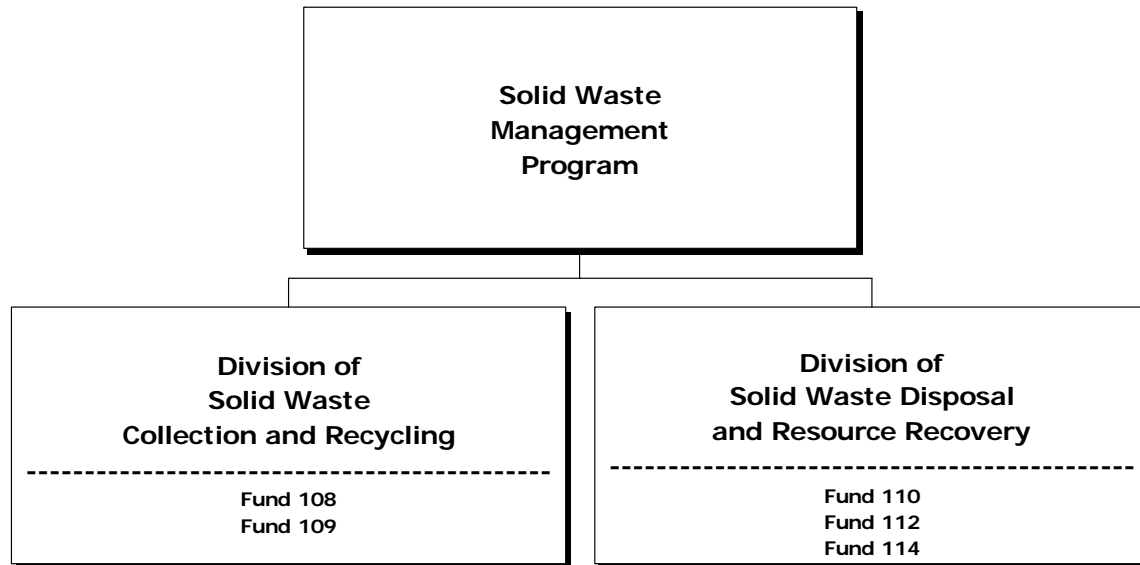
	FY 2010 Actual	FY 2011 Adopted Budget Plan	FY 2011 Revised Budget Plan ¹	FY 2012 Superintendent's Proposed	FY 2012 Adopted Budget Plan ²
Beginning Balance³	\$904,751	\$558,836	\$797,797	\$86,271	\$86,271
Revenue:					
State Aid	\$673,719	\$691,778	\$691,778	\$685,243	\$685,243
Federal Aid	808,753	631,216	781,216	662,139	662,139
Tuition	6,693,913	8,338,012	8,403,073	8,628,087	8,628,087
Industry, Foundation, Other	412,310	332,552	395,552	378,969	378,969
Total Revenue	\$8,588,695	\$9,993,558	\$10,271,619	\$10,354,438	\$10,354,438
Transfers In:					
School Operating Fund (090)	\$958,836	\$400,000	\$400,000	\$400,000	\$400,000
Total Transfers In	\$958,836	\$400,000	\$400,000	\$400,000	\$400,000
Total Available	\$10,452,282	\$10,952,394	\$11,469,416	\$10,840,709	\$10,840,709
Total Expenditures	\$9,654,485	\$10,952,394	\$11,469,416	\$10,840,709	\$10,840,709
Total Disbursements	\$9,654,485	\$10,952,394	\$11,469,416	\$10,840,709	\$10,840,709
Ending Balance	\$797,797	\$0	\$0	\$0	\$0

¹ The FY 2011 Revised Budget Plan reflects adjustments adopted by the Fairfax County School Board on March 24, 2011 during their FY 2011 Third Quarter Review.

² Fairfax County School Board action on the FY 2012 budget was taken on May 26, 2011 and will be included for approval by the Board of Supervisors as part of the FY 2011 Carryover Review.

³ The FY 2012 Beginning Balance reflects \$0.1 million that will be identified in FY 2011 and carried over to fund FY 2012 requirements.

Solid Waste Management Program



Mission

To protect the public interest through solid waste management planning and regulatory oversight of the County's refuse ordinances by providing efficient and effective collection, recycling, and disposal of solid waste for customers in an environmentally responsible manner.

Focus

The Solid Waste Management Program is responsible for the management and long-range planning for all refuse and recycling within the County. Operations include a County-owned and operated refuse transfer station, a privately owned and operated Energy/Resource Recovery Facility (E/RRF), two closed municipal solid waste landfills, a regional ash Ashfill operated by the County, two recycling disposal facilities, eight drop-off sites for recyclable materials, and equipment and facilities for refuse collection, disposal, and recycling operations. The operation of the Solid Waste Management Program is achieved through the Division of Solid Waste Collection and Recycling and the Division of Solid Waste Disposal and Resource Recovery in the Department of Public Works and Environmental Services.

Division of Solid Waste Collection and Recycling

The Division of Solid Waste Collection and Recycling (DSWCR) manages two funds including Fund 108, Leaf Collection, and Fund 109, Refuse Collection and Recycling Operations.

Fund 108, Leaf Collection, provides curbside vacuum leaf collection within Fairfax County's 37 approved leaf collection districts. For FY 2012, approximately 25,000 homes are included within these districts. Revenue for Fund 108 is derived from a levy charged to homeowners within leaf collection districts. The FY 2012 leaf collection levy will remain as it has for the past three years at a rate of \$0.015 per \$100 of assessed real estate value.

Fund 109, Refuse Collection and Recycling Operations, provides for collection of refuse and recycling from approximately 44,000 individual households within Fairfax County's approved sanitary districts. Revenue to support residential collection operations is derived from the refuse collection fee. In FY 2012, the annual collection fee of \$345 for sanitary district customers is recommended to remain at the same level as it has for the past three years.

Solid Waste Management Program

Fund 109 also supports collection of refuse and recycling from properties owned and occupied by Fairfax County. Revenue for this service is collected from County agencies to which the service is provided. Fund 109 also provides funds for management of the solid waste collection services for General Fund programs (DSW-GF) including Community Cleanup, Court/Board Directed Cleanups, Evictions and Health Department Referral operations.

The County's Recycling Program is also funded through Fund 109. This program consists of all outreach and education about the County's entire solid waste management program; operation of the eight County recycling drop off centers; opportunities to recycle items not collected at the curb such as computers, televisions, rechargeable batteries, and compact fluorescent lamps; document shredding opportunities for County residents; and participation in all major County events.

Division of Solid Waste Disposal and Resource Recovery

The Division of Solid Waste Disposal and Resource Recovery manages three funds. Fund 110, Refuse Disposal, provides for delivering refuse collected throughout Fairfax County to the Energy/Resource Recovery Facility (E/RRF), the Prince William County Facility or other appropriate landfill; transferring yard waste to compost facilities; coordinating the facility use agreement between Fairfax and Prince William Counties; operating the County's battery recycling program, white goods recycling program (i.e., refrigerators, dishwashers, washer and dryers, etc.) and Household Hazardous Waste (HHW) program; managing the Recycling and Disposal Centers; and brush mulching services. Fund 112, Energy/Resource Recovery Facility, provides for the disposal of refuse at the E/RRF. Fund 114, I-95 Refuse Disposal, provides management and operational control at the I-95 Ashfill for all regional participants.

In the last decade, the County's solid waste disposal program has faced financial pressures, primarily due to law changes that affected waste management practices. In the last year, the system experienced a decrease in waste tonnage, reflecting lower quantities of consumer waste associated with the downturn in the regional and national economy. Part of the decrease in waste disposed is attributable to increased recycling in the County, which has a positive impact on the environment. The revenue generated remains adequate to support operational requirements and necessary reserves.

Under the current industry environment, the County's competitive pricing system for Fund 110, Refuse Disposal, has proved to be sufficient to cover the current disposal operation costs as well as the cost of the non-revenue generating programs which, for several years, were partially supported through a General Fund Transfer. These non-revenue based programs continue to be fully funded through the current disposal rates and include the countywide recycling program; the Household Hazardous Waste program; maintenance and environmental monitoring of the closed I-66 landfill; and the Code Enforcement Program. In FY 2012, the system disposal charge and the Recycling and Disposal Center fee are set at \$60 per ton, the same level as FY 2010 and FY 2011. A contractual disposal rate for FY 2012 will be negotiated with private waste haulers, but is anticipated to be \$53 per ton, a decrease of \$2 per ton from the rate of \$55 per ton charged the last three years.

Fund 112, Energy/Resource Recovery Facility (E/RRF), funds the County's waste-to-energy facility which annually processes over 1 million tons of waste. This waste is used to generate electrical power in excess of 80 megawatts, enough to power 75,000 homes. The County charges a tip fee to all users of the E/RRF and subsequently pays the contractual disposal fee to Covanta Fairfax, Incorporated (CFI) from these revenues. The formula-driven contract between the County and CFI is based on support requirements for incinerator operations. The yearly estimate is calculated using expenses for plant operations and maintenance costs, bond retirement payments and other pass through costs. Other pass through costs

Solid Waste Management Program

such as landfilling incinerator ash, reagents and utilities are significantly offset by credits derived from the sale of electricity to Dominion Virginia Power and recovery of ferrous and non-ferrous metals from the ash. The FY 2012 budgeted tip fee will remain at the FY 2011 level of \$29 per ton based on current operational costs.

Fund 114, I-95 Refuse Disposal, funds the County's I-95 Sanitary Ashfill which has served the solid waste disposal needs of the residents of the participating jurisdictions utilizing the facility. The municipal solid waste (MSW) section of the I-95 Sanitary Ashfill closed in December 1995, and since that time the facility has accepted only ash material for land burial. The I-95 Sanitary Ashfill continues to operate as a model facility - meeting permit requirements, inspection criteria, and availability requirements for the participating jurisdictions and customers of the facility. The I-95 Complex also serves as the focal point for the management of non-combustible material, which is redirected to debris landfills for final disposal. In FY 2012, the Refuse Disposal fee will increase to \$15.50 per ton from the FY 2011 level of \$13.50 per ton. It should be noted that the ash disposal rate at I-95 is anticipated to increase in future years to accommodate operational requirements and provide sufficient reserve funding for capital projects and post closure care.

Specific description, discussion, and funding requirements for each fund of the Solid Waste Management Program can be found in the subsequent pages.

OPERATIONAL FEE STRUCTURE

Solid Waste Operations Fee Structure¹

	Fund 108, Leaf Collection	Fund 109, Refuse Collection and Recycling Operations	Fund 110, Refuse Disposal	Fund 112, E/RRF	Fund 114, I-95 Refuse Disposal
FY 2012 Fee	\$0.015/\$100 Assessed Property Value	\$345 Curbside	\$60/Ton, System Fee \$53 Estimated (to be negotiated) Contract/Discount \$60/Ton, Recycling and Disposal Center	\$29/Ton	\$15.50/Ton
FY 2011 Fee	\$0.015/\$100 Assessed Property Value	\$345 Curbside	\$60/Ton, System Fee \$55 Negotiated Contract/Discount \$60/Ton Recycling and Disposal Center	\$29/Ton	\$13.50/Ton
Who Pays	Leaf District Residents	Sanitary District Residents	Private Collectors, Residents and County Agencies through Fund 109	The County through Fund 110	E/RRF, Fund 110, and Participating Jurisdictions

¹ There are numerous special rates that have been negotiated and implemented as needed which are not reflected in the structure above. Examples include varying miscellaneous charges for yard debris (brush, grass, and leaves), tires, and others.

Solid Waste Management Program

Key Performance Measures – Division of Solid Waste Collection and Recycling Operations

Objectives

- ◆ To remove at least 95 percent of the leaves placed at the curb by citizens, within each leaf collection district, during the specified leaf collection period.
- ◆ To provide high quality refuse collection services ensuring the removal of trash in County sanitary districts while maintaining a customer service rating of good or better at 95 percent or above.
- ◆ To provide high quality refuse collection services to designated Fairfax County agencies while limiting program cost increases where possible.
- ◆ Within sanitary districts, continue to achieve the state-mandated recycling rate of at least 25 percent.

Indicator	Prior Year Actuals			Current Estimate	Future Estimate
	FY 2008 Actual	FY 2009 Actual	FY 2010 Estimate/Actual	FY 2011	FY 2012
Output:					
Tons of leaves collected	8,704	6,586	8,879 / 6,533	8,500	8,300
Tons of refuse collected from residential customers	69,505	77,172	72,000 / 77,249	74,000	74,000
Tons of refuse collected from County agencies	10,244	9,237	9,300 / 9,235	9,300	9,500
Total tons recycled	488,240	491,113	489,000 / 445,625	489,000	450,000
Efficiency:					
Net cost per home for leaf collection	\$71.76	\$71.47	\$90.96 / \$89.94	\$94.02	\$94.15
Net cost per home per year for residential refuse collection	\$267.72	\$274.81	\$302.86 / \$264.62	\$272.16	\$287.50
Net cost per ton for refuse collected from County agencies	\$96.58	\$106.94	\$108.19 / \$104.01	\$108.32	\$112.35
Net cost per home per year for residential recycling collection	\$42.87	\$36.43	\$41.92 / \$39.44	\$43.11	\$38.64
Service Quality:					
Percent of leaf customers rating service good or better	90.0%	93.0%	88.0% / 92.0%	88.0%	88.0%
Percent of residential refuse customers rating service good or better	98.6%	94.9%	95.0% / 90.0%	95.0%	95.0%
Percent of County agencies rating services good or better	100.0%	95.0%	95.0% / 98.0%	95.0%	95.0%
Percent of residential recycling customers rating services good or better	96.8%	87.2%	89.0% / 80.0%	89.0%	89.0%

Solid Waste Management Program

Indicator	Prior Year Actuals			Current Estimate	Future Estimate
	FY 2008 Actual	FY 2009 Actual	FY 2010 Estimate/Actual	FY 2011	FY 2012
Outcome:					
Percent of customers' leaves removed from curb	95.0%	97.0%	95.0% / 96.0%	95.0%	95.0%
Percentage point change in residential refuse customers rating services good or better	1.3%	(3.7%)	0.1% / (4.9%)	5.0%	0.0%
Percent change in refuse cost per ton for County agencies	(5.00%)	10.73%	1.20% / (2.74%)	4.14%	3.72%
Total County recycling rate	40.0%	40.0%	25.0% / 42.0%	25.0%	25.0%

Performance Measurement Results

The Division of Solid Waste Collection and Recycling continues to provide our residential collection customers with a very high level of service. Leaf collection customers continue to see at least 95 percent of all leaves that fall on their properties removed by our staff once raked to the curb. Customers receiving refuse collection from Fairfax County continually rate our service as good or excellent and have done so once again for FY 2010.

County agencies that receive refuse and recycling collection services from Fairfax County give the agency its highest rating with 98 percent of customers rating service good or excellent. Recycling collection service ratings from customers were reduced slightly over the past year primarily due to the lack of rolling containers for the collection of recyclables. The rolling containers provide a high degree of ease for the user since they roll rather than having to be carried to the curb, which can be a hardship for some residents. The rolling container allows all recyclables, including cans, bottles, paper, cardboard, and plastics to be placed in the same container without needing to separate them and have lids which prevent paper recyclables from becoming litter on windy days and also keep the materials dry. Introducing these containers may encourage more residents to participate in recycling and in recycling more items. DSWCR has developed a plan to purchase and distribute these containers over the next several succeeding years. DSWCR anticipates that this will significantly improve customer service ratings.

Key Performance Measures – Division of Solid Waste Disposal and Resource Recovery

Objectives

- ◆ To provide a sanitary facility for receiving, loading and transporting commercial and residential refuse by the most feasible and economical method available, while maintaining a 100 percent satisfactory rating from state inspections at the I-66 Transfer Station.
- ◆ To deliver no less than the Guaranteed Annual Tonnage (GAT) amount of 930,750 tons of municipal solid waste to the E/RRF as required under the contractual obligations of the Service Agreement between Covanta Fairfax, Inc. and Fairfax County.
- ◆ To manage the I-95 Ashfill in an efficient, environmentally safe manner, meeting 100 percent of the regulatory standards; and to provide a permitted site where ash resulting from the E/RRF and other participating jurisdictions can be properly disposed.

Solid Waste Management Program

Indicator	Prior Year Actuals			Current Estimate	Future Estimate
	FY 2008 Actual	FY 2009 Actual	FY 2010 Estimate/Actual	FY 2011	FY 2012
Output:					
Tons of material processed at the I-66 Transfer Station	840,666	742,136	772,436 / 682,717	772,436	713,822
Tons of material delivered to the E/RRF	1,017,855	991,953	1,020,000 / 966,703	1,020,000	1,020,000
Tons of ash disposed at the I-95 Ashfill	341,420	339,312	340,000 / 322,271	340,000	340,000
Efficiency:					
Cost per ton of material processed at the I-66 Transfer Station	\$19.04	\$21.97	\$22.22 / \$22.71	\$22.30	\$23.23
Cost per ton of material processed at the E/RRF	\$33.01	\$34.07	\$37.32 / \$35.43	\$33.10	\$17.84
Cost per ton to dispose ash at the I-95 Ashfill	\$11.50	\$11.50	\$13.50 / \$13.50	\$13.50	\$15.50
Service Quality:					
Number of satisfactory State DEQ ratings at the I-66 Transfer Station	4	4	4 / 4	4	4
Tons delivered to the E/RRF in excess of GAT	87,105	61,203	89,250 / 35,953	89,250	89,250
Number of satisfactory State DEQ ratings at the I-95 Ashfill	6	4	4 / 4	4	4
Outcome:					
Percent satisfactory State DEQ inspection ratings at the I-66 Transfer Station	100%	100%	100% / 100%	100%	100%
Percent of GAT met	109.36%	106.58%	109.59% / 103.90%	109.59%	109.59%
Percent satisfactory State DEQ inspection ratings at the I-95 Ashfill	100%	100%	100% / 100%	100%	100%

Performance Measurement Results

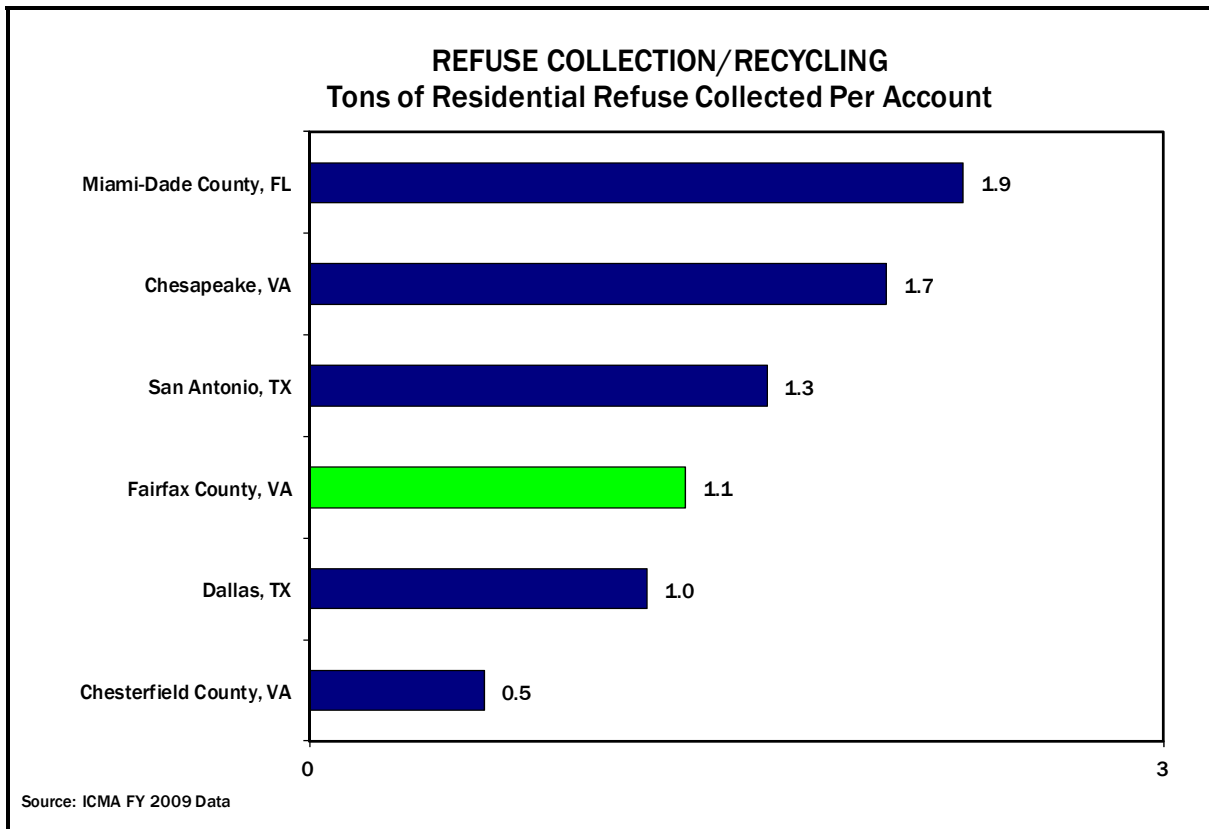
The I-66 Complex received satisfactory ratings, the highest possible, from the Virginia Department of Environmental Quality (DEQ) for all inspections conducted during FY 2010. The facility is in compliance with all provisions of the operating permits and the Virginia Solid Waste Management Regulations. The continuous decrease in tonnage processed through the I-66 Complex, in the last four years, reflects the nation and regional economic condition and has resulted in higher per ton costs as fixed costs are spread over fewer tons.

The FY 2012 estimated cost to process waste is \$17.84 per ton, based on anticipated operational costs and contractual obligations to Covanta, Inc., who operates the E/RRF. The reduced cost is attributable to the construction bonds being paid in full in FY 2011.

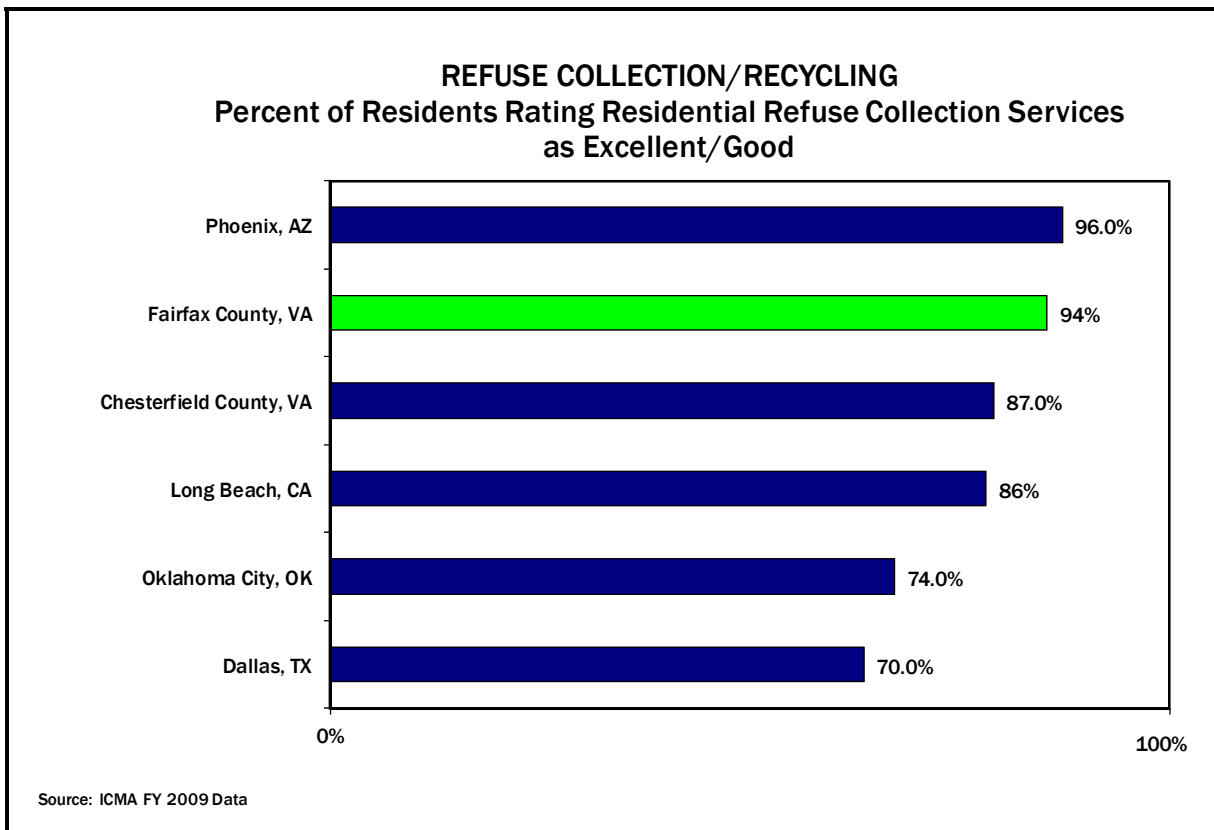
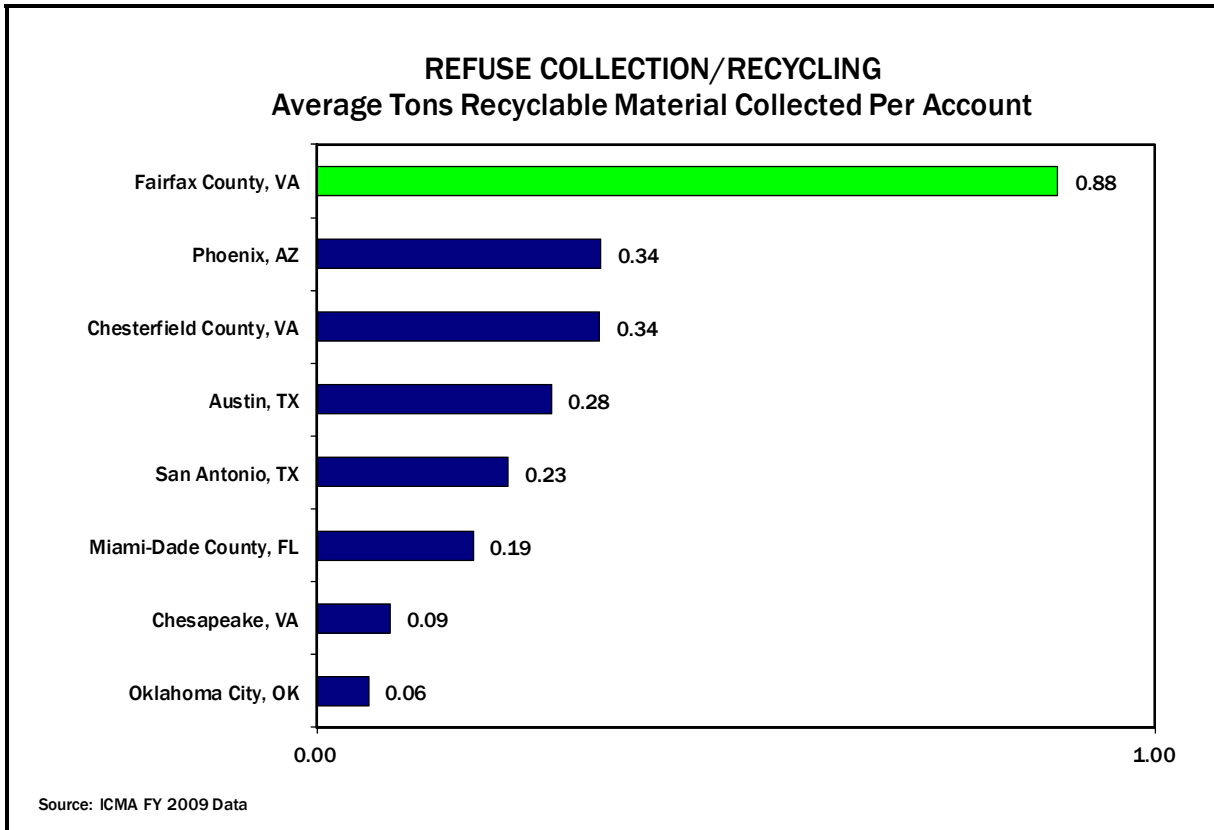
Solid Waste Management Program

Benchmarking

As a means of enhancing accountability, benchmarking data have been included in the annual budget since FY 2005. These data are included in each of the Program Area Summaries in Volume 1 and now in Other Funds (Volume 2) as available. Since 2000, Fairfax County has participated in the International City/County Management Association's (ICMA) benchmarking effort. Approximately 220 cities, counties and towns provide comparable data annually in 15 service areas. However, not all jurisdictions provide data for every service area. As part of the ICMA benchmarking effort, participating local governments (cities, counties and towns) provide data on standard templates provided by ICMA in order to ensure consistency. ICMA then performs extensive checking and data cleaning to ensure the greatest accuracy and comparability of data. As a result of the time necessary to collect the data and undergo ICMA's rigorous data cleaning processes, information is always available with a one-year delay. FY 2009 data represent the latest available information. The jurisdictions presented in the graphs on the following pages generally show how Fairfax County compares to other large jurisdictions (population over 500,000). In cases where other Virginia localities provided data, they are shown as well. Refuse Collection/Recycling is one of the service areas for which Fairfax County provides data. An important point to note about the ICMA comparative data effort is that since participation is voluntary, the jurisdictions that provide data have demonstrated that they are committed to becoming/remaining high performance organizations. Therefore, comparisons made through this program should be considered in the context that the participants have self-selected and are inclined to be among the higher performers than a random sample among local governments nationwide. It is also important to note that not all jurisdictions respond to all questions. In some cases, the question or process is not applicable to a particular locality or data are not available. For those reasons, the universe of jurisdictions with which Fairfax County is compared is not always the same for each benchmark. However, as shown on the following pages, Fairfax County compares favorably in both efficiency and effectiveness.



Solid Waste Management Program



Unclassified Administrative Expenses - Division of Solid Waste General Fund Programs

Mission

To provide funding support for the elimination of unsanitary conditions that present a hazard to the environment and to the health, safety and welfare of County residents.

Focus

The General Fund provides funding to operate the Community Cleanup Program, Court/Board-directed Cleanups, the Health Department Referral Program, the Eviction Program and Emergency Storm Cleanup.

The Division of Solid Waste Collection and Recycling (DSWCR) through Fund 109, Refuse Collection and Recycling Operations, provides equipment and personnel for program operations. The Community Cleanup Program supports community and civic associations' efforts to enhance and maintain the appearance of neighborhoods and the environment. In addition, the agency eliminates hazardous conditions identified by the Fairfax County Courts, the Fairfax County Board of Supervisors, the Fairfax County Health Department and the Fairfax County Sheriff's Office with regards to evictions.

All charges incurred by Fund 109, Refuse Collection and Recycling Operations, for providing collection and disposal services for these programs are billed to the General Fund. The overall cost to the General Fund is reduced by the cleanup fees recovered from property owners for cleanup work performed on their property at the direction of the Health Department or the County Courts. The recovered funds are returned to the General Fund.

Performance measures for Solid Waste are displayed at a program-wide level. Please refer to the Solid Waste Management Program Overview in Volume 2 of the [FY 2012 Adopted Budget Plan](#) for those items.

Budget and Staff Resources

Solid Waste General Fund Programs					
Category	FY 2010 Actual	FY 2011 Adopted Budget Plan	FY 2011 Revised Budget Plan	FY 2012 Advertised Budget Plan	FY 2012 Adopted Budget Plan
Solid Waste General Fund					
Programs					
Community Cleanup	\$343,041	\$309,785	\$309,785	\$309,785	\$309,785
Health Department Referral	5,031	2,341	2,341	2,341	2,341
Evictions	8,545	14,380	14,380	14,380	14,380
Court/Board-Directed Cleanups	1,659	31,819	31,819	31,819	31,819
Total Expenditures	\$358,276	\$358,325	\$358,325	\$358,325	\$358,325
Income					
Cleanup Fees ¹	\$3,273	\$0	\$13,000	\$13,000	\$13,000
Total Income	\$3,273	\$0	\$13,000	\$13,000	\$13,000
Net Cost to the County	\$355,003	\$358,325	\$345,325	\$345,325	\$345,325

¹ The overall cost to the General Fund is reduced by fees recovered from property owners, who are charged for cleanup work performed on their property at the direction of the Health Department, or by sanctions imposed at the direction of the County Court for cleanups stemming from zoning violations.

Unclassified Administrative Expenses - Division of Solid Waste General Fund Programs

FY 2012 Funding Adjustments

The following funding adjustments from the FY 2011 Adopted Budget Plan are necessary to support the FY 2012 program. Included are all adjustments recommended by the County Executive that were approved by the Board of Supervisors, as well as any additional Board of Supervisors' actions, as approved in the adoption of the budget on April 26, 2011.

- ◆ FY 2012 funding remains at the same level as the FY 2011 Adopted Budget Plan.

Changes to FY 2011 Adopted Budget Plan

The following funding adjustments reflect all approved changes in the FY 2011 Revised Budget Plan since passage of the FY 2011 Adopted Budget Plan. Included are all adjustments made as part of the FY 2010 Carryover Review, FY 2011 Third Quarter Review, and all other approved changes through April 12, 2011.

- ◆ There have been no adjustments to this agency since approval of the FY 2011 Adopted Budget Plan.

Fund 108

Leaf Collection

Mission

To provide vacuum leaf collection service at the streetline for all customers within designated sanitary leaf districts on three separate occasions during the leaf collection season (the period from October through January) in order to enhance the County's aesthetic environment.

Focus

The Division of Solid Waste Collection and Recycling currently provides for leaf collection and disposal within 37 Fairfax County Collection Districts. Five of these districts have been expanded for the FY 2011 leaf season and the agency projects that up to 10 more will be expanded in FY 2012. Leaf Districts are established and abolished through a petition process approved by the Board of Supervisors. This process could result in an increase or a decrease in the number of residential or commercial properties within a specific collection district, or a district could be totally eliminated. Petition approvals affect the number of units serviced in a given year.

All leaves collected are either transported to a composting facility in Loudoun County or Prince William County or mulched and provided to citizens. Revenue is derived from a collection levy (service fee) that is charged to homeowners and businesses within the leaf districts. The FY 2012 levy is \$0.015 per \$100 of assessed real estate value, an amount that is unchanged from the FY 2011 level.

This will generate an estimated \$1,866,545 in revenue in FY 2012, a level that is unchanged from the FY 2011 estimate. The County will continue to monitor the impact of real estate values on this fund, to ensure that sufficient funds and balances are available from leaf assessment revenue to cover future year costs.

Performance measures for Solid Waste are displayed at a program-wide level. Please refer to the Solid Waste Management Program Overview in Volume 2 of the [FY 2012 Adopted Budget Plan](#) for those items.



Fund 108 Leaf Collection

Budget and Staff Resources

Agency Summary					
Category	FY 2010 Actual	FY 2011 Adopted Budget Plan	FY 2011 Revised Budget Plan	FY 2012 Advertised Budget Plan	FY 2012 Adopted Budget Plan
Expenditures:					
Operating Expenses	\$2,118,069	\$2,278,520	\$2,278,520	\$2,404,038	\$2,404,038
Capital Equipment	64,956	22,260	22,260	0	0
Total Expenditures	\$2,183,025	\$2,300,780	\$2,300,780	\$2,404,038	\$2,404,038

FY 2012 Funding Adjustments

The following funding adjustments from the FY 2011 Adopted Budget Plan are necessary to support the FY 2012 program. Included are all adjustments recommended by the County Executive that were approved by the Board of Supervisors, as well as any additional Board of Supervisors' actions, as approved in the adoption of the budget on April 26, 2011.

- ◆ **Leaf Collection Costs** **\$125,518**
An increase of \$125,518 in Operating Expenses is required to support the leaf collection program due to an increased number of areas requiring County services and a heightened effort to remove leaves from overflows and drainage areas in order to avoid hazardous conditions. The division currently provides leaf collection and disposal within 37 Fairfax County Collection Districts. Five of these districts have been expanded for the FY 2011 leaf season and the agency projects that up to 10 more will be expanded in FY 2012.

Changes to FY 2011 Adopted Budget Plan

The following funding adjustments reflect all approved changes in the FY 2011 Revised Budget Plan since passage of the FY 2011 Adopted Budget Plan. Included are all adjustments made as part of the FY 2010 Carryover Review, FY 2011 Third Quarter Review, and all other approved changes through April 12, 2011.

- ◆ There have been no adjustments to this fund since approval of the FY 2011 Adopted Budget Plan.

Fund 108 Leaf Collection

FUND STATEMENT

Fund Type G10, Special Revenue Funds

Fund 108, Leaf Collection

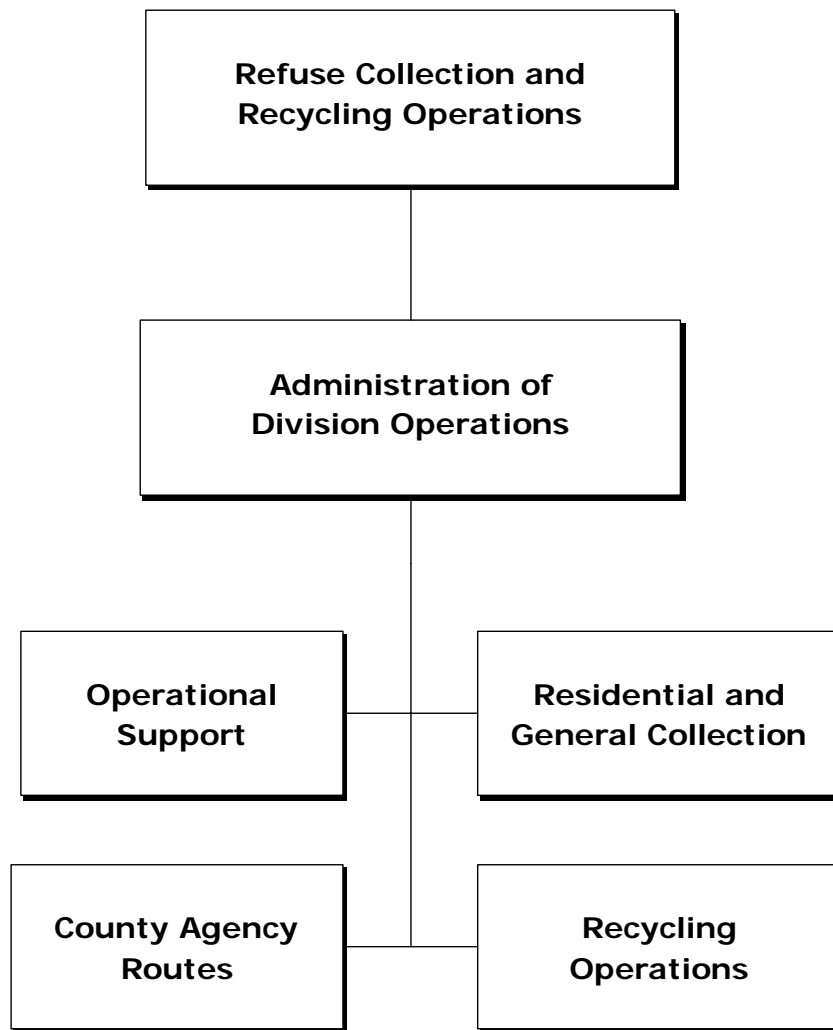
	FY 2010 Actual	FY 2011 Adopted Budget Plan	FY 2011 Revised Budget Plan	FY 2012 Advertised Budget Plan	FY 2012 Adopted Budget Plan
Beginning Balance	\$3,562,807	\$3,392,117	\$3,510,308	\$3,133,614	\$3,133,614
Revenue:					
Interest on Investments	\$26,060	\$15,279	\$15,279	\$6,279	\$6,279
Rental of Equipment	52,808	42,262	42,262	47,530	47,530
Sale of Equipment	18,368	0	0	0	0
Leaf Collection Levy/Fee	2,033,290	1,866,545	1,866,545	1,866,545	1,866,545
Total Revenue	\$2,130,526	\$1,924,086	\$1,924,086	\$1,920,354	\$1,920,354
Total Available	\$5,693,333	\$5,316,203	\$5,434,394	\$5,053,968	\$5,053,968
Expenditures:					
Operating Expenses	\$2,118,069	\$2,278,520	\$2,278,520	\$2,404,038	\$2,404,038
Capital Equipment	64,956	22,260	22,260	0	0
Total Expenditures	\$2,183,025	\$2,300,780	\$2,300,780	\$2,404,038	\$2,404,038
Total Disbursements	\$2,183,025	\$2,300,780	\$2,300,780	\$2,404,038	\$2,404,038
Ending Balance¹	\$3,510,308	\$3,015,423	\$3,133,614	\$2,649,930	\$2,649,930
Equipment Replacement Reserve	\$846,902	\$846,902	\$846,902	\$846,902	\$846,902
Rate Stabilization Reserve ²	0	0	0	1,803,028	1,803,028
Unreserved Balance	\$2,663,406	\$2,168,521	\$2,286,712	\$0	\$0
Leaf Collection Levy/Fee per \$100					
Assessed Value	\$0.015	\$0.015	\$0.015	\$0.015	\$0.015

¹Ending balance fluctuations are a result of operating and revenue requirements that change annually. Funding is carried forward each fiscal year to provide flexibility given the uncertainty of expenditure requirements.

²In order to be consistent with how several other Solid Waste funds are handled, a Rate Stabilization Reserve is being created in FY 2012 in order to mitigate against any need for a large rate increase in a future year.

Fund 109

Refuse Collection and Recycling Operations



Mission

To protect Fairfax County citizens against disease, pollution, and other contamination associated with the improper disposal of refuse, by providing efficient and economical refuse collection services to citizens in 80 refuse collection sanitary districts and to Fairfax County agencies. To reduce the County's municipal solid waste stream through the effective development, implementation and management of comprehensive waste reduction and recycling programs to ensure that Fairfax County meets or exceeds the Commonwealth of Virginia's mandated goal of recycling 25.0 percent of the solid waste stream.

Focus

The Division of Solid Waste Collection and Recycling (DSWCR), (Fund 109, Refuse Collection and Recycling Operations), is responsible for the collection of refuse and recyclable materials within Fairfax County's sanitary districts and from County agencies. The agency coordinates the County's waste reduction and recycling program. It is also responsible for the administration and program operations of the Solid Waste General Fund Programs (e.g., Health Department Referrals, Community Cleanups, Evictions and Court-Ordered Cleanups) on behalf of the County.

Fund 109

Refuse Collection and Recycling Operations

Residents within sanitary refuse collection districts, which are created by the Board of Supervisors upon citizen petition, are charged an annual fee for service through the semi-annual property tax collection system. In FY 2012, the rate of \$345 will remain the same as the FY 2011 Adopted Budget Plan level.

Additional revenue for Fund 109 is generated from the sale of recyclables which serves to partially offset expenditure requirements. Furthermore, Fund 109 administers recycling operations for Fund 110, Refuse Disposal, and is reimbursed by Fund 110 for performing that service. During FY 2012, DSWCR will continue to use county staff and equipment to collect refuse and recyclables on residential routes.

DSWCR is responsible for the collection of refuse from County agencies and several institutions including George Mason University and Northern Virginia Community College, Annandale Campus. Revenue is derived from billings to County agencies and other institutions based on the cubic yard capacity of the containers assigned to individual agencies as needed to provide adequate service. The cost per cubic yard is formula-driven and is based on fiscal year operating expenses.

DSWCR will continue two programs designed to address oversized piles of waste and illegal dumping throughout the county. The first program, entitled *MegaBulk*, provides residents with a convenient and cost-competitive way to remove oversized piles of waste while providing a revenue stream for the collection program. This service is provided by DSWCR operational staff and is billed individually to each customer based on the size of the pile of refuse that is placed at the curb. Residents request the service from DSWCR, are provided with a price for the service prior to collection and may pay by check or credit card. Residents are not obligated to use the service even after a price quote is provided, as they may elect to use another company to perform the work.

The second program entitled *Clean Streets Initiative (CSI)*, partners with the Fairfax County Health Department to respond to complaints about uncollected waste dumped or illegally placed on properties throughout the County. The Health Department refers the complaint to DSWCR to contact the property owner to compel him/her to remove the waste. If the owner refuses to remove the waste, then DSWCR staff removes the material for disposal and the owner is billed for the service. If the owner still refuses to pay, a lien is placed on the property for the price of the service.

Recycling Operations is responsible for providing the overall management of solid waste reduction and recycling programs that are required by the County and for developing plans for future recycling programs and waste reduction systems. The goal for FY 2012 is to maintain the recycling rate in the municipal solid waste stream at or above the Commonwealth of Virginia mandated goal of 25 percent. Based on Calendar Year 2009, the current rate is 39 percent. (Please note that the annual recycling rate for Fairfax County is calculated in a calendar year basis as required by state rules.)

Agency performance measures for Solid Waste are displayed at a program-wide level. Please refer to the Solid Waste Management Program Overview in Volume 2 of the FY 2012 Adopted Budget Plan for those items.

Fund 109

Refuse Collection and Recycling Operations

Budget and Staff Resources

Agency Summary					
Category	FY 2010 Actual	FY 2011 Adopted Budget Plan	FY 2011 Revised Budget Plan	FY 2012 Advertised Budget Plan	FY 2012 Adopted Budget Plan
Authorized Positions/Staff Years					
Regular	138 / 138	138 / 138	150 / 150	150 / 150	150 / 150
Expenditures:					
Personnel Services	\$9,847,513	\$9,544,426	\$9,544,426	\$10,166,397	\$10,166,397
Operating Expenses	7,726,488	9,438,088	10,243,091	9,455,653	9,455,653
Capital Equipment	2,751,309	1,038,500	1,181,552	1,359,600	1,359,600
Capital Projects	47,895	100,000	782,579	100,000	100,000
Subtotal	\$20,373,205	\$20,121,014	\$21,751,648	\$21,081,650	\$21,081,650
Less:					
Recovered Costs	(\$734,827)	(\$843,332)	(\$843,332)	(\$843,332)	(\$843,332)
Total Expenditures	\$19,638,378	\$19,277,682	\$20,908,316	\$20,238,318	\$20,238,318

Summary By Cost Center					
Category ¹	FY 2010 Actual	FY 2011 Adopted Budget Plan	FY 2011 Revised Budget Plan	FY 2012 Advertised Budget Plan	FY 2012 Adopted Budget Plan
Administration of Division					
Operations	\$606,655	\$1,277,568	\$1,277,568	\$1,310,640	\$1,310,640
Operational Support	910,982	1,058,494	1,916,076	1,058,494	1,058,494
Residential and General					
Collections	13,710,339	13,369,906	13,999,906	14,258,805	14,258,805
County Agency Routes	2,569,153	1,316,637	1,459,689	1,438,002	1,438,002
Recycling Operations	1,841,249	2,255,077	2,255,077	2,172,377	2,172,377
Total Expenditures	\$19,638,378	\$19,277,682	\$20,908,316	\$20,238,318	\$20,238,318

¹ Capital Projects' expenditures are shown under the Operational Support Cost Center.

Position Summary		
<u>Administration of Division</u>	<u>Operational Support</u>	<u>County Agency Routes</u>
Operations		
1 Director of Refuse Collection and Recycling	1 Refuse Superintendent	4 Heavy Equipment Operators
1 Public Works Environmental Services Manager	2 Asst. Refuse Superintendents	1 Motor Equipment Operator
1 Management Analyst III	2 Public Works Environmental Services Specialists	1 Welder I
3 Management Analysts II	1 Administrative Assistant III	1 Engineering Technician I
1 Safety Analyst	3 Administrative Assistants II	
1 Network/Telecomm. Analyst I	1 Welder II	Recycling Operations
4 Administrative Assistants IV	1 Equipment Repairer	1 Management Analyst IV
1 Administrative Assistant III		1 Management Analyst II
	Residential and General Collections	1 Internet/Intranet Architect I
	1 Management Analyst II	2 Management Analysts I
	1 Senior Refuse Supervisor	1 Heavy Equipment Supervisor
	4 Heavy Equipment Supervisors	4 Heavy Equipment Operators
	9 Heavy Equipment Operators	1 Public Works Environmental Services Specialist
	30 Motor Equipment Operators	1 Communications Specialist II
	58 Maintenance Workers	1 Engineering Technician II
	1 Safety Analyst	
	1 Equipment Repairer	
	1 Code Specialist II	
TOTAL POSITIONS		
150 Positions / 150.0 Staff Years		

Fund 109

Refuse Collection and Recycling Operations

FY 2012 Funding Adjustments

The following funding adjustments from the FY 2011 Adopted Budget Plan are necessary to support the FY 2012 program. Included are all adjustments recommended by the County Executive that were approved by the Board of Supervisors, as well as any additional Board of Supervisors' actions, as approved in the adoption of the budget on April 26, 2011.

- ◆ **Employee Compensation** **\$0**
It should be noted that no funding is included for pay for performance or market rate adjustments in FY 2012.

- ◆ **Other Post-Employment Benefits** **\$33,072**
An increase of \$33,072 is required to reflect increased costs associated with providing Other Post-Employment Benefits (OPEBs) to retirees, including the Retiree Health Benefits Subsidy. Before FY 2011, costs related to these benefits were paid solely by the General Fund; however, these annual costs are now spread across funds in order to more appropriately reflect benefit-related expenses for the employees within each fund. For more information on Other Post-Employment Benefits, please refer to Fund 603, OPEB Trust Fund, in Volume 2 of the FY 2012 Adopted Budget Plan.

- ◆ **Collection and Recycling Services** **\$606,464**
Funding of \$606,464 provides for the replacement of large front end containers and recycling related program adjustments to properly align limited term personnel costs with actual expenditures as a result of the expiration of a previous recycling contract in the FY 2010 Adopted budget.

- ◆ **Capital Equipment** **\$1,359,600**
Funding of \$1,359,600 for the replacement of Capital Equipment including \$920,000 for four rear loading packers, \$264,000 for two open body trucks, \$71,800 for two roll-off compactors, \$32,000 for four roll-off containers, and \$71,800 for two refuse compactors.

- ◆ **Capital Projects** **\$100,000**
Funding of \$100,000 is included for an on-going project to repair and renovate the Newington equipment facility. This phase involves the repair and replacement of the HVAC system, boilers and air handlers.

Changes to FY 2011 Adopted Budget Plan

The following funding adjustments reflect all approved changes in the FY 2011 Revised Budget Plan since passage of the FY 2011 Adopted Budget Plan. Included are all adjustments made as part of the FY 2010 Carryover Review, FY 2011 Third Quarter Review, and all other approved changes through April 12, 2011.

- ◆ **Carryover Adjustments** **\$1,455,631**
As part of the FY 2010 Carryover Review, the Board of Supervisors approved encumbered funding of \$630,000 in Operating Expenses and \$143,052 in Capital Equipment as well as \$682,579 in unexpended project balances.

Fund 109

Refuse Collection and Recycling Operations

- ◆ **Position Changes** **\$0**
As part of the FY 2011 review of County position categories, a conversion of 12/12.0 SYE positions has been made. The status of limited term positions was reviewed in light of recent changes to federal regulations related to health care and other federal tax requirements. As a result of this review a number of existing limited term positions have been converted to Merit Regular status.

- ◆ **Third Quarter Adjustments** **\$175,003**
Funding of \$175,003 includes \$115,003 for litter prevention costs and recycling activities and \$60,000 for the expansion of a landfill and to support additional outreach and education for recycling requirements.

A Fund Statement and a Summary of Capital Projects are provided on the following pages. The Summary of Capital Projects may include some projects without a Total Project Estimate amount. These projects are considered "continuing" projects or projects for which funding is necessary on an ongoing basis (e.g., a contingency or planning project).

Fund 109

Refuse Collection and Recycling Operations

FUND STATEMENT

Fund Type G10, Special Revenue Funds

Fund 109, Refuse Collection

	FY 2010 Actual	FY 2011 Adopted Budget Plan	FY 2011 Revised Budget Plan	FY 2012 Advertised Budget Plan	FY 2012 Adopted Budget Plan
Beginning Balance	\$7,128,416	\$5,934,052	\$8,559,226	\$8,059,886	\$8,059,886
Revenue:					
Interest on Investments	\$73,589	\$26,703	\$26,703	\$14,127	\$14,127
Residential and General Collections:					
Household Levy ¹	\$14,513,147	\$14,809,815	\$14,809,815	\$14,694,930	\$14,694,930
Miscellaneous	443,251	256,516	256,516	330,576	330,576
Sale of Equipment	269,945	106,948	106,948	239,234	239,234
Subtotal	\$15,226,343	\$15,173,279	\$15,173,279	\$15,264,740	\$15,264,740
County Agency Routes:					
Miscellaneous Agencies	\$1,510,042	\$1,405,594	\$1,405,594	\$1,472,694	\$1,472,694
Sale of Equipment	44,500	0	0	1,000	1,000
Miscellaneous	227,313	177,722	177,722	175,838	175,838
Subtotal	\$1,781,855	\$1,583,316	\$1,583,316	\$1,649,532	\$1,649,532
General Fund Programs:					
Community Cleanup	\$343,041	\$309,785	\$309,785	\$309,785	\$309,785
Health Department Referrals	5,031	7,000	7,000	7,000	7,000
Evictions	8,545	5,540	5,540	5,540	5,540
Court Ordered/Mandated	1,659	36,000	36,000	36,000	36,000
Subtotal	\$358,276	\$358,325	\$358,325	\$358,325	\$358,325
Other Collection Revenue:					
Leaf Collection	\$1,166,233	\$564,426	\$564,426	\$841,084	\$841,084
Miscellaneous	198,376	142,250	142,250	83,684	83,684
State Litter Funds	99,861	0	115,003	0	0
Fairfax Fair	10,000	22,617	22,617	10,000	10,000
Landfill Proffer	60,000	0	60,000	0	0
Subtotal	\$1,534,470	\$729,293	\$904,296	\$934,768	\$934,768
Recycling Operations:					
Program Support ²	\$1,447,001	\$1,861,832	\$1,861,832	\$1,861,832	\$1,861,832
Sale of Materials	332,970	203,686	203,686	305,260	305,260
Miscellaneous	314,684	297,539	297,539	305,350	305,350
Subtotal	\$2,094,655	\$2,363,057	\$2,363,057	\$2,472,442	\$2,472,442
Total Revenue	\$21,069,188	\$20,233,973	\$20,408,976	\$20,693,934	\$20,693,934
Total Available	\$28,197,604	\$26,168,025	\$28,968,202	\$28,753,820	\$28,753,820

Fund 109

Refuse Collection and Recycling Operations

FUND STATEMENT

Fund Type G10, Special Revenue Funds

Fund 109, Refuse Collection

	FY 2010 Actual	FY 2011 Adopted Budget Plan	FY 2011 Revised Budget Plan	FY 2012 Advertised Budget Plan	FY 2012 Adopted Budget Plan
Expenditures:					
Personnel Services	\$9,847,513	\$9,544,426	\$9,544,426	\$10,166,397	\$10,166,397
Operating Expenses	7,726,488	9,438,088	10,243,091	9,455,653	9,455,653
Recovered Costs ³	(734,827)	(843,332)	(843,332)	(843,332)	(843,332)
Capital Equipment	2,751,309	1,038,500	1,181,552	1,359,600	1,359,600
Capital Projects	47,895	100,000	782,579	100,000	100,000
Total Expenditures	\$19,638,378	\$19,277,682	\$20,908,316	\$20,238,318	\$20,238,318
Total Disbursements	\$19,638,378	\$19,277,682	\$20,908,316	\$20,238,318	\$20,238,318
Ending Balance⁴	\$8,559,226	\$6,890,343	\$8,059,886	\$8,515,502	\$8,515,502
Wheeled Container Reserve ⁵	\$0	\$0	\$0	\$250,000	\$250,000
Collection Equipment Reserve	324,954	351,720	351,720	368,995	368,995
Recycling Equipment Reserve	267,480	325,000	325,000	305,260	305,260
PC Replacement Reserve ⁶	53,400	60,000	60,000	60,000	60,000
Construction and Infrastructure Reserve ⁷	688,848	1,680,763	1,680,763	1,600,000	1,600,000
Rate Stabilization Reserve ⁸	888,801	2,083,881	2,083,881	3,548,663	3,548,663
Residential/General Equipment Reserve ⁹	2,692,359	2,388,979	2,388,979	2,382,584	2,382,584
Unreserved Balance	\$3,643,384	\$0	\$1,169,543	\$0	\$0
Levy per Household Unit	\$345/Unit	\$345/Unit	\$345/Unit	\$345/Unit	\$345/Unit

¹The FY 2012 levy/collection fee per household unit is set at \$345 per unit. Although the Refuse Collection levy is separate and not a Real Estate Tax, it is included on and collected as part of the County's Real Estate Tax bill. This amount does not include approximately 447 units which will be billed directly by the agency.

²The Recycling Operations program is supported by Fund 110, Refuse Disposal. The estimate for Program Support is calculated based on the projected level of expenditures for recycling operations as conducted in Fund 109, Refuse Collection and Recycling Operations, partially offset by revenue received from the sale of recycled materials.

³Recovered Costs represent billings to Fund 108, Leaf Collection, for its share of the total administrative costs for the Division of Collection and Recycling. Also included is an amount billed to Fund 110, Refuse Disposal, for administrative costs for the recycling program which is coordinated by Fund 109, Refuse Collection and Recycling Operations.

⁴Ending balance fluctuations are a result of operating and revenue requirements that change annually. Funding is carried forward each fiscal year to provide flexibility given the uncertainty of market conditions and expenditure requirements.

⁵A reserve for wheeled containers is created in FY 2012 to set aside funds to purchase/replace single-stream recycling and trash collection containers for sanitary district customers.

⁶The PC Replacement Reserve provides funding for the timely replacement of obsolete computer equipment.

⁷The Construction and Infrastructure Reserve funds emergency repairs necessary at the Newington Solid Waste Facility.

⁸The Rate Stabilization Reserve provides funds to mitigate against any need for an unusually large rate increase in a future year.

⁹The Residential/General Equipment Reserve provides the Residential and General Collections Cost Center with set aside funds for future anticipated equipment purchases.

Fund 109

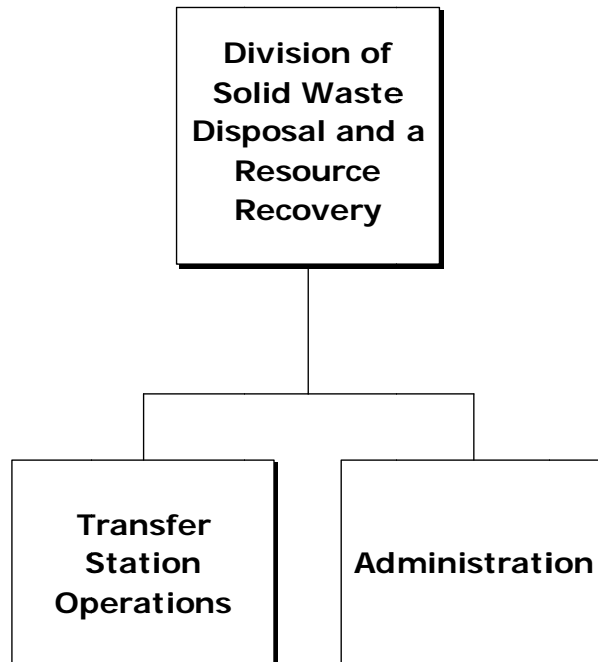
Refuse Collection and Recycling Operations

FY 2012 Summary of Capital Projects

Fund: 109, Refuse Collection and Recycling Operations

Project #	Description	Total Project Estimate	FY 2010 Actual Expenditures	FY 2011 Revised Budget	FY 2012 Advertised Budget Plan	FY 2012 Adopted Budget Plan
109001	Newington Facility Enhancements	\$1,818,038	\$47,895.00	\$782,578.85	\$100,000	\$100,000
Total		\$1,818,038	\$47,895.00	\$782,578.85	\$100,000	\$100,000

Fund 110 Refuse Disposal



Mission

To protect Fairfax County citizens against disease, pollution and other contamination associated with the improper disposal of refuse, through safe and sanitary transportation of solid waste from the I-66 Transfer Station to the Energy/Resource Recovery Facility (E/RRF). The agency also transports debris generated through the Yard Waste program to disposal facilities in Prince William and Loudoun Counties. In addition, this agency operates the Household Hazardous Waste program and the Recycling and Disposal Center, including all associated technical and administrative functions.

Focus

Fund 110, Refuse Disposal, has the primary responsibility for coordinating the disposal of solid waste generated within Fairfax County by channeling the collected refuse to the E/RRF. Refuse that cannot be burned in the E/RRF is directed to a landfill or disposed of through a contractor. Yard debris is transported to Prince William County or a private compost facility. Other operations coordinated within this fund are the Recycling and Disposal Center, the Household Hazardous Waste (HHW) program, the Ordinance Enforcement program, the Bush Grinding program, the White Goods program and the Battery



Fund 110 Refuse Disposal

program. The Administrative Cost Center performs the tasks associated with the overall administrative, technical and management functions for those funds that comprise the Division of Solid Waste Disposal and Resource Recovery. These funds are: 110, Refuse Disposal; 112, Energy Resources Recovery Facility; and 114, I-95 Refuse Disposal.

In the last year the system has experienced a substantial decrease in waste tonnage, reflecting lower consumer waste associated with the downturn in the regional and national economy. However, disposal refuse revenue in this fund remains adequate to fund operational requirements and reserves.

In FY 2012, both the system disposal charge and the recycling and disposal center fee will remain at \$60 per ton, the same as in FY 2011. A contractual discount disposal rate for FY 2012 will be negotiated with private waste haulers, but it is anticipated to be reduced to \$53 per ton, a \$2/ton decrease from FY 2011. Based on these adjustments and the current projected decrease in waste tonnage, the total FY 2012 revenue for the fund is projected to be \$51,242,247, a decrease of \$5,959,392 or 10.41 percent from the FY 2011 Adopted Budget Plan total of \$57,201,639.

Performance measures for Solid Waste are displayed at a program-wide level. Please refer to the Solid Waste Management Program Overview in Volume 2 of the FY 2012 Adopted Budget Plan for those items.

Budget and Staff Resources

Agency Summary					
Category	FY 2010 Actual	FY 2011 Adopted Budget Plan	FY 2011 Revised Budget Plan	FY 2012 Advertised Budget Plan	FY 2012 Adopted Budget Plan
Authorized Positions/Staff Years					
Regular	136 / 136	136 / 136	144 / 144	144 / 144	144 / 144
Expenditures:					
Personnel Services	\$9,430,123	\$10,226,781	\$10,226,781	\$10,254,021	\$10,254,021
Operating Expenses	37,980,139	44,669,651	44,960,392	39,002,450	39,002,450
Capital Equipment	2,425,557	1,189,500	2,731,658	2,677,000	2,677,000
Capital Projects	351,564	0	4,177,078	0	0
Subtotal	\$50,187,383	\$56,085,932	\$62,095,909	\$51,933,471	\$51,933,471
Less:					
Recovered Costs	(\$669,169)	(\$688,840)	(\$688,840)	(\$688,840)	(\$688,840)
Total Expenditures	\$49,518,214	\$55,397,092	\$61,407,069	\$51,244,631	\$51,244,631

Summary By Cost Center					
Category ¹	FY 2010 Actual	FY 2011 Adopted Budget Plan	FY 2011 Revised Budget Plan	FY 2012 Advertised Budget Plan	FY 2012 Adopted Budget Plan
Administration	\$924,907	\$1,402,560	\$1,403,166	\$1,458,800	\$1,458,800
Transfer Station Operations	48,241,743	53,994,532	55,826,825	49,785,831	49,785,831
Subtotal	\$49,166,650	\$55,397,092	\$57,229,991	\$51,244,631	\$51,244,631
Capital Projects	\$351,564	\$0	\$4,177,078	\$0	\$0
Total Expenditures	\$49,518,214	\$55,397,092	\$61,407,069	\$51,244,631	\$51,244,631

Fund 110

Refuse Disposal

Position Summary		
Administration 1 Director, DSWDRR 2 Public Works Environmental Services Managers 1 Engineering Technician II 1 Public Works Environmental Services Specialist 1 Management Analyst III 1 Management Analyst II 1 Network/Telecom Analyst II 1 Financial Specialist II 3 Administrative Assistants IV 2 Administrative Assistants III 3 Administrative Assistants II	Transfer Station Operations 5 Asst. Refuse Superintendents 1 Engineer III 3 Heavy Equipment Supervisors 2 Management Analysts II 5 Engineering Technicians II 2 Engineering Technicians I 3 Environmental Technicians II 10 Weighmasters 56 Heavy Equipment Operators 1 Motor Equipment Operator 3 Senior Maintenance Workers 19 Maintenance Workers	1 Code Specialist II 8 Lead Refuse Operators 3 Maintenance Trade Helpers II 2 Administrative Assistants II 1 Safety Analyst 1 Welder II 1 Welder I
TOTAL POSITIONS 144 Positions / 144.0 Staff Years		

FY 2012 Funding Adjustments

The following funding adjustments from the FY 2011 Adopted Budget Plan are necessary to support the FY 2012 program. Included are all adjustments recommended by the County Executive that were approved by the Board of Supervisors, as well as any additional Board of Supervisors' actions, as approved in the adoption of the budget on April 26, 2011.

- Employee Compensation** \$0
 It should be noted that no funding is included for pay for performance or market rate adjustments in FY 2012.
- Other Post-Employment Benefits** \$27,240
 An increase of \$27,240 is required to reflect increased costs associated with providing Other Post-Employment Benefits (OPEBs) to retirees, including the Retiree Health Benefits Subsidy. Before FY 2011, costs related to these benefits were paid solely by the General Fund; however, these annual costs are now spread across funds in order to more appropriately reflect benefit-related expenses for the employees within each fund. For more information on Other Post-Employment Benefits, please refer to Fund 603, OPEB Trust Fund, in Volume 2 of the FY 2012 Adopted Budget Plan.
- Reduced E/RRF Expenditures** (\$5,667,201)
 A decrease of \$5,667,201 in Operating Expenses is primarily due to a reduction of \$5,019,449 resulting from a projected 7.3 percent decrease in waste tonnage from nearly 639,000 tons to just over 590,000 tons, continuing a trend that began in FY 2007. The remaining decrease of \$642,000 is due to a projected 16.9 percent decrease in yard waste tonnage from just over 42,000 tons to just over 35,000 tons, also continuing a trend beginning in FY 2007.
- Capital Equipment** \$2,677,000
 Funding of \$2,677,000 in Capital Equipment includes \$840,000 for the replacement of six road tractors, \$658,000 for seven refuse trailers, \$70,000 for two pick-up trucks, \$234,000 for a street sweeper, \$25,000 for a small tractor, \$350,000 for a rubber tire loader, \$400,000 for two stationary cranes, and \$100,000 for fixtures and furniture associated with the renovation of the I-66 workers facility.

Fund 110

Refuse Disposal

Changes to FY 2011 Adopted Budget Plan

The following funding adjustments reflect all approved changes in the FY 2011 Revised Budget Plan since passage of the FY 2011 Adopted Budget Plan. Included are all adjustments made as part of the FY 2010 Carryover Review, FY 2011 Third Quarter Review, and all other approved changes through April 12, 2011.

- ◆ **Carryover Adjustments** **\$6,009,977**
As part of the *FY 2010 Carryover Review*, the Board of Supervisors approved encumbered funding of \$290,741 in Operating Expenses, and \$1,542,158 in Capital Equipment. In addition, the Board approved the carryover of \$4,177,078 in unexpended project balances.

- ◆ **Position Changes** **\$0**
As part of the FY 2011 review of County position categories, a conversion of 8/8.0 SYE positions has been made. The status of limited term positions was reviewed in light of recent changes to federal regulations related to health care and other federal tax requirements. As a result of this review a number of existing limited term positions have been converted to Merit Regular status.

A Fund Statement and a Summary of Capital Projects are provided on the following pages. The Summary of Capital Projects may include some projects without a Total Project Estimate amount. These projects are considered "continuing" projects or projects for which funding is necessary on an ongoing basis (e.g., a contingency or planning project).

Fund 110 Refuse Disposal

FUND STATEMENT

Fund Type G10, Special Revenue Funds

Fund 110, Refuse Disposal

	FY 2010 Actual	FY 2011 Adopted Budget Plan	FY 2011 Revised Budget Plan	FY 2012 Advertised Budget Plan	FY 2012 Adopted Budget Plan
Beginning Balance	\$11,355,917	\$8,325,072	\$13,787,425	\$9,581,995	\$9,581,995
Revenue:					
Interest on Investment	\$62,700	\$70,308	\$70,308	\$85,310	\$85,310
Refuse Disposal Revenue	49,992,261	56,034,331	56,034,331	49,370,937	49,370,937
Miscellaneous Revenue:					
White Goods	\$589,811	\$340,000	\$340,000	\$900,000	\$900,000
Rent of Equipment, Space	241,024	302,000	302,000	282,000	282,000
Sale of Equipment	729,337	227,000	227,000	365,000	365,000
Licensing Fees	66,280	55,000	55,000	66,000	66,000
Miscellaneous	268,309	173,000	173,000	173,000	173,000
Subtotal Miscellaneous Revenue	<u>\$1,894,761</u>	<u>\$1,097,000</u>	<u>\$1,097,000</u>	<u>\$1,786,000</u>	<u>\$1,786,000</u>
Total Revenue	\$51,949,722	\$57,201,639	\$57,201,639	\$51,242,247	\$51,242,247
Total Available	\$63,305,639	\$65,526,711	\$70,989,064	\$60,824,242	\$60,824,242
Expenditures:					
Personnel Services	\$9,430,123	\$10,226,781	\$10,226,781	\$10,254,021	\$10,254,021
Operating Expenses	37,980,139	44,669,651	44,960,392	39,002,450	39,002,450
Capital Equipment	2,425,557	1,189,500	2,731,658	2,677,000	2,677,000
Recovered Costs	(669,169)	(688,840)	(688,840)	(688,840)	(688,840)
Capital Projects	351,564	0	4,177,078	0	0
Total Expenditures	<u>\$49,518,214</u>	<u>\$55,397,092</u>	<u>\$61,407,069</u>	<u>\$51,244,631</u>	<u>\$51,244,631</u>
Total Disbursements	\$49,518,214	\$55,397,092	\$61,407,069	\$51,244,631	\$51,244,631
Ending Balance¹	\$13,787,425	\$10,129,619	\$9,581,995	\$9,579,611	\$9,579,611
Reserves:					
Equipment Reserve ²	\$1,879,285	\$2,049,038	\$2,049,038	\$1,835,918	\$1,835,918
Operating and Maintenance Reserve ³	500,000	928,975	395,139	2,495,110	2,495,110
Environmental Reserve	2,000,000	2,000,000	2,000,000	2,000,000	2,000,000
Construction Reserve ⁴	5,065,972	5,065,972	5,052,184	3,183,730	3,183,730
PC Replacement Reserve	61,293	85,634	85,634	64,853	64,853
Unreserved Balance	\$4,280,875	\$0	\$0	\$0	\$0
System Disposal Rate/Ton ⁵	\$60.00	\$60.00	\$60.00	\$60.00	\$60.00
Discounted Disposal Rate/Ton ⁶	\$55.00	\$55.00	\$55.00	\$53.00	\$53.00

Fund 110

Refuse Disposal

¹Ending balance fluctuations are a result of operating and revenue requirements that change annually. Funding is carried forward each fiscal year to provide flexibility given the uncertainty of market conditions, tipping fee negotiations, and expenditure requirements.

²The Equipment Reserve provides for the timely replacement of equipment required to operate the I-66 Transfer Station. Funds are transferred from Refuse Disposal revenue to the Equipment Replacement Reserve, as are proceeds from the sale of equipment.

³The Operating and Maintenance Reserve provides funds to react to unanticipated events such as significant changes in waste quantities, increases in contract disposal rates at composting facilities and landfills, increases in fuel costs, significant reductions in revenues, etc.. The reserve also acts as a rate stabilization reserve, allowing smooth transition to rate changes minimizing the impact on customers.

⁴The Construction Reserve provides for future improvements at the I-66 Transfer Station. A need for the renovation of the existing administrative offices at the I-66 Transfer Station has been identified. The building was originally constructed during 1982 and opened January 1983. The renovation work will include repairs and modifications to the HVAC system, replacement of the motor control center, remodeling of bathroom and locker room facilities, remodeling and modifications to the existing scale house, and remodeling of other existing administrative offices, hallways, and common areas to meet the present needs and building codes. The scoping and preliminary design phase will begin during FY 2012 and construction work is anticipated to commence in FY 2013.

⁵The FY 2012 System Disposal rate is projected to remain at \$60 per ton subject to market conditions.

⁶In August 1998 (FY 1999), Fairfax County implemented a contractual rate discount that was offered to any hauler that guaranteed all of its collected refuse or a specified tonnage amount would be delivered to the Energy/Resource Recovery Facility (E/RRF) or other County disposal sites. The FY 2012 System Disposal rate is expected to be reduced to \$53.00 per ton.

Fund 110 Refuse Disposal

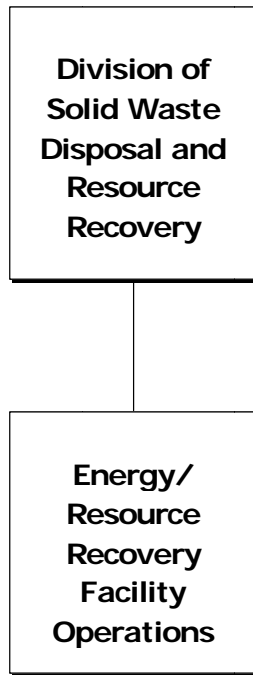
FY 2012 Summary of Capital Projects

Fund: 110, Refuse Disposal

Project #	Description	Total Project Estimate	FY 2010 Actual Expenditures	FY 2011 Revised Budget	FY 2012 Advertised Budget Plan	FY 2012 Adopted Budget Plan
174002	I-66 Transfer Station Expansion	\$20,442,235	(\$2,920.79)	\$0.00	\$0	\$0
174006	Citizens Disposal Facility	640,840	0.00	0.00	0	0
174007	Workers Facility	4,650,751	354,485.24	4,177,077.69	0	0
Total		\$25,733,826	\$351,564.45	\$4,177,077.69	\$0	\$0

Fund 112

Energy/Resource Recovery Facility



Mission

To serve Fairfax County residents by providing effective and environmentally-sound solid waste disposal by converting waste-to-energy; by reducing the need for landfill space through volume reduction of solid waste; by reducing the greenhouse gas emissions both by not landfilling waste and by generating renewal energy; and by recovering ferrous and non-ferrous metal from the ash and recycling them; and by managing the operational contract in the best interests of the residents.



Focus

Fund 112 supports the management of the contract for the I-95 Energy/Resource Recovery Facility (E/RRF), owned and operated by Covanta Fairfax, Inc. (CFI). Under the terms of the Service Agreement, the County delivers municipal solid waste (MSW) for which it pays a disposal fee to CFI. With the approval of its Title V (Air) Permit in January 2007, the facility has the flexibility to operate at a level above its nameplate rating of 3000 tons per day. Pursuant to an agreement between Dominion Virginia Power and CFI, signed in 1987 and amended in 1996, Dominion Virginia Power purchased up to 80 megawatts of electricity, enough to power about 75,000 homes. An amendment in FY 2008 allows CFI to generate and sell additional electricity over 80 megawatts; this additional electricity sale brings more revenue to the Fund.

Fund 112

Energy/Resource Recovery Facility

With the slowdown in the economy and increased emphasis on recycling in Fairfax County, the tons of waste generated in the County declined in FY 2010 to its lowest level since FY 1999. While this is a significant issue, there remained sufficient waste in the system for Fairfax County to easily meet its Guaranteed Annual Tonnage (GAT) requirements under the Service Agreement with CFI. The facility accepts MSW from other regional jurisdictions such as the District of Columbia and through the Supplemental Waste program. Refuse is exchanged with Prince William County under a mutually beneficial agreement. These efforts continue to maximize revenues through providing additional MSW to keep disposal rates low for County customers.

The County charges a disposal fee to all users of the E/RRF and subsequently pays the contractual disposal fee to CFI. Revenues from the sale of electricity and recycled ferrous metals are used to offset the cost of the disposal fee charged by CFI. When the E/RRF is not able to handle the amount of waste available, some waste is diverted to Virginia landfills; in FY 2010 there were 17,127 tons of diverted waste. The County also receives a host fee for certain merchant waste processed by CFI when capacity is available. County staff must be constantly vigilant in balancing waste as a commodity to ensure that it is disposed of efficiently, cost-effectively and with few environmental consequences.

Careful management of the Service Agreement with CFI, increasing revenues from electricity sales and metal recycling, and final payment of the construction bonds have allowed the County to hold down disposal fees charged to customers. In FY 2011, the rate was reduced to \$29 per ton and will remain the same for FY 2012. Funding from the Rate Stabilization Reserve will be used to buffer against any long term adjustments to the tip fee.

The June 2010 annual stack test indicated that the overall air emissions reductions from the E/RRF, resulting from the Clean Air Act retrofits in 2000, remained well below permit limits and nitrogen oxides were reduced almost 10 percent from the previous year:

Energy/Resource Recovery Facility Emissions Results ¹ -- June 2010		
Constituent	Permit Limit	Average E/RRF Result
Sulfur Dioxide (SO ₂)	29 ppm	2.50 ppm
Carbon Monoxide (CO)	100 ppm	5.00 ppm
Nitrogen Oxides (NO _x)	205 ppm	174 ppm
Hydrochloric Acid (HCL)	29 ppm	4.96 ppm
Particulate Matter (PM)	27 mg/dscm	3.67 mg/dscm
Mercury (Hg)	0.080 mg/dscm	0.0028 mg/dscm
Lead (Pb)	0.44 mg/dscm	0.0087 mg/dscm

ppm = parts per million
Dscm = dry standard cubic meter

mg = milligram

ng = nanogram

¹ Covanta Fairfax Inc. Annual Determination of Compliance with Permitted Emission Limits and 40 CFR, Subpart Cb Report, (COV Report No. 3547 Volumes 1-4), pages 17-20 for testing conducted June 1-8, 2010.

Fund 112

Energy/Resource Recovery Facility

Ash testing, performed by an independent laboratory during June 2010, characterized the ash from the E/RRF as non-hazardous waste. This means that the ash can continue to be disposed at the I-95 Ashfill under its permit for non-hazardous materials. The ash conditioning system that was added to the E/RRF in FY 2005 is providing the stabilization for ash that is placed in the I-95 Ashfill.

The E/RRF has helped reduce the overall Fairfax County waste system carbon emissions by about one ton of carbon for every ton of waste processed. This calculation includes the reduction in overall carbon dioxide generated by the waste management system, due to emission reductions that are realized by not transporting waste to a landfill, the actual carbon dioxide that would be generated at the landfill as well as the carbon dioxide that would be emitted to produce electricity using a fossil fuel.

CFI and the County have negotiated an innovative project that allows the E/RRF to use reclaimed water from the Noman Cole Wastewater Treatment Plant as the cooling water at the E/RRF, saving millions of gallons of potable water each year. The project will become operational in FY 2012. The County is always exploring new technology to ensure that the E/RRF continues to provide the required environmental service of waste processing while having the least environmental impact possible.

Fairfax County and Covanta Fairfax, Inc. continue to discuss ways for the County to use the E/RRF beyond the timeframe of the existing Service Agreement, as recommended in the County's Solid Waste Management Plan.

In addition, it should be noted that the Household Hazardous Waste (HHW) program, the Conditionally Exempt Small Quality Generator program, rechargeable battery collection, "Electric Sundays," and other programs continue to remove materials with hazardous properties from the waste stream of the E/RRF.

Budget and Staff Resources

Agency Summary					
Category	FY 2010 Actual	FY 2011 Adopted Budget Plan	FY 2011 Revised Budget Plan	FY 2012 Advertised Budget Plan	FY 2012 Adopted Budget Plan
Authorized Positions/Staff Years					
Regular	9 / 9	9 / 9	12 / 12	12 / 12	12 / 12
Expenditures:					
Personnel Services	\$714,619	\$734,811	\$734,811	\$736,968	\$736,968
Operating Expenses	36,787,311	31,204,598	33,008,205	15,706,345	17,406,345
Capital Equipment	0	36,500	36,500	0	0
Total Expenditures	\$37,501,930	\$31,975,909	\$33,779,516	\$16,443,313	\$18,143,313

Position Summary		
1 Management Analyst III	1 Heavy Equipment Operator	5 Weighmasters
1 Management Analyst II	1 Administrative Assistant IV	
1 Engineering Technician II	2 Administrative Assistants II	
TOTAL POSITIONS		
12 Positions / 12.0 Staff Years		

Fund 112

Energy/Resource Recovery Facility

FY 2012 Funding Adjustments

The following funding adjustments from the FY 2011 Adopted Budget Plan are necessary to support the FY 2012 program. Included are all adjustments recommended by the County Executive that were approved by the Board of Supervisors, as well as any additional Board of Supervisors' actions, as approved in the adoption of the budget on April 26, 2011.

- ◆ **Employee Compensation** **\$0**
It should be noted that no funding is included for pay for performance or market rate adjustments in FY 2012.

- ◆ **Other Post-Employment Benefits** **\$2,157**
An increase of \$2,157 is required to reflect increased costs associated with providing Other Post-Employment Benefits (OPEBs) to retirees, including the Retiree Health Benefits Subsidy. Before FY 2011, costs related to these benefits were paid solely by the General Fund; however, these annual costs are now spread across funds in order to more appropriately reflect benefit-related expenses for the employees within each fund. For more information on Other Post-Employment Benefits, please refer to Fund 603, OPEB Trust Fund, in Volume 2 of the FY 2012 Adopted Budget Plan.

- ◆ **Reduced Contractor Costs** **(\$15,498,253)**
A decrease of \$15,498,253 in Operating Expenses is primarily due to a reduction of \$15,910,054 in Contractor Compensation for the disposal fees at the E/RRF. This expenditure is reduced because the construction bonds will be paid in full in FY 2011. The reduction is partially offset by an increase of \$411,801 primarily for professional and legal consultants as well as slight increases in other operating costs.

- ◆ **Covanta Tax Liability** **\$1,700,000**
An increase of \$1,700,000 is included to provide for Covanta's tax liability. Previously, an adjustment was made at the Carryover Review to reflect the cost of the tax payment and the reimbursement of the payment by the County General Fund. Beginning in FY 2012, the cost will be funded by the E/RRF.

Changes to FY 2011 Adopted Budget Plan

The following funding adjustments reflect all approved changes in the FY 2011 Revised Budget Plan since passage of the FY 2011 Adopted Budget Plan. Included are all adjustments made as part of the FY 2010 Carryover Review, FY 2011 Third Quarter Review, and all other approved changes through April 12, 2011.

- ◆ **Carryover Adjustments** **\$1,803,607**
As part of the FY 2010 Carryover Review, the Board of Supervisors approved encumbered funding of \$58,101 in Operating Expenses and an administrative adjustment of \$1,745,506 for the costs of the Covanta Inc. tax liability payment, not previously budgeted and funded with a General Fund Transfer.

- ◆ **Position Changes** **\$0**
As part of the FY 2011 review of County position categories, a conversion of 3/3.0 SYE positions has been made. The status of limited term positions was reviewed in light of recent changes to federal regulations related to health care and other federal tax requirements. As a result of this review a number of existing limited term positions have been converted to Merit Regular status.

Fund 112

Energy/Resource Recovery Facility

A Fund Statement and a Summary of Capital Projects are provided on the following pages. The Summary of Capital Projects may include some projects without a Total Project Estimate amount. These projects are considered "continuing" projects or projects for which funding is necessary on an ongoing basis (e.g., a contingency or planning project).

Fund 112

Energy/Resource Recovery Facility

FUND STATEMENT

Fund Type G10, Special Revenue Funds

Fund 112, Energy/Resource Recovery Facility (E/RRF)

	FY 2010 Actual	FY 2011 Adopted Budget Plan	FY 2011 Revised Budget Plan	FY 2012 Advertised Budget Plan	FY 2012 Adopted Budget Plan
Beginning Balance	\$26,787,307	\$26,255,426	\$21,578,204	\$21,776,758	\$21,776,758
Revenue:					
Disposal Revenue	\$29,978,605	\$33,635,000	\$31,514,056	\$31,191,182	\$31,191,182
Other Revenue:					
Interest on Investments	142,062	218,508	218,508	357,067	357,067
Miscellaneous ¹	449,252	500,000	500,000	500,000	500,000
Subtotal Other Revenue	\$591,314	\$718,508	\$718,508	\$857,067	\$857,067
Total Revenue	\$30,569,919	\$34,353,508	\$32,232,564	\$32,048,249	\$32,048,249
Transfers In:					
General Fund (001) ²	\$1,722,908	\$0	\$1,745,506	\$0	\$0
Total Transfers In	\$1,722,908	\$0	\$1,745,506	\$0	\$0
Total Available	\$59,080,134	\$60,608,934	\$55,556,274	\$53,825,007	\$53,825,007
Expenditures:					
Personnel Services	\$714,619	\$734,811	\$734,811	\$736,968	\$736,968
Operating Expenses ³	36,787,311	31,204,598	33,008,205	15,706,345	17,406,345
Capital Equipment	0	36,500	36,500	0	0
Total Expenditures	\$37,501,930	\$31,975,909	\$33,779,516	\$16,443,313	\$18,143,313
Total Disbursements	\$37,501,930	\$31,975,909	\$33,779,516	\$16,443,313	\$18,143,313
Ending Balance⁴	\$21,578,204	\$28,633,025	\$21,776,758	\$37,381,694	\$35,681,694
Tipping Fee Reserve ⁵	\$1,500,000	\$1,500,000	\$1,500,000	\$1,500,000	\$1,500,000
Rate Stabilization Reserve ⁶	10,000,000	10,000,000	10,000,000	25,881,694	24,181,694
Operations and Maintenance Reserve ⁷	10,078,204	17,133,025	10,276,758	10,000,000	10,000,000
Unreserved Ending Balance	\$0	\$0	\$0	\$0	\$0
Disposal Rate/Ton	\$31/ton	\$31/ton	\$29/ton	\$29/ton	\$29/ton

Fund 112

Energy/Resource Recovery Facility

¹ Miscellaneous Revenue is generated by the excess amount that Covanta Fairfax, Inc. (CFI) charges for the disposal of Supplemental Waste.

² The General Fund Transfer offsets Covanta's tax liability to Fairfax County. An expenditure increase and the offsetting General Fund Transfer were funded for FY 2011 as part of the FY 2010 Carryover Review.

³ In FY 2012, payments to Covanta Fairfax are significantly reduced due to construction bonds being paid off in FY 2011.

⁴ Ending balance fluctuations are a result of operating and revenue requirements that change annually. Funding is carried forward each fiscal year to provide flexibility given the uncertainty of market conditions, tipping fee negotiations, and expenditure requirements.

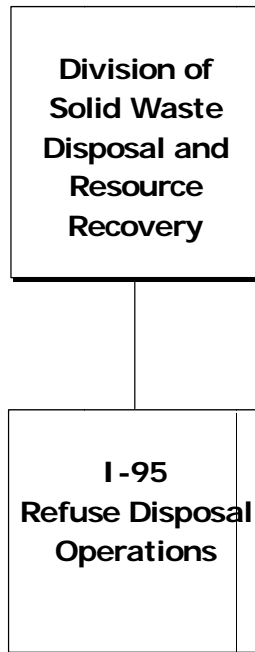
⁵ The Tipping Fee Reserve is used to buffer against sharp annual changes in tip fees. Potential changes could result from issues such as tax changes regarding energy sales, power deregulation, state or EPA environmental fees, and/or contract changes.

⁶ The Rate Stabilization Reserve (RSR) is used to buffer against a long term adjustment to tip fees. It should be noted that as the FY 2012 budget is being prepared, the long-term arrangement for solid waste disposal is still being determined. The current disposal arrangement with Covanta extends until 2016. Other long term options include the extension of the current agreement for use of the ERRF or pursuit of alternate disposal options. The budget was prepared assuming the current contract arrangement which provides for a below market disposal rate through 2016. Extending the current agreement or use of alternate disposal arrangements may result in a significant increase in disposal fees (up to 175 percent), once the current agreement expires. In order to buffer the impact to customers if a transition to market rates occurs in 2016, the disposal fee is being kept at its current rate, with savings generated being transferred to the RSR for future use. This is what is causing the substantial increase in the RSR balance between FY 2011 and FY 2012.

⁷ The Operations and Maintenance Reserve is maintained for ongoing improvements and enhancements to the E/RRF including emissions control efforts. Future projects may include additional retrofits to the air pollution control systems for reductions in nitrogen oxides. The reserve will fund the County's share of the initial capital expenditures on the improvements.

Fund 114

I-95 Refuse Disposal



Mission

To manage the I-95 Complex in a manner to provide a site where solid waste and recyclable materials from County citizens are gathered and properly disposed, and a deposit site where ash from the Energy/Resource Recovery Facility (E/RRF) and other participating municipalities can be properly disposed.

Focus

The County has operated the I-95 Sanitary Complex for more than 25 years, and has served the solid waste disposal needs of the residents of the participating jurisdictions utilizing the facility. The municipal solid waste (MSW) section of the I-95 Complex closed in December 1995, and since that time the facility has accepted only ash material for land burial. The I-95 Ashfill continues to operate as a model facility - meeting permit requirements, inspection criteria, and availability requirements for the participating jurisdictions and customers of the facility. The I-95 Complex also serves as the focal point for the management of non-combustible material, which is redirected to debris landfills for final disposal.



The ash disposal fee in FY 2012 for Fund 114, I-95 Refuse Disposal will increase to \$15.50 per ton from \$13.50 per ton in FY 2011. In the past, the high interest earning rates had provided sufficient funds to accommodate operating expenditures, as well as provide adequate reserve funding required for capital projects and post closure care. It had allowed the fund to maintain at the lower ash disposal fee of \$11.50 per ton from FY 2001 to FY 2009.

Fund 114

I-95 Refuse Disposal

Performance measures for Solid Waste are displayed at a program-wide level. Please refer to the Solid Waste Management Program Overview in Volume 2 of the FY 2012 Adopted Budget Plan for those items.

Budget and Staff Resources

Agency Summary					
Category	FY 2010 Actual	FY 2011 Adopted Budget Plan	FY 2011 Revised Budget Plan	FY 2012 Advertised Budget Plan	FY 2012 Adopted Budget Plan
Authorized Positions/Staff Years					
Regular	40 / 40	40 / 40	41 / 41	41 / 41	41 / 41
Expenditures:					
Personnel Services	\$3,110,615	\$3,172,038	\$3,172,038	\$3,186,976	\$3,186,976
Operating Expenses	3,412,802	4,255,570	5,129,683	4,255,570	4,255,570
Capital Equipment	2,205,985	1,158,500	1,254,640	769,000	769,000
Capital Projects	54,462	0	13,984,145	0	0
Total Expenditures	\$8,783,864	\$8,586,108	\$23,540,506	\$8,211,546	\$8,211,546

Position Summary					
1	Engineer V	4	Engineering Technicians II	1	Motor Equipment Operator
1	Engineer III	2	Engineering Technicians I	2	Weighmasters
1	Sr. Environmental Specialist	1	Refuse Superintendent	1	Management Analyst I
3	Public Works Environmental Services Specialists	3	Asst. Refuse Superintendents	1	Administrative Assistant II
1	PW/ES Technical Specialist	2	Industrial Electricians II	1	Senior Maintenance Worker
1	Engineering Technician III	9	Heavy Equipment Operators	6	Maintenance Workers
TOTAL POSITIONS					
41 Positions / 41.0 Staff Years					

FY 2012 Funding Adjustments

The following funding adjustments from the FY 2011 Adopted Budget Plan are necessary to support the FY 2012 program. Included are all adjustments recommended by the County Executive that were approved by the Board of Supervisors, as well as any additional Board of Supervisors' actions, as approved in the adoption of the budget on April 26, 2011.

- ◆ **Employee Compensation** \$0

It should be noted that no funding is included for pay for performance or market rate adjustments in FY 2012.
- ◆ **Other Post-Employment Benefits** \$14,938

An increase of \$14,938 is required to reflect increased costs associated with providing Other Post-Employment Benefits (OPEBs) to retirees, including the Retiree Health Benefits Subsidy. Before FY 2011, costs related to these benefits were paid solely by the General Fund; however, these annual costs are now spread across funds in order to more appropriately reflect benefit-related expenses for the employees within each fund. For more information on Other Post-Employment Benefits, please refer to Fund 603, OPEB Trust Fund, in Volume 2 of the FY 2012 Adopted Budget Plan.
- ◆ **Capital Equipment** \$769,000

Funding of \$769,000 in Capital Equipment includes \$460,000 to replace one wheel loader, \$150,000 for one sweeper vacuum, \$45,000 for one broom tractor, \$24,000 for two light plants, \$25,000 for one utility cart, \$30,000 for one pick up truck, and \$35,000 for one cargo van.

Fund 114 I-95 Refuse Disposal

Changes to FY 2011 Adopted Budget Plan

The following funding adjustments reflect all approved changes in the FY 2011 Revised Budget Plan since passage of the FY 2011 Adopted Budget Plan. Included are all adjustments made as part of the FY 2010 Carryover Review, FY 2011 Third Quarter Review, and all other approved changes through April 12, 2011.

- ◆ **Carryover Adjustments** **\$14,954,398**
As part of the *FY 2010 Carryover Review*, the Board of Supervisors approved encumbered funding of \$874,113 in Operating Expenses and \$96,140 in Capital Equipment. In addition, the Board approved the carryover of \$13,984,145 in unexpended Capital Project balances.

- ◆ **Position Changes** **\$0**
As part of the FY 2011 review of County position categories, a conversion of 1/1.0 SYE position has been made. The status of limited term positions was reviewed in light of recent changes to federal regulations related to health care and other federal tax requirements. As a result of this review a number of existing limited term positions have been converted to Merit Regular status.

A Fund Statement and a Summary of Capital Projects are provided on the following pages. The Summary of Capital Projects may include some projects without a Total Project Estimate amount. These projects are considered "continuing" projects or projects for which funding is necessary on an ongoing basis (e.g., a contingency or planning project).

Fund 114

I-95 Refuse Disposal

FUND STATEMENT

Fund Type G10, Special Revenue Funds

Fund 114, I-95 Refuse Disposal

	FY 2010 Actual	FY 2011 Adopted Budget Plan	FY 2011 Revised Budget Plan	FY 2012 Advertised Budget Plan	FY 2012 Adopted Budget Plan
Beginning Balance	\$55,631,109	\$39,088,107	\$53,175,316	\$36,210,624	\$36,210,624
Revenue:					
Interest on Investments	\$332,394	\$532,523	\$532,523	\$352,420	\$352,420
Refuse Disposal Revenue	4,986,640	5,571,054	5,571,054	6,162,011	6,162,011
Other Revenue:					
Fees, Ashfill Permit	\$15,880	\$7,200	\$7,200	\$7,200	\$7,200
Sale of Equipment	767,216	264,000	264,000	158,000	158,000
Sale of Methane Gas	225,941	191,600	191,600	191,600	191,600
Miscellaneous Revenue	0	9,437	9,437	9,437	9,437
Subtotal Other Revenue	<u>\$1,009,037</u>	<u>\$472,237</u>	<u>\$472,237</u>	<u>\$366,237</u>	<u>\$366,237</u>
Total Revenue	\$6,328,071	\$6,575,814	\$6,575,814	\$6,880,668	\$6,880,668
Total Available	\$61,959,180	\$45,663,921	\$59,751,130	\$43,091,292	\$43,091,292
Expenditures:					
Personnel Services	\$3,110,615	\$3,172,038	\$3,172,038	\$3,186,976	\$3,186,976
Operating Expenses	3,412,802	4,255,570	5,129,683	4,255,570	4,255,570
Capital Equipment	2,205,985	1,158,500	1,254,640	769,000	769,000
Capital Projects ¹	54,462	0	13,984,145	0	0
Total Expenditures	<u>\$8,783,864</u>	<u>\$8,586,108</u>	<u>\$23,540,506</u>	<u>\$8,211,546</u>	<u>\$8,211,546</u>
Total Disbursements	\$8,783,864	\$8,586,108	\$23,540,506	\$8,211,546	\$8,211,546
Ending Balance²	\$53,175,316	\$37,077,813	\$36,210,624	\$34,879,746	\$34,879,746
Reserves					
Active Cell Closure Liability Reserve ³	\$9,541,103	\$6,385,829	\$6,385,829	\$2,706,015	\$2,706,015
Environmental Reserve ⁴	4,255,899	4,829,985	4,829,985	5,000,000	5,000,000
Capital Equipment Reserve ⁵	0	570,894	570,894	1,126,600	1,126,600
Post-Closure Reserve ⁶	25,243,974	25,243,974	24,376,785	26,000,000	26,000,000
PC Replacement Reserve ⁷	47,131	47,131	47,131	47,131	47,131
Unreserved Ending Balance	\$14,087,209	\$0	\$0	\$0	\$0
Disposal Fee/Ton ⁸	\$13.50	\$13.50	\$13.50	\$15.50	\$15.50

Fund 114

I-95 Refuse Disposal

¹ Capital projects are budgeted based on the total project costs. Most projects span multiple years, from design to construction completion. Therefore, funding for capital projects is carried forward each fiscal year, and ending balances fluctuate, reflecting the carryover of these funds.

² Ending balance fluctuations are a result of operating and revenue requirements that change annually. Funding is carried forward each fiscal year to provide flexibility given the uncertainty of market conditions and expenditure requirements.

³ The Active Cell Closure Liability Reserve is necessary for the closure of active disposal cells of the Ashfill and is necessary for ashfilling activities to progress in accord with state requirements.

⁴ The Environmental Reserve is a contingency fund, assuring that the County has funds to implement, or at least start to implement, unplanned actions to protect the environment or meet regulatory requirements. Specific examples of future environmental projects are likely to include: Landfill Gas Control Projects, Stormwater Management, Wastewater (Leachate) Management, and Groundwater protective measures.

⁵ The Capital Equipment Reserve was set up for the timely replacement of equipment required to operate the I-95 Ashfill. Funds are transferred from Ash Disposal Revenue to equipment reserve as are proceeds from the sale of equipment. The reserve requirement is based on a replacement schedule comprised of yearly payments to the reserve, which is based on the useful life of the equipment and vehicles.

⁶ The Post-Closure Reserve is required for a 30-year period after the ashfill closes and is mandated by federal and state regulations. The FY 2012 projected reserve of \$26 million represents approximately 56 percent of the estimated requirement of \$46,320,930 and is not sufficient to cover all identified costs. Additional funds will be set aside in future years.

⁷ The PC Replacement Reserve provides for the timely replacement of obsolete computer equipment.

⁸ Effective July 1, 2000 the jurisdictional fee for ash disposal was reduced from \$14/ton to \$11.50/ton. The rate remained at \$11.50/ton from FY 2001 to FY 2009, and was increased to \$13.50/ton in FY 2010 to meet operating and post closure reserve requirements. The rate for FY 2012 is being increased to \$15.50/ton to offset reduced revenue resulting primarily from lower interest on investments.

Fund 114 I-95 Refuse Disposal

FY 2012 Summary of Capital Projects

Fund: 114, I-95 Refuse Disposal

Project #	Description	Total Project Estimate	FY 2010 Actual Expenditures	FY 2011 Revised Budget	FY 2012 Advertised Budget Plan	FY 2012 Adopted Budget Plan
174006	Citizens Disposal Facility	(\$1,319)	\$0.00	\$0.00	\$0	\$0
186420	Repair/Maint/Wash Facility	989,983	0.00	0.00	0	0
186435	Area 3 Lined Landfill Construction		9,585.41	2,648,767.52	0	0
186440	I-95 Landfill Leachate Facility		0.00	2,450,807.27	0	0
186460	Area 7 Roadway Construction	251,874	0.00	0.00	0	0
186470	Paved Ditch Extension Areas		0.00	362,818.00	0	0
186600	Methane Gas Recovery		0.00	695,661.12	0	0
186650	I-95 Landfill Closure	55,766,579	44,876.81	7,826,090.70	0	0
Total		\$57,007,117	\$54,462.22	\$13,984,144.61	\$0	\$0

Consolidated County and Schools Debt Service Fund

Focus

The Consolidated Debt Service Fund accounts for the general obligation bond debt service of the County as well as general obligation bond debt for the Fairfax County Public Schools (FCPS). In addition, debt service expenditures are included for the Economic Development Authority Lease Revenue bonds and School facilities and payments for Fairfax County Redevelopment and Housing Authority (FCRHA) Lease Revenue bonds. Revenues for the debt service funds are derived principally from a transfer from the General Fund. It should be noted that debt service on sewer revenue bonds is reflected in the Enterprise Funds.

The following is a chart illustrating the debt service payments and projected fiscal agent fees required in FY 2012 as well as the sources of funding supporting these costs:

		FY 2012 Adopted Budget
Expenses		
County Debt Service		\$104,191,995
Lease Revenue Bonds		14,470,639
Park Authority (Laurel Hill Golf Course)		853,313
Fiscal Agent Fees/Cost of Issuance		910,000
	Subtotal	\$120,425,947
School Debt Service		\$157,441,264
Lease Revenue Bonds (South County High School)		5,594,300
School Administration Building		3,773,523
Fiscal Agent Fees/Cost of Issuance		615,000
	Subtotal	\$167,424,087
Total Expenses		\$287,850,034
Funding		
General Fund Transfer		\$280,452,139
School Operating Fund Transfer		3,773,523
FCRHA Lease Revenue		2,392,289
Park Authority (Laurel Hill Golf Course)		853,313
Fairfax City Revenue		78,770
Bond Proceeds to Offset Cost of Issuance		300,000
Total Funding		\$287,850,034

General Obligation Bonds

The debt service payments associated with FY 2011 bond sales have been incorporated into the FY 2012 projections.

Consolidated County and Schools Debt Service Fund

Capital Leases

Funding is included for the following Capital Leases which were issued by other entities, but are actually supported by the County and paid through County Debt Service subject to annual appropriation by the Board of Supervisors:

Economic Development Authority:

Herrity and Pennino Buildings	\$ 8,084,000
Mott, Gum Springs, Baileys, & James Lee Community Centers, Herndon Harbor Adult Day Care Center, South County Government Center	3,994,350
South County High School	5,594,300
Laurel Hill Golf Course	853,313*
School Administration Building	<u>3,773,523**</u>
Subtotal	\$22,299,486

Fairfax County Redevelopment and Housing Authority:

Gum Springs Head Start Facility	176,429
Herndon Senior Center	924,210
Braddock Glen Senior Center and Southgate Community Center	<u>1,291,650</u>
Subtotal	\$2,392,289

Total	\$24,691,775
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* Reimbursed by a transfer in from the Park Authority.

**Reimbursed by a transfer in from the School Operating Fund.

Debt Service Ratios

The Board of Supervisors has adopted specific debt indicators within the *Ten Principles of Sound Financial Management* to effectively manage the County's bonded indebtedness. The *Ten Principles* state that the County's debt ratios shall be maintained at the following levels:

- ◆ Net debt as a percentage of estimated market value should always remain less than 3.0 percent; and
- ◆ The ratio of debt service expenditures as a percentage of Combined General Fund disbursements should remain under 10.0 percent.

The Board of Supervisors annually reviews the cash requirements for capital project financing to determine the capacity to incur additional debt for construction of currently funded projects as well as capital projects in the early planning stages. In FY 1992 and FY 1994, bond projects were deferred in order to reduce planned sales and remain within capacity guidelines.

Consolidated County and Schools Debt Service Fund

During the adoption of the FY 2008 Adopted Budget Plan, the Ten Principles of Sound Financial Management were revised to allow for the use of variable rate debt. Variable rate obligations are debt obligations that are quite frequently used for short-term or interim debt financing and have an interest rate that is reset periodically, usually for periods of less than one year. Variable rate debt is typically used to take advantage of low short-term rates in anticipation of converting to longer-term fixed rate financing for complex projects or to mitigate the impact of volatile markets. Also, variable rate debt reduces interest costs and typically provides the ability to redeem bonds without a prepayment penalty. It is anticipated that the use of variable rate debt will provide opportunities for interest rate savings, reduce arbitrage payments and promote more accurate sizing for long-term bond issues.

On November 19, 2007 the Board of Supervisors approved the Master Trust Agreement, Bank Note and related documents associated with acquisition of a \$200,000,000 revolving line of credit (LOC) from the Bank of America. On October 19, 2010 the Board of Supervisors approved a renewal of the LOC in the amount of \$100,000,000. Any line of credit borrowings will be in conformance with the FY 2011 Revised Budget Plan and the FY 2011-FY 2015 Capital Improvement Program, or specific Board of Supervisors action approving such use. Variable rate debt will be used when it is most advantageous to the County in comparison to other financing options. A Variable Rate Debt Committee will carefully review each County department's request for use of the LOC and monitor the usage. The County has developed policies and procedures related to the use of variable rate debt and will monitor LOC usage closely.

As a result of the County financial policies, prudent fiscal management and a strong economy the County has been awarded the strongest credit ratings possible from the three major national rating services. The County holds a Aaa from Moody's Investors Service (awarded 1975), a AAA from Standard and Poor's Ratings Service (awarded 1978), and a AAA from Fitch Ratings (awarded 1997). As of January 19, 2011, Fairfax County is one of only 8 states, 37 counties, and 37 cities to hold a triple-A rating from all three services.

The FY 2012 debt service budget has been prepared on the basis of the construction and bond sale limitations set in place by the Board of Supervisors. The FY 2012 capital program supported by general obligation bonds was reviewed in conjunction with the FY 2012 - FY 2016 Adopted Capital Improvement Program (With Future Years to 2021).



Consolidated County and Schools Debt Service Fund

The following are ratios and annual sales reflecting debt indicators for FY 2008 - FY 2012:

Net Debt as a Percentage of Market Value of Taxable Property

<u>Fiscal Year Ending</u>	<u>Net Bonded Indebtedness¹</u>	<u>Estimated Market Value²</u>	<u>Percentage</u>
2008	2,264,295,513	241,313,000,000	0.94%
2009	2,281,335,444	242,500,000,000	0.94%
2010	2,318,699,150	218,549,000,000	1.06%
2011 (est.)	2,340,933,998	199,503,000,000	1.17%
2012 (est.)	2,434,002,351	205,949,000,000	1.18%

¹ The amount includes outstanding General Obligation Bonds and other tax supported debt obligations as of June 30 in the year shown and is from the Fairfax County Department of Management and Budget.

² Source: Fairfax County Department of Tax Administration and the Department of Management and Budget.

Debt Service Requirements as a Percentage of Combined General Fund Disbursements

<u>Fiscal Year Ending</u>	<u>Debt Service Requirements¹</u>	<u>General Fund Disbursements²</u>	<u>Percentage</u>
2008	268,725,268	3,320,946,120	8.1%
2009	285,668,863	3,352,656,206	8.5%
2010	288,850,468	3,308,948,661	8.7%
2011 (est.)	296,223,346	3,402,061,088	8.7%
2012 (est.)	296,987,685	3,377,479,384	8.8%

¹ The amount includes total principal and interest payments on the County's outstanding tax supported debt obligations, including general obligation bonds and other tax supported debt obligations budgeted in other funds. Source: Fairfax County Department of Management and Budget.

² Source: Fairfax County Department of Management and Budget.

Consolidated County and Schools Debt Service Fund

Annual Bond Sales

<u>Fiscal Year Ending</u>	<u>Sales (millions)</u>	<u>Total for the Five-Year Period Ending FY 2012</u>
2008	234.475	-
2009	199.51	-
2010	269.095	-
2011 (est.) ¹	171.39	-
2012 (est.) ¹	283.56	1,158.03

¹ Actual County and School bond sale amounts are based on the cash requirements for each project and municipal bond market conditions. Based on Board policy, annual sales will be \$275.0 million per year or \$1.375 billion over a five-year period with a technical limit of \$300.0 million in any given year.

FY 2012 Funding Adjustments

The following funding adjustments from the FY 2011 Adopted Budget Plan are necessary to support the FY 2012 program. Included are all adjustments recommended by the County Executive that were approved by the Board of Supervisors, as well as any additional Board of Supervisors' actions, as approved in the adoption of the budget on April 26, 2011.

- ◆ **Disbursement Adjustment** **\$274,982**
An increase in disbursements of \$274,982 or 0.09 percent is primarily attributable to scheduled requirements for existing debt service.

Changes to FY 2011 Adopted Budget Plan

The following funding adjustments reflect all approved changes in the FY 2011 Revised Budget Plan since passage of the FY 2011 Adopted Budget Plan. Included are all adjustments made as part of the FY 2010 Carryover Review, FY 2011 Third Quarter Review, and all other approved changes through April 12, 2011.

- ◆ **Carryover Adjustments** **\$11,862,828**
As part of the FY 2010 Carryover Review, the Board of Supervisors approved funding of \$11,862,828 in Operating Expenses to provide funding for a possible fall bond sale. Also, it should be noted that \$593,500 was approved as a transfer out to pay off the outstanding mortgage balance for the County's purchase of two residential properties on West Ox Road as approved by the Board of Supervisors on February 9, 2010.
- ◆ **Third Quarter Adjustments** **(\$451,318)**
As part of the FY 2011 Third Quarter Review, the Board of Supervisors approved decreased funding of \$451,318 in Operating Expenses primarily as a result of interest rate savings associated with the Series 2011A General Obligation and Refunding bond sale held on January 25, 2011. The total interest savings were \$714,491 partially offset by an increase of \$250,939 as a result of the elimination of Fund 314, Neighborhood Improvement Program and a decrease of \$12,234 associated with audit adjustments.

Consolidated County and Schools Debt Service Fund

FUND STATEMENT

Fund Type G20, Debt Service Funds

Fund 200 and 201, Consolidated Debt Service

	FY 2010 Actual	FY 2011 Adopted Budget Plan	FY 2011 Revised Budget Plan	FY 2012 Advertised Budget Plan	FY 2012 Adopted Budget Plan
Beginning Balance	\$10,334,630	\$0	\$12,468,562	\$0	\$0
Revenue:					
Build America Bonds Subsidy	\$1,352,474	\$0	\$0	\$0	\$0
Miscellaneous Revenue	44,121	0	0	0	0
Bond Proceeds	536,595	300,000	300,000	300,000	300,000
Revenue from Fairfax City	78,770	90,000	90,000	78,770	78,770
Total Revenue	\$2,011,960	\$390,000	\$390,000	\$378,770	\$378,770
Transfers In:					
County Debt Service:					
General Fund (001) for County	\$106,333,392	\$117,380,449	\$117,166,102	\$116,981,575	\$116,981,575
FCRHA Lease Revenue Bonds (001)	4,598,503	4,494,041	4,494,041	2,392,289	2,392,289
Neighborhood Imp.(Fund 314)	186,553	0	250,939	0	0
Park Authority Lease Revenue Bonds (170)	806,563	827,813	827,813	853,313	853,313
Subtotal County Debt Service	\$111,925,011	\$122,702,303	\$122,738,895	\$120,227,177	\$120,227,177
Schools Debt Service:					
General Fund (001) for Schools	\$163,767,929	\$160,709,026	\$160,208,882	\$163,470,564	\$163,470,564
School Admin Building (090)	3,775,323	3,773,723	3,773,723	3,773,523	3,773,523
Subtotal Schools Debt Service	\$167,543,252	\$164,482,749	\$163,982,605	\$167,244,087	\$167,244,087
Total Transfers In	\$279,468,263	\$287,185,052	\$286,721,500	\$287,471,264	\$287,471,264
Total Available	\$291,814,853	\$287,575,052	\$299,580,062	\$287,850,034	\$287,850,034

Consolidated County and Schools Debt Service Fund

FUND STATEMENT

Fund Type G20, Debt Service Funds

Fund 200 and 201, Consolidated Debt Service

	FY 2010 Actual	FY 2011 Adopted Budget Plan	FY 2011 Revised Budget Plan	FY 2012 Advertised Budget Plan	FY 2012 Adopted Budget Plan
Expenditures:					
General Obligation Bonds:					
County Principal	\$65,031,486	\$72,422,055	\$72,422,055	\$68,552,621	\$68,552,621
County Interest ¹	34,433,767	34,105,204	34,356,143	30,911,374	30,911,374
Debt Service on Projected County Sales ¹	0	0	4,533,367	4,728,000	4,728,000
Subtotal County Debt Service	\$99,465,253	\$106,527,259	\$111,311,565	\$104,191,995	\$104,191,995
Schools Principal	\$98,233,514	\$98,622,945	\$98,622,945	\$94,517,378	\$94,517,378
Schools Interest	57,273,534	55,951,181	55,951,181	51,332,886	51,332,886
Debt Service on Projected School Sales ¹	0	0	6,614,970	11,591,000	11,591,000
Subtotal Schools Debt Service	\$155,507,048	\$154,574,126	\$161,189,096	\$157,441,264	\$157,441,264
Subtotal General Obligation Bonds	\$254,972,301	\$261,101,385	\$272,500,661	\$261,633,259	\$261,633,259
Other Tax Supported Debt Service (County):					
EDA Lease Revenue Bonds/COPS	\$9,121,989	\$10,153,190	\$10,153,190	\$12,078,350	\$12,078,350
FCRHA Lease Revenue Bonds	2,886,202	4,494,041	4,494,041	2,392,289	2,392,289
Park Authority Lease Revenue Bonds	806,563	827,813	827,813	853,313	853,313
Other Tax Supported Debt Service (Schools):					
EDA Schools Lease Revenue Bonds	9,580,823	9,473,623	9,473,623	9,367,823	9,367,823
Subtotal Other Tax Supported Debt Service	\$22,395,577	\$24,948,667	\$24,948,667	\$24,691,775	\$24,691,775
Other Expenses ²	\$1,978,413	\$1,525,000	\$1,537,234	\$1,525,000	\$1,525,000
Total Expenditures	\$279,346,291	\$287,575,052	\$298,986,562	\$287,850,034	\$287,850,034
Transfers Out:					
Fund 303, Capital Projects Funds	\$0	\$0	\$593,500	\$0	\$0
Total Transfers Out	\$0	\$0	\$593,500	\$0	\$0
Total Disbursements	\$279,346,291	\$287,575,052	\$299,580,062	\$287,850,034	\$287,850,034
Ending Balance ³	\$12,468,562	\$0	\$0	\$0	\$0
Unreserved Ending Balance	\$12,468,562	\$0	\$0	\$0	\$0

¹ At the FY 2011 Third Quarter Review debt service funding was decreased by \$714,491 with \$214,347 for County and \$500,144 for Schools due to interest rate savings from the Series 2011A General Obligation and Refunding bond sale held on January 25, 2011. This decrease was partially offset by an increase of \$250,939 from Fund 314, Neighborhood Improvement Program due to the close out of this fund.

² In order to account for revenues and expenditures in the proper fiscal year, audit adjustments result in a net increase of \$12,234.38 in FY 2010 based on an increase of \$14,234.38 in FY 2010 to reflect revenue accruals, and an increase of \$2,000 in FY 2010 expenditures to record accrued expenses. FY 2011 revenues and expenditures were adjusted by commensurate amounts. These audit adjustments have been included in the FY 2010 Comprehensive Annual Financial Report (CAFR). Details of the FY 2010 audit adjustments were included in the FY 2011 Third Quarter Package.

³ The change in ending fund balance is the result of use of fund balance to offset projected debt service requirements.

COUNTY OF FAIRFAX, VIRGINIA
SCHEDULE OF GENERAL OBLIGATIONS AND LEASE REVENUE BONDS FOR FY 2012
COUNTY DEBT SERVICE

Bond	Original Issue Amount	Issue Date	Category	Principal Outstanding as of 6/30/2011	Interest Outstanding as of 6/30/2011	Total Outstanding as of 6/30/2011	Principal Due FY 2012	Interest Due FY 2012	Total Payment Due FY 2012	Principal Outstanding as of 6/30/2012	Interest Outstanding as of 6/30/2012
Series 2002A Refunding	26,149,000	6/1/2002	Adult Detention	129,272	13,678	142,950	32,968	5,452	38,420	96,304	8,226
			Commercial and Redevelopment	143,194	15,151	158,345	36,519	6,039	42,558	106,675	9,112
			Human Services	139,218	14,731	153,949	35,504	5,871	41,375	103,714	8,860
			Juvenile Detention	238,654	25,253	263,907	60,864	10,065	70,929	177,790	15,188
			Library	326,162	34,512	360,674	83,181	13,755	96,936	242,981	20,757
			Neighborhood Improvement	680,168	71,969	752,137	173,463	28,685	202,148	506,705	43,284
			Parks	721,933	76,388	798,321	184,115	30,446	214,561	537,818	45,942
			Public Safety	747,786	79,123	826,909	190,708	31,536	222,244	557,078	47,587
			Storm Drainage	694,089	73,442	767,531	177,014	29,272	206,286	517,075	44,170
			Transportation	3,530,112	373,523	3,903,635	900,285	148,874	1,049,159	2,629,827	224,649
2002A Refunding Total				7,350,588	777,770	8,128,358	1,874,621	309,995	2,184,616	5,475,967	467,775
Series 2003A Refunding	82,407,000	6/1/2003	Adult Detention	187,000	9,350	196,350	187,000	9,350	196,350	-	-
			Commercial and Redevelopment	37,000	1,850	38,850	37,000	1,850	38,850	-	-
			Correctional Camp	80,000	4,000	84,000	80,000	4,000	84,000	-	-
			Human Services	155,000	7,750	162,750	155,000	7,750	162,750	-	-
			Jail & Work Release Facilities	2,000	100	2,100	2,000	100	2,100	-	-
			Juvenile Detention	11,000	550	11,550	11,000	550	11,550	-	-
			Neighborhood Improvement	482,000	24,100	506,100	482,000	24,100	506,100	-	-
			Parks	555,000	27,750	582,750	555,000	27,750	582,750	-	-
			Parks - NVRPA	10,000	500	10,500	10,000	500	10,500	-	-
			Prim/2nd Road	486,000	24,300	510,300	486,000	24,300	510,300	-	-
			Public Library Facilities	284,000	14,200	298,200	284,000	14,200	298,200	-	-
			Public Safety	247,000	12,350	259,350	247,000	12,350	259,350	-	-
			Storm Drainage	107,000	5,350	112,350	107,000	5,350	112,350	-	-
			Transportation	1,007,000	50,350	1,057,350	1,007,000	50,350	1,057,350	-	-
2003A Refunding Total				3,650,000	182,500	3,832,500	3,650,000	182,500	3,832,500	-	-
Series 2003B	66,490,000	5/15/2003	Adult Detention	800,000	244,500	1,044,500	100,000	34,625	134,625	700,000	209,875
			Commercial and Redevelopment	1,320,000	403,425	1,723,425	165,000	57,131	222,131	1,155,000	346,294
			Juvenile Detention	50,000	12,925	62,925	10,000	2,181	12,181	40,000	10,744
			Neighborhood Improvement	400,000	122,250	522,250	50,000	17,313	67,313	350,000	104,938
			Parks	9,215,000	2,813,050	12,028,050	1,155,000	398,838	1,553,838	8,060,000	2,414,213
			Public Safety	14,175,000	4,328,950	18,503,950	1,775,000	613,513	2,388,513	12,400,000	3,715,438
			Storm Drainage	280,000	85,575	365,575	35,000	12,119	47,119	245,000	73,456
			Transportation	320,000	97,800	417,800	40,000	13,850	53,850	280,000	83,950
2003B Total				26,560,000	8,108,475	34,668,475	3,330,000	1,149,569	4,479,569	23,230,000	6,958,906
Series 2004A	63,530,000	4/14/2004	Adult Detention	400,300	126,645	526,945	40,000	18,414	58,414	360,300	108,231
			Commercial and Redevelopment	2,137,700	677,901	2,815,601	210,000	98,059	308,059	1,927,700	579,842
			Juvenile Detention	461,600	146,304	607,904	45,000	21,166	66,166	416,600	125,138
			Neighborhood Improvement	943,100	302,451	1,245,551	90,000	43,183	133,183	853,100	259,268
			Parks	15,837,000	5,016,230	20,853,230	1,545,000	726,178	2,271,178	14,292,000	4,290,053
			Storm Drainage	2,020,000	636,473	2,656,473	200,000	92,744	292,744	1,820,000	543,729
			Transportation	10,789,800	3,423,362	14,213,162	1,050,000	494,685	1,544,685	9,739,800	2,928,677
2004A Total				32,589,500	10,329,365	42,918,865	3,180,000	1,494,428	4,674,428	29,409,500	8,834,937
Series 2004A Refunding	67,200,000	4/14/2004	Adult Detention	11,481,100	1,715,326	13,196,426	2,421,400	588,305	3,009,705	9,059,700	1,127,021
			Commercial and Redevelopment	343,000	50,464	393,464	73,700	17,617	91,317	269,300	32,847
			Human Services	425,600	62,747	488,347	91,200	21,852	113,052	334,400	40,895
			Jail & Work Release Facilities	56,900	8,866	65,766	11,400	2,896	14,296	45,500	5,970
			Juvenile Detention	1,551,000	237,470	1,788,470	317,100	79,178	396,278	1,233,900	158,292
			Library	429,000	66,069	495,069	87,000	21,881	108,881	342,000	44,189
			Neighborhood Improvement	948,400	137,919	1,086,319	206,600	48,793	255,393	741,800	89,126
			Parks	2,754,100	413,184	3,167,284	577,900	141,035	718,935	2,176,200	272,149

COUNTY OF FAIRFAX, VIRGINIA
SCHEDULE OF GENERAL OBLIGATIONS AND LEASE REVENUE BONDS FOR FY 2012
COUNTY DEBT SERVICE

Bond	Original Issue Amount	Issue Date	Category	Principal Outstanding as of 6/30/2011	Interest Outstanding as of 6/30/2011	Total Outstanding as of 6/30/2011	Principal Due FY 2012	Interest Due FY 2012	Total Payment Due FY 2012	Principal Outstanding as of 6/30/2012	Interest Outstanding as of 6/30/2012
			Public Safety	2,938,800	446,670	3,385,470	606,500	150,195	756,695	2,332,300	296,476
			Storm Drainage	625,900	96,960	722,860	126,000	31,893	157,893	499,900	65,067
			Transit	146,100	22,815	168,915	29,100	7,435	36,535	117,000	15,380
			Transportation	5,057,500	752,501	5,810,001	1,072,100	259,313	1,331,413	3,985,400	493,188
2004A Refunding Total				26,757,400	4,010,991	30,768,391	5,620,000	1,370,391	6,990,391	21,137,400	2,640,600
Series 2004B	69,120,000	10/19/2004	Commercial and Redevelopment	2,279,700	696,671	2,976,371	225,000	99,541	324,541	2,054,700	597,130
			Parks	7,061,400	2,161,837	9,223,237	695,000	308,286	1,003,286	6,366,400	1,853,551
			Public Safety	25,683,700	7,848,907	33,532,607	2,535,000	1,121,450	3,656,450	23,148,700	6,727,457
2004B Total				35,024,800	10,707,415	45,732,215	3,455,000	1,529,278	4,984,278	31,569,800	9,178,138
Series 2004B Refunding	30,375,000	10/19/2004	Adult Detention	3,745,000	711,825	4,456,825	495,000	173,275	668,275	3,250,000	538,550
			Commercial and Redevelopment	265,000	50,075	315,075	35,000	12,275	47,275	230,000	37,800
			Human Services	420,000	79,863	499,863	55,000	19,450	74,450	365,000	60,413
			Juvenile Detention	1,010,000	191,150	1,201,150	135,000	46,675	181,675	875,000	144,475
			Library	1,310,000	248,350	1,558,350	175,000	60,575	235,575	1,135,000	187,775
			Neighborhood Improvement	770,000	147,700	917,700	100,000	35,650	135,650	670,000	112,050
			Parks	1,640,000	311,900	1,951,900	215,000	75,925	290,925	1,425,000	235,975
			Public Safety	1,905,000	362,225	2,267,225	250,000	88,200	338,200	1,655,000	274,025
			Transit	3,165,000	601,575	3,766,575	420,000	146,400	566,400	2,745,000	455,175
			Transportation	5,240,000	996,275	6,236,275	690,000	242,500	932,500	4,550,000	753,775
2004B Refunding Total				19,470,000	3,700,938	23,170,938	2,570,000	900,925	3,470,925	16,900,000	2,800,013
Series 2005A	85,655,000	8/16/2005	Adult Detention	3,720,000	1,217,063	4,937,063	290,000	163,200	453,200	3,430,000	1,053,863
			Human Services	3,035,000	997,831	4,032,831	235,000	133,113	368,113	2,800,000	864,719
			Library	6,395,000	2,096,469	8,491,469	495,000	280,613	775,613	5,900,000	1,815,857
			Parks	12,070,000	3,952,869	16,022,869	940,000	529,513	1,469,513	11,130,000	3,423,357
			Transportation	30,240,000	9,913,375	40,153,375	2,355,000	1,326,475	3,681,475	27,885,000	8,586,900
2005A Total				55,460,000	18,177,607	73,637,607	4,315,000	2,432,913	6,747,913	51,145,000	15,744,695
Series 2005A Refunding	117,505,000	8/16/2005	Adult Detention	3,202,700	656,761	3,859,461	385,000	149,048	534,048	2,817,700	507,714
			Commercial and Redevelopment	1,223,700	252,136	1,475,836	145,000	56,998	201,998	1,078,700	195,139
			Human Services	1,729,400	342,809	2,072,209	220,000	80,708	300,708	1,509,400	262,101
			Jail & Work Release Facilities	188,200	36,745	224,945	25,000	8,785	33,785	163,200	27,960
			Library	4,435,000	1,024,678	5,459,678	465,000	203,975	668,975	3,970,000	820,703
			Neighborhood Improvement	2,912,800	627,936	3,540,736	335,000	134,828	469,828	2,577,800	493,109
			Parks	18,907,700	4,229,905	23,137,605	2,070,000	872,635	2,942,635	16,837,700	3,357,270
			Public Safety	22,221,900	5,361,369	27,583,269	2,200,000	1,017,883	3,217,883	20,021,900	4,343,486
			Transportation	39,266,700	8,940,220	48,206,920	4,215,000	1,809,285	6,024,285	35,051,700	7,130,935
2005A Refunding Total				94,088,100	21,472,559	115,560,659	10,060,000	4,334,143	14,394,143	84,028,100	17,138,416
2007A	107,780,000	1/18/2007	Commercial and Redevelopment	1,600,000	586,625	2,186,625	100,000	70,625	170,625	1,500,000	516,000
			Library	10,848,000	3,977,318	14,825,318	678,000	478,838	1,156,838	10,170,000	3,498,480
			Human Services	2,000,000	733,281	2,733,281	125,000	88,281	213,281	1,875,000	645,000
			Parks	7,752,000	2,842,198	10,594,198	484,500	342,178	826,678	7,267,500	2,500,020
			Parks - NVRPA	4,000,000	1,466,563	5,466,563	250,000	176,563	426,563	3,750,000	1,290,000
			Prim/2nd Road	4,800,000	1,759,875	6,559,875	300,000	211,875	511,875	4,500,000	1,548,000
			Public Safety	38,584,000	14,146,462	52,730,462	2,411,500	1,703,122	4,114,622	36,172,500	12,443,340
			Public Safety -capital renewal	1,600,000	586,625	2,186,625	100,000	70,625	170,625	1,500,000	516,000
			Transit	8,800,000	3,226,438	12,026,438	550,000	388,438	938,438	8,250,000	2,838,000
			Transportation	6,240,000	2,287,838	8,527,838	390,000	275,438	665,438	5,850,000	2,012,400
2007A Total				86,224,000	31,613,221	117,837,221	5,389,000	3,805,981	9,194,981	80,835,000	27,807,240
2008A	99,155,000	1/15/2008	Parks	42,519,000	17,422,300	59,941,300	2,502,000	1,975,770	4,477,770	40,017,000	15,446,530
			Transit	31,923,000	13,081,548	45,004,548	1,879,000	1,483,600	3,362,600	30,044,000	11,597,948
			Library	2,550,000	1,045,125	3,595,125	150,000	118,500	268,500	2,400,000	926,625
			Public Safety	3,689,000	1,511,947	5,200,947	217,000	171,430	388,430	3,472,000	1,340,517
			Transportation	1,044,000	426,792	1,470,792	62,000	48,575	110,575	982,000	378,217
			Public Safety -capital renewal	2,550,000	1,045,125	3,595,125	150,000	118,500	268,500	2,400,000	926,625
2008A Total				84,275,000	34,532,837	118,807,837	4,960,000	3,916,375	8,876,375	79,315,000	30,616,462

COUNTY OF FAIRFAX, VIRGINIA
SCHEDULE OF GENERAL OBLIGATIONS AND LEASE REVENUE BONDS FOR FY 2012
COUNTY DEBT SERVICE

Bond	Original Issue Amount	Issue Date	Category	Principal Outstanding as of 6/30/2011	Interest Outstanding as of 6/30/2011	Total Outstanding as of 6/30/2011	Principal Due FY 2012	Interest Due FY 2012	Total Payment Due FY 2012	Principal Outstanding as of 6/30/2012	Interest Outstanding as of 6/30/2012
2009A	49,000,000	1/23/2009	Library	4,050,000	1,624,782	5,674,782	225,000	174,656	399,656	3,825,000	1,450,125
			Human Services	9,090,000	3,646,731	12,736,731	505,000	392,006	897,006	8,585,000	3,254,725
			Parks	13,770,000	5,524,256	19,294,256	765,000	593,831	1,358,831	13,005,000	4,930,425
			Parks - NVRPA	3,240,000	1,299,825	4,539,825	180,000	139,725	319,725	3,060,000	1,160,100
			Prim/2nd Road	13,050,000	5,235,406	18,285,406	725,000	562,781	1,287,781	12,325,000	4,672,625
			Public Safety	900,000	361,063	1,261,063	50,000	38,813	88,813	850,000	322,250
2009A Total				44,100,000	17,692,063	61,792,063	2,450,000	1,901,813	4,351,813	41,650,000	15,790,250
Series 2009B Refunding	31,883,500	1/23/2009	Adult Detention	812,400	44,421	856,821	321,600	24,372	345,972	490,800	20,049
			Commercial and Redevelopment	10,600	579	11,179	4,200	318	4,518	6,400	261
			Correctional Camp	32,900	1,800	34,700	13,000	987	13,987	19,900	813
			Neighborhood Improvement	1,244,800	68,076	1,312,876	492,600	37,344	529,944	752,200	30,732
			Human Services	937,700	51,273	988,973	371,200	28,131	399,331	566,500	23,142
			Jail & Work Release Facilities	64,500	3,528	68,028	25,500	1,935	27,435	39,000	1,593
			Juvenile Detention	21,200	1,161	22,361	8,400	636	9,036	12,800	525
			Library	1,065,600	58,263	1,123,863	421,900	31,968	453,868	643,700	26,295
			Parks	1,851,300	101,226	1,952,526	732,900	55,539	788,439	1,118,400	45,687
			Prim/2nd Road	1,160,600	63,459	1,224,059	459,500	34,818	494,318	701,100	28,641
			Public Safety	1,572,900	86,004	1,658,904	622,700	47,187	669,887	950,200	38,817
			Storm Drainage	499,500	27,312	526,812	197,700	14,985	212,685	301,800	12,327
			Transit	199,300	10,899	210,199	78,900	5,979	84,879	120,400	4,920
			Transportation	8,098,900	442,845	8,541,745	3,206,100	242,967	3,449,067	4,892,800	199,878
2009B Refunding Total				17,572,200	960,846	18,533,046	6,956,200	527,166	7,483,366	10,616,000	433,680
Series 2009C Refunding	131,800,000	10/28/2009	Adult Detention	1,192,600	358,110	1,550,710	-	57,800	57,800	1,192,600	300,310
			Commercial and Redevelopment	2,325,900	675,045	3,000,945	-	113,277	113,277	2,325,900	561,768
			Neighborhood Improvement	520,900	133,404	654,304	-	25,131	25,131	520,900	108,273
			Human Services	507,300	178,228	685,528	-	25,365	25,365	507,300	152,863
			Juvenile Detention	195,700	51,456	247,156	-	9,603	9,603	195,700	41,853
			Library	1,068,500	375,393	1,443,893	-	53,425	53,425	1,068,500	321,968
			Parks	15,083,500	4,288,582	19,372,082	-	733,049	733,049	15,083,500	3,555,533
			Prim/2nd Road	5,077,600	1,783,765	6,861,365	-	253,880	253,880	5,077,600	1,529,885
			Public Safety	18,000,000	5,397,646	23,397,646	-	867,536	867,536	18,000,000	4,530,110
			Storm Drainage	819,100	216,073	1,035,173	-	40,315	40,315	819,100	175,758
			Transportation	3,735,900	1,004,991	4,740,891	-	186,063	186,063	3,735,900	818,928
2009C Refunding Total				48,527,000	14,462,689	62,989,689	-	2,365,444	2,365,444	48,527,000	12,097,245
Series 2009D Refunding	66,895,000	10/28/2009	Transportation	42,967,200	4,242,706	47,209,906	10,742,800	1,826,076	12,568,876	32,224,400	2,416,630
2009D Refunding Total				42,967,200	4,242,706	47,209,906	10,742,800	1,826,076	12,568,876	32,224,400	2,416,630
Series 2009E Refunding	202,200,000	10/28/2009	Human Services	11,599,000	6,281,583	17,880,583	-	521,572	521,572	11,599,000	5,760,011
			Library	10,200,000	5,523,810	15,723,810	-	458,660	458,660	10,200,000	5,065,150
			Road Bond Construction	14,100,000	7,635,855	21,735,855	-	634,030	634,030	14,100,000	7,001,825
			Parks-NVRPA	2,700,000	1,462,185	4,162,185	-	121,410	121,410	2,700,000	1,340,775
			Parks	11,500,500	6,228,096	17,728,596	-	517,139	517,139	11,500,500	5,710,957
			Public Safety	13,600,500	7,365,351	20,965,851	-	611,569	611,569	13,600,500	6,753,782
2009E Refunding Total				63,700,000	34,496,879	98,196,879	-	2,864,380	2,864,380	63,700,000	31,632,499
2011A								4,728,000	4,728,000		
Total County GO Debt				688,315,788	215,468,860	903,784,648	68,552,621	35,639,374	104,191,995	619,763,167	184,557,486

COUNTY OF FAIRFAX, VIRGINIA
SCHEDULE OF GENERAL OBLIGATIONS AND LEASE REVENUE BONDS FOR FY 2012
COUNTY DEBT SERVICE

Bond	Original Issue Amount	Issue Date	Category	Principal Outstanding as of 6/30/2011	Interest Outstanding as of 6/30/2011	Total Outstanding as of 6/30/2011	Principal Due FY 2012	Interest Due FY 2012	Total Payment Due FY 2012	Principal Outstanding as of 6/30/2012	Interest Outstanding as of 6/30/2012
Lease Revenue Bonds											
2003EDA-Ref	85,650,000	10/1/2003	EDA Gov't Ctr Properties Refundin	52,380,000	12,288,625	64,668,625	5,465,000	2,619,000	8,084,000	46,915,000	9,669,625
2003H	2,530,000	6/1/2003	Gum Springs Glen Head Start	1,751,498	409,764	2,161,262	116,647	59,783	176,429	1,634,851	349,981
2003LRL	15,530,000	6/1/2003	Laurel Hill Golf Course ¹	15,000,000	9,557,725	24,557,725	180,000	673,313	853,313	14,820,000	8,884,413
2005	8,105,000	6/22/2005	Herndon Senior Center	3,240,000	286,740	3,526,740	810,000	114,210	924,210	2,430,000	172,530
2006	8,065,000	8/8/2006	Braddock Glen/Southgate	2,370,000	165,900	2,535,900	1,185,000	106,650	1,291,650	1,185,000	59,250
2010-EDA Ref	43,390,000	3/10/2010	Six Public Facilities	40,920,000	15,297,087	56,217,087	2,585,000	1,409,350	3,994,350	38,335,000	13,887,737
Total Lease Revenue Bonds				115,661,498	38,005,841	153,667,339	10,341,647	4,982,305	15,323,952	105,319,851	33,023,536
Total County Debt Service Fund 200/201				803,977,286	253,474,701	1,057,451,987	78,894,268	40,621,680	119,515,947	725,083,018	217,581,022

¹ Principal and interest payments will be funded by a transfer in from the Park Authority.

COUNTY OF FAIRFAX, VIRGINIA
SCHEDULE OF GENERAL OBLIGATION AND LEASE REVENUE BONDS FOR FY 2012
SCHOOLS DEBT SERVICE

Bond	Original Issue Amount	Issue Date	Category	Principal Outstanding as of 6/30/2011	Interest Outstanding as of 6/30/2011	Total Outstanding as of 6/30/2011	Principal Due FY 2012	Interest Due FY 2012	Total Payment Due FY 2012	Principal Outstanding as of 6/30/2012	Interest Outstanding as of 6/30/2012
G.O. Bonds											
2002A Refunding	34,786,000	6/1/2002	Schools	12,529,412	1,325,743	13,855,155	3,195,378	528,399	3,723,777	9,334,034	797,343
2003A Refunding	88,758,000	6/1/2003	Schools	3,935,000	196,750	4,131,750	3,935,000	196,750	4,131,750	-	-
2003B	128,680,000	5/15/2003	Schools	51,460,000	15,724,250	67,184,250	6,435,000	2,227,238	8,662,238	45,025,000	13,497,013
2004A	120,215,000	4/14/2004	Schools	61,630,500	19,531,270	81,161,770	6,010,000	2,825,916	8,835,916	55,620,500	16,705,354
2004A Refunding	78,165,000	4/14/2004	Schools	30,927,600	4,614,484	35,542,084	6,535,000	1,585,084	8,120,084	24,392,600	3,029,400
2004B	116,280,000	10/19/2004	Schools	58,895,200	17,994,406	76,889,606	5,815,000	2,571,635	8,386,635	53,080,200	15,422,771
2004B Refunding	96,035,000	10/19/2004	Schools	61,535,000	11,697,125	73,232,125	8,130,000	2,847,200	10,977,200	53,405,000	8,849,925
2005A	104,685,000	8/16/2005	Schools	67,785,000	22,224,381	90,009,381	5,270,000	2,973,588	8,243,588	62,515,000	19,250,794
2005A Refunding	235,740,000	8/16/2005	Schools	190,151,900	43,681,723	233,833,623	20,140,000	8,752,845	28,892,845	170,011,900	34,928,878
2007A	126,820,000	2/7/2007	Schools	101,456,000	37,197,891	138,653,891	6,341,000	4,478,331	10,819,331	95,115,000	32,719,560
2008A	135,320,000	1/15/2008	Schools	115,010,000	47,135,363	162,145,363	6,770,000	5,344,575	12,114,575	108,240,000	41,790,788
2009A	150,510,000	1/23/2009	Schools	135,450,000	54,339,907	189,789,907	7,525,000	5,841,281	13,366,281	127,925,000	48,498,625
2009B	26,486,500	1/23/2009	Schools	14,597,800	798,204	15,396,004	5,778,800	437,934	6,216,734	8,819,000	360,270
2009C	83,273,000	10/28/2009	Schools	83,273,000	24,304,461	107,577,461	-	4,045,956	4,045,956	83,273,000	20,258,505
2009D	13,185,000	10/28/2009	Schools	10,547,800	1,041,519	11,589,319	2,637,200	448,274	3,085,474	7,910,600	593,245
2009E	138,499,500	10/28/2009	Schools	138,500,000	75,004,531	213,504,531	-	6,227,880	6,227,880	138,500,000	68,776,651
2011A	173,000,000		Schools					11,591,000	11,591,000		
G.O. Bond Total				1,137,684,212	376,812,007	1,514,496,219	94,517,378	62,923,886	157,441,264	1,043,166,834	325,479,121
Revenue Bonds											
EDA 2003	55,300,000	6/1/2003	South County High School ¹	42,190,000	13,676,550	55,866,550	3,520,000	2,074,300	5,594,300	38,670,000	11,602,250
EDA 2005	60,690,000	1/27/2005	School Admin. Building ²	54,145,000	36,468,531	90,613,531	1,305,000	2,468,523	3,773,523	52,840,000	34,000,009
Revenue Bond Total				96,335,000	50,145,081	146,480,081	4,825,000	4,542,823	9,367,823	91,510,000	45,602,259
Total Schools Debt Service				1,234,019,212	426,957,088	1,660,976,300	99,342,378	67,466,709	166,809,087	1,134,676,834	371,081,379
Total County Debt Service				803,977,286	253,474,701	1,057,451,987	78,894,268	40,621,680	119,515,947	725,083,018	217,581,022
Grand Total Debt Current Service Fund 200/201				2,037,996,498	680,431,789	2,718,428,287	178,236,646	108,088,389	286,325,034	1,859,759,852	588,662,401
Other County Debt Service											
Salona 2005	12,900,000	12/27/2005	Parks ³	9,352,500	2,886,246	12,238,746	645,000	368,489	1,013,489	8,707,500	2,517,758
FCRHA BAN 2008A	37,615,000	2/11/2008	Housing - Crescent ⁴	30,215,000	2,295,700	32,510,700	2,700,000	1,195,100	3,895,100	27,515,000	1,100,600
FCRHA Series 2009	94,950,000	8/20/2009	Housing - Wedgewood ⁴	93,160,000	73,646,568	166,806,568	1,830,000	3,924,063	5,754,063	91,330,000	69,722,506
Grand Total Debt Service All Funds				2,170,723,998	759,260,303	2,929,984,301	183,411,646	113,576,040	296,987,685	1,987,312,352	662,003,264

¹ Principal and interest will be paid by County Debt Service.

² Principal and interest will be paid from a transfer in from the FCPS Operating Fund in connection with a capital lease.

³ Payments for Salona debt are budgeted in Fund 303, County Construction.

⁴ Payments for Crescent and Wedgewood debts are budgeted in Fund 319, The Penny for Affordable Housing.

Capital Project Funds

Overview

The Fairfax County Capital Construction Program (other than sanitary sewer construction and resource recovery projects) is primarily financed through transfers from the General Fund and the sale of General Obligation bonds. Supplementing the General Fund and General Obligation bond monies are additional funding sources including Federal and State grants, contributions, and other miscellaneous revenues.

The following pages provide a narrative description of all capital funds, including Capital Construction Contribution Funds. These narratives include a description of each fund, a Fund Statement, and a Summary of Capital Projects.

Capital Project Funds

- Fund 301 – Contributed Roadway Improvement Fund
- Fund 302 – Library Construction
- Fund 303 – County Construction
- Fund 304 – Transportation Improvements
- Fund 307 – Pedestrian Walkway Improvements
- Fund 311 – County Bond Construction
- Fund 312 – Public Safety Construction
- Fund 314 – Neighborhood Improvement Program
- Fund 315 – Commercial Revitalization Program
- Fund 316 – Pro Rata Share Drainage Construction
- Fund 317 – Capital Renewal Construction
- Fund 318 – Stormwater Management Program
- Fund 370 – Park Authority Bond Construction
- Fund 390 – Public School Construction

Capital Contribution Funds

Fairfax County contributes to the Northern Virginia Regional Park Authority Capital Construction Program for maintenance and major renovation projects associated with 21 regional parks. The County also contributes to the Washington Metropolitan Area Transit Authority (WMATA) to support the 106-mile Metrorail System, as well as to maintain and/or acquire facilities, equipment, railcars and buses.

- Fund 306 – Northern Virginia Regional Park Authority
- Fund 309 – Metro Operations and Construction

Fund 301

Contributed Roadway Improvement Fund

Focus

This fund was created specifically to account for proffered developer contributions received for roadway improvements throughout the County. Developer contributions are based on the developer rate schedule for road improvements in the Fairfax Center, Centreville, and Tysons Corner areas. This schedule is revised periodically by the Board of Supervisors based on the Consumer Price Index.

This fund is also used to provide matching funds to the state for projects identified by the Board of Supervisors in its consideration of the Virginia Department of Transportation (VDOT) Secondary Improvement Budget. Section 33.1-23.05B of the Code of Virginia enables the use of County funds for improvements to the secondary road system, and the Commonwealth Transportation Board has adopted a policy of providing a match of up to \$1 million, through its Revenue Sharing Program, for roadway projects designated by a locality for improvement, construction or reconstruction.

In FY 2012, \$110,000 in anticipated proffer revenue will be transferred to Fund 309, Metro Operations and Construction to provide the same level of annual support for shuttle bus service in the area of the Franconia/Springfield Metrorail Station. Additional proffer revenue and other income will be reflected and appropriated at year end.

No project funding is included in Fund 301, Contributed Roadway Improvement Fund, for FY 2012. Project funding will be appropriated at the fiscal year-end, consistent with the level of developer proffer revenue received during the fiscal year. This approach to Fund 301 project budgeting recognizes that significant fluctuations can occur from year to year in the pace of development with a resulting impact on proffer contributions. In FY 2012, work will continue on existing and previously funded projects using project balances. It is noted that proffer contributions are typically accumulated over a number of years until a sufficient level of revenue support is achieved for a major improvement. In addition, project expenditures cannot begin until the terms of the proffer contribution are met.

A separate project exists for each area for which contributions are received. These projects are described below. As specific roadway improvement projects are identified that conform to the appropriate funding parameters within each of these areas, funding is dedicated to complete the improvements.

Fairfax Center (Route 50/I-66) Developer Contributions – Commitments from developers in the Fairfax Center area are included in individual proffer agreements from zoning cases, and rates of contributions vary by case. The last time the developer rate was adjusted was in November 2010, at which time the rate schedule for road improvements in the Fairfax Center area increased from \$5.25 to \$5.32 per square foot of non-residential building structure and from \$1,164 to \$1,179 per residential dwelling unit. Ten percent of the developer's contribution is paid to the County at the time of the site plan approval. The balance of the amount due is paid as building permits are issued. As negotiated in individual proffer agreements, in-kind contributions of an equivalent value for road improvements can also be made in lieu of cash payments.

Centreville Developer Contributions - Commitments from developers in the Centreville area are included in individual proffer agreements from zoning cases, and rates of contributions vary by case. The last time the developer rate was adjusted was in November 2010, at which time the rate schedule for road improvements in the Centreville area increased from \$5.64 to \$5.71 per square foot of non-residential building structure and from \$2,230 to \$2,258 per residential dwelling unit.

Fund 301

Contributed Roadway Improvement Fund

Countywide Developer Contributions – This project was created to serve as a source of funding for contributions received for countywide roadway improvements. Funds are dedicated for specific improvements when required. Many different projects throughout the County are supported by this project within the following major categories: primary and secondary road improvements, bridge design and construction, intersection/interchange improvements, signal improvements and transit improvements.

Tysons Corner Developer Contributions - This project accounts for private sector contributions received for the Tysons Corner area. Improvements supported by this project include corridor/pedestrian improvements throughout the Tysons Corner area. The last time the developer rate was adjusted was in November 2010, at which time the rate schedule for road improvements in the Tysons area increased from \$3.87 to \$3.92 per square foot of non-residential building structure and from \$859 to \$869 per residential dwelling unit.

Changes to FY 2011 Adopted Budget Plan

The following funding adjustments reflect all approved changes in the FY 2011 Revised Budget Plan since passage of the FY 2011 Adopted Budget Plan. Included are all adjustments made as part of the FY 2010 Carryover Review, FY 2011 Third Quarter Review, and all other approved changes through April 12, 2011.

- ◆ As part of the *FY 2010 Carryover Review*, the Board of Supervisors approved an increase of \$41,442,472 due to the carryover of unexpended balances in the amount of \$42,608,619 and a net decrease of \$1,166,147. This decrease was based on lower than anticipated proffers received in the amount of \$676,098 and lower than anticipated interest earnings of \$492,999, offset by an increase of \$2,950 for the appropriation of miscellaneous revenue received in FY 2010. It is noted that proffer receipts may vary from budget estimates based on actual levels of development.

- ◆ As part of the *FY 2011 Third Quarter Review*, the Board of Supervisors approved an increase of \$10,816 to properly account for revenues received in FY 2010. This revenue was previously reflected in Fund 304, Transportation Improvements.

A Fund Statement and Summary of Capital Projects are provided on the following pages. The Summary of Capital Projects may include some projects without a Total Project Estimate amount. These projects are considered "continuing" projects or projects for which funding is necessary on an ongoing basis (e.g., a contingency or planning project).

Fund 301

Contributed Roadway Improvement Fund

FUND STATEMENT

Fund Type G30, Capital Project Funds

Fund 301, Contributed Roadway
Improvement Fund

	FY 2010 Actual	FY 2011 Adopted Budget Plan	FY 2011 Revised Budget Plan	FY 2012 Advertised Budget Plan	FY 2012 Adopted Budget Plan
Beginning Balance	\$40,503,990	\$0	\$40,316,395	\$0	\$0
Revenue:					
VDOT Revenue ¹	\$13,529	\$0	\$744,584	\$0	\$0
Federal Transportation Administration ²	0	0	392,309	0	0
Fairfax Center Developer Contributions	804,776	0	0	0	0
Centreville Developer Contributions	13,529	0	0	0	0
Miscellaneous Developer Contributions ³	1,316,413	0	0	110,000	110,000
Tysons Corner Reserve Contributions	0	0	0	0	0
Pooled Interest ⁴	272,997	110,000	110,000	0	0
Miscellaneous	2,950	0	0	0	0
Total Revenue	\$2,424,194	\$110,000	\$1,246,893	\$110,000	\$110,000
Total Available	\$42,928,184	\$110,000	\$41,563,288	\$110,000	\$110,000
Total Expenditures	\$2,501,789	\$0	\$41,453,288	\$0	\$0
Transfers Out:					
Metro Operations and Construction (309) ⁵	\$110,000	\$110,000	\$110,000	\$110,000	\$110,000
Total Transfers Out	\$110,000	\$110,000	\$110,000	\$110,000	\$110,000
Total Disbursements	\$2,611,789	\$110,000	\$41,563,288	\$110,000	\$110,000
Ending Balance^{3,6,7}	\$40,316,395	\$0	\$0	\$0	\$0

¹ Represents Virginia Department of Transportation (VDOT) revenue associated with Project 009913, Dolley Madison Boulevard.

² Represents Federal Transportation Administration revenue associated with Project 009914, Job Access/Reverse Commute Pedestrian Projects in the Tysons Corner Area.

³ In order to account for revenues and expenditures in the proper fiscal year, an audit adjustment in the amount of \$10,815.70 has been reflected as an increase to FY 2010 revenues to properly realign revenues that were previously reflected in Fund 304, Transportation Improvements. This results in an increase of \$10,815.70 to the fund balance. The audit adjustment has been included in the FY 2010 Comprehensive Annual Financial Report (CAFR). Details of the FY 2010 audit adjustment were included in the FY 2011 Third Quarter Package.

⁴ Pooled interest is earned on the contributions as well as the accumulated fund balance in this fund.

⁵ Represents funds to be transferred to Fund 309, Metro Operations and Construction, to support Metro shuttle bus service in the Franconia/Springfield area.

⁶ Capital projects are budgeted based on the total project costs. Most projects span multiple years, from design to construction completion. Therefore, funding for capital projects is carried forward each fiscal year, and ending balances fluctuate, reflecting the carryover of these funds.

⁷ The \$40.3 million FY 2010 ending balance will meet capital project requirements in FY 2011 and future years. It is noted that proffered contributions cannot be expended until the terms of the proffer are met and until multiple contributions can be aggregated to meet total estimated costs of a project. As a result, a proffered contribution may be held in balance for several years, earning interest.

Fund 301

Contributed Roadway Improvement Fund

Fund: 301, Contributed Roadway Improvement Fund

Project #	Description	Total Project Estimate	FY 2010 Actual Expenditures	FY 2011 Revised Budget	FY 2012 Advertised Budget Plan	FY 2012 Adopted Budget Plan
007700	Fairfax Center Developer Contributions		\$1,107,821.23	\$4,672,428.25	\$0	\$0
007701	Route 50/Waples Mill Interchange	4,132,878	33,378.91	92.55	0	0
007702	Tall Timbers Drive	1,382,091	22,575.23	6,556.43	0	0
008800	Centreville Developer Contributions		0.00	604,273.78	0	0
008801	Stone Road	1,994,990	277.65	0.00	0	0
008803	Route 29 Widening	1,455,771	44,239.52	563,686.00	0	0
008804	Poplar Tree Road	550,000	27,100.80	45,140.01	0	0
009900	Countywide Developer Contributions		824,782.47	17,141,956.72	0	0
009901	Primary Improvements		0.00	0.00	0	0
009903	Bridge Design/Construction		0.00	0.00	0	0
009906	Signal Installations	516,503	(17,068.98)	0.00	0	0
009911	Tysons Corner Developer Contributions		416,653.64	13,049,539.55	0	0
009913	Dolley Madison Blvd	8,945,941	3,642.34	1,342,279.61	0	0
009914	Job Access/Reverse Commute Pedestrian Improvements	997,800	38,386.21	677,334.71	0	0
009915	Tysons Corner Grid Concept	2,500,000	0.00	2,500,000.00	0	0
009916	Tysons Circulator Feasibility Study	500,000	0.00	500,000.00	0	0
009917	Tysons Metrorail Access Management	350,000	0.00	350,000.00	0	0
Total		\$23,325,974	\$2,501,789.02	\$41,453,287.61	\$0	\$0

Fund 302

Library Construction

Focus

This fund supports the construction and renovation of a network of facilities operated by the Fairfax County Public Library that offer library services according to the needs of the community. Approved library construction projects have been primarily financed with General Obligation Bonds and are based on factors such as age and condition of buildings, long-range space needs, projected population growth, usage and demand for services in underserved areas of the County. New library facilities are designed to utilize new information resources delivery, and existing facilities from the early 1960s are being redesigned and renovated to maximize space, as well as accommodate modern technology.

In the fall of 2004, the voters approved a Public Library Bond Referendum totaling \$52.5 million for library projects. Funding provided for two new libraries, four renovation projects and prioritized capital renewal of libraries throughout the County. In order to ensure adequate facilities and address demands for services, the Burke Centre and Oakton libraries were constructed. The selection of libraries for renovation was based on



the age, condition and usage at each facility. Four of the oldest libraries were included on the bond referendum for renovation and expansion. These libraries were between 30- and 40-years-old, could not readily be adapted to the requirements of modern technology, needed quiet study space and were recommended based on level of usage. Renovation and expansion construction of the Richard Byrd Community, Martha Washington Community, and Thomas Jefferson Community libraries were completed in summer 2010. Renovation and expansion of the Dolley Madison Community Library is underway and will be completed by August 2011. Design work on the renovation and expansion of the Woodrow Wilson Library began in April 2011. Feasibility studies for library renewals will occur for Pohick, Tysons Pimmit, and John Marshall libraries during calendar year 2011.

No funding is included in Fund 302, Library Construction for FY 2012. Work will continue on existing and previously funded projects.

Fund 302 Library Construction

Changes to FY 2011 Adopted Budget Plan

The following funding adjustments reflect all approved changes in the FY 2011 Revised Budget Plan since passage of the FY 2011 Adopted Budget Plan. Included are all adjustments made as part of the FY 2010 Carryover Review, FY 2011 Third Quarter Review, and all other approved changes through April 12, 2011.

- ◆ As part of the *FY 2010 Carryover Review*, the Board of Supervisors approved an increase of \$18,699,086 due to the carryover of unexpended project balances in the amount of \$18,703,920 offset by a net reduction of \$4,834. This adjustment is associated with a decrease of \$8,348 in revenues originally estimated to be received from Fairfax City as part of the Project Development Agreement to construct the new Fairfax City Library. This library has been complete for several years and based on actual expenditures, no more revenue is expected. This decrease is partially offset by an increase in the amount of \$3,514 to appropriate miscellaneous revenues received in FY 2010.

- ◆ As part of the *FY 2011 Third Quarter Review*, the Board of Supervisors made no adjustments to this fund.

A Fund Statement and a Summary of Capital Projects are provided on the following pages. The Summary of Capital Projects may include some projects without a Total Project Estimate amount. These projects are considered "continuing" projects or projects for which funding is necessary on an ongoing basis (e.g., a contingency or planning project).

Fund 302 Library Construction

FUND STATEMENT

Fund Type G30, Capital Project Funds

Fund 302, Library Construction

	FY 2010 Actual	FY 2011 Adopted Budget Plan	FY 2011 Revised Budget Plan	FY 2012 Advertised Budget Plan	FY 2012 Adopted Budget Plan
Beginning Balance	\$9,361,395	\$0	\$7,378,661	\$0	\$0
Revenue:					
Sale of Bonds ¹	\$10,200,000	\$0	\$11,380,000	\$0	\$0
Miscellaneous	3,514	0	0	0	0
Total Revenue	\$10,203,514	\$0	\$11,380,000	\$0	\$0
Total Available	\$19,564,909	\$0	\$18,758,661	\$0	\$0
Total Expenditures²	\$12,186,248	\$0	\$18,758,661	\$0	\$0
Total Disbursements	\$12,186,248	\$0	\$18,758,661	\$0	\$0
Ending Balance³	\$7,378,661	\$0	\$0	\$0	\$0

¹ The sale of bonds is presented here for planning purposes only. Actual bond sales are based on cash needs in accordance with Board policy. The fall 2004 Public Library Facilities bond referendum approved by voters on November 2, 2004 included \$52.5 million to provide new library facilities, as well as renovate existing libraries. Capital renewal bonds in the amount of \$2.5 million were expended in Fund 317, Capital Renewal Construction. The FY 2010 Actuals reflect an amount of \$10.2 million sold in October 2009. Including prior sales, a balance of \$11.38 million remains in authorized but unissued bonds for this fund.

² In order to account for revenues and expenditures in the proper fiscal year, audit adjustments in the amount of \$59,575.09 has been reflected as a decrease to FY 2010 expenditures due to expenditure accruals. This impacts the amount carried forward and results in an increase of \$59,575.09 to the *FY 2011 Revised Budget Plan*. The projects affected by these adjustments are Projects 004842 Thomas Jefferson Community Library, 004843 Richard Byrd Community Library, 004844 Dolley Madison Community Library, and 004845 Martha Washington Community Library. The audit adjustments have been included in the FY 2010 Comprehensive Annual Financial Report (CAFR). Details of the FY 2010 audit adjustment were included in the FY 2011 Third Quarter Package.

³ Capital projects are budgeted based on total project costs. Most projects span multiple years, from design to construction completion. Therefore, funding for capital projects is carried forward each fiscal year, and ending balances fluctuate, reflecting the carryover of these funds.

Fund 302

Library Construction

FY 2012 Summary of Capital Projects

Fund: 302, Library Construction

Project #	Description	Total Project Estimate	FY 2010 Actual Expenditures	FY 2011 Revised Budget	FY 2012 Advertised Budget Plan	FY 2012 Adopted Budget Plan
004822	Library Contingency		\$0.00	\$940,704.87	\$0	\$0
004838	Burke Centre Library	10,447,254	56,237.30	289,855.40	0	0
004839	Oakton Community Library	6,475,000	529.00	374,260.57	0	0
004840	Kingstowne Reg. Library	3,626,998	2,714.80	1,264.85	0	0
004842	Thomas Jefferson Community Library	6,856,000	3,545,653.52	232,996.24	0	0
004843	Richard Byrd Comm. Library	7,360,081	4,323,404.54	281,162.99	0	0
004844	Dolley Madison Comm. Library	10,970,453	586,766.15	9,119,635.61	0	0
004845	Martha Washington Comm. Library	6,007,149	3,670,942.40	571,855.16	0	0
004848	Woodrow Wilson Community Library	6,547,000	0.00	6,547,000.00	0	0
004850	Feasibility Studies		0.00	399,925.14	0	0
Total		\$58,289,936	\$12,186,247.71	\$18,758,660.83	\$0	\$0

Fund 303

County Construction

Focus

This fund provides for critical park maintenance and repairs, as well as athletic field maintenance on both Park Authority and Fairfax County Public School (FCPS) fields. Funding is also provided for on-going initiatives such as development and management of the County's Laurel Hill property, environmental initiatives to support the Board of Supervisors 20-year Vision Plan and revitalization initiatives. In addition, this fund supports payments and obligations such as lease-purchase agreements, the acquisition of properties, construction and renovation projects associated with County facilities, and the County's annual contributions to the School-Age Child Care (SACC) Center Program and the Northern Virginia Community College.

Funding in the amount of \$16,723,869 is included in Fund 303, County Construction, in FY 2012. Funding includes an amount of \$14,919,369 supported by a General Fund Transfer, \$404,500 supported by a transfer from Fund 105, Cable Communications, \$300,000 supported by revenue bonds, and \$1,100,000 supported by the Athletic Services Fee. It should be noted that funding has been limited to the most critical priority projects. A summary of those projects funded in FY 2012 follows:

Park Maintenance Projects

FY 2012 funding in the amount of \$2,482,076 has been included for Park maintenance of both facilities and grounds. The Park facilities maintained with General Fund monies include but are not limited to: rental properties, historic properties, nature centers, maintenance facilities, sheds, shelters, and office buildings. Park priorities are based on the assessment of current repair needs including safety and health issues, Americans with Disability Act (ADA) retrofits, facility protection, facility renewal and improved services. In addition, Park maintenance requirements are generated through scheduled preventative maintenance or from user requests for facility alterations. Without significant reinvestment in building and grounds, older facilities can fall into a state of ever decreasing condition and functionality, resulting in increased maintenance and repair costs in the future. Preventative and repair work is required for roof replacement and repair, HVAC, electrical and lighting systems, fire alarm systems and security systems. Funding is essential to the maintenance and repair of building stabilization, including capital renewal of over 537,000 square feet of buildings. Maintenance is also required on over 580 pieces of grounds equipment.

Specific funding levels in FY 2012 include:

- ◆ An amount of \$425,000 for general park maintenance at non-revenue supported Park facilities. These maintenance requirements include major non-recurring repairs and stabilization of new properties, as well as repairs/replacements and improvements to roofs, electrical and lighting systems, sprinklers, HVAC systems, and the replacement of security and fire alarm systems. In FY 2012, funding is included to: stabilize and protect the Silo at Turner Farm (\$150,000); replace aged security systems at various sites throughout the County (\$75,000); repair and replace roofs at prioritized picnic shelters, nature centers and maintenance shops (\$100,000); and stabilize and repair the roof at the Grist mill barn (\$100,000).
- ◆ An amount of \$987,076 to fund annual requirements for Parks grounds maintenance at non-revenue supported parks. At present, responsibilities include the care for a total park acreage of over 24,000 acres of land, with 417 park site locations, maintenance and repair of tennis courts, basketball courts, trails, picnic areas and picnic shelters, playgrounds, bridges, parking lots and roadways, and stormwater ponds.

Fund 303

County Construction

- ◆ An amount of \$470,000 to provide corrective and preventive maintenance for over 537,000 square feet at non-revenue supported Park Authority structures and buildings. These repairs include the replacement of broken windows and doors, equipment repairs and the scheduled inspection and maintenance of HVAC, plumbing, electrical, security and fire alarm systems. This funding is critical in order to prevent the costly deterioration of facilities due to lack of maintenance.


- ◆ An amount of \$600,000 to address requirements associated with ADA compliance at Park facilities. FY 2012 funding will provide for annual requirements estimated at \$300,000 for continued retrofits at the Lake Fairfax Park camp office and bath house. In addition, an amount of \$300,000 has been included to begin to address Department of Justice (DOJ) audit findings. In May and June 2007, the United States Department of Justice conducted an audit of the County government facilities and programs to determine compliance with the Americans with Disabilities Act (ADA) which requires accessibility to facilities and programs for individuals with disabilities. DOJ has been conducting audits of various governments and private facilities across the country for the past decade. The audit of Fairfax County was part of this national audit program, and was not a result of any specific complaints in the County. The DOJ presented the County with the audit results in August 2009. The audit covered 78 buildings in the County and listed approximately 2,100 violations as well as approximately ten program areas which needed improvement in order to comply with the ADA. These violations ranged from updating emergency management procedures, web-based services, and general communication procedures, to improving access to buildings, parking garages, restrooms and elevators. Identified violations have been categorized by color: easy, inexpensive (green); more timely and costly (yellow); and difficult, time consuming, and/or expensive (red). FY 2012 funding will provide for the mitigation of violations categorized as "green" or "yellow" within Park Authority facilities and programs. Park Authority violations categorized as "red" are estimated to require an additional \$4 million to mitigate. This funding will be required in future years. It should be noted that funding for violations associated with County owned buildings and facilities has also been included in FY 2012 and is detailed below.

Athletic Field Maintenance and Sports Projects

FY 2012 funding in the amount of \$5,747,535 has been included for the athletic field maintenance and sports program. This level of funding is supported by a General Fund transfer of \$4,647,535 and revenue generated from the Athletic Services Fee in the amount of \$1,100,000. Of the Athletic Services Fee total, \$250,000 will be dedicated to maintenance of school athletic fields, \$350,000 will be dedicated to the synthetic turf field development, \$150,000 will be dedicated to a new turf field replacement program, \$275,000 will be dedicated to custodial support for indoor sports organizations and \$75,000 will partially fund the Youth Sports Scholarship Program.

Fund 303 County Construction

Specific funding levels in FY 2012 include:

- ◆ Two projects support maintenance efforts at Fairfax County Public School (FCPS) fields, totaling \$1,722,535. An amount of \$722,535 supports general maintenance including mowing at 505 athletic fields (approximately 176 school sites). This effort is supported entirely by the General Fund and is managed by the Park Authority. An additional amount of \$1,000,000 is also dedicated to maintenance of school athletic fields to supplement general maintenance and directly applies revenue generated by the Athletic Services Fee to the athletic field maintenance program. This program provides twice weekly infield preparation on elementary, middle and high school game fields (110 fields); pre- or post-season infield renovations (200 fields); mowing and turf management on high school fields after June 1st (55 fields); and annual maintenance of irrigation systems (65 fields). All field maintenance is coordinated between the Park Authority and the Department of Neighborhood and Community Services. Of the total funding, an amount of \$250,000 is included for this program based on the FY 2012 projection of revenue generated from the Athletic Services Fee and \$1,472,535 is supported by the General Fund.
- 
- ◆ An amount of \$350,000 is included to support the development of synthetic turf fields. Fields are chosen through a review process based on the need in the community, projected community use and the field location and amenities. Synthetic turf fields improve the capacity, safety, playability, and availability of existing athletic fields. Artificial fields offer a cost effective way of increasing capacity on fields at existing parks and schools. This effort is coordinated between the Park Authority and the Department of Neighborhood and Community Services and funding is provided from revenue generated from the Athletic Services Fee. In addition, on November 7, 2006, the voters approved a \$25 million Park Bond Referendum of which \$10 million was earmarked to fund the conversion of up to 12 fields from natural turf to synthetic turf. Funding of \$500,000 had been dedicated to this program annually; however, in FY 2012 athletic services fee revenue funding of \$150,000 has been redirected in order to establish a turf field replacement program.
 - ◆ An amount of \$500,000 is included to establish a new turf field replacement program. Funding of \$150,000 is supported by the athletic services fee revenue and \$350,000 is supported by the General Fund. There are currently 29 operational turf fields throughout the County. The oldest field was built in September 2003 and is over 8 years old. Generally the useful life of a turf fields is 8 to 10 years, with replacement costs estimated at approximately \$400,000 per field. Turf fields have proven to be much easier to maintain and are superior to grass surfaces in terms of playability and safety. There are over 100,000 youth and adults that participate annually on rectangular fields that benefit from turf fields. If turf fields are not replaced when needed, they would need to be closed due to safety reasons. In FY 2012, the replacement program has been initiated at the \$500,000 level; however, based on the age and number of turf fields, a contribution of approximately \$1.0 million annually would be required to fully fund the replacement program. The FY 2012 level will allow the County to begin to plan for the gradual replacement of turf fields as they reach the end of their useful life, without a significant disruption in service.

Fund 303 County Construction

- ◆ An amount of \$275,000 is included for custodial support for indoor gyms used by sports organizations. The use of FCPS indoor facilities on the weekend requires FCPS to schedule a school system employee to open and close the facility. Revenue generated from the Athletic Services Fee is used to provide payment for FCPS staff, eliminating the need for indoor sports organizations to pay the hourly rate previously charged. This project is entirely supported by revenue generated from the Athletic Services Fee and is managed by the Department of Neighborhood and Community Services.
- ◆ An amount of \$2,500,000 is included for athletic field maintenance efforts, athletic field lighting and irrigation on 287 Park Authority athletic fields of which 99 are lighted and 132 are irrigated. The fields are used by 174,000 users and 200 user groups. This effort is supported entirely by the General Fund and is managed by the Park Authority.
- ◆ An amount of \$200,000 is included to continue the replacement and upgrading of Fairfax County Public Schools (FCPS) athletic field lighting systems at middle and high schools used by many County organizations. Prior to FY 2010, two separate projects existed to fund FCPS athletic field lighting; one for boys' athletic fields and one for girls' softball fields. The Department of Neighborhood and Community Services combined the two field lighting projects to allow for an improved prioritization and implementation process for field lighting projects throughout the County. Funding supports a replacement and repair schedule, as well as improvements to bring existing lighting systems up to new standards. The school system's Office of Design and Construction Services ensures lighting standards are maintained and FCPS annually prioritizes funding for field lighting. FY 2012 funding supports replacement and repair projects for existing lighting systems only. This project is supported entirely by the General Fund and coordinated by Department of Neighborhood and Community Services.
- ◆ An amount of \$50,000 is included for routine maintenance of girls' softball field amenities on select Fairfax County Public School sites. These amenities, such as dugouts, fencing and irrigation systems, were added or constructed by the County based on recommendations from the citizen-led Action Plan Review Team (APRT) in order to reduce disparities in the quality of fields assigned to boys' baseball and girls' softball organizations. Routine maintenance is necessary both to maintain equity and to ensure safety. For five years, funding of \$200,000 was provided to support Girls' Fast Pitch Field Maintenance improvements to various girls' softball fields throughout the County as requested by the Fairfax Athletic Inequities Reform (FAIR). Funding for the Girls' Fast Pitch Maintenance project ended in FY 2004. FY 2012 funding will provide maintenance to the improvements and amenities previously made to girls' softball fields. This project is supported entirely by the General Fund and coordinated by Department of Neighborhood and Community Services.
- ◆ An amount of \$150,000 is included for the Youth Sports Scholarship Program. The Youth Sports Scholarship Program provides support to youth from low-income families who want to participate in community-based sports programs. In FY 2010, youth sports scholarship recipients totaled 2,894. Of the total funding, an amount of \$75,000 is included for this program based on the FY 2012 projection of revenue generated from the Athletic Services Fee, and \$75,000 is supported by the General Fund.

Fund 303 County Construction

On-going Development Efforts

FY 2012 funding in the amount of \$2,719,359 has been included for costs related to on-going development efforts throughout the County, specifically:

- ◆ Funding of \$1,559,859 is included to address only the most critical aspects of property management at the Laurel Hill property. Laurel Hill was transferred to the County by the federal government in early 2002. The property includes approximately 2,340 acres of land and 1.48 million square feet of building space. Of the amount funded in FY 2012, \$1,262,739 will fund the Facilities Management Department's security, maintenance services, grounds maintenance and support staff. The remaining \$297,120 will fund Park Authority critical maintenance activities and support staff.
- ◆ An amount of \$404,500 is transferred from Fund 105, Cable Communications to support wiring, cabling, fiber and communication interconnection equipment associated with phone and data systems at new or expanded facilities scheduled to open in FY 2013. Funding for the wiring and cables must be in place prior to the opening of the facilities based on the building and renovation schedules. The facilities include: Providence Community Center, West Ox Animal Shelter, I-66 Workers Facility, Fair Oaks Police Station and Newington Garage Expansion.
- ◆ An amount of \$190,000 is included for revitalization initiatives within the Office for Community Revitalization and Reinvestment including marketing materials for countywide revitalization activities, consultant services and training. In FY 2012, funding is anticipated to support consultant expenses specifically in the Reston and Tyson's areas.
- ◆ An amount of \$390,000 is included to continue certain non-routine maintenance in five major commercial revitalization areas (Annandale, Route 1, Springfield, McLean and Baileys Crossroads). This funding provides for: fixing benches and furniture, signs that are broken; fixing broken brick pavers; pruning trees and replacing dead trees; and maintaining appropriate site distances (trimming) on a priority basis. This funding partially supports the maintenance effort and does not fully fund the program. Funding for routine maintenance such as: mulching, fertilizing, broadleaf and weed control, edging, crack weed control, pest control, annual or perennial plantings, leaf removal in the fall, litter collection and removal of trash cans will be prioritized.
- ◆ An amount of \$100,000 is included for the Emergency Directives Program. The Emergency Directives Program was established to provide for abatement services of both emergency and non-emergency directives related to health and safety violations, grass mowing violations, and graffiti removal directives. The funds are used to perform corrective maintenance for code violations under Chapter 46, and Chapter 119, of the Fairfax County Code, in which cited property owners fail to correct. There are several factors contributing to the recent increase in abatement services such as, development of new abatement requirements, and a significant increase in property foreclosures within the County.
- ◆ An amount of \$75,000 is included to support the maintenance and establishment of geodetic survey control points for the geographic information system (GIS). This project also supports the development and maintenance of an interactive, GIS-based web site which will provide convenient and cost effective monumentation information to the County's land development customers.

Fund 303 County Construction

Road Improvement/Developer Default Projects

FY 2012 funding in the amount of \$700,000 has been included for on-going developer default and road maintenance projects. Specific funding levels include:

- ◆ Funding of \$600,000 to support the Developer Default program. This project is necessitated by economic conditions surrounding the construction industry that result in some developers not completing required public facilities, including acceptance of roads by the state, walkways and storm drainage improvements. Land Development Services (LDS) will identify projects for resolution in FY 2012, as well as respond to requests to prepare composite cost estimates to complete existing developer default projects. Total FY 2012 funding is supported by \$300,000 in projected developer default revenue, and \$300,000 in General Fund monies.
- ◆ Funding of \$100,000 to support the Emergency Road Repairs program and the Road Maintenance program, which were combined in FY 2010. Staff will prioritize funding for projects including emergency safety and road repairs to County-owned service drives and County-owned stub streets which are currently not accepted by the Virginia Department of Transportation (VDOT) into the state highway system for maintenance and other on-going road maintenance work. On-going road maintenance includes, but is not limited to, pothole repair, drive surface overlays, sidewalk and curb repairs, traffic and pedestrian signage, hazardous tree removal, grading, snow and ice control, replacement of substandard materials, patching of existing travelways, minor ditching and stabilization of shoulders, slopes and drainage facilities.

Environmental Initiatives

FY 2012 funding in the amount of \$85,000 has been included for environmental initiatives. These initiatives directly support the Board of Supervisors Environmental Agenda. The Environmental Excellence 20-year Vision Plan (Environmental Agenda) includes six topic areas: Growth and Land Use; Air Quality and Transportation; Water Quality; Solid Waste; Parks, Trails and Open Space; and Environmental Stewardship. In addition, an amount of \$87,210 has been provided in Fund 119, Contributory Fund to continue partnering with three non-profit agencies to support tree planting efforts throughout the County. Specific funding levels include:

- ◆ An amount of \$15,000 to provide for continued outreach efforts and air quality awareness in order to fulfill the County's commitment to the State Implementation Plan (SIP) for Clean Air Partners. Funding will support outreach efforts to educate residents, employees and businesses to take voluntary actions that will improve the air quality in the region, as well as to collaborate with Clean Air Partners in their efforts to raise awareness of air pollution and continue the County's participation as a business sponsor in their media campaign.
- ◆ An amount of \$70,000 to continue the Invasive Plant Removal Program. The Park Authority manages this volunteer program, as well as other invasive removal initiatives. These programs restore hundreds of acres of important natural areas, protect tree canopy, and reach thousands of volunteers. Currently 44 trained volunteer leaders have committed to four work-days per year at 36 sites. Over 15,000 volunteer hours have been contributed since the Invasive Plant Removal Program's inception in 2005.

Fund 303

County Construction

Payments and Obligations

FY 2012 funding in the amount of \$4,989,899 has been included for costs related to annual contributions and contractual obligations.

- ◆ Funding of \$1,013,489 is included for the annual payment associated with the Salona property based on the Board of Supervisors' approval of the purchase of this conservation easement on September 26, 2005. The total cost of the property is \$18.2 million with payments scheduled through FY 2026.
- ◆ Funding of \$750,000 is included for the County's annual contribution to offset school operating and overhead costs associated with School-Age Child Care (SACC) Centers.
- ◆ Funding of \$1,554,710 is included for Fairfax County's contribution to the Northern Virginia Community College (NVCC). Funding provides for the continued construction and maintenance of various capital projects on college campuses within the NVCC system. Since FY 2006, the County contribution had remained unchanged at \$1.00 per capita; however, in FY 2011 the funding level was raised to \$1.25 per capita and in FY 2012 the level of support is recommended to be \$1.50 per capita. The County contribution has been increased in both FY 2011 and FY 2012 due to the unprecedented 12 percent growth in the NVCC student enrollment and the corresponding capital program requirements. The NVCC currently serves over 72,000 students surpassing all previous expectations of growth and capital planning. It is estimated that the NVCC serves an average of 20 percent of each high school graduating class in addition to increased support for local workers seeking new skills in a tough job market. The NVCC capital plan has recently been adjusted to keep pace with this accelerated enrollment and it is anticipated that capital contributions from the partners will be adjusted gradually to avoid a major commitment from supporting jurisdictions in any given year. It is projected that the per capita support from the NVCC partners could reach \$2.50 per capita in the next six years. The NVCC has indicated that every dollar contributed to the capital program leverages \$29 in state funds back to Northern Virginia. The \$1.50 rate is applied to the population figure provided by the Weldon Cooper Center.
- ◆ Funding in the amount of \$1,571,700 is included to begin to address Department of Justice (DOJ) audit findings. In May and June 2007, the United States Department of Justice conducted an audit of the County government facilities and programs to determine compliance with the Americans with Disabilities Act (ADA) which requires accessibility to facilities and programs for individuals with disabilities. DOJ has been conducting audits of various governments and private facilities across the country for the past decade. The audit of Fairfax County was part of this national audit program, and was not a result of any specific complaints in the County. The DOJ presented the County with the audit results in August 2009. The audit covered 78 buildings in the County and listed approximately 2,100 violations as well as approximately ten program areas which needed improvement in order to comply with the ADA. These violations ranged from updating emergency management procedures, web-based services, and general communication procedures, to improving access to buildings, parking garages, restrooms and elevators. Identified violations have been categorized by color: easy, inexpensive (green); more timely and costly (yellow); and difficult, time consuming, and/or expensive (red). The FY 2012 funding will provide for the mitigation of violations categorized as "green" and "yellow" within 33 County-owned facilities. County violations categorized as "red" are estimated to require an additional \$6.8 million to mitigate. This funding will be required in future years. It should be noted that funding for violations associated with Park Authority buildings and facilities has also been included in FY 2012 and is detailed above.

Fund 303 County Construction

- ◆ Funding of \$100,000 is included to support payments to developers for interest earned on conservation bond deposits. The County requires developers to contribute funds to ensure the conservation of existing natural resources. Upon satisfactory completion of projects, the developer is refunded the deposit with interest. This estimate is based on actual experience in the past several years.

Changes to FY 2011 Adopted Budget Plan

The following funding adjustments reflect all approved changes in the FY 2011 Revised Budget Plan since passage of the FY 2011 Adopted Budget Plan. Included are all adjustments made as part of the FY 2010 Carryover Review, FY 2011 Third Quarter Review, and all other approved changes through April 12, 2011.

- ◆ As part of the *FY 2010 Carryover Review*, the Board of Supervisors approved an increase of \$49,950,486 due to the carryover of unexpended project balances in the amount of \$48,833,289 and an adjustment of \$1,117,197. This adjustment included: the appropriation of revenues received in FY 2010 associated with higher than anticipated Athletic Service Fee revenue of \$8,557; higher than anticipated collections of \$85,036 associated with abatement services of both emergency and non-emergency directives related to health and safety violations; \$77,400 received from the sale of portions of County-owned properties to VDOT for the final segment of the Fairfax County Parkway which will be dedicated to the Mason Neck Trail; \$21,968 in collections associated with Code Enforcement activities throughout the County; and an amount of \$281 in miscellaneous revenues associated with the sale of plans. In addition, this adjustment includes a transfer in from Fund 200-201, Consolidated Debt Service in the amount of \$593,500 to support the purchase of two residential properties on West Ox Road as approved by the Board of Supervisors on February 9, 2010 and a General Fund transfer increase of \$330,455 to provide continued funding for the Partners in Prevention Program, available from savings identified in various Human Services operating agencies at year end.
- ◆ As part of the *FY 2011 Third Quarter Review*, the Board of Supervisors approved a decrease of \$17,200,000 due to a decrease in appropriation authority of \$18,200,000 to properly account for previous actions taken to fulfill the County's obligation to contribute to the construction of the South County High School. This decrease was offset by an increase of \$1,000,000 for the Developer Streetlight Program. This Program is being reinstated based on notification from VA Power that they will no longer accept third party payment from developers for installation of streetlights. Fairfax County is the only jurisdiction in the State where developers currently make payments directly to VA Power. Effective July 1, 2011, the County will return to coordinating between VA Power and the developers and developers will make direct payments to the County. Anticipated revenues are increased by a like amount.

A Fund Statement and a Summary of Capital Projects are provided on the following pages. The Summary of Capital Projects may include some projects without a Total Project Estimate amount. These projects are considered "continuing" projects or projects for which funding is necessary on an ongoing basis (e.g., a contingency or planning project).

Fund 303 County Construction

FUND STATEMENT

Fund Type G30, Capital Project Funds

Fund 303, County Construction

	FY 2010 Actual	FY 2011 Adopted Budget Plan	FY 2011 Revised Budget Plan	FY 2012 Advertised Budget Plan	FY 2012 Adopted Budget Plan
Beginning Balance¹	\$45,285,464	\$0	\$20,938,093	\$0	\$0
Revenue:					
Miscellaneous ²	\$184,685	\$0	\$0	\$0	\$0
Developer Payments-Streetlights ³	32,285	0	1,059,718	0	0
Hunter Mill Streetlight Contributions ⁴	0	0	95,000	0	0
Developer Defaults	1,851,174	300,000	348,826	300,000	300,000
State Aid ⁵	0	0	210,000	0	0
Athletic Services Out of County Fees ⁶	115,000	0	0	0	0
Energy Efficiency and Conservation Block Grant (EECBG) ⁷	236,344	0	9,406,456	0	0
Maintenance Fee Revenue ⁸	1,108,557	1,100,000	1,100,000	1,100,000	1,100,000
Total Revenue	\$3,528,045	\$1,400,000	\$12,220,000	\$1,400,000	\$1,400,000
Transfer In:					
General Fund (001)	\$12,109,784	\$12,062,406	\$12,392,861	\$14,919,369	\$14,919,369
Cable Communications (105) ⁹	0	0	0	404,500	\$404,500
Consolidated Debt Service (200-201) ¹⁰	0	0	593,500	0	0
Housing Assistance Pgm (340) ¹¹	300,241	0	0	0	0
Total Transfers In	\$12,410,025	\$12,062,406	\$12,986,361	\$15,323,869	\$15,323,869
Total Available	\$61,223,534	\$13,462,406	\$46,144,454	\$16,723,869	\$16,723,869
Total Expenditures¹²	\$20,585,441	\$13,462,406	\$46,144,454	\$16,723,869	\$16,723,869
Transfers Out:					
Public Safety Construction (312) ¹³	\$1,500,000	\$0	\$0	\$0	0
Total Transfers Out	\$1,500,000	\$0	\$0	\$0	\$0
Total Disbursements	\$22,085,441	\$13,462,406	\$46,144,454	\$16,723,869	\$16,723,869
Ending Balance¹⁴	\$39,138,093	\$0	\$0	\$0	\$0

¹ The FY 2011 Revised Beginning Balance was adjusted by \$18.2 million based on the payment of the County's obligation to the Fairfax County Public Schools (FCPS) for construction of the South County High School through a trust account. Appropriation authority is no longer required in Fund 303 as all obligations to the FCPS have been fulfilled and disbursements made through a non-operating general ledger account.

² Miscellaneous receipts in FY 2010 represent an amount of \$77,400 received for Project 009473, Mt Vernon District Capital Projects, \$85,036 received for Project ED0001, Emergency Directives, \$21,968 for Project 009801, Strike Force Blight Abatement and \$281 in other miscellaneous revenues.

³ Reflects developer payments for Project Z00002, Developer Streetlight Program.

⁴ Reflects revenue anticipated for Project Z00015, Hunter Mill District Streetlights.

⁵ Represents state aid in the amount of \$210,000 for VRE parking lots, which was not received in FY 2010 and is anticipated in FY 2011 or beyond.

⁶ FY 2010 represents revenues associated with the increase to the adult Out-of-County sports fees from \$20 to \$30 per participant per season and the implementation of a youth Out-of-County fee of \$30 per participant per season. In order to properly reflect all revenues associated with Out-of-County fees in one place, beginning in FY 2011 all Out-of-County fees are captured within General Fund revenues.

⁷ On December 7, 2009, the Board of Supervisors approved funding in the amount of \$9,642,800 associated with the award of a U.S. Department of Energy (DOE), Energy Efficiency and Conservation Block Grant (EECBG) for energy efficiency projects. This grant funding was awarded to Fairfax County as a result of the American Recovery and Reinvestment Act of 2009. In FY 2010, an amount of \$236,344 was received and \$9,406,456 is anticipated in FY 2011 or beyond.

⁸ Represents revenue generated by the Athletic Field Application Fee to support Project 005012, Athletic Services Fee - Field Maintenance, Project 005013, Athletic Services Fee - Turf Field Development, Project 005017, Athletic Services Fee - Turf Field Replacement Program, Project 005014, Athletic Services Fee - Custodial Support, and Project 005021, Athletic Field Application Fee - Sports Scholarships.

⁹ In FY 2012, an amount of \$404,500 is transferred from Fund 105, Cable Communications to support wiring, cabling, fiber and communication interconnection equipment associated with phone and data systems at new or expanded facilities.

Fund 303

County Construction

¹⁰ In FY 2011, an amount of \$593,500 is transferred from Fund 200-201, Consolidated Debt Service to Fund 303 to fund the remaining debt service associated with the County's purchase of two residential properties on West Ox Road as approved by the Board of Supervisors on February 9, 2010.

¹¹ FY 2010 reflects a Transfer In from Fund 340, Housing Assistance Program of \$300,241 for blight abatement initiatives throughout the County.

¹² In order to account for revenues and expenditures in the proper fiscal year, an audit adjustment in the amount of \$68,437.60 has been reflected as an increase to FY 2010 expenditures due to expenditure accruals. This impacts the amount carried forward and results in a decrease of \$68,437.60 to the *FY 2011 Revised Budget Plan*. The projects affected by this adjustment are Project 009471, Lee District Capital Projects, Project 009520, Health Department Lab, Project 009443, Parks Facility and Equipment Maintenance, Project 005009, Athletic Field Maintenance, and Project 009444, Laurel Hill Development. The audit adjustments have been included in the FY 2010 Comprehensive Annual Financial Report (CAFR). Details of the FY 2010 audit adjustment were included in the FY 2011 Third Quarter Package.

¹³ Represents a Transfer Out to Project 009209, Courthouse Expansion and Renovation, in Fund 312, Public Safety Construction to help support construction costs associated with the project.

¹⁴ Capital projects are budgeted based on total project costs. Most projects span multiple years, from design to construction completion. Therefore, funding for capital projects is carried forward each fiscal year, and ending balances fluctuate, reflecting the carryover of these funds.

Fund 303 County Construction

FY 2012 Summary of Capital Projects

Fund: 303, County Construction

Project #	Description	Total Project Estimate	FY 2010 Actual Expenditures	FY 2011 Revised Budget	FY 2012 Advertised Budget Plan	FY 2012 Adopted Budget Plan
005006	Park Maintenance of FCPS Fields		\$675,380.60	\$1,110,244.51	\$722,535	\$722,535
005009	Athletic Field Maintenance		2,446,369.50	3,072,429.89	2,500,000	2,500,000
005012	Athletic Services Fee - Field Maintenance		961,068.13	1,258,867.98	1,000,000	1,000,000
005013	Athletic Services Fee - Turf Field Development		150,000.86	1,469,196.52	350,000	350,000
005014	Athletic Services Fee - Custodial Support		314,364.00	276,711.00	275,000	275,000
005016	FCPS Athletic Field Lighting Requirements		346,487.59	316,482.77	200,000	200,000
005017	Athletic Svcs Fee - Turf Field Replacement		0.00	0.00	500,000	500,000
005020	APRT-Amenity Maintenance		5,118.16	121,521.80	50,000	50,000
005021	Athletic Fields-Sports Scholar		149,935.29	150,064.71	150,000	150,000
007012	School Aged Child Care Contribution		750,000.00	750,000.00	750,000	750,000
008043	Northern Virginia Community College		1,012,512.00	1,271,647.00	1,554,710	1,554,710
009400	Land Acquisition Reserve		1,593,329.58	0.00	0	0
009406	ADA Compliance - Countywide		13,854.24	219,436.56	1,571,700	1,571,700
009416	ADA Compliance - FCPA		280,211.63	618,203.38	600,000	600,000
009417	Parks - General Maintenance		293,217.31	1,027,973.63	425,000	425,000
009422	Maintenance - CRP		196,770.12	415,167.71	390,000	390,000
009425	South County Government Center	5,517,221	9,789.16	243.60	0	0
009429	Security Improvements		15,688.00	184,038.77	0	0
009432	Telecommunication and Network Connections		422,149.80	1,655,299.43	404,500	404,500
009442	Parks - Grounds Maintenance		882,282.69	1,322,321.72	987,076	987,076
009443	Parks - Facility/Equip. Maint.		305,890.29	844,880.60	470,000	470,000
009444	Laurel Hill Development		1,691,582.42	3,371,267.58	1,559,859	1,559,859
009451	Providence District Supv's Office	2,498	0.00	0.00	0	0
009461	Public Facilities at Laurel Hill		0.00	0.00	0	0
009464	Katherine K. Hanley Family Shelter	3,856,681	24,176.69	5,992.00	0	0
009467	Mott Community Center	600,000	21,684.65	334,765.07	0	0
009468	Braddock District Capital Projects		1,575.40	97,598.31	0	0
009469	Dranesville District Capital Projects		72,577.21	254,628.62	0	0
009470	Hunter Mill District Capital Projects		84,949.53	234,722.51	0	0
009471	Lee District Capital Projects		124,754.20	81,892.71	0	0
009472	Mason District Capital Projects		16,170.72	73,751.96	0	0
009473	Mount Vernon District Capital Projects		7,217.90	182,926.47	0	0
009474	Providence District Capital Projects		1,717.42	120,837.03	0	0
009475	Springfield District Capital Projects		92,196.78	22,853.02	0	0
009476	Sully District Capital Projects		25,311.49	54,157.88	0	0
009477	At Large (Countywide) Capital Projects		0.00	35,772.48	0	0
009478	Laurel Hill Cemetery	75,000	615.21	0.00	0	0
009484	Prioritized Feasibility Studies		10,098.04	0.00	0	0
009489	Road Improvements - Wolf Trap FS	400,000	0.00	252,017.00	0	0
009491	Burke Station VRE Trails	1,338,869	1,727.60	366,186.92	0	0
009493	VDOT Administration Building		(0.00)	0.00	0	0
009494	Salona Property		1,058,477.26	1,036,288.80	1,013,489	1,013,489
009495	Emergency Management Initiatives	757,958	0.00	235,151.88	0	0

Fund 303

County Construction

FY 2012 Summary of Capital Projects

Fund: 303, County Construction

Project #	Description	Total Project Estimate	FY 2010 Actual Expenditures	FY 2011 Revised Budget	FY 2012 Advertised Budget Plan	FY 2012 Adopted Budget Plan
009499	Invasives Management - Environmental Agenda Project	273,703	8,835.12	6,815.34	0	0
009501	Trail Mapping - Environmental Agenda Project	138,111	820.85	0.00	0	0
009503	Organizational Initiatives	212,500	0.00	0.00	0	0
009506	Transportation Studies	2,350,000	53,750.71	839,152.15	0	0
009507	Community/Project Planning and Design	1,880,000	187,482.67	794,721.00	0	0
009508	Countywide Security Enhancements	1,000,000	21,917.54	166,015.28	0	0
009513	Florence Lane Improvements	350,000	0.00	350,000.00	0	0
009520	Health Department Lab	5,850,000	4,377,714.69	901,707.24	0	0
009522	Lorton Community Center	99,339	0.00	0.00	0	0
009524	Prevention Incentive Fund	1,078,810	202,942.88	639,703.08	0	0
009526	Police Video Surveillance Project	352,250	159,148.03	46,075.06	0	0
009527	Herrndon Monroe Garage Remedial Work	549,537	56,588.07	431.90	0	0
009700	Environmental Agenda Initiatives		162,051.27	991,603.16	85,000	85,000
009701	East County Human Services Center	125,000	630.00	67,629.49	0	0
009800	Revitalization Initiatives	2,279,790	1,476.20	1,975,435.83	190,000	190,000
009801	Strike Force Blight Abatement		0.00	322,209.00	0	0
009998	Payments Of Interest On Bonds		20,859.83	262,940.00	100,000	100,000
CG0046	Contingency Fund 303		0.00	1,010,946.37	0	0
ED0001	Emergency Directives Program		80,566.91	355,397.52	100,000	100,000
FSE000	Energy Project Reserve		0.00	1,127,399.57	0	0
FSE001	Gum Springs HVAC and EMCS	450,000	0.00	450,000.00	0	0
FSE002	Lillian Carey/Bailey's HVAC and EMCS	270,000	0.00	270,000.00	0	0
FSE003	JDC Chiller Replacement	250,000	97,583.00	152,417.00	0	0
FSE004	Oakton High School EMCS	1,041,000	0.00	1,041,000.00	0	0
FSE005	County Facility Lighting Control Systems	530,000	0.00	530,000.00	0	0
FSE006	Athletic Field Lighting Control Systems	300,000	0.00	300,000.00	0	0
FSE007	Outdoor Park Facility Lighting Control	200,000	0.00	200,000.00	0	0
FSE011	Enterprise Server Consolidation	4,087,600	0.00	4,087,599.88	0	0
FSE012	Desktop Power Management for PCs	138,761	138,760.55	0.00	0	0
FSE013	Energy Audits and Retrofits	471,520	0.00	471,520.00	0	0
FSE014	Telework Initiative and License Support	149,992	0.00	149,992.00	0	0
FSE015	Hybrid Electric School Bus	105,000	0.00	105,000.00	0	0
FSE016	Hydraulic Hybrid Refuse Collection Truck	35,000	0.00	35,000.00	0	0
FSE019	Greenhouse Gas Emissions Inventory	247,528	0.00	247,528.00	0	0
FSE020	Electric and Hybrid Vehicles		0.00	239,000.00	0	0
U00005	Survey Control Network Monumentation		109,968.18	82,909.06	75,000	75,000
U00060	Developer Defaults		653,043.07	3,071,581.53	600,000	600,000
V00002	Emergency Road Repairs		102,974.00	100,567.31	100,000	100,000
V00003	Road Viewers Project		23,615.62	323,398.38	0	0
V00004	Road Maintenance Program		19,552.37	150,873.19	0	0
Z00001	Street Lights		1,868.80	0.00	0	0
Z00002	Developer Street Light Program		0.00	1,065,218.96	0	0
Z00005	Route 123 Bridge Streetlights		0.00	0.00	0	0
Z00015	Hunter Mill District St. Light Fund	52,390	0.00	52,390.00	0	0
Z00016	Minor Street Light Upgrades		5,019.91	95,657.84	0	0
Z00032	Safety Enhancement at Bus Shelters/Stops	1,850,000	39,019.19	219,077.11	0	0
Total		\$39,266,058	\$20,585,440.93	\$46,144,454.07	\$16,723,869	\$16,723,869

Fund 304

Transportation Improvements

Focus

This fund supports the land acquisition, design and construction of County transportation improvements. During the 1981 Session of the Virginia General Assembly, legislation was approved that enabled counties with a population over 125,000 to undertake secondary roadway improvements through the use of General Obligation bond revenues or General Fund revenues. Prior to this action, the construction and maintenance of all roadways in Fairfax County had been the exclusive responsibility of the Virginia Department of Transportation (VDOT). The existing road bond program is supported by General Obligation bonds approved by the voters in November 2004 and November 2007.

Fund 304, Transportation Improvements, provides funding for various roadway projects and is used in conjunction with revenue available to the County under the Transportation Funding and Reform Act of 2007 (HB 3202), authorizing a County commercial real estate tax in support of transportation. This commercial and industrial real estate tax revenue is budgeted within Fund 124, County and Regional Transportation Projects, where a rate of 11 cents per \$100 assessed value was approved by the Board of Supervisors in the FY 2012 Adopted Budget Plan, the same level as in previous years. In addition to roadway, pedestrian and transit projects, Fund 124 also supports spot improvements consisting of quick-hit projects such as turn lanes and sidewalk and trail connections to improve mobility, enhance safety, and provide relief for transportation bottlenecks.

No funding is included in Fund 304, Transportation Improvements, for FY 2012. Work will continue on existing and previously funded projects.

Changes to FY 2011 Adopted Budget Plan

The following funding adjustments reflect all approved changes in the FY 2011 Revised Budget Plan since passage of the FY 2011 Adopted Budget Plan. Included are all adjustments made as part of the FY 2010 Carryover Review, FY 2011 Third Quarter Review, and all other approved changes through April 12, 2011.

- ◆ As part of the *FY 2010 Carryover Review*, the Board of Supervisors approved an increase of \$124,478,064 due to the carryover of unexpended project balances in the amount of \$126,780,263 and other adjustments reflecting a decrease of \$2,302,199. These adjustments included the appropriation of miscellaneous revenue received in FY 2010 of \$449,000 associated with a Virginia Department of Transportation (VDOT) reimbursement for land taken for the construction of the Woodrow Wilson bridge and \$87,164 in miscellaneous revenue. In addition, an adjustment of \$160,000 was necessary to appropriate anticipated revenue from the Northern Virginia Transportation Commission (NVTC) to support improvements and the construction of a walkway at the Reston Transit Center site. Finally, an adjustment reflecting a decrease of \$2,998,363 was necessary due to the elimination of VDOT funding associated with Project 064246 South Van Dorn/Franconia Interchange and Project 064248 Fairfax County Parkway Widening. These VDOT funded projects were managed by the County, but due to state budget constraints, VDOT decided these projects would not proceed.

- ◆ As part of the *FY 2011 Third Quarter Review*, the Board of Supervisors approved a decrease of \$10,816 to properly account for revenues received in FY 2010 which should have been reflected in Fund 301, Contributed Roadway Improvements.

A Fund Statement and Summary of Capital Projects are provided on the following pages. The Summary of Capital Projects may include some projects without a Total Project Estimate amount. These projects are considered “continuing” projects or projects for which funding is necessary on an ongoing basis (e.g., a contingency or planning project).

Fund 304

Transportation Improvements

FUND STATEMENT

Fund Type G30, Capital Project Funds

Fund 304, Transportation Improvements

	FY 2010 Actual	FY 2011 Adopted Budget Plan	FY 2011 Revised Budget Plan	FY 2012 Advertised Budget Plan	FY 2012 Adopted Budget Plan
Beginning Balance	\$2,005,022	\$0	\$8,740,795	\$0	\$0
Revenue:					
Bond Sale ¹	\$14,100,000	\$0	\$108,460,000	\$0	\$0
State Grant ²	0	0	2,361,936	0	0
VDOT Reimbursement ³	3,453,172	0	2,755,608	0	0
VDOT State Secondary Road Funds ⁴	147,597	0	1,461,364	0	0
Governor's Congestion Relief Program ⁵	0	0	170,244	0	0
Northern Virginia Transportation Commission ⁶	0	0	160,000	0	0
Miscellaneous ^{7,8}	525,348	0	0	0	0
Total Revenue	\$18,226,117	\$0	\$115,369,152	\$0	\$0
Total Available	\$20,231,139	\$0	\$124,109,947	\$0	\$0
Total Expenditures ⁸	\$11,490,344	\$0	\$124,109,947	\$0	\$0
Total Disbursements	\$11,490,344	\$0	\$124,109,947	\$0	\$0
Ending Balance⁹	\$8,740,795	\$0	\$0	\$0	\$0

¹ The sale of bonds is presented here for planning purposes only. Actual bonds sales are based on cash needs in accordance with Board policy. In November 2004, voters approved a Transportation Bond Referendum in the amount of \$165 million, of which \$55 million was included for roadway and pedestrian improvements in Fund 304. In November 2007, the voters also approved a Transportation Bond Referendum in the amount of \$110 million. An amount of \$12.56 million from the 2004 referendum and \$1.54 million from the 2007 referendum were sold in October 2009, leaving a balance of \$108.46 million in authorized but unissued bonds for this fund.

² Reflects Virginia National Defense Industrial Authority grants approved by the Board of Supervisors in FY 2007 and FY 2008 for spot transportation improvements and travel demand management related to the Fort Belvoir Base Alignment. Through FY 2010, an amount of \$9,089 has been received, and \$2,361,936 is anticipated in FY 2011 and beyond.

³ Under previous agreements with the Virginia Department of Transportation (VDOT), a total of \$15,736,637 in revenue was associated with Project 064233, Spring Hill Road (\$10,900,000), Project 064246, South Van Dorn/Franconia Interchange (\$1,769,782) and Project 064248, Fairfax County Parkway Widening (\$3,066,855). Through FY 2010, VDOT reimbursements for all projects of \$12,981,029 have been received, and \$2,755,608 is anticipated in FY 2011 and beyond.

⁴ Reflects revenue from VDOT State Secondary Road funds associated with Project 064267, Pedestrian Improvements (\$1,079,457) and revenue for Countywide Pedestrian Safety and Access Improvements (\$1,554,500). Through FY 2010, \$1,172,593 has been received, leaving a total balance of \$1,461,364 anticipated in FY 2011 and beyond.

⁵ Reflects revenue previously approved under the Governor's Congestion Relief Program for improvements to County intersections to meet air quality standards and relieve traffic congestion. Through FY 2010, an amount of \$1,214,489 has been received, and \$170,244 is anticipated in FY 2011 and beyond.

⁶ Reflects the FY 2011 appropriation of County revenue held by the Northern Virginia Transportation Commission (NVTC), to be applied in support of a walkway and miscellaneous improvements at the Reston Transit Center site.

⁷ FY 2010 miscellaneous revenue includes \$449,000 reimbursed by VDOT for land acquired from the County for right of way pertaining to the Woodrow Wilson bridge project, as well as other miscellaneous revenues and plan sale income.

Fund 304

Transportation Improvements

⁸ In order to account for revenues and expenditures in the proper fiscal year, an audit adjustment in the amount of \$357,301.21 has been reflected as an increase to expenditures to reflect expenditure accruals. This impacts the amount carried forward and results in a decrease of \$357,301.21 to the *FY 2011 Revised Budget Plan*. The projects affected by these adjustments are Project 064233, Spring Hill Road and Project 4YP012, South Kings Highway/Harrison Lane. In addition, an audit adjustment in the amount of \$10,815.70 has been reflected as a decrease to FY 2010 revenues to properly reflect those revenues in Fund 301, Contributed Roadway Improvements. These audit adjustments have been included in the FY 2010 Comprehensive Annual Financial Report (CAFR). Details of the FY 2010 audit adjustment were included in the FY 2011 Third Quarter Package.

⁹ Capital projects are budgeted based on the total project costs. Most projects span multiple years, from design to construction completion. Therefore, funding for capital projects is carried forward each fiscal year, and ending balances fluctuate, reflecting the carryover of these funds.

Fund 304

Transportation Improvements

FY 2012 Summary of Capital Projects

Fund: 304, Transportation Improvements

Project #	Description	Total Project Estimate	FY 2010 Actual Expenditures	FY 2011 Revised Budget	FY 2012 Advertised Budget Plan	FY 2012 Adopted Budget Plan
006490	Construction Reserve		\$222.21	\$1,007,731.61	\$0	\$0
006495	Wiehle Avenue	15,528,638	2,407.67	195,383.22	0	0
006616	Gallows/Annandale/Hummer	1,477	555.30	0.00	0	0
064103	S. Van Dorn /I-95 Interchange	11,300,211	19,106.55	348,824.82	0	0
064130	Advanced Preliminary Engineering		44,019.07	684,154.06	0	0
064134	S. Van Dorn St. Phase III	8,382,086	185.10	304,401.37	0	0
064146	FC PKWY - Rt. 123 to Hooes Rd./Pohick	27,478,199	2,066.75	1,851.00	0	0
064153	Burke Centre Parkway	893,576	529.90	123.40	0	0
064210	Revenue Sharing Match	500,000	0.00	498,750.06	0	0
064212	Spot Improvements		0.00	153,920.80	0	0
064233	Spring Hill Road	10,912,420	1,961,062.42	2,195,314.06	0	0
064237	Roberts Road/Braddock Road	550,622	123.40	0.00	0	0
064246	South Van Dorn/Franconia Interchange	1,769,782	122,463.18	0.00	0	0
064248	Fairfax County Parkway Widening	3,066,855	32,910.04	0.00	0	0
064249	Planning for 4 Year Transportation Plan		0.00	409,182.01	0	0
064251	Guinea Road/Falmead Road	185,000	11,676.19	94,715.57	0	0
064257	Centreville Road Trail at Dulles	290,000	367.42	104,620.53	0	0
064258	Colts Neck Road Trail/Sidewalk	366,000	6,972.09	204,019.47	0	0
064267	Pedestrian Improvements - VDOT	3,017,325	18,054.53	1,004,049.07	0	0
064268	FTA - Richmond Highway Public Transportation Initiative	500,000	0.00	500,000.00	0	0
064270	Beverly Road/Fleetwood Road	100,119	48,022.29	0.00	0	0
064271	Annandale Road/Kerns Road	350,000	17,991.30	265,776.29	0	0
064273	Silverbrook Hooes Road Intersection	775,000	46,634.69	567,234.29	0	0
064274	Route 29 Walkway	250,000	216.45	130,249.67	0	0
064275	Braddock Road/Rt 123 RTL	299,887	7,606.88	0.00	0	0
064276	West Ox Rd/FC Parkway	1,100,000	46,031.11	842,397.83	0	0
064287	VNDIA Grant Projects	2,500,000	0.00	2,500,000.00	0	0
064288	FC PKWY - Sunrise Valley Dr.	1,160,034	112,637.75	911,913.47	0	0
064290	Reston Transit Center Improvements	160,000	0.00	160,000.00	0	0
4YP012	South Kings Highway/Harrison Lane	3,000,000	1,253,235.54	441,880.62	0	0
4YP013	Route 236/Beauregard Street	1,900,742	10,866.00	27,883.24	0	0
4YP014	Braddock Road/Route 236	1,358,700	2,981.26	0.00	0	0
4YP017	Stringfellow Road Widening	16,000,000	2,690.00	12,997,396.92	0	0
4YP018	Centreville Road Widening	29,000,000	426,638.35	1,121,324.31	0	0
4YP020	Hunter Mill Rd. Walkway	840,000	187,855.99	74,059.94	0	0
4YP200	Planning for 2nd 4 Year Transportation Plan		0.00	217,217.23	0	0
4YP201	Pedestrian Improvements-Bond Funded	15,000,000	2,295,309.91	8,690,045.19	0	0
4YP202	Bus Stop Improvements	7,750,000	1,102,774.18	6,450,353.86	0	0
4YP203	Braddock Road/Backlick Road	500,000	85,061.73	272,794.34	0	0
4YP204	Shirley Gate Road/Route 29	292,480	6,862.69	0.00	0	0
4YP205	Zion Drive	1,000,000	121,457.65	698,501.74	0	0
4YP206	Route 7	750,000	19,213.87	648,138.91	0	0
4YP207	Braddock Road/Thomas Jefferson High School	182,783	10,658.38	0.00	0	0
4YP208	Gallows Road Bike Lanes	3,000,000	0.00	3,000,000.00	0	0
4YP209	FFX County Pkwy/ Route 29 to Braddock Road	1,000,000	0.00	1,000,000.00	0	0
4YP210	Poplar Tree Road Widening	5,700,000	370,511.76	5,224,356.83	0	0
4YP211	Stringfellow Road Widening	21,000,000	0.00	21,000,000.00	0	0
4YP212	Lee Highway Widening	4,707,520	176,280.43	4,240,273.37	0	0
4YP213	Lorton Road	20,158,244	1,246,579.80	17,430,432.15	0	0
4YP214	Cinder Bed Road	5,000,000	169,504.02	4,490,675.87	0	0
4YP215	Base Realignment and Closure	8,500,000	0.00	8,500,000.00	0	0
4YP216	Nov07 Bond Referendum Transit	10,500,000	1,500,000.00	9,000,000.00	0	0
4YP217	Stringfellow Road Park and Ride	5,500,000	0.00	5,500,000.00	0	0
Total		\$254,077,699	\$11,490,343.85	\$124,109,947.12	\$0	\$0

Fund 306

Northern Virginia Regional Park Authority

Focus

This fund supports Fairfax County's annual capital contribution to the Northern Virginia Regional Park Authority (NVRPA). The Northern Virginia Regional Park Authority was founded in 1959 with a focus on land conservation. The Park system includes 23 parks and approximately 11,000 acres of land, over 100 miles of trails, numerous historic sites, five waterparks, two family campgrounds, three golf courses, a nature center, botanical gardens, rental cabins and cottages, five marinas, and nearly 30 miles of protected shoreline along major rivers and reservoirs. Parklands within the



system include: Bull Run, Bull Run Marina, Fountainhead, Gateway, Hemlock Overlook, Meadowlark Botanical Gardens, Occoquan, Pohick Bay, Sandy Run, the Washington & Old Dominion (W&OD) Trail, Aldie Mill Historic Park, Algonkian, Ball's Bluff, Blue Ridge, Brambleton, Cameron Run, Carlyle House Historic Park, Gilberts Corner, Mount Zion Historic Park, Potomac Overlook, Red Rock, Temple Hall and Upton Hill. In addition, the NVRPA administers extensive regional historic and conservation properties throughout Northern Virginia. In Fairfax County, NVRPA owns nearly 8,000 acres – most of which protect environmentally sensitive watersheds along the Potomac, Bull Run and Occoquan Rivers.

NVRPA generates more than 82 percent of its operating budget through user fees and grants. Its capital improvement and land acquisition costs are shared by its six member jurisdictions: the counties of Fairfax, Loudoun and Arlington, and the cities of Fairfax, Alexandria and Falls Church. Each member jurisdiction's contribution is in proportion to its share of the region's population of approximately 1.6 million residents.

The primary focus of NVRPA's capital program is to continue the restoration, renovation and modernization of existing park facilities, many of which were developed or constructed more than 20 years ago. Other elements of the capital program include land acquisition, the development of interpretive and educational displays and the addition of park features to meet the needs of the public. In its conservation efforts, NVRPA is involved in implementing portions of the Environmental Quality Corridors concept, which defines an open space land system designated for long-term protection in the County. In this role, NVRPA continues to place emphasis on the acquisition of shoreline properties along the Potomac, Bull Run and Occoquan Rivers, while the Fairfax County Park Authority (FCPA) concentrates on acquiring land along the County's interior stream valleys.

In FY 2010, NVRPA expanded its landholding by acquiring Mount Zion Historic Park and Gilberts Corner Regional Park, and 295 acres of Potomac River waterfront property. Significant capital improvements in recent years that expanded service to the public included the conversion of the Bull Run Regional Park swimming pool into a themed, family-oriented water park, renovation of the sporting clays course at the Bull Run Shooting Center, improvements to the Bull Run holiday light show, irrigation system replacement at the Pohick Bay golf course, renovations to campgrounds at Pohick Bay and Bull Run and bridge renovations and trail improvements on the 45-mile Washington and Old Dominion Trail.

Fund 306

Northern Virginia Regional Park Authority

Many other capital enhancements and renovations were completed throughout the NVRPA park system to upgrade aging facilities.

Work in progress includes a new picnic shelter area at Bull Run, planning of new facilities at Occoquan, structural repairs to the Fountainhead marina, launch ramp and dock repairs at Pohick Bay, a new trail connecting the W&OD Trail and Meadowlark Botanical Gardens, a children's garden and picnic shelter at Meadowlark, restoration of the historic Vienna railroad station on the W&OD Trail, miscellaneous trail enhancements, improvements to the scholastic rowing facility at Sandy Run and additional renovations to the swimming pool and campground at Pohick Bay.

NVRPA's FY 2012 capital budget totals \$4,961,633 and includes such projects as land acquisition, planning and development of new facilities at Occoquan and various renovations at Meadowlark, Bull Run and Pohick Bay. General Obligation bond funding in the amount of \$3,000,000 for the County subsidy is included in FY 2012 for Fund 306, NVRPA. This level of



contribution is based on approximate per capita formula amounts. FY 2012 is the fourth of four years supported by the Park Bond Referendum approved by voters in the fall of 2008. This referendum included \$12 million to sustain the County's contribution to the NVRPA capital budget for fiscal years 2009 through 2012.

Changes to FY 2011 Adopted Budget Plan

The following funding adjustments reflect all approved changes in the FY 2011 Revised Budget Plan since passage of the FY 2011 Adopted Budget Plan. Included are all adjustments made as part of the FY 2010 Carryover Review, FY 2011 Third Quarter Review, and all other approved changes through April 12, 2011.

- ◆ There have been no adjustments to this fund since approval of the FY 2011 Adopted Budget Plan.

Fund 306

Northern Virginia Regional Park Authority

FUND STATEMENT

Fund Type G30, Capital Project Funds

Fund 306, Northern Virginia
Regional Park Authority

	FY 2010 Actual	FY 2011 Adopted Budget Plan	FY 2011 Revised Budget Plan	FY 2012 Advertised Budget Plan	FY 2012 Adopted Budget Plan
Beginning Balance	\$0	\$0	\$0	\$0	\$0
Revenue:					
Sale of Bonds ¹	\$2,700,000	\$2,700,000	\$2,700,000	\$3,000,000	\$3,000,000
Total Revenue	\$2,700,000	\$2,700,000	\$2,700,000	\$3,000,000	\$3,000,000
Total Available	\$2,700,000	\$2,700,000	\$2,700,000	\$3,000,000	\$3,000,000
Total Expenditures ²	\$2,700,000	\$2,700,000	\$2,700,000	\$3,000,000	\$3,000,000
Total Disbursements	\$2,700,000	\$2,700,000	\$2,700,000	\$3,000,000	\$3,000,000
Ending Balance	\$0	\$0	\$0	\$0	\$0

¹The fall 2008 bond referendum approved by voters on November 4, 2008 included \$12.0 million to sustain the County's capital contribution to the Northern Virginia Regional Park Authority for four years. The FY 2010 Actuals reflect an amount of \$2.7 million sold in October 2009. Including prior sales, a balance of \$5.7 million remains in authorized but unissued bonds for this fund.

²The funding included for FY 2010 in the amount of \$2.7 million was the second of four installments. FY 2011 expenditures of \$2.7 million and FY 2012 expenditures of \$3.0 million represent funding for the third and fourth years of the four-year program.

Fund 307

Pedestrian Walkway Improvements

Focus

This fund supports pedestrian and walkway improvements throughout the County, including the Fairfax County Sidewalk Program and the Fairfax County Trail Program. The Fairfax County Sidewalk Program was originally established in coordination with the Fairfax County Public Schools (FCPS) to ensure safe walking conditions for public school students in the County. The program was later expanded to include critical walkway and trail segments in coordination with the Trails and Sidewalk Committee to serve the recreation and transportation needs of pedestrians, bicyclists and equestrians in the County. This program includes projects that link residential areas and public schools, as well as missing walkway and trail segments to provide connections to completed portions of the countywide trail network. The County is currently responsible for the maintenance and upgrade of 644 miles of walkways, including 50 miles of sidewalks connecting directly to school grounds, as well as subdivision sidewalks, trails and pedestrian bridges.



It is noted that, in addition to funding provided through Fund 307, additional pedestrian improvements funding is supported by revenue available to the County under the Transportation Funding and Reform Act of 2007 (HB 3202), which authorized a County commercial real estate tax in support of transportation. This commercial and industrial real estate tax revenue is budgeted within Fund 124, County and Regional Transportation Projects, where a rate of 11 cents per \$100 assessed value was approved in the FY 2012 Adopted Budget Plan, the same level as approved in previous years.

In FY 2012, an amount of \$100,000 is included in Fund 307, Pedestrian Walkway Improvements to meet emergency and critical maintenance requirements for County trails, sidewalks and pedestrian bridges. On-going critical maintenance includes, but is not limited to, the correction of safety and hazardous conditions such as the deterioration of trail surfaces, the replacement and/or repair of guardrails and handrails, and the rehabilitation of pedestrian bridges.

Fund 307

Pedestrian Walkway Improvements

Changes to FY 2011 Adopted Budget Plan

The following funding adjustments reflect all approved changes in the FY 2011 Revised Budget Plan since passage of the FY 2011 Adopted Budget Plan. Included are all adjustments made as part of the FY 2010 Carryover Review, FY 2011 Third Quarter Review, and all other approved changes through April 12, 2011.

- ◆ As part of the *FY 2010 Carryover Review*, the Board of Supervisors approved an increase of \$4,352,620 due to the carryover of unexpended balances of \$3,875,665 and an adjustment of \$476,955 primarily to appropriate \$450,000 in enhancement grant funds anticipated in FY 2011 as well as \$29,832 in developer contributions and \$905 in miscellaneous revenue received in FY 2010. Enhancement Funds from the Virginia Department of Transportation (VDOT) were approved by the Board of Supervisors on April 27, 2010 and will support the continued implementation of the Mason Neck Trail (Segments 2A and 2B). The adjustment also included a decrease of \$3,782 in revenues and expenditures to reflect VDOT reimbursements no longer anticipated, based on actual project costs and the completion of the Great Falls Street Trail in the Dranesville District.

- ◆ As part of the *FY 2011 Third Quarter Review*, the Board of Supervisors approved a decrease of \$264,021, primarily due to a decrease of \$300,000 based on actual project requirements and revenues anticipated for the Georgetown Pike Trail associated with the Virginia Department of Transportation (VDOT) Supplemental Agreement for Enhancement Grant funds, as approved by the Board of Supervisors on May 19, 2008. This adjustment was partially offset by an increase of \$35,979 to appropriate developer contributions received in FY 2011, including \$34,305 for walkway improvements in the Braddock District and \$1,674 for walkway improvements in the Dranesville District.

A Fund Statement and a Summary of Capital Projects are provided on the following pages. The Summary of Capital Projects may include some projects without a Total Project Estimate amount. These projects are considered "continuing" projects or projects for which funding is necessary on an ongoing basis (e.g., a contingency or planning project).

Fund 307

Pedestrian Walkway Improvements

FUND STATEMENT

Fund Type G30, Capital Project Funds

Fund 307, Pedestrian Walkway
Improvements

	FY 2010 Actual	FY 2011 Adopted Budget Plan	FY 2011 Revised Budget Plan	FY 2012 Advertised Budget Plan	FY 2012 Adopted Budget Plan
Beginning Balance	\$1,346,484	\$0	\$708,423	\$0	\$0
Revenue:					
State Aid ¹	(\$2,107)	\$0	\$565,000	\$0	\$0
VDOT Revenue Sharing, NVTC ²	0	0	36,971	0	0
TEA-21 Grant ³	166,542	0	1,268,928	0	0
CMAQ Grant ⁴	0	0	12,586	0	0
FHWA Grant ⁵	0	0	319,285	0	0
Developer Contributions ⁶	152,867	0	1,119,164	0	0
Miscellaneous	905	0	0	0	0
Total Revenue	\$318,207	\$0	\$3,321,934	\$0	\$0
Transfers In:					
General Fund (001)	\$0	\$0	\$0	\$100,000	\$100,000
Total Transfers In	\$0	\$0	\$0	\$100,000	\$100,000
Total Available	\$1,664,691	\$0	\$4,030,357	\$100,000	\$100,000
Total Expenditures⁷	\$956,268	\$0	\$4,030,357	\$100,000	\$100,000
Total Disbursements	\$956,268	\$0	\$4,030,357	\$100,000	\$100,000
Ending Balance⁸	\$708,423	\$0	\$0	\$0	\$0

Fund 307

Pedestrian Walkway Improvements

¹ An amount of \$565,000 in State Revenue Sharing funds is associated with Project K00447, Richmond Highway Public Transportation Initiatives, and is anticipated to be received in FY 2011.

² Represents VDOT supplemental revenue sharing funds in the amount of \$648,921, based on a Revenue Sharing Program Amendment approved by the Board of Supervisors on February 27, 2006 for Project K00447, Richmond Highway Public Transportation Initiatives. An amount of \$611,950 has been received through FY 2010, and \$36,971 is anticipated in FY 2011 and beyond.

³ An amount of \$2,821,424 is anticipated from Transportation Enhancement Act (TEA-21) grant awards and supplemental agreements associated with Project W00200 (W2020), Georgetown Pike Trail; Project W00200 (W2120), Walker Road Trail; Project W00300 (W3100), NoVi Trail-Walk along Beulah Road; Project W00300 (W3110), Beulah Road Trail; Project W00500 (W5010), Columbia Pike Trail; Project W00600 (W6070), Mason Neck Trail; Project W00600 (W6130), Mason Neck Trail Segment II; and Project W00800 (W8090), Union Mill Trail. Through FY 2010, an amount of \$1,552,496 has been received. The remaining amount of \$1,268,928 is anticipated in FY 2011 and beyond.

⁴ Represents Congestion Mitigation and Air Quality Improvement (CMAQ) grant funding for Project W00900 (W9030), Route 29/I-66 Underpass. An amount of \$606,413 has been received through FY 2010. The remaining amount of \$12,586 is anticipated in FY 2011 and beyond.

⁵ An amount of \$366,680 is anticipated from a Federal Highway Administration (FHWA) National Scenic Byway Grant associated with Project W00200 (W2020), Georgetown Pike Trail. An amount of \$47,395 was received through FY 2010, and \$319,285 is anticipated in FY 2011 and beyond.

⁶ Represents developer contributions associated with site plan approvals or proffer development conditions, where the developer has agreed to provide funds for the implementation of walkways or trails within a magisterial district.

⁷ In order to account for revenues and expenditures in the proper fiscal year, an audit adjustment in the amount of \$58,241.98 has been reflected as an increase to FY 2010 expenditures due to expenditure accruals. This impacts the amount carried forward and results in a decrease of \$58,241.98 to the *FY 2011 Revised Budget Plan*. The project affected by this adjustment is Project W00200 (W2020), Georgetown Pike. The audit adjustment has been included in the FY 2010 Comprehensive Annual Financial Report (CAFR). Details of the FY 2010 audit adjustment were included in the FY 2011 Third Quarter Package.

⁸ Capital projects are budgeted based on the total project costs. Most projects span multiple years, from design to construction completion. Therefore, funding for capital projects is carried forward each fiscal year, and ending balances fluctuate, reflecting the carryover of these funds.

Fund 307

Pedestrian Walkway Improvements

FY 2012 Summary of Capital Projects

Fund: 307, Pedestrian Walkway Improvements

Project #	Description	Total Project Estimate	FY 2010 Actual Expenditures	FY 2011 Revised Budget	FY 2012 Advertised Budget Plan	FY 2012 Adopted Budget Plan
002200	Emergency Maint. Of Existing Trails		\$109,776.88	\$79,349.72	\$100,000	\$100,000
D00448	Plaza America Pedestrian Improvements	1,050,000	29,304.97	262,019.72	0	0
K00447	Richmond Highway Public Transportation Initiatives	2,482,842	105,448.23	1,067,151.58	0	0
K00448	Richmond Highway Pedestrian Improvements	375,000	1,974.40	30,699.39	0	0
W00100	Braddock District Walkways	694,882	106.08	45,978.84	0	0
W00200	Dranesville District Walkways	2,098,263	197,645.75	865,922.50	0	0
W00300	Hunter Mill District Walkways	984,860	57,388.69	290,643.56	0	0
W00400	Lee District Walkways	680,904	57,773.93	83,422.32	0	0
W00500	Mason District Walkways	1,499,191	802.10	67,902.48	0	0
W00600	Mount Vernon District Walkways	2,474,937	101,067.37	872,984.03	0	0
W00700	Providence District Walkways	949,579	98,078.84	173,747.00	0	0
W00800	Springfield District Walkways	907,158	87,099.60	30,023.84	0	0
W00900	Sully District Walkways	1,380,836	105,000.00	155,360.55	0	0
X00404	Sidewalk Contingency		0.00	5,151.50	0	0
X00407	Sidewalk Replacement/VDOT	2,320,650	4,801.62	0.00	0	0
Total		\$17,899,101	\$956,268.46	\$4,030,357.03	\$100,000	\$100,000

Fund 309

Metro Operations and Construction

Focus

Fund 309, Metro Operations and Construction, contains the funds provided by Fairfax County to pay the County's allocated portion of the Washington Metropolitan Area Transit Authority's (WMATA) FY 2012 operating and capital budget. The County subsidizes Metrorail, Metrobus, and MetroAccess (paratransit) service, contributes to construction costs associated with the 106-mile Metrorail system, and contributes to the repair, maintenance, rehabilitation, and replacement of capital equipment and facilities for the Metrobus, Metrorail, and MetroAccess systems.



The FY 2012 WMATA budget presented here includes preliminary County staff estimates in Fall 2010. The WMATA Board Budget Committee reviews the WMATA proposed budget between January and May 2011. The Metro Board will make its final decisions and approve a budget in June 2011.

The projected operating and capital requirements for the County's FY 2012 Metro subsidy are \$108,676,073. The County's portion of the total WMATA budget is determined using several formulas that include factors such as jurisdiction of residence of passengers, number of stations located in a jurisdiction, the amount of service in a jurisdiction, the jurisdiction's population, and the jurisdiction's population density. The County meets its Metro subsidy through a General Fund transfer, General Obligation bonds, applied State Aid, Gas Tax receipts, and interest earnings on State Aid balances. State Aid and Gas Tax balances are held and directly disbursed to Metro by the Northern Virginia Transportation Commission (NVTC).



Based on current Metro system needs, an increase is anticipated in the FY 2012 operating subsidy requirement from local jurisdictions. The County's FY 2012 proposed operating contribution of \$79.3 million includes an estimated 7 percent increase over the FY 2011 operating contribution as well as a prior year WMATA audit adjustment of \$2.2 million. In addition, Fund 309 supports a transfer out of \$2.2 million to Fund 100, County Transit Systems. The total operational requirements of \$79.3 million and the \$2.2 million for County Transit requirements is funded through the following sources: a proposed FY 2012 General Fund transfer of \$11.3 million (an increase of \$3.9 million over the FY 2011 transfer), \$51.8 million in applied State Aid, \$18.0 million in applied Gas Tax Receipts, \$0.3 million in anticipated interest on balances held by NVTC, and \$0.1 million in proffer revenue from Fund 301, Contributed Roadway Improvement Fund for the operating support of bus service in the Franconia/Springfield area.

In FY 2012, General Obligation bond revenue of \$24.77 million supports the majority of the \$29.34 million County subsidy for Metro Capital Construction Expenditures. Sources of support for the balance of the construction subsidy also include \$4.41 million in State Aid and \$0.16 million in Gas Tax receipts.

Further adjustments to the Metro FY 2012 budget, to be approved by the Metro Board in June 2011, will be reflected as revisions to the County's FY 2012 budget as part of the County's FY 2011 Carryover Review process.

Fund 309

Metro Operations and Construction

FY 2012 Funding Adjustments

The following funding is necessary to support the FY 2012 program.

- ◆ **Metro Annual Operating Requirements** **\$79,334,145**
The projected FY 2012 subsidy requirement for WMATA Operating Expenses totals \$79,334,145, a net increase of \$7,246,159 over the *FY 2011 Revised Budget Plan* due to a prior year audit adjustment of \$2.2 million and an operating increase of \$5.0 million, or 7 percent, based on estimated funding requirements as of fall 2010. This funding level supports existing Metrorail and Metrobus service levels, including \$48,303,042 for Metrobus; \$16,689,503 for Metrorail; and \$12,141,600 for MetroAccess service.

- ◆ **Metro Capital Requirements** **\$29,341,928**
Projected FY 2012 Capital Construction expenditures total \$29,341,928 of which \$26,173,000 will support the acquisition of facilities, equipment, rail cars, and buses, as well as provide general infrastructure support to the 106-mile Metrorail system. An amount of \$3,168,928 funds the Adopted Regional System (ARS) debt service requirements.

- ◆ **Transfer Out to Fund 100, County Transit Systems** **\$2,215,563**
The FY 2012 Transfer Out of \$2,215,563 to Fund 100, County Transit Systems, provides continued support for FAIRFAX CONNECTOR system. This level of support for County transit operations is consistent with an FY 2000 change in the NVTC State Aid and Gas Tax funding formula that resulted in a higher annual allocation to Fairfax County. When the formula was updated, the NVTC required that additional funds that resulted from the formula change be used only for transit service enhancements.

- ◆ **General Fund Support for Metro** **\$11,298,296**
A proposed General Fund transfer of \$11,298,296 is an increase of \$3,888,445 over the *FY 2011 Revised Budget Plan* level based on projected operating requirements.

- ◆ **Support from Fund 301, Contributed Roadway Improvement Fund** **\$110,000**
A transfer of \$110,000 from Fund 301, Contributed Roadway Improvement Fund, provides annual operating support of shuttle service in the Franconia/Springfield area. This level is consistent with the prior year level of support.

Changes to FY 2011 Adopted Budget Plan

The following funding adjustments reflect all approved changes in the FY 2011 Revised Budget Plan since passage of the FY 2011 Adopted Budget Plan. Included are all adjustments made as part of the FY 2010 Carryover Review, FY 2011 Third Quarter Review, and all other approved changes through April 12, 2011.

- ◆ **Carryover Adjustments** **(\$6,221,000)**
FY 2011 expenditures decreased by \$6,221,000 based on Metro's approved Capital Improvement Program budget. As a result of the lower expenditure requirements and \$1,732,294 in bond revenue balances from FY 2010, County Bond Sale support in FY 2011 decreased by \$7,953,294. There was no change to the FY 2011 County expenditure level for the approved Metro Operations budget, supported through the General Fund Transfer. However, it is noted that Metro's approved operating budget resulted in a decrease of \$4,741,005 in the total County operating subsidy supported through other sources. This decrease resulted in a corresponding adjustment to the total level of State Aid and

Fund 309 Metro Operations and Construction

Gas Tax applied from the Northern Virginia Transportation Commission (NVTC) as revenue to this fund.

◆ **Third Quarter Adjustments**

As part of the *FY 2011 Third Quarter Review*, the Board of Supervisors made no adjustments to this fund.

Key Performance Measures

Objectives

- ◆ To increase the annual number of trips taken on Metrobus routes serving Fairfax County.

Indicator	Prior Year Actuals			Current Estimate	Future Estimate
	FY 2008 Actual	FY 2009 Actual	FY 2010 Estimate/Actual	FY 2011	FY 2012
Output:					
Trips originating in Fairfax County	10,040,500	9,440,350	9,534,754 / 9,534,754	9,629,158	9,506,842
Metrobus routes	100	100	85 / 75	85	85
Metrobus platform hours	395,999	407,844	371,721 / 371,721	395,662	341,420
Metrobus platform miles	7,310,086	6,565,966	6,662,941 / 6,662,941	7,330,351	7,225,200
Efficiency:					
Operating subsidy	\$36,744,578	\$45,291,601	\$40,219,382 / \$40,204,282	\$45,143,030	\$48,303,042
Operating subsidy/platform hour	\$92.79	\$111.05	\$108.20 / \$108.16	\$114.09	\$141.48
Operating subsidy/platform mile	\$5.03	\$6.90	\$6.04 / \$6.03	\$6.16	\$6.69
Operating subsidy per Metrobus trip	\$3.66	\$4.80	\$4.22 / \$4.22	\$4.69	\$5.08
Outcome:					
Percent change in Fairfax County trips	8.3%	(6.0%)	1.0% / 1.0%	1.0%	(1.3%)

Performance Measurement Results

Fairfax County Metrobus ridership has fluctuated slightly from year to year for the period from FY 2008 through FY 2010. Metrobus trips originating in Fairfax County increased by 1 percent from FY 2009 to FY 2010, with a FY 2010 total of 9.5 million trips. Annual growth of 94,404 trips is anticipated in FY 2011. A decrease of 122,316, or 1.3 percent, is anticipated in FY 2012. It is noted that jurisdictional data provided by WMATA has been used to populate this chart. The County's FY 2012 operating subsidy per Metrobus trip is projected to be \$5.08.

Fund 309 Metro Operations and Construction

Key Performance Measures

Objectives

- ◆ To increase the number of Metrorail trips originating in Fairfax County.

Indicator	Prior Year Actuals			Current Estimate	Future Estimate
	FY 2008 Actual	FY 2009 Actual	FY 2010 Estimate/Actual	FY 2011	FY 2012
Output:					
Fairfax County ridership	28,432,596	29,012,470	29,302,595 / 32,235,300	32,499,600	32,469,920
Efficiency:					
Operating subsidy	\$19,266,866	\$17,664,683	\$22,621,746 / \$22,621,746	\$15,597,666	\$16,689,503
Operating subsidy per Metrorail passenger	\$0.68	\$0.61	\$0.77 / \$0.70	\$0.48	\$0.51
Outcome:					
Percent change in Fairfax County ridership	(1.3%)	2.0%	1.0% / 11.1%	0.8%	(0.1%)

Performance Measurement Results

Fairfax County Metrorail ridership is projected to be 32,469,920 in FY 2012, nearly flat with the FY 2011 level. It is noted that jurisdictional data provided by WMATA has been used to populate this chart. The County FY 2012 operating subsidy per Metrorail trip is projected to be \$0.51.

Fund 309

Metro Operations and Construction

FUND STATEMENT

Fund Type G30, Capital Project Funds

Fund 309, Metro Operations and Construction

	FY 2010 Actual	FY 2011 Adopted Budget Plan	FY 2011 Revised Budget Plan	FY 2012 Advertised Budget Plan	FY 2012 Adopted Budget Plan
Beginning Balance	(\$32,252,164)	\$0	\$1,732,294	\$0	\$0
Revenue:					
Revenue Applied to Operating					
Expenses:					
State Aid	\$46,002,881	\$49,079,760	\$48,338,755	\$51,841,412	\$51,841,412
Gas Tax Revenue	17,798,638	22,000,000	18,000,000	18,000,000	18,000,000
Interest on NVTC Balances	300,000	300,000	300,000	300,000	300,000
Subtotal - State/Gas Revenue,					
Operating	\$64,101,519	\$71,379,760	\$66,638,755	\$70,141,412	\$70,141,412
Revenue Applied to Capital					
Expenses:					
NVTD Bonds Applied to Capital					
Construction ¹	\$0	\$5,000,000	\$5,000,000	\$0	\$0
State Aid Applied to ARS Debt					
Service	3,010,456	3,010,481	3,010,481	3,010,481	3,010,481
Gas Tax Rev. Applied to ARS Debt					
Service	158,444	158,447	158,447	158,447	158,447
State Aid Applied to Metro Matters					
Capital	1,000,000	0	0	0	0
CMAQ Funds Applied to Metro					
Matters Capital ²	1,648,000	0	0	0	0
State Aid Applied to Beyond Metro					
Matters Capital	417,000	1,400,000	1,400,000	1,400,000	1,400,000
Capital	\$6,233,900	\$9,568,928	\$9,568,928	\$4,568,928	\$4,568,928
County Revenue:					
County Bond Sales ³	\$56,300,000	\$22,692,000	\$14,738,706	\$24,773,000	\$24,773,000
Subtotal - County Revenue	\$56,300,000	\$22,692,000	\$14,738,706	\$24,773,000	\$24,773,000
Total Revenue	\$126,635,419	\$103,640,688	\$90,946,389	\$99,483,340	\$99,483,340
Transfers In:					
General Fund (001)	\$7,409,851	\$7,409,851	\$7,409,851	\$11,298,296	\$11,298,296
Contributed Roadway					
Improvement Fund (301)	110,000	110,000	110,000	110,000	110,000
Total Transfers In	\$7,519,851	\$7,519,851	\$7,519,851	\$11,408,296	\$11,408,296
Total Available	\$101,903,106	\$111,160,539	\$100,198,534	\$110,891,636	\$110,891,636
Expenditures:					
Operating Expenditures					
Bus Operating Subsidy ⁴	\$40,204,282	\$42,914,081	\$45,143,030	\$48,303,042	\$48,303,042
Rail Operating Subsidy	22,621,746	24,137,403	15,597,666	16,689,503	16,689,503
ADA Paratransit - Metro	9,163,549	9,777,507	11,347,290	12,141,600	12,141,600
Prior Year Audit Adjustments ⁵	0	0	0	2,200,000	2,200,000
Subtotal - Operating Expenditures	\$71,989,577	\$76,828,991	\$72,087,986	\$79,334,145	\$79,334,145

Fund 309

Metro Operations and Construction

FUND STATEMENT

Fund Type G30, Capital Project Funds

Fund 309, Metro Operations and Construction

	FY 2010 Actual	FY 2011 Adopted Budget Plan	FY 2011 Revised Budget Plan	FY 2012 Advertised Budget Plan	FY 2012 Adopted Budget Plan
Capital Construction Expenditures					
Metro Matters Capital	\$22,604,354	\$0	\$0	\$0	\$0
Beyond Metro Matters Capital	417,000	0	0	0	0
Program	0	29,092,000	22,871,000	26,173,000	26,173,000
ARS Debt Service	3,168,900	3,168,928	3,168,928	3,168,928	3,168,928
Total County Capital Construction					
Subsidy	\$26,190,254	\$32,260,928	\$26,039,928	\$29,341,928	\$29,341,928
Total Operating and Capital Subsidy	\$98,179,831	\$109,089,919	\$98,127,914	\$108,676,073	\$108,676,073
Applied Support					
Applied NVTC State Aid and Gas					
Tax to Operating	(\$63,801,519)	(\$71,079,760)	(\$66,338,755)	(\$69,841,412)	(\$69,841,412)
Applied Interest at NVTC to					
Operating	(300,000)	(300,000)	(300,000)	(300,000)	(300,000)
Applied NVTD Bonds to Capital					
Construction ¹	0	(5,000,000)	(5,000,000)	0	0
Applied NVTC State Aid and Gas					
Tax to Capital	(4,585,900)	(4,568,928)	(4,568,928)	(4,568,928)	(4,568,928)
Applied CMAQ Funds to Capital	(1,648,000)	0	0	0	0
Total Expenditures, County	\$27,844,412	\$28,141,231	\$21,920,231	\$33,965,733	\$33,965,733
Transfers Out:					
County Transit Systems (100)	\$1,990,981	\$2,070,620	\$2,070,620	\$2,215,563	\$2,215,563
Total Transfers Out	\$1,990,981	\$2,070,620	\$2,070,620	\$2,215,563	\$2,215,563
Total Disbursements, NVTC and County	\$100,170,812	\$111,160,539	\$100,198,534	\$110,891,636	\$110,891,636
Ending Balance⁶	\$1,732,294	\$0	\$0	\$0	\$0
General Fund and Contributions	\$0	\$0	\$0	\$0	\$0
Bond Funds	1,732,294	0	0	0	0
Unreserved Balance	\$0	\$0	\$0	\$0	\$0

¹ In July 2009, the Commonwealth Transportation Board approved the issuance of Northern Virginia Transportation District (NVTD) bonds, \$5.0 million of which was applied to meet Fairfax County's portion of Metro's FY 2011 capital construction requirements.

² Congestion Management and Air Quality (CMAQ) federal revenue was applied in FY 2010 for the purchase of replacement buses at WMATA.

³ The sale of bonds is presented here for planning purposes only. Actual bond sales are based on cash needs in accordance with Board policy. On November 2, 2004, the voters approved a \$110 million Transportation Bond. In October 2009, an amount of \$56.3 million was sold, including \$2.59 million in bond premium, leaving a balance of \$9.57 million in authorized but unissued bonds for this fund. The October 2009 bond sale amount included \$37.6 million to provide County one-time support to the Metro Capital Program, allowing the County to opt-out of debt service payments associated with capital projects for the next 25 years. The increase in County Bond Sales between FY 2011 and FY 2012 is necessary primarily to replace beginning balance and NVTD bonds, available in FY 2011 but not in FY 2012 in support of expenditure requirements. On November 2, 2010, the voters approved a \$120 million Transportation bond, which will support FY 2012 and future years' bond sales for the County share of Metro capital requirements.

⁴ Expenditures for the Bus Operating Subsidy include continuing annual support of the Springfield Circulator service.

⁵ The prior year adjustment is the amount owed or credited as a result of WMATA's audit of expenditures from two years prior.

⁶ The ending balance in Fund 309, Metro Operations and Construction, varies from year to year and is primarily related to differences between the preliminary budget presented by WMATA's General Manager and WMATA's Adopted budget. The FY 2010 ending balance for bond funds results from the application of unanticipated CMAQ revenue in FY 2010 to capital construction expenditures.

Fund 311

County Bond Construction

Focus

Fund 311, County Bond Construction, supports general County construction projects associated with Human Services facilities, Adult and Juvenile Detention facilities, and transportation-related facilities. The primary source of funding is voter-approved General Obligation bonds. In addition, this fund has received grant funding from the Federal Transportation Administration (FTA), the Virginia Department of Transportation (VDOT), and the Washington Metro Area Transit Authority (WMATA) for several transit-related facilities.

No funding is included for Fund 311, County Bond Construction, in FY 2012. Work will continue on existing and previously funded projects.

Changes to FY 2011 Adopted Budget Plan

The following funding adjustments reflect all approved changes in the FY 2011 Revised Budget Plan since passage of the FY 2011 Adopted Budget Plan. Included are all adjustments made as part of the FY 2010 Carryover Review, FY 2011 Third Quarter Review, and all other approved changes through April 12, 2011.

- ◆ As part of the *FY 2010 Carryover Review*, the Board of Supervisors approved an increase of \$71,834,175 due to the carryover of unexpended project balances in the amount of \$71,248,150 and an adjustment of \$586,025. This adjustment was due to the appropriation of Federal Transportation Administration (FTA) revenue received in FY 2010 associated with expenditure reimbursements for project work at the Herndon/Monroe Transit Center Parking Garage.

- ◆ As part of the *FY 2011 Third Quarter Review*, the Board of Supervisors approved an increase of \$6,830,000 due to the appropriation of bond funds approved as part of the 2004 Human Services Bond Referendum associated with the replacement of the Woodburn Mental Health Center.

A Fund Statement and a Summary of Capital Projects are provided on the following pages. The Summary of Capital Projects may include some projects without a Total Project Estimate amount. These projects are considered "continuing" projects or projects for which funding is necessary on an ongoing basis (e.g., a contingency or planning project).

Fund 311

County Bond Construction

FUND STATEMENT

Fund Type G30, Capital Project Funds

Fund 311, County Bond Construction

	FY 2010 Actual	FY 2011 Adopted Budget Plan	FY 2011 Revised Budget Plan	FY 2012 Advertised Budget Plan	FY 2012 Adopted Budget Plan
Beginning Balance	\$13,764,278	\$0	\$22,206,837	\$0	\$0
Revenue:					
Sale of Bonds ¹	\$11,600,000	\$0	\$54,872,034	\$0	\$0
VDOT Funding ²	0	0	1,450,401	0	0
Federal Transportation Administration ³	1,762,750	0	0	0	0
Total Revenue	\$13,362,750	\$0	\$56,322,435	\$0	\$0
Transfers In:					
Dept. of Vehicle Services (503) ⁴	\$4,695,318	\$0	\$0	\$0	\$0
Total Transfers In	\$4,695,318	\$0	\$0	\$0	\$0
Total Available	\$31,822,346	\$0	\$78,529,272	\$0	\$0
Total Expenditures⁵	\$9,115,509	\$0	\$78,529,272	\$0	\$0
Transfers Out:					
General Fund (001) ⁶	\$500,000	\$0	\$0	\$0	\$0
Total Transfers Out	\$500,000	\$0	\$0	\$0	\$0
Total Disbursements	\$9,615,509	\$0	\$78,529,272	\$0	\$0
Ending Balance⁷	\$22,206,837	\$0	\$0	\$0	\$0

¹The sale of bonds is presented here for planning purposes only. Actual bond sales are based on cash needs in accordance with Board policy. On November 2, 2004, the voters approved a \$32.5 million Human Services Bond Referendum. On November 6, 2007, the voters approved \$50 million as part of the School Bond Referendum to support renovations and expansion at the Newington Department of Vehicle Services (DVS) Garage which supports both County and School vehicles. The FY 2010 Actuals reflect an amount of \$10.2 million sold from the Human Services Referendum and \$1.4 million sold from the School Referendum in October 2009. Including prior sales, a balance of \$54.8 million remains in authorized but unissued bonds for this fund.

²A total of \$3,900,000 was anticipated from the Virginia Department of Transportation (VDOT) for transit projects. Through FY 2009, \$2,449,599 has been received and \$1,450,401 is anticipated in FY 2011 and beyond.

³ Represents balances of anticipated Federal Transportation Administration (FTA) grant funding. FTA funding is based on reimbursements of approximately 75 to 80 percent of expenditures which may fluctuate based on actual project scopes. Original estimates for FTA reimbursements totaled \$39,158,860, including \$5,205,000 for Wiehle Avenue Commuter Parking, \$25,661,845 for the Herndon/Monroe Transit Center, \$4,225,807 for Park and Ride facilities and \$4,066,208 for several Dulles Corridor projects. In FY 2010, additional revenue of \$586,025 was received for Herndon/Monroe Transit Center based on actual project costs reimbursed through FTA, bringing the total to \$39,744,885.

⁴ FY 2010 represents transfers in from Fund 503, Department of Vehicle Services (DVS) to support construction costs associated with Project 07A001, Newington DVS Renovation.

⁵ In order to account for revenues and expenditures in the proper fiscal year, an audit adjustment in the amount of \$134,903.57 has been reflected as an increase to FY 2010 expenditures due to expenditure accruals. This impacts the amount carried forward and results in a decrease of \$134,903.57 to the FY 2011 Revised Budget Plan. The projects affected by this adjustment are Project 04A003, Woodburn Mental Health Center and Project 07A001, Newington DVS Renovation. The audit adjustments have been included in the FY 2010 Comprehensive Annual Financial Report (CAFR). Details of the FY 2010 audit adjustment were included in the FY 2011 Third Quarter Package.

⁶ Represents \$500,000 in General Fund monies identified in Project 04A002, Gregory Drive Treatment Facility, as the result of lower than anticipated contract award which were transferred back to the General Fund.

⁷ Capital projects are budgeted based on the total project costs. Most projects span multiple years, from design to construction completion. Therefore, funding for capital projects is carried forward each fiscal year, and ending balances fluctuate, reflecting the carryover of these funds.

Fund 311

County Bond Construction

FY 2012 Summary of Capital Projects

Fund: 311, County Bond Construction

Project #	Description	Total Project Estimate	FY 2010 Actual Expenditures	FY 2011 Revised Budget	FY 2012 Advertised Budget Plan	FY 2012 Adopted Budget Plan
04A000	Human Services Juvenile Facilities Bond Project	\$5,886,168	\$0.00	\$5,886,168.22	\$0	\$0
04A001	Girls Probation House	4,739,991	11,895.60	0.00	0	0
04A002	Gregory Drive Treatment Facility	3,682,483	2,544,896.62	50,944.88	0	0
04A003	Woodburn Mental Health Center	7,780,000	323,726.21	7,344,625.64	0	0
04A004	Mount Vernon Mental Health Center	7,809,079	2,290,550.32	40,596.48	0	0
04A005	Less Secure Shelter II	5,205,328	2,266,333.96	31,784.43	0	0
04A006	County Cemetery	500,000	0.00	500,000.00	0	0
07A001	Newington DVS Renovation	57,395,318	1,528,781.50	55,481,756.44	0	0
88A002	West Ox Bus Operations Center	54,453,951	135,620.86	5,872,523.85	0	0
88A014	Newington Maint. Fac. Expansion	3,314,195	0.00	0.00	0	0
88A015	West Ox Maint. Fac. Expansion	5,455,541	3,852.01	6,463.02	0	0
89A001	ADC Expansion II	81,168,957	0.00	0.00	0	0
89A015	Juvenile Facilities Feasibility Studies	67,137	0.00	0.00	0	0
90A015	East County Center	50,000	0.00	49,999.76	0	0
90A016	Herndon Monroe Parking Garage Repairs	5,146,993	9,852.32	2,904,484.80	0	0
CG0000	Fund 311 Contingency		0.00	359,924.06	0	0
Total		\$242,655,139	\$9,115,509.40	\$78,529,271.58	\$0	\$0

Fund 312

Public Safety Construction

Focus

This fund supports the construction of fire and police stations, governmental centers with police substations, and other public safety facilities. Projects are funded by several public safety bond referenda approved by the voters, and the General Fund. The latest referendum was approved by voters on November 7, 2006. This referendum included \$125 million to support the expansion and renovation of fire and rescue facilities, police stations and the West Ox Animal Shelter.

FY 2012 funding in the amount of \$442,595 is included in Fund 312, Public Safety Construction, to support construction associated with the renovation of a fourth courtroom in the original portion of the Jennings Judicial Center. Of this amount, \$242,595 is funded by the General Fund and \$200,000 is transferred from Fund 105, Cable Communications to support wiring, cabling and other technology costs associated with courtroom technology. Of the 26 courtrooms in the Jennings Building, renovations are complete on three courtrooms, with a fourth courtroom having completed the design phase only. These courtrooms require improved lighting; ductwork realignment; millwork refinishing, and new wall, floor and ceiling finishes; ADA compliance upgrades, and technology upgrades to remain operational. Courtroom technology improvements will support integrated and mobile evidence presentation, real time court reporting, wireless access, electronic way finding, video conferencing and video arraignment, improving efficiencies and facilitation of court process and services. Funding to complete the remaining 22 courtrooms will be required in future years.

Changes to FY 2011 Adopted Budget Plan

The following funding adjustments reflect all approved changes in the FY 2011 Revised Budget Plan since passage of the FY 2011 Adopted Budget Plan. Included are all adjustments made as part of the FY 2010 Carryover Review, FY 2011 Third Quarter Review, and all other approved changes through April 12, 2011.

- ◆ As part of the *FY 2010 Carryover Review*, the Board of Supervisors approved an increase of \$118,064,588 due to the carryover of unexpended project balances in the amount of \$117,121,085 and a net adjustment of \$943,503. This adjustment was primarily due to the appropriation of revenues received in FY 2010 associated with a contribution from the Great Falls Fire Station volunteers in the amount of \$850,000 to support construction of the Great Falls Station renovation and expansion project. In addition, in October 2009 the County sold \$202.2 million of Federally Taxable Build America Bonds. Based on the Internal Revenue Code §54AA Section (g) (2) (A), 100 percent of available project proceeds, which include investment earnings, must be used on capital expenditures. Therefore, interest earnings on Build America Bond proceeds in the amount of \$85,032 were allocated to this construction fund. In addition, an amount of \$8,471 in miscellaneous revenue was received in FY 2010.

- ◆ As part of the *FY 2011 Third Quarter Review*, the Board of Supervisors approved an increase of \$3,924,337 due the appropriation of bond funds in the amount of \$3,000,000 approved as part of the fall 2006 Public Safety Bond referendum for public safety projects. These bond funds will be used for preliminary design work associated with a new Public Safety Headquarters. In addition, an amount of \$924,337 was required to appropriate insurance proceeds received in FY 2011 associated with Bailey's Volunteer Fire Station. Insurance funds will be used to fund design for a new replacement fire station.

Fund 312

Public Safety Construction

A Fund Statement and a Summary of Capital Projects are provided on the following pages. The Summary of Capital Projects may include some projects without a Total Project Estimate amount. These projects are considered “continuing” projects or projects for which funding is necessary on an ongoing basis (e.g., a contingency or planning project).

Fund 312

Public Safety Construction

FUND STATEMENT

Fund Type G30, Capital Project Funds

Fund 312, Public Safety Construction

	FY 2010 Actual	FY 2011 Adopted Budget Plan	FY 2011 Revised Budget Plan	FY 2012 Advertised Budget Plan	FY 2012 Adopted Budget Plan
Beginning Balance	\$44,980,298	\$0	\$40,870,573	\$0	\$0
Revenue:					
Sale of Bonds ¹	\$13,600,000	\$0	\$79,919,134	\$0	\$0
Build America Bond Interest ²	85,032	0	0	0	0
Contributions	850,000	0	0	0	0
Miscellaneous Revenues	8,471	0	0	0	0
Insurance Settlement	0	0	924,337	0	0
Total Revenue	\$14,543,503	\$0	\$80,843,471	\$0	\$0
Transfer In:					
General Fund (001) ³	\$800,000	\$0	\$0	\$550,000	\$242,595
Cable Communications (105) ⁴	0	0	0	200,000	200,000
County Construction (303) ⁵	1,500,000	0	0	0	0
Total Transfers In	\$2,300,000	\$0	\$0	\$750,000	\$442,595
Total Available	\$61,823,801	\$0	\$121,714,044	\$750,000	\$442,595
Total Expenditures ⁶	\$17,953,228	\$0	\$121,714,044	\$750,000	\$442,595
Transfer Out:					
General Fund (001)	\$3,000,000	\$0	\$0	\$0	\$0
Total Transfers Out	\$3,000,000	\$0	\$0	\$0	\$0
Total Disbursements	\$20,953,228	\$0	\$121,714,044	\$750,000	\$442,595
Ending Balance⁷	\$40,870,573	\$0	\$0	\$0	\$0

¹ The sale of bonds is presented here for planning purposes only. Actual bond sales are based on cash needs in accordance with Board policy. On November 7, 2006, the voters approved a \$125 million Public Safety Bond Referendum to support renovations and priority expansions at public safety facilities. In October 2009, an amount of \$13.6 million was sold, leaving a balance of \$93.58 million in authorized but unissued bonds for this fund.

² In October 2010, the County sold \$202.2 million of Federally Taxable Build America Bonds. According to Internal Revenue Code §54AA Section (g) (2) (A) 100 percent of available project proceeds, which includes investment earnings, must be used on capital expenditures. Therefore, interest earnings on Build America Bond proceeds in the amount of \$85,032 have been allocated to this construction fund.

³ FY 2010 funding is associated with Project 009218, Courthouse IT Equipment and Support (\$800,000) for the final systems furniture lease purchase payment. FY 2012 funding is associated with Project 009223, Jennings Courtroom Renovations (\$242,595) to support the renovation of a fourth courtroom.

⁴ FY 2011 funding is associated with Project 009223, Jennings Courtroom Renovations for technology upgrades associated with the renovation of a fourth courtroom.

⁵ The FY 2010 transfer in from Fund 303, County Construction was necessary to support higher than anticipated construction costs for Project 009209, Judicial Center Expansion.

⁶ In order to account for revenue and expenditures in the proper fiscal year, an audit adjustment in the amount of \$274,880.87 has been reflected as an increase to FY 2010 expenditures to correctly record expenditure accruals. This impacts the amount carried forward and results in a decrease of \$274,880.87 to the FY 2011 Revised Budget Plan. The projects effected by this adjustment are Project 009210, Crosspointe Fire Station, Project 009223, Jennings Courtroom Renovations, and Project 009224, Great Falls Fire Station. The audit adjustments have been included in the FY 2010 Comprehensive Annual Financial Report (CAFR). Details of the FY 2010 audit adjustment were included in the FY 2011 Third Quarter Package.

⁷ Capital projects are budgeted based on the total project costs. Most projects span multiple years, from design to construction completion. Therefore, funding for capital projects is carried forward each fiscal year, and ending balances fluctuate, reflecting the carryover of these funds.

Fund 312

Public Safety Construction

FY 2012 Summary of Capital Projects

Fund: 312, Public Safety Construction

Project #	Description	Total Project Estimate	FY 2010 Actual Expenditures	FY 2011 Revised Budget	FY 2012 Advertised Budget Plan	FY 2012 Adopted Budget Plan
009049	Tyson's Fire Station	\$100,000	\$17,456.51	\$82,543.49	\$0	\$0
009051	Bailey's Crossroads Fire Station	1,374,337	0.00	1,374,337.00	0	0
009079	Fairfax Center Fire Station	8,899,923	85,252.03	48,370.51	0	0
009088	Traffic Light Signalization	967,762	96,560.61	211,373.58	0	0
009094	Wolftrap Fire Station	11,325,000	12,857.00	7,204,999.24	0	0
009203	Public Safety Contingency		0.00	1,519,563.59	0	0
009204	Burke Volunteer Fire Station	4,482,327	0.00	20,437.11	0	0
009205	Parking - PS Complex	20,894,965	308.50	0.00	0	0
009206	Mt. Vernon Police Station	7,008,822	11,387.15	5,546.70	0	0
009209	Judicial Center Expansion	127,370,483	2,099,469.66	1,133,265.14	0	0
009210	Crosspointe Fire Station	9,169,760	37,109.85	(15,644.37)	0	0
009211	McConnell Public Safety and Transportation Operations Center	95,482,130	8,835,993.51	6,624,724.83	0	0
009215	Herndon Fire Station	1,350,000	96,989.11	1,164,669.31	0	0
009217	Stonecroft Boulevard Widening	782,383	8,082.69	629,080.35	0	0
009218	Courthouse IT Equipment and Support	11,800,150	1,336,510.86	3,588,587.27	0	0
009219	Old Courthouse Renovation	68,484	3,948.79	0.00	0	0
009220	Public Safety Master Plan	377,860	82,057.86	25,358.61	0	0
009223	Jennings Courtroom Renovations	3,222,595	830,672.51	1,497,089.14	750,000	442,595
009224	Great Falls Fire Station	10,450,000	886,641.82	8,856,669.61	0	0
009225	Fair Oaks Police Station	17,400,000	159,188.05	16,224,577.62	0	0
009226	Reston Police Station Renovation	18,800,000	142,466.21	18,651,255.84	0	0
009227	McLean Police Station Renovation	20,100,000	163,495.74	19,935,671.31	0	0
009228	West Ox Road Animal Shelter	16,100,000	704,597.82	14,724,201.35	0	0
009229	Fire & Rescue Training Academy Expansion and Renovation	17,100,000	2,235,887.94	14,393,576.64	0	0
009230	Public Safety Headquarters	3,521,739	0.00	3,521,738.71	0	0
009231	MPSTOC Operating and Equipment Support	1,300,336	106,293.68	292,051.31	0	0
Total		\$409,449,056	\$17,953,227.90	\$121,714,043.89	\$750,000	\$442,595

Fund 314

Neighborhood Improvement Program

Focus

Fund 314, Neighborhood Improvement Program, provided for improvements to public facilities including curbs and gutters, sidewalks, street widening and storm drainage improvements to enhance the condition and appearance of participating neighborhoods. Neighborhoods were selected for participation in the program on the basis of their need for general community improvements due to problems of road and yard flooding and/or traffic problems, as well as their willingness to share in the implementation of a Community Plan. The program focused on the preservation and improvement of the County's older, yet stable, neighborhoods of predominantly single-family



homes which are currently vulnerable to deterioration.

No funding is included in Fund 314, Neighborhood Improvement Program, in FY 2012. All bond funded project work is now complete and remaining bond balances of \$148,485 were transferred to Fund 200-201, Consolidated Debt Service as part of the *FY 2011 Third Quarter Review*. Remaining balances will offset debt service costs associated with the sale of the 1989 bonds. Any remaining revenue received from homeowners in the future will be accounted for within the debt funds and applied to offset debt service costs.

Changes to FY 2011 Adopted Budget Plan

The following funding adjustments reflect all approved changes in the FY 2011 Revised Budget Plan since passage of the FY 2011 Adopted Budget Plan. Included are all adjustments made as part of the FY 2010 Carryover Review, FY 2011 Third Quarter Review, and all other approved changes through April 12, 2011.

- ◆ As part of the *FY 2010 Carryover Review*, the Board of Supervisors approved an increase of \$148,485 due to the carryover of unexpended project balances.
- ◆ As part of the *FY 2011 Third Quarter Review*, the Board of Supervisors approved a decrease of \$148,485 due to the elimination of Fund 314, Neighborhood Improvement Program.

A Fund Statement and a Summary of Capital Projects are provided on the following pages. The Summary of Capital Projects may include some projects without a Total Project Estimate amount. These projects are considered "continuing" projects or projects for which funding is necessary on an ongoing basis (e.g., a contingency or planning project).

Fund 314

Neighborhood Improvement Program

FUND STATEMENT

Fund Type G30, Capital Project Funds

Fund 314, Neighborhood Improvement Program

	FY 2010 Actual	FY 2011 Adopted Budget Plan	FY 2011 Revised Budget Plan	FY 2012 Advertised Budget Plan	FY 2012 Adopted Budget Plan
Beginning Balance	\$428,896	\$98,858	\$250,939	\$107,454	\$0
Revenue:					
Home Owner Contributions ¹	\$8,596	\$5,000	\$0	\$5,000	\$0
Total Revenue	\$8,596	\$5,000	\$0	\$5,000	\$0
Total Available	\$437,492	\$103,858	\$250,939	\$112,454	\$0
Total Expenditures	\$0	\$0	\$0	\$0	\$0
Transfers Out:					
Consolidated Debt Service (200-201) ²	\$186,553	\$0	\$250,939	\$0	\$0
Total Transfers Out	\$186,553	\$0	\$250,939	\$0	\$0
Total Disbursements	\$186,553	\$0	\$250,939	\$0	\$0
Ending Balance³	\$250,939	\$103,858	\$0	\$112,454	\$0

¹ Represents payments from homeowners for their contribution toward construction costs associated with improvements in their neighborhoods. Bond funds are used to finance these projects, and upon completion of construction, the improvements are assessed and the homeowners make their payments with interest. Funds received (i.e., both principal and interest) are periodically transferred to Fund 200-201, Consolidated Debt Service, to partially assist in paying the debt service costs associated with Neighborhood Improvement projects.

² As part of the FY 2011 Third Quarter Review, this fund was eliminated. All project work is complete and remaining bonds from the 1989 Neighborhood Improvement Bond Referendum, as well as any revenues received from homeowners are transferred to Fund 200-201, Consolidated Debt Service to offset debt service costs associated with the issuance of General Obligation Bonds.

³ Capital projects are budgeted based on the total project costs. Most projects span multiple years, from design to construction completion. Therefore, funding for capital projects is carried forward each fiscal year, and ending balances fluctuate, reflecting the carryover of these funds.

Fund 314

Neighborhood Improvement Program

FY 2012 Summary of Capital Projects

Fund: 314, Neighborhood Improvement Program

Project #	Description	Total Project Estimate	FY 2010 Actual Expenditures	FY 2011 Revised Budget	FY 2012 Advertised Budget Plan	FY 2012 Adopted Budget Plan
C00097	Holmes Run Valley	\$7,456	\$0.00	\$0.00	\$0	\$0
C00098	Mount Vernon Hills	7,796	0.00	0.00	0	0
Total		\$15,252	\$0.00	\$0.00	\$0	\$0

Fund 315

Commercial Revitalization Program

Focus

The Commercial Revitalization Program funds the development and promotion of competitive, attractive and stable commercial centers leading to improved facilities for communities. Improvements include underground utilities, sidewalk construction, street lighting, tree planting and other pedestrian amenities. In the November 1988 bond referendum, Fairfax County voters approved \$22.3 million for public improvements in commercial and redevelopment areas of the County. Of this amount, \$17.1 million was dedicated to fund utility and street landscaping projects in three designated revitalization districts: Central Annandale, Central Springfield and Bailey's Crossroads. The remaining amount of \$5.2 million was divided among the revitalization projects in the Town of Vienna, the McLean Central Business District and along a portion of the Route 1 corridor. In addition to bond proceeds, revenue from the Virginia Department of Transportation (VDOT), and developer contributions support improvement efforts within this fund.

Revitalization is one part of an overall County strategy to accomplish the economic rejuvenation of older retail and business centers. Through targeted efforts of the Revitalization Program it is anticipated that these areas will become more competitive commercially, offer better services and improved shopping opportunities and will become viable candidates for private reinvestment.

No funding is included in Fund 315, Commercial Revitalization Program, in FY 2012. Work will continue on existing and previously funded projects.

Changes to FY 2011 Adopted Budget Plan

The following funding adjustments reflect all approved changes in the FY 2011 Revised Budget Plan since passage of the FY 2011 Adopted Budget Plan. Included are all adjustments made as part of the FY 2010 Carryover Review, FY 2011 Third Quarter Review, and all other approved changes through April 12, 2011.

- ◆ As part of the *FY 2010 Carryover Review*, the Board of Supervisors approved an increase of \$4,098,234 due to the carryover of unexpended project balances in the amount of \$4,096,554 and an adjustment of \$1,680. This adjustment was due to the appropriation of miscellaneous revenues received in FY 2010.

- ◆ As part of the *FY 2011 Third Quarter Review*, the Board of Supervisors made no adjustments to this fund.

A Fund Statement and a Summary of Capital Projects are provided on the following pages. The Summary of Capital Projects may include some projects without a Total Project Estimate amount. These projects are considered "continuing" projects or projects for which funding is necessary on an ongoing basis (e.g., a contingency or planning project).

Fund 315

Commercial Revitalization Program

FUND STATEMENT

Fund Type G30, Capital Project Funds

Fund 315, Commercial Revitalization Program

	FY 2010 Actual	FY 2011 Adopted Budget Plan	FY 2011 Revised Budget Plan	FY 2012 Advertised Budget Plan	FY 2012 Adopted Budget Plan
Beginning Balance	\$509,042	\$0	\$32,025	\$0	\$0
Revenue:					
Sale of Bonds ¹	\$0	\$0	\$2,124,243	\$0	\$0
VDOT Revenues ²	0	0	1,477,745	0	0
Developer Contributions ³	0	0	464,221	0	0
Miscellaneous	1,680	0	0	0	0
Total Revenue	\$1,680	\$0	\$4,066,209	\$0	\$0
Total Available	\$510,722	\$0	\$4,098,234	\$0	\$0
Total Expenditures	\$478,697	\$0	\$4,098,234	\$0	\$0
Total Disbursements	\$478,697	\$0	\$4,098,234	\$0	\$0
Ending Balance⁴	\$32,025	\$0	\$0	\$0	\$0

¹ The sale of bonds is presented here for planning purposes. Actual bond sales are based on cash needs in accordance with Board policy. In the fall of 1988, the voters approved a \$22.3 million Commercial Revitalization bond referendum. Including prior sales, a balance of \$2.26 million remains in authorized but unissued bonds associated with the fall 1988 referendum.

² An amount of \$1,477,745 is anticipated in VDOT revenue for Project 008912, McLean Streetscape (\$898,745), Project 008909, Annandale Streetscape (\$369,000) and Project 008911, Baileys Crossroads Streetscape (\$210,000) in FY 2011 and beyond.

³ Developer contributions include \$250,000 for undergrounding utilities along Chain Bridge Road within Project 008914, Route 1 Streetscape and \$214,221 for Project 008911, Baileys Crossroads Streetscape.

⁴ Capital projects are budgeted based on the total project costs. Most projects span multiple years, from design to construction completion. Therefore, funding for capital projects is carried forward each fiscal year, and ending balances fluctuate, reflecting the carryover of these funds.

Fund 315

Commercial Revitalization Program

FY 2012 Summary of Capital Projects

Fund: 315, Commercial Revitalization Program

Project #	Description	Total Project Estimate	FY 2010 Actual Expenditures	FY 2011 Revised Budget	FY 2012 Advertised Budget Plan	FY 2012 Adopted Budget Plan
008903	Springfield Streetscape Phase I	\$3,169,236	\$0.00	\$230,926.54	\$0	\$0
008909	Annandale Streetscape	7,304,596	292,931.83	773,425.82	0	0
008911	Baileys Crossroads Streetscape	6,575,904	12,685.19	315,587.76	0	0
008912	McLean Streetscape	3,894,629	134,925.56	2,474,040.96	0	0
008914	Route 1 Streetscape	1,642,160	16,788.31	293,325.36	0	0
008919	Road Redevelopment	907,517	21,365.93	10,928.04	0	0
Total		\$23,494,042	\$478,696.82	\$4,098,234.48	\$0	\$0

Fund 316

Pro Rata Share Drainage Construction

Focus

This fund supports storm drainage capital projects through contributions in accordance with the Pro Rata Share Program approved by the Board of Supervisors on December 16, 1991. The Pro Rata Share Program provides a funding source to correct drainage deficiencies by collecting a proportionate share of the total estimated cost of drainage improvements from the developers of the land. Pro Rata funds are used to finance projects within specific watershed areas. As projects are identified and prioritized during scheduled budgetary reviews, Pro Rata funds on deposit are appropriated to this fund.

No funding is included for Fund 316, Pro Rata Share Drainage Construction, in FY 2012. All funding for this program is from private sources. Existing projects will utilize Pro Rata funds received to support watershed planning, regional pond development and other drainage improvement projects.

Changes to FY 2011 Adopted Budget Plan

The following funding adjustments reflect all approved changes in the FY 2011 Revised Budget Plan since passage of the FY 2011 Adopted Budget Plan. Included are all adjustments made as part of the FY 2010 Carryover Review, FY 2011 Third Quarter Review, and all other approved changes through April 12, 2011.

- ◆ As part of the *FY 2010 Carryover Review*, the Board of Supervisors approved an increase of \$10,337,006 due to the carryover of unexpended project balances in the amount of \$10,217,306 and an adjustment of \$119,700. This adjustment was due to the appropriation of pro rata share funds to support the Hunters Branch stream restoration project.

- ◆ As part of the *FY 2011 Third Quarter Review*, the Board of Supervisors approved an increase of \$67,330 to support on-going project work in various watershed areas throughout the County. This increase is supported entirely by pro rata share contributions.

A Fund Statement and a Summary of Capital Projects are provided on the following pages. The Summary of Capital Projects may include some projects without a Total Project Estimate amount. These projects are considered "continuing" projects or projects for which funding is necessary on an ongoing basis (e.g., a contingency or planning project).

Fund 316

Pro Rata Share Drainage Construction

FUND STATEMENT

Fund Type G30, Capital Project Funds

Fund 316, Pro Rata Share Drainage Construction

	FY 2010 Actual	FY 2011 Adopted Budget Plan	FY 2011 Revised Budget Plan	FY 2012 Advertised Budget Plan	FY 2012 Adopted Budget Plan
Beginning Balance	\$6,271	\$0	\$6,271	\$0	\$0
Revenue:					
Pro Rata Shares	\$4,506,173	\$0	\$10,398,065	\$0	\$0
Total Revenue	\$4,506,173	\$0	\$10,398,065	\$0	\$0
Total Available	\$4,512,444	\$0	\$10,404,336	\$0	\$0
Total Expenditures	\$4,506,173	\$0	\$10,404,336	\$0	\$0
Total Disbursements	\$4,506,173	\$0	\$10,404,336	\$0	\$0
Ending Balance¹	\$6,271	\$0	\$0	\$0	\$0

¹ Capital projects are budgeted based on the total project costs. Most projects span multiple years, from design to construction completion. Therefore, funding for capital projects is carried forward each fiscal year, and ending balances fluctuate, reflecting the carryover of these funds.

Fund 316

Pro Rata Share Drainage Construction

FY 2012 Summary of Capital Projects

Fund: 316, Pro Rata Share Drainage Construction

Project #	Description	Total Project Estimate	FY 2010 Actual Expenditures	FY 2011 Revised Budget	FY 2012 Advertised Budget Plan	FY 2012 Adopted Budget Plan
AC0352	Hunter Branch	\$303,370	\$0.00	\$232,047.23	\$0	\$0
AC8001	Accotink Creek Watershed Projects	77,910	0.00	77,910.45	0	0
AC9999	Accotink Creek Watershed Study	1,843,854	299,996.33	410,003.67	0	0
BE9999	Belle Haven Watershed Study	150,481	44,781.92	40,482.54	0	0
BN9999	Bullneck Run Watershed Study	93,018	0.00	1,967.09	0	0
BR8001	Bull Run Watershed Projects	186,071	86,860.61	65,702.39	0	0
CA0451	Vine Street	386,662	5,212.63	6,769.68	0	0
CA0532	Falls Hill Subdivision	365,149	0.00	61.70	0	0
CA8001	Cameron Run Watershed Projects	423,527	0.00	423,526.52	0	0
CU0018	Regional Pond C-18	191,904	(3,336.85)	0.00	0	0
CU8001	Cub Run Pro Rata Share Project		1,854,392.10	4,092,411.10	0	0
DC8001	Dogue Creek Watershed Projects	378,699	0.00	378,698.88	0	0
DC9999	Dogue Creek Watershed Study	666,459	204,301.15	145,846.85	0	0
DE9999	Dead Run Watershed Study	16,000	0.00	2,229.98	0	0
DF0361	Clarks Landing	764,214	275.00	0.00	0	0
DF0913	Reston 913 Pond Retrofit	252,864	1,110.60	0.00	0	0
DF1017	Regional Pond D-17	1,011,000	0.00	329,762.60	0	0
DF1151	Regional Pond D-151	135,000	0.00	133,217.82	0	0
DF8001	Difficult Run Pro Rata Share Project	740,087	0.00	740,086.95	0	0
HC1009	Regional Pond H-9	455,798	61,817.66	104,560.37	0	0
HC8001	Horsepen Creek Watershed Project	931,213	614,465.74	316,747.50	0	0
HC9999	Horsepen Creek Watershed Study	760,000	140,868.66	235,898.81	0	0
LB8001	Long Branch Watershed Projects	2,500	0.00	2,500.00	0	0
LH8001	Little Hunting Creek Watershed Projects	70,891	0.00	70,890.90	0	0
LR0017	Regional Pond R-17	1,530,000	6,457.40	87,362.79	0	0
LR1008	Regional Pond R-8	290,500	44,204.25	24,503.77	0	0
LR1161	Regional Pond R-161	993,570	302,142.00	0.00	0	0
LR9999	Little Rocky Run Watershed Study		0.00	216,929.69	0	0
MB9999	Mill Branch Watershed Study	449,371	96,273.29	206,940.45	0	0
NI9999	Nichol Run Watershed Study	237,500	17,500.00	0.00	0	0
PC0281	Poplar Springs Court	195,051	2,136.22	0.00	0	0
PC8001	Pohick Creek Watershed Projects	474,019	0.00	474,018.80	0	0
PC9999	Pohick Creek Watershed Study	1,184,949	442,516.14	143,249.68	0	0
PH8001	Popes Head Pro Rata Share Project	362,229	0.00	362,229.43	0	0
PM8001	Pimmit Run Watershed Projects	212,114	0.00	212,114.02	0	0
PN9999	Pond Branch Watershed Study		0.00	25,974.25	0	0
SA9999	Sandy Run Watershed Plan		0.00	58,272.61	0	0
SC0213	Bridle Path Lane	641,728	27,021.29	150,002.91	0	0
SU0005	Regional Pond S-05	519,493	3,177.54	0.00	0	0
SU8001	Sugarland Run Watershed Project	751,950	119,784.69	455,245.16	0	0
SU9999	Pro Rata Study - Sugarland Run	661,202	134,214.43	142,229.16	0	0
TR9999	Turkey Run Watershed Study	45,000	0.00	22,934.96	0	0
WR9999	Wolf Run Watershed Study		0.00	11,005.68	0	0
Total		\$18,755,349	\$4,506,172.80	\$10,404,336.39	\$0	\$0

Fund 317

Capital Renewal Construction

Focus

This fund supports the long-term needs of the County’s capital assets to maximize the life of County facilities, avoid their obsolescence, and provide for planned repairs, improvements and restorations to make them suitable for organizational needs. Capital renewal is the planned replacement of building subsystems such as roofs, electrical systems, HVAC, plumbing systems, carpet replacement, parking lot resurfacing, fire alarm replacement and emergency generator replacement that have reached the end of their useful life. Without significant reinvestment in building subsystems, older facilities can fall into a state of ever-decreasing condition and functionality, and the maintenance and repair costs necessary to operate the facilities increase.

Fairfax County will have a projected FY 2012 facility inventory of over 8.5 million square feet of space throughout the County (excluding schools, parks, housing and human services residential facilities). This inventory continues to expand with the addition of newly constructed facilities, the renovation and expansion of existing facilities and the acquisition of additional property. With such a large inventory, it is critical that a planned program of repairs and restorations be maintained. In addition, the age of a major portion of this inventory of facilities is reaching a point where major reinvestments are required in the building subsystems.

Many County facilities have outdated HVAC and electrical systems that are susceptible to failure or are highly inefficient energy users. Sites are identified and each individual project involves a two-step process to complete both design and construction. Roof repairs and waterproofing are conducted in priority order after all roofs at County facilities are evaluated. Based upon the results of that evaluation, critical requirements are prioritized and a five-year plan is established. Repairs and replacement of facility roofs are considered critical to avoid the serious structural deterioration that occurs from roof leaks. By addressing this problem in a comprehensive manner, a major backlog of roof problems can be avoided. Carpet replacement and parking lot resurfacing are evaluated annually and prioritized based on the most critical requirements for high traffic areas. In addition, emergency generators and fire alarm systems are replaced based on equipment age, coupled with maintenance and performance history. Critical emergency repairs and renovations are accomplished under the category of emergency building repairs. These small projects abate building obsolescence and improve the efficiency and effectiveness of facilities and facility systems. The following table outlines, in general, the expected service life of building subsystems used to project capital renewal requirements, coupled with actual condition of the subsystem component:

General Guidelines for Expected Service Life Of Building Subsystems

<u>Electrical</u>		<u>Plumbing</u>	
Lighting	20 years	Pumps	15 years
Generators	25 years	Pipes and fittings	30 years
Service/Power	25 years	Fixtures	30 years
Fire Alarms	15 years		
<u>HVAC</u>		<u>Finishes</u>	
Equipment	20 years	Broadloom Carpet	7 years
Boilers	15 to 30 years	Carpet Tiles	15 years
Building Control Systems	10 years	Systems Furniture	20 to 25 years

Fund 317 Capital Renewal Construction

Conveying Systems

Elevator	25 years
Escalator	25 years

Site

Paving	15 years
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Roofs

Replacement	20 years
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Each year, the Facilities Management Department (FMD) prioritizes and classifies capital renewal projects into five categories. Projects are classified as Category F: urgent/safety related, or endangering life and/or property; Category D: critical systems beyond their useful life or in danger of possible failure; Category C: life-cycle repairs/replacements where repairs are no longer cost effective; Category B: repairs needed for improvements if funding is available, and Category A: good condition.

For several years staff has identified an estimated requirement of \$22 to \$26 million in capital renewal investment annually for the current building inventory. This estimate was based on two factors:

- In 2004, a comprehensive facilities condition assessment was conducted on 92 selected Fairfax County facilities (approximately 4.2 million square feet of space), representative of older facilities anticipated to have the most immediate capital renewal requirements. The assessment included a complete visual inspection of roofs and all mechanical and electrical components for each facility. Maintenance and repair deficiencies were identified and funding requirements estimated. Results from the survey indicated that approximately \$13 million per year would be required to repair and meet expected equipment replacement needs for these 92 facilities. The number of facilities evaluated represents approximately 50 percent of the current inventory, indicating a total current level of approximately \$25 million annually.
- The industry standard for capital renewal investment is currently 2 percent of replacement value. Based on average replacement values of \$150 per square foot, 2 percent equates to capital renewal requirements of \$3.00 per square foot. Budgeted renewal funds in the County have not reached this level. This may be due to the fact that much of the square footage added in the early 1990s was in the form of new facilities and thus has not yet required major capital renewal and subsystem replacement. However, this infrastructure is now aging and appropriate action must be taken to avoid system failures leading to potential disruptions in County services. Applying a \$3.00 per square foot industry standard to the 8,494,171 of square feet currently maintained would result in approximately \$25.5 million required annually for capital renewal expenses.

In September 2009, it was estimated that a backlog of approximately \$35 million in capital renewal projects existed. In order to address this backlog and to plan for a more sustainable and reasonable annual funding level, as part of the FY 2011 Adopted Budget Plan, the Board of Supervisors approved a 3-year plan of short-term borrowing. FY 2012 is the second appropriation for capital renewal projects supported by short-term borrowing. In FY 2011, \$5 million was appropriated and in FY 2013 another \$15 million is anticipated for a total of \$35 million. Eliminating this \$35 million backlog will allow for a more preventative and proactive maintenance program, increase the life cycle of County buildings, and enable the renewal program to reach a fairly consistent level of annual funding requirements. Borrowing will be based on actual project completion schedules and cash flow requirements and will be achieved through the establishment of a variable rate line of credit in order to take advantage of very low short-term interest rates. The payback of both principle and interest on the short-term borrowing program will be provided by the General Fund in the County's debt service fund. Staff will maintain an even level of General Fund support by increasing debt service funding and decreasing General Fund transfers to Fund

Fund 317

Capital Renewal Construction

317 during the next 10 years. Short-term borrowing for capital renewal is included in the debt capacity estimates in the Capital Improvement Program (CIP) and can be accommodated within established debt limits for General Fund supported debt.

In addition, staff continues to supplement the General Fund supported capital renewal program by increasing bond referendum amounts associated with specific purposes. For example, the voters approved \$5 million in the fall 2004 bond referendum for library and human service facility capital renewal requirements and another \$5 million in the fall 2006 bond referendum associated with public safety facility capital renewal requirements. This practice is expected to continue where appropriate.

The FY 2012 capital renewal plan by category is outlined below:

Category	Amount
Category F Projects	\$14,725,000
Facility Condition Assessment	215,000
Category D Project	60,000
FY 2012 Funded	\$15,000,000

This identified level of funding does not include significant estimated requirements at several County facilities which are under review for replacement, including the Massey Building. In addition, this does not include any green building initiatives such as green roofs, enhanced lighting software, Americans with Disability Act (ADA) retrofits, or energy audit work. FY 2012 funding, as detailed below, will provide for the entire category F (urgent/safety related, or endangering life and/or property) projects and one Category D project. Specific funding levels in FY 2012 include:

HVAC/Electrical Systems

This project provides for the planned replacement of HVAC systems at prioritized County facilities, based on the severity of problems including overloaded systems, fire hazards, and costly repairs. FY 2012 funding of \$5,570,000 will provide for HVAC replacement and electrical repairs at a variety of County facilities. In general, the useful life of HVAC/Electrical systems is 20 years; however, some systems fail earlier due to wear and tear, and often emergency repairs are costly based on difficulty obtaining parts and additional code requirements.

Funding of \$4,000,000 is included to replace antiquated HVAC system components at the Old Courthouse which was built in the 1800's. The last HVAC replacement was in 1990 and the system is now beyond its useful life. It is consistently at risk of failure and is requiring increased maintenance efforts due to age and stress on the system. Replacement components include chillers, air handlers, cooling towers and steam boilers which will all need to be replaced and upgraded to meet current code requirements. The Old Courthouse is currently undergoing other renewal efforts which are supported by \$6.5 million in General Obligation bonds approved as part of the 2006 Public Safety Bond Referendum. This renewal work is focused on the structural envelop of the building, including securing the foundation to alleviate water damage, repairing and upgrading the masonry around the perimeter of the building and renovating existing space in order to house the County's historic archives. The building has been experiencing leaking, moisture accumulation, and mold issues which can compromise the foundation and structural frame. This work is expected to be completed in the next two years and additional repairs such as additional electrical work, replacement of the generator and security systems will be required in future years.

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Capital Renewal Construction

Funding of \$900,000 is provided to replace the electrical distribution system and the uninterruptible power source (UPS) that protects backup Emergency-911 equipment, including the Computer Aided Dispatch system, and other computers and data centers at the 51 year old Pine Ridge facility. The Pine Ridge facility houses the critical Emergency-911 back-up center, and several Police Department operations such as the Police Motorized Division, SWAT team and other tactical teams. The UPS system protects mission critical computer systems in the event of a power surge or failure and enables the systems to keep running, avoiding disruptions in service. The UPS system is able to assume immediate power during power outages by maintaining operations until backup generators are activated.

Funding of \$450,000 is included to replace HVAC system components at the 17 year old New Beginnings facility, and funding of \$35,000 is included to replace the air handling unit which regulates air conditioning at the 18 year old Herndon Library. All of these repairs have been classified as safety risks in need of imminent repairs or critical systems beyond their useful life and in risk of failure. In addition, repairs at these two sites are no longer cost effective.

Lastly, funding is provided for replacement batteries to support the UPS systems at two critical facilities. UPS systems are battery operated and in general, the life expectancy of the batteries is 3 to 5 years. Often, frequent system disruptions, power surge events and prolonged battery usage, can result in more frequent battery replacement. FY 2012 includes the planned replacement of batteries at the Jennings Courthouse in the amount of \$60,000 and the McConnell Public Safety and Transportation Operations Center (MPSTOC) in the amount of \$125,000.

Emergency Generator Replacement

This project provides for the planned replacement of emergency generators at mission critical County facilities that have outlived their useful life of 25 years. Generators are critical to the mission and operation of County facilities by providing backup power when power outages occur. Generators are maintained at police stations, fire stations and other operationally critical County facilities. FY 2012 funding of \$1,350,000 includes: \$700,000 for replacement of two generators at the 51 year old Pine Ridge facility, \$500,000 for replacement of the 21 year old system at the Jermantown Garage; and \$150,000 for replacement of the 22 year old Chantilly Fire Station generator. Generators are critical at these facilities due to potential power outages and a disruption in critical operations for staff and the public. In general, these systems last 25 years, but replacement requirements can vary based on wear and tear, frequency of repair requirements, and other signs of imminent failure.

Elevator/Escalator Replacement

This project provides for planned elevator or escalator replacement and upgrades for systems that have outlived their useful life and are experiencing frequent breakdowns. FY 2012 funding in the amount of \$2,375,000 includes funding of \$2,000,000 to address escalator replacement at the 19 year old Jennings Courthouse which is experiencing significant increases in maintenance resulting from a fracture in the escalator track and a large gap between the step and side panel. The escalator is requiring frequent repairs and causing a disruption in service and severe safety concerns for patrons of the Courthouse.

In addition \$300,000 is required to support design work for elevator replacement at the 19 year old Pennino and Herrity Buildings; and \$75,000 is required for the Herrity and Pennino Garage elevators which are both used by employees and the public and could create safety concerns for patrons. Both elevator and escalator replacements will satisfy all current code requirements and provide for the safety of users. Construction funding for upgrades and replacement at the Pennino and Herrity campus will be required once design work is complete.

Fund 317

Capital Renewal Construction

Fire Alarm Systems

This project provides for the planned replacement of fire alarm systems throughout the County. Fire alarm systems are replaced based on age and difficulty in obtaining replacement parts and service. FY 2012 funding in the amount of \$1,185,000 is included for the replacement of the obsolete and aged fire alarm systems at the following County facilities: Pine Ridge, Clifton Fire Station, Sherwood Library, Mason Government Center, Whitman Annex, Lorton Library, Franconia Government Center and the Old Jail portion of the Historic Courthouse.

Roof Repair and Replacement

This project provides for the planned replacement or repair of facility roofs and waterproofing systems in County buildings. Maintenance and repairs are required to stop rapid deterioration and damage due to water penetration. As roofs age, repairs are no longer cost effective and replacement is required. Roofs at County facilities range in warranty periods from 10 to 20 years. The warranties on all of the roofs slated for replacement in FY 2012 have expired. In FY 2012, funding in the amount of \$1,095,000 is included for roof repairs and replacement including: \$250,000 for the 22 year old Gum Springs Community Center, \$150,000 for the 13 year old Woodlawn Fire Station, \$150,000 for the 23 year old George Mason Library, \$150,000 for the 23 year old Baileys Community Center, \$120,000 for the 17 year old Sherwood Library, \$100,000 for the 24 year old McLean Fire Station, \$100,000 for the 51 year old Penn Dawn Fire Station; and \$75,000 for the 11 year old roof at the Alban Garage. In general, roof replacement is required every 20 years; however, leaking and damage caused by water infiltration to facilities can require more immediate attention.

Parking Lot and Garage Repairs

This project provides for the planned repair and maintenance of facility parking lots and garages throughout the County. In FY 2012, funding of \$660,000 is included for re-paving and repairs to three parking lots. Funding of \$350,000 is required to repave the Jermantown Department of Vehicle Services (DVS) Garage based on rapid deterioration of the asphalt. This DVS garage is a heavy traffic facility supporting large volumes of public safety vehicles, trucks and maintenance vehicles entering and exiting the facility daily. With such a large volume of vehicle traffic, the asphalt is deteriorating more rapidly. In addition, repaving and replacement of parking lots and concrete ramps is required at the Pohick Fire Station in the amount of \$160,000; and the McLean Fire Station in the amount of \$150,000. Parking lots at fire stations tend to deteriorate more rapidly based on the frequent use of heavy apparatus vehicles. In general paving will last 15 years; however, heavy vehicle use, temperature changes, water penetration, chemicals used for snow removal, and fuel leaks from vehicles under repair can cause the asphalt to deteriorate more rapidly.

Emergency Building Repairs

This project provides for emergency repairs, minor renovations, and critical upgrading at various buildings and facilities throughout the County. Projects include emergency repairs to buildings and building equipment, plumbing repairs, minor renovations to electrical and mechanical systems, structural repairs, vandalism abatement, and other non-recurring construction and repair projects. A total of \$2,765,000 is included in FY 2012.

Fund 317

Capital Renewal Construction

Funding in the amount of \$1,500,000 is included for critical work at the 60 year old Willston Center including repairs and renovation of restrooms, plumbing fixtures and flooring. The Willston Center building was constructed in the 1950s as an elementary school with much of the original fixtures and systems still in place. The Willston Center is a multi-cultural center offering drop-in recreational programs designed for elementary school children during the spring, summer and winter breaks; an adult education center; a computer learning center; and other community center programs. The restrooms used by both employees and the public currently do not have hot water available and are in extreme need of repairs. This amount also includes the removal of the original floor tiles in the restrooms which have been determined to contain asbestos. Staff and patrons will need to be temporarily relocated while the asbestos mitigation process takes place. FY 2012 funding will provide for a complete restoration of all restrooms in the building to prevent further deterioration, leakage and potential health and safety concerns.

In addition, funding in the amount of \$700,000 is included to provide sealant and caulking throughout the entire Government Center parking garage (P1 and P2) as well as install new hood grates which provide for exhaust discharge and protect against water infiltration into the garage. During heavy rain events, flooding occurs in the garage which deteriorates the concrete surfaces and imminent repairs are needed. Funding in the amount of \$350,000 is also included to recaulk all windows and expansion joints at the Adult Detention Center facility. Much of the original caulking has failed and water continues to leak into the building presenting an imminent safety hazard.

Lastly, \$215,000 is included to conduct a facility assessment at approximately 40 County facilities to specifically identify future capital renewal needs. The last facility assessment was conducted in 2004 on 92 selected facilities (approximately 4.2 million square feet of space), representative of the oldest facilities at the time. The assessment included a complete visual inspection of roofs and all mechanical and electrical components for each facility. Maintenance and repair deficiencies were identified and funding requirements estimated. These 92 facilities represent approximately 50 percent of the current inventory. Additional facility assessment funding will allow inspectors to evaluate major building systems, identify cost estimates associated with repair and replacement and plan for future renewal requirements. The study will include approximately 40 of the remaining facilities not evaluated in 2004 which are now aging and require a comprehensive review.

Fund 317

Capital Renewal Construction

Changes to FY 2011 Adopted Budget Plan

The following funding adjustments reflect all approved changes in the FY 2011 Revised Budget Plan since passage of the FY 2011 Adopted Budget Plan. Included are all adjustments made as part of the FY 2010 Carryover Review, FY 2011 Third Quarter Review, and all other approved changes through April 12, 2011.

- ◆ As part of the *FY 2010 Carryover Review*, the Board of Supervisors approved an increase of \$32,519,520 due to the carryover of unexpended project balances in the amount of \$32,466,173 and an adjustment of \$53,347. This adjustment was due to the appropriation of \$53,347 in revenues received in FY 2010 associated with reimbursements from the Virginia Department of Transportation (VDOT) and the Virginia State Police for their share of the operational costs at the McConnell Public Safety and Transportation Operations Center (MPSTOC) as well as the state share of future projected capital renewal requirements. The MPSTOC is a new high-security, state-of-the-art facility which houses the County's 911 Center and Emergency Operations Center as well as VDOT's Smart Traffic and Signal Centers and the State Police Communications Center. The County pays for all operational requirements such as security, custodial, landscaping, maintenance, parking lot repairs and snow removal and the State reimburses the County for their share of these costs. In addition, the state has begun providing annual funding for future repair and renewal costs to avoid large budget increases for required capital renewal costs in the future. Funding received from the state is appropriated annually at the Carryover Review.

- ◆ As part of the *FY 2011 Third Quarter Review*, the Board of Supervisors made no adjustments to this fund.

A Fund Statement and a Summary of Capital Projects are provided on the following pages. The Summary of Capital Projects may include some projects without a Total Project Estimate amount. These projects are considered "continuing" projects or projects for which funding is necessary on an ongoing basis (e.g., a contingency or planning project).

Fund 317

Capital Renewal Construction

FUND STATEMENT

Fund Type G30, Capital Project Funds

Fund 317, Capital Renewal Construction

	FY 2010 Actual	FY 2011 Adopted Budget Plan	FY 2011 Revised Budget Plan	FY 2012 Advertised Budget Plan	FY 2012 Adopted Budget Plan
Beginning Balance	\$21,201,555	\$0	\$23,519,520	\$0	\$0
Revenue:					
Sale of Bonds ¹	\$0	\$0	\$9,000,000	\$0	\$0
Short Term Borrowing ²	0	5,000,000	5,000,000	15,000,000	15,000,000
MPSTOC Reimbursement ³	53,347	0	0	0	0
Total Revenue	\$53,347	\$5,000,000	\$14,000,000	\$15,000,000	\$15,000,000
Transfer In:					
General Fund (001) ⁴	\$7,470,000	\$3,000,000	\$3,000,000	\$0	\$0
Total Transfers In	\$7,470,000	\$3,000,000	\$3,000,000	\$0	\$0
Total Available	\$28,724,902	\$8,000,000	\$40,519,520	\$15,000,000	\$15,000,000
Total Expenditures	\$5,205,382	\$8,000,000	\$40,519,520	\$15,000,000	\$15,000,000
Total Disbursements	\$5,205,382	\$8,000,000	\$40,519,520	\$15,000,000	\$15,000,000
Ending Balance⁵	\$23,519,520	\$0	\$0	\$0	\$0

¹ The sale of bonds is presented here for planning purposes only. Actual bond sales are based on cash needs in accordance with Board policy. On November 7, 2006, the voters approved a \$125 million Public Safety Bond Referendum, of which \$14 million was designated for capital renewal purposes. Including prior sales, an amount of \$9.0 million remains in authorized but unissued bonds from the November 7, 2006 bond referendum.

² In FY 2012, funding of \$15,000,000 is provided using the County's short-term borrowing tools in order to reduce existing capital renewal backlogs.

³ A total of \$53,347 represents revenue received from the Virginia Department of Transportation (VDOT) and Virginia State Police associated with the state share of operating costs at the McConnell Public Safety and Transportation Operations Center (MPSTOC). These funding reimbursements will be held in capital renewal projects for future replacement requirements.

⁴ The FY 2011 General Fund transfer of \$3,000,000 supports emergency renewal projects at County facilities.

⁵ Capital projects are budgeted based on the total project costs. Most projects span multiple years, from design to construction completion. Therefore, funding for capital projects is carried forward each fiscal year, and ending balances fluctuate, reflecting the carryover of these funds.

Fund 317

Capital Renewal Construction

FY 2012 Summary of Capital Projects

Fund: 317, Capital Renewal Construction

Project #	Description	Total Project Estimate	FY 2010 Actual Expenditures	FY 2011 Revised Budget	FY 2012 Advertised Budget Plan	FY 2012 Adopted Budget Plan
003099	Emergency Building Repairs		\$444,638.05	\$762,877.98	\$2,765,000	\$2,765,000
003100	Fire Alarm Systems		584,262.20	1,819,702.18	1,185,000	1,185,000
009132	Roof Repairs and Waterproofing		0.00	1,000,000.00	1,095,000	1,095,000
009133	Carpet Replacement		33,724.71	50,966.11	0	0
009136	Parking Lot and Garage Repairs		0.00	2,628,400.00	660,000	660,000
009145	Emergency Systems Failures		380,334.80	6,342,994.87	0	0
009151	HVAC/Electrical Systems		2,583,666.82	8,770,130.24	5,570,000	5,570,000
009431	Emergency Generator Replacement		822,475.68	1,481,205.19	1,350,000	1,350,000
009481	Juvenile/Human Services Capital Renewal		14,812.07	0.00	0	0
009600	Elevator Replacement		75,210.04	7,419,203.82	2,375,000	2,375,000
009601	Public Safety Capital Renewal		266,257.91	9,354,176.79	0	0
009602	Window Replacement		0.00	350,000.00	0	0
009703	State Support for MPSTOC Renewal		0.00	89,848.00	0	0
009704	County Support for MPSTOC Renewal		0.00	450,015.00	0	0
Total			\$0 \$5,205,382.28	\$40,519,520.18	\$15,000,000	\$15,000,000

Fund 318

Stormwater Management Program

Focus

Fund 318, Stormwater Management Program was established in FY 2006 to support the long-term needs of the County's Stormwater capital program. Between FY 2006 and FY 2009, the Board of Supervisors had designated the approximate value of one penny from the County's Real Estate Tax to this fund for the Stormwater Program. As part of the FY 2010 Adopted Budget Plan, a new service district was created to support the Stormwater Management Program, as authorized by Va. Code Ann. Sections 15.2-2400. Fund 125, Stormwater Services provides a dedicated funding source for staff salaries, fringe benefits, operational costs, regulatory requirements and capital project support. Over the next year, previously funded projects within Fund 318 will be completed or balances transferred to Fund 125; therefore, no new funding is included in Fund 318, Stormwater Management for FY 2012. On-going project work and contracts will continue within Fund 318 until its closure.

Changes to FY 2011 Adopted Budget Plan

The following funding adjustments reflect all approved changes in the FY 2011 Revised Budget Plan since passage of the FY 2011 Adopted Budget Plan. Included are all adjustments made as part of the FY 2010 Carryover Review, FY 2011 Third Quarter Review, and all other approved changes through April 12, 2011.

- ◆ As part of the *FY 2010 Carryover Review*, the Board of Supervisors approved an increase of \$16,423,397 due to the carryover of unexpended project balances in the amount of \$13,515,307 and an increase of \$2,908,090. This increase was due to the appropriation of revenues in the amount of \$3,451,344 based on a grant agreement between the Natural Resources Conservation Service (NRCS) and Fairfax County which will support rehabilitation of Woodglen Lake and Lake Barton. This grant funding was awarded to Fairfax County as a result of the American Recovery and Reinvestment Act of 2009. Of the total \$3,451,344 awarded, \$393,142 was received in FY 2010 and \$3,058,202 is anticipated in FY 2011. In addition, an increase of \$4,525 was associated with the appropriation of grant funding received in FY 2010 from the Chesapeake Bay Restoration Fund for stormwater public outreach programs. These increases are partially offset by a decrease of \$547,779 due to the completion of the Royal Lake dam rehabilitation project. Both expenditures and revenues were reduced, as all reimbursements for Royal Lake have been received and no future funding is required.

- ◆ As part of the *FY 2011 Third Quarter Review*, the Board of Supervisors approved an increase of \$454,871 to appropriate revenues associated with an amendment to a project agreement between the Natural Resources Conservation Service (NRCS), the Northern Virginia Soil and Water Conservation District (NVSWCD) and Fairfax County for the rehabilitation of Lake Barton as signed on September 28, 2010. This funding from the NRCS is available as a result of the American Recovery and Reinvestment Act of 2009.

A Fund Statement and a Summary of Capital Projects are provided on the following pages. The Summary of Capital Projects may include some projects without a Total Project Estimate amount. These projects are considered "continuing" projects or projects for which funding is necessary on an ongoing basis (e.g., contingency or planning project).

Fund 318

Stormwater Management Program

FUND STATEMENT

Fund Type G30, Capital Project Funds

Fund 318, Stormwater Management Program

	FY 2010 Actual	FY 2011 Adopted Budget Plan	FY 2011 Revised Budget Plan	FY 2012 Advertised Budget Plan	FY 2012 Adopted Budget Plan
Beginning Balance	\$25,906,315	\$0	\$13,400,170	\$0	\$0
Revenue:					
Natural Resources Conservation					
Service (Royal Lake) ¹	\$956,312	\$0	\$0	\$0	\$0
American Reinvestment and Recovery					
Act (ARRA) ² (Woodglen Lake)	393,142	0	1,056,339	0	0
American Reinvestment and Recovery					
Act (ARRA) ³ (Lake Barton)	0	0	2,456,734	0	0
Chesapeake Bay Restoration Grant ⁴	4,525	0	0	0	0
Total Revenue	\$1,353,979	\$0	\$3,513,073	\$0	\$0
Total Available	\$27,260,294	\$0	\$16,913,243	\$0	\$0
Total Expenditures ⁵	\$8,535,124	\$0	\$16,913,243	\$0	\$0
Transfers Out:					
Stormwater Services (125) ⁶	\$5,325,000	\$0	\$0	\$0	\$0
Total Transfers Out	\$5,325,000	\$0	\$0	\$0	\$0
Total Disbursements	\$13,860,124	\$0	\$16,913,243	\$0	\$0
Ending Balance ⁷	\$13,400,170	\$0	\$0	\$0	\$0

¹ On September 10, 2007, the Board of Supervisors approved a federal grant from the Natural Resources Conservation Service (NRCS) associated with Project FX0004, Federal Grant Participation Projects, Royal Lake dam rehabilitation. A total of \$2,032,497 was estimated to be received from NRCS, of which \$1,484,718 has been received to date. This project is now complete and based on actual project costs, no future grant reimbursements will be received.

² On December 7, 2009, the Board of Supervisors approved funding in the amount of \$2,229,971 associated with a project agreement between the Natural Resources Conservation Service (NRCS) and Fairfax County for the rehabilitation of Woodglen Lake. Funding from the NRCS is available as a result of the American Recovery and Reinvestment Act of 2009. In FY 2010, an amount of \$393,142 was received and \$1,056,339 is anticipated in FY 2011 and beyond from the NRCS. The County share of \$780,490 will be paid from existing funds within Fund 318.

³ On July 13, 2010, the Board of Supervisors approved funding in the amount of \$3,079,789 associated with a project agreement between the Natural Resources Conservation Service (NRCS), the Northern Virginia Soil and Water Conservation District (NVSWCD) and Fairfax County for the rehabilitation of Lake Barton. On September 28, 2010 an amendment to this agreement was signed, increasing the total funding to \$3,779,591. Of this amount, the County share of \$1,322,857 will be paid from existing funds within Fund 318. The NRCS share of \$2,456,734 is anticipated in FY 2011. Funding from the NRCS is available as a result of the American Recovery and Reinvestment Act of 2009.

⁴ Represents Chesapeake Bay Restoration grant funding for Project FX7000, Municipal Separate Storm Sewer Permit. An amount of \$4,525 was received in FY 2010 for stormwater public outreach programs.

⁵ In order to account for revenues and expenditures in the proper fiscal year, an audit adjustment in the amount of \$34,975.66 has been reflected as a decrease to FY 2010 expenditures due to expenditure accruals. This impacts the amount carried forward and results in an increase of \$34,975.66 to the FY 2011 Revised Budget Plan. The project affected by this adjustment is Project FX4000, Dam Safety Projects. The audit adjustment has been included in the FY 2010 Comprehensive Annual Financial Report (CAFR). Details of the FY 2010 audit adjustment were included in the FY 2011 Third Quarter Package.

⁶ Represents a transfer out to Fund 125, Stormwater Services, where primary funding for Stormwater capital projects is located.

⁷ Capital projects are budgeted based on the total project costs. Most projects span multiple years, from design to construction completion. Therefore, funding for capital projects is carried forward each fiscal year, and ending balances fluctuate, reflecting the carryover of these funds.

Fund 318

Stormwater Management Program

FY 2012 Summary of Capital Projects

Fund: 318, Stormwater Management Program

Project #	Description	Total Project Estimate	FY 2010 Actual Expenditures	FY 2011 Revised Budget	FY 2012 Advertised Budget Plan	FY 2012 Adopted Budget Plan
AC8000	Accotink Creek Watershed Projects	\$2,775,000	\$41,192.65	\$57,010.78	\$0	\$0
AC9000	Accotink Creek Watershed Plan	1,250,767	0.00	964,366.60	0	0
BH8000	Belle Haven Creek Watershed Projects	2,128,099	63,252.45	0.00	0	0
CA8000	Cameron Run Watershed Projects	3,755,800	909,610.29	1,383,063.63	0	0
CU8000	Cub Run Watershed Projects	779,249	194,677.17	51,914.55	0	0
DC8000	Kingstowne Monitoring	842,294	115,186.18	112,277.06	0	0
DC9000	Dogue Creek Watershed Plan	423,205	40,350.15	372,854.37	0	0
DE8000	Dead Run Watershed Projects	640,000	452,915.55	15,612.20	0	0
DF8000	Difficult Run Watershed Projects	650,000	31,677.94	86,865.05	0	0
FX0001	Interim Watershed Program	515,000	0.00	387,878.72	0	0
FX0004	Federal Grant Participation Projects	1,623,835	21,440.73	0.00	0	0
FX1000	Storm Drainage Improvements and Innovative Projects	3,171,665	322,778.82	169,072.99	0	0
FX2000	Environmental Initiatives Projects	357,866	0.00	255,615.96	0	0
FX3000	Stormwater Program Support	1,147,560	112,188.64	145,237.07	0	0
FX4000	Dam Safety Projects	17,369,622	2,568,256.06	7,354,049.96	0	0
FX5000	Stormwater Management Facilities	6,518,408	1,195,336.53	223,475.97	0	0
FX6000	Infrastructure Reinvestment Program	14,617,326	646,195.65	430,254.37	0	0
FX7000	Municipal Separate Storm Sewer Permit	6,132,165	934,628.79	632,418.05	0	0
FX8000	Emergency Watershed Projects	2,126,307	151,224.60	452,161.90	0	0
HC9000	Horsepen Creek Watershed Plan	457,279	0.00	405,142.55	0	0
LH8000	Little Hunting Creek Watershed Projects	2,741,570	65,890.18	299,985.01	0	0
LO9000	Lower Occoquan Watershed Plan	1,066,581	176,761.26	657,778.32	0	0
LR9000	LittleRocky/Johnny Moore Watershed Plan	491,038	137,590.95	228,419.03	0	0
MB9000	Mill Branch Watershed Plan	389,000	7,376.22	74,221.97	0	0
MP8000	Middle Potomac Watershed Projects	241,957	34,003.44	129,231.14	0	0
PC8000	Pohick Creek Watershed Projects	918,500	159,015.86	171,058.20	0	0
PC9000	Pohick Creek Watershed Plan	656,448	0.00	544,678.85	0	0
PH8000	Popes Head Creek Watershed Projects	730,000	5,057.97	232,736.57	0	0
PH9000	Popes Head Creek Watershed Plan	65,110	0.00	65,110.00	0	0
PM8000	Pimmit Run Watershed Projects	1,484,014	1,814.81	44,734.11	0	0
PN9000	Pond Branch Watershed Plan	540,773	138,162.53	324,556.38	0	0
SC8000	Scotts Run Watershed Projects	650,000	8,538.14	641,461.86	0	0
Total		\$77,256,438	\$8,535,123.56	\$16,913,243.22	\$0	\$0

Fund 370

Park Authority Bond Construction

Focus

This fund provides for the continued design, construction and renovation of Fairfax County parks, and is primarily supported by General Obligation bonds. Projects within this fund provide for improvements to a wide range of recreational facilities such as playgrounds, picnic areas, trails and recreation center/swimming pool complexes. The existing program is supported in part by \$65 million in General Obligation bonds approved by the voters on November 4, 2008 to acquire new parks and develop and improve park facilities.

The Park Authority Board has adopted certain criteria for evaluating proposed acquisitions, including contiguity to existing parkland or stream valley areas, existing zoning and development conditions, reasonable development costs and support within the Fairfax County Comprehensive Plan. The Park Authority also works with the private sector to acquire easements and donations of land and funding in an effort to use land acquisition monies more effectively.



No funding is included for Fund 370, Park Authority Bond Construction, in FY 2012. Work will continue on existing and previously funded projects.

Changes to FY 2011 Adopted Budget Plan

The following funding adjustments reflect all approved changes in the FY 2011 Revised Budget Plan since passage of the FY 2011 Adopted Budget Plan. Included are all adjustments made as part of the FY 2010 Carryover Review, FY 2011 Third Quarter Review, and all other approved changes through April 12, 2011:

- ◆ As part of the *FY 2010 Carryover Review*, the Board of Supervisors approved an increase of \$62,750,052 due to the carryover of unexpended project balances in the amount of \$62,672,028 and an adjustment of \$78,024. This adjustment was due to the appropriation of revenue in the amount of \$37,830 in Build America Bond interest and \$40,194 for grants received in FY 2010.
- ◆ As part of the *FY 2011 Third Quarter Review*, the Board of Supervisors made no adjustments to this fund.

A Fund Statement and a Summary of Capital Projects are provided on the following pages. The Summary of Capital Projects may include some projects without a Total Project Estimate amount. These projects are considered "continuing" projects or projects for which funding is necessary on an ongoing basis (e.g., a contingency or planning project).

Fund 370

Park Authority Bond Construction

FUND STATEMENT

Fund Type P37, Capital Project Funds

Fund 370, Park Authority Bond Construction

	FY 2010 Actual	FY 2011 Adopted Budget Plan	FY 2011 Revised Budget Plan	FY 2012 Advertised Budget Plan	FY 2012 Adopted Budget Plan
Beginning Balance	\$15,421,119	\$0	\$7,901,313	\$0	\$0
Revenue:					
Sale of Bonds ¹	\$11,500,000	\$0	\$54,835,000	\$0	\$0
Build America Bond Interest ²	37,830	0	0	0	0
Grants ³	163,260	0	0	0	0
Total Revenue	\$11,701,090	\$0	\$54,835,000	\$0	\$0
Total Available	\$27,122,209	\$0	\$62,736,313	\$0	\$0
Total Expenditures⁴	\$19,220,896	\$0	\$62,736,313	\$0	\$0
Total Disbursements	\$19,220,896	\$0	\$62,736,313	\$0	\$0
Ending Balance⁵	\$7,901,313	\$0	\$0	\$0	\$0

¹ The sale of bonds is presented here for planning purposes only. Actual bond sales are based on cash needs in accordance with Board policy. On November 6, 2006, the voters approved a \$25 million Park Authority Bond Referendum to continue land acquisition and park development. In addition, on November 4, 2008, the voters approved a \$65 million Park Authority Bond Referendum to continue land acquisition, park development, parks and building renovation and stewardship. The FY 2010 Actuals reflect an amount of \$11.5 million sold in October 2009, leaving a balance of \$54.835 million in authorized but unissued bonds for this fund.

² In October 2010, the County sold \$202.2 million of Federally Taxable Build America Bonds. According to Internal Revenue Code §54AA Section (g) (2) (A) 100 percent of available project proceeds, which includes investment earnings, must be used on capital expenditures. Therefore, interest earnings on Build America Bond proceeds in the amount of \$37,830 have been allocated to this construction fund.

³ Reflects revenues from grants for which Park Authority bond funds serve as the Local Cash Match.

⁴ In order to account for revenues and expenditures in the proper fiscal year, an audit adjustment in the amount of \$13,738.92 has been reflected as a net increase to FY 2010 expenditures due to expenditure accruals. This impacts the amount carried forward and results in a net decrease of \$13,738.92 to the FY 2011 Revised Budget Plan. The projects affected by this adjustment are Project 474408, Park and Building Renovations 2008, Project 474606, Trails and Stream Crossings 2006, Project 475004, Natural and Cultural Resources 2004, Project 475098, Natural and Cultural Resource Facilities 1998, Project 475804, Building Renovation and Expansion, and Project 476106, Land Acquisition. The audit adjustments have been included in the FY 2010 Comprehensive Annual Financial Report (CAFR). Details of the FY 2010 audit adjustment were included in the FY 2011 Third Quarter Package.

⁵ Capital Projects are budgeted based on total project cost. Most projects span multiple years, from design to construction completion. Therefore, funding for capital projects is carried forward each fiscal year, and ending balances fluctuate, reflecting the carryover of these funds.

Fund 370

Park Authority Bond Construction

FY 2012 Summary of Capital Projects

Fund: 370, Park Authority Bond Construction

Project #	Description	Total Project Estimate	FY 2010 Actual Expenditures	FY 2011 Revised Budget	FY 2012 Advertised Budget Plan	FY 2012 Adopted Budget Plan
004745	Lane's Mill Restoration	\$50,000	\$0.00	\$1,739.69	\$0	\$0
474104	Athletic Fields -Fall 2004 Park Bonds Athletic Fields - Synthetic	8,633,562	141,688.18	718,474.87	0	0
474106	Turf	10,000,000	447,913.15	260,701.32	0	0
474198	Athletic Fields Infrastructure Renovations -	7,236,866	18,315.33	0.00	0	0
474404	2004	3,212,000	5,224.19	78,986.36	0	0
474408	Park and Building Renovation - 2008	19,739,500	2,861,104.12	16,649,625.22	0	0
474498	Infrastructure Renovations	4,900,000	40,159.95	111,031.53	0	0
474604	Trails and Stream Crossings -	4,895,000	187,816.60	157,920.35	0	0
474606	Trails and Stream Crossings -	5,000,000	96,312.22	3,135,841.13	0	0
474698	Trails & Stream Crossings	4,179,054	62,659.00	0.00	0	0
474763	Grants	2,704,927	573,100.51	54,713.49	0	0
475004	Natural and Cultural Resources - 2004	3,830,000	169,444.92	1,044,100.61	0	0
475008	Stewardship - 2008	11,640,000	166,176.59	11,445,854.05	0	0
475098	Natural & Cultural Resource Facilities 1998	10,000,000	289,207.70	1,161,906.65	0	0
475502	Community Park Development - 2002 Community Parks/Courts -	5,000,000	13,573.00	55,528.24	0	0
475504	2004	9,580,646	209,458.98	1,351,374.67	0	0
475508	Park Development - 2008	19,235,100	2,948,375.47	15,627,416.20	0	0
475598	Community Park Development - 1998	10,050,223	14,907.17	105,166.99	0	0
475804	Building Renovation and Expansion - 2004	23,116,830	4,447,811.51	3,334,784.29	0	0
475898	Building Renovations	4,997,849	911.00	12,113.00	0	0
476106	Land Acquisition - Fall 2006 Park Bonds	10,000,000	88,012.14	673,790.28	0	0
476108	Land Acquisition - Fall 2008 Park Bonds	14,385,400	5,987,719.42	5,604,101.30	0	0
476204	Building New Construction	4,450,000	451,004.99	1,151,142.39	0	0
Total		\$196,836,957	\$19,220,896.14	\$62,736,312.63	\$0	\$0

Fund 390

Public School Construction

Focus

Fund 390, Public School Construction, provides funding for new construction, facility renovation, expansion and improvements authorized by voter referendum, as well as funds for capital expenditures. Bond funding remaining from the 2001, 2003, 2005, 2007 and 2009 bond referenda support capital construction projects in this fund.

In FY 2012, progress will continue on the school bond referendum projects and projects funded by Fund 090, School Operating. Major projects for FY 2012 include facility modifications, building maintenance, renovations and infrastructure management.

Fund 390 Public School Construction

FUND STATEMENT

Fund Type G30, Capital Project Funds

Fund 390, Public School Construction

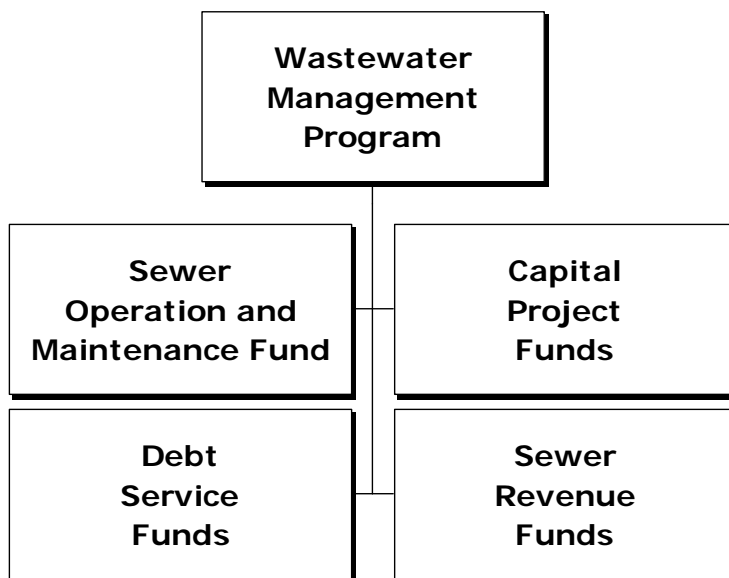
	FY 2010 Actual	FY 2011 Adopted Budget Plan	FY 2011 Revised Budget Plan ¹	FY 2012 Superintendent's Proposed	FY 2012 Adopted Budget Plan ²
Beginning Balance	\$36,763,861	\$0	\$94,573,900	\$0	\$0
Revenue:					
Sale of Bonds ³	\$155,000,000	\$155,000,000	\$130,000,000	\$155,000,000	\$155,000,000
State Construction Grant	0	0	0	0	0
PTA/PTO Receipts	419,848	150,000	150,000	150,000	150,000
Fairfax City	97,012	150,000	150,000	100,000	100,000
Revenue from Use of Money and Property	435,101	0	0	0	0
Other Revenue	2,744,134	136,000	136,000	136,000	136,000
Subtotal Revenue	\$158,696,095	\$155,436,000	\$130,436,000	\$155,386,000	\$155,386,000
Authorized But Unissued Bonds	\$0	\$0	\$340,316,755	\$0	\$0
Total Revenue	\$158,696,095	\$155,436,000	\$470,752,755	\$155,386,000	\$155,386,000
Transfers In:					
School Operating Fund (090)					
Major Maintenance	\$6,449,030	\$6,449,030	\$6,449,030	\$6,449,030	\$6,449,030
Classroom Equipment	1,828,202	3,097,119	3,097,119	649,681	649,681
Facility Modifications	406,845	600,000	370,001	600,000	600,000
Total Transfers In	\$8,684,077	\$10,146,149	\$9,916,150	\$7,698,711	\$7,698,711
Total Available	\$204,144,033	\$165,582,149	\$575,242,805	\$163,084,711	\$163,084,711
Expenditures:					
Subtotal Expenditures	\$109,570,133	\$165,582,149	\$234,926,050	\$163,084,711	\$163,084,711
Contractual Commitments	0	0	340,316,755	0	0
Total Expenditures	\$109,570,133	\$165,582,149	\$575,242,805	\$163,084,711	\$163,084,711
Total Disbursements	\$109,570,133	\$165,582,149	\$575,242,805	\$163,084,711	\$163,084,711
Ending Balance	\$94,573,900	\$0	\$0	\$0	\$0

¹ The FY 2011 Revised Budget Plan reflects adjustments adopted by the Fairfax County School Board on March 24, 2011 during their FY 2011 Third Quarter Review.

² Fairfax County School Board action on the FY 2012 budget was taken on May 26, 2011 and will be included for approval by the Board of Supervisors as part of the FY 2011 Carryover Review.

³ The actual sale of bonds is based upon a review of cash needs rather than cash and encumbrances as presented here for planning purposes. This is consistent with Board policy to sell bonds on a cash basis. Including prior sales, there is a balance of \$438.845 million in authorized but unissued school bonds.

Wastewater Management Program Overview



Focus

The Wastewater Management Program (WWM) is operated, maintained and managed within the Department of Public Works and Environmental Services (DPWES). The program currently includes the County-owned Noman M. Cole, Jr. Pollution Control Plant (67 million gallons per day (mgd) capacity), nearly 3,380 miles of sewer lines, 65 pump stations, 54 flow-metering stations, and covers approximately 234 square miles of the County's 407 square-mile area. Capacity entitlement at the other regional facilities totals 91 mgd. A total of 365,208 households and businesses (new and existing) in Fairfax County were connected to public sewer in FY 2010.

In addition to providing County residents and businesses with sewer service, Fairfax County provides sewer service to other nearby entities through "Sales of Service" agreements with Arlington and Loudoun Counties, the cities of Falls Church and Fairfax, the towns of Herndon and Vienna, Fort Belvoir, the Covanta Fairfax, Inc. Waste-to-Energy facility and Fairfax Water. These entities share the capital and operating costs of WWM based on actual wastewater flow and reserved treatment capacity.

Strategic planning and overall business monitoring is the responsibility of the Wastewater Management Leadership Team, whose responsibilities focus on long range planning, strategic thinking, continuous improvement processing, wastewater capacity, and financial management. This team is comprised of employees from three divisions within WWM, Collections, Treatment, and Planning and Monitoring.

The Wastewater Collection Division (WCD) is responsible for the County's wastewater collection and conveyance system consisting of sewers, force mains, pumping stations and metering stations. The WCD has a proactive sewer system maintenance program that facilitates a safe and effective wastewater collection system. In FY 2010, approximately 225 miles of sewer lines were inspected by Closed Circuit Television (CCTV) crews and over 408 miles of sewer lines were cleaned to ensure maximum flow carrying capacity and reduce sewer backups and overflows. Over the last five years, WCD has rehabilitated approximately 76 miles of sewer lines to protect the environment and residents of Fairfax County.

Wastewater Management Program Overview

The Wastewater Treatment Division (WTD) is responsible for operating and maintaining the County's wastewater treatment facility, the Noman M. Cole, Jr. Pollution Control Plant (NCPCP). The WTD continues to produce a quality effluent to meet regulatory and permit requirements, despite major construction occurring throughout the plant site. The NCPCP continues to make significant efforts to be a "good neighbor" by constructing an odor control system, which improves the air quality around the plant.

The Wastewater Planning and Monitoring Division (WPMD) is responsible for the agency's fiscal planning, engineering planning and wastewater monitoring. The WPMD continues to effectively monitor the long-term planning needs for the Wastewater Management Program in terms of infrastructure upgrades, maintenance and expansions. The WPMD ensures that all financial requirements are fulfilled by maintaining a rate structure to adequately recover all operating and maintenance costs, capital improvements and debt service obligations. The WPMD also plans for system capacity, both in the conveyance system and treatment facilities, by initiating expansion and improvement projects to keep pace with increased wastewater flows. The WPMD safeguards the environment by ensuring compliance with water quality standards and prevention of toxic discharges into the collection system.

WPMD is currently monitoring the Chesapeake Bay water quality program requires reductions in the amount of nutrient pollutants discharged from wastewater treatment facilities. In December 2004, the state notified the County that the renewal of County's National Pollutant Discharge Elimination System (NPDES) permit would include a requirement that nutrient removal be performed at the "Limits of Technology." Current technology allows for discharge limits of less than 3.0 milligrams per liter for nitrogen and 0.1 milligrams per liter for phosphorus. The County has a nitrogen discharge requirement of 7.0 milligrams per liter. A phased approach has been implemented to renovate and upgrade current plant facilities to accommodate these more stringent nutrient discharge requirements.

The Sewer Service Charge rate will increase from \$5.27 to \$6.01 per 1,000 gallons of water consumption in FY 2012. This equates to an approximate increase of 14.0 percent in Sewer Service Charges. In addition, the base charge remains the same in FY 2012 and is billed quarterly in the amount of \$5.00 per bill totaling \$20.00 per year. Base charges are an industry standard used to promote revenue stability and are locally used by: Fairfax Water, Loudoun Water, Stafford County, District of Columbia Water and Sewer Authority (DCWASA), City of Alexandria, Washington Suburban Sanitary Commission (WSSC), and Prince William County. The combined effect of the sewer service charge increase as well as the base charge equate to an anticipated increase in the annual cost to the typical household of \$56.24. For FY 2013 and FY 2014, annual service charge increases of 13.9 percent and 9.8 percent are being considered. Sewer service charge rates continue to increase as debt and capital expenses rise in anticipation of construction of additional treatment facilities to meet more stringent nitrogen removal requirements imposed by the state as a result of "Chesapeake 2000" Agreement. The proposed increase is 3 percent less than previously proposed rate increase based on cost saving initiatives and operating efficiencies implemented in FY 2009 and FY 2010. Operational cost savings and efficiencies included: electricity savings based on lower than anticipated fuel factor rates and a reduction in kilowatt usage; sewage treatment supply savings associated with a reduction in the unit price for petroleum based chemicals used in the treatment of wastewater and a change to less expensive chemicals; lower treatment by contract costs based on reduced operating costs at neighboring jurisdictions; as well as fuel, vehicle replacement costs and repair and maintenance requirements. The Department of Public Works and Environmental Services (DPWES) continues to review efficiencies and monitor usage. These rate increases are consistent with the recommendations of the Department of Public Works and Environmental Services and the analysis included in the January 2011 Wastewater Revenue Sufficiency and Rate Analysis report.

Wastewater Management Program Overview

The FY 2012 Sewer Service and Base Charges will generate additional revenue and will partially offset the increased costs associated with capital project construction, system operation and maintenance, debt service and upgrades to effectively meet nitrogen discharge limitations from wastewater treatment plants. Other sources of revenue are projected to remain fairly flat due to a moderate level of new development and growth anticipated in the County as compared to previous years. The program may also utilize sewer fund balances to partially offset these higher costs. In FY 2011, a Sewer Revenue Bond Sale in the amount of \$150 million is planned to support capital projects including enhanced nutrient removal upgrades, replacement and rehabilitation of sewer line projects and plant upgrades at the Noman M. Cole, Jr., Pollution Control Plant and treatment by contract wastewater treatment facilities.

Availability Charges are one-time “tap fees” charged to new customers for initial access to the system. The revenue from Availability Fees is used to offset the costs of expanding major treatment facilities. In FY 2012, Availability Fees will remain at \$7,750 for single-family homes based on current projections of capital requirements. Rates are based on requirements associated with treatment plant upgrades and interjurisdictional payments that result from population growth, stringent treatment requirements and inflation. The FY 2012 rate is consistent with the recommendations of the Department of Public Works and Environmental Services and the analysis included in the January 2011 Wastewater Revenue Sufficiency and Rate Analysis report. FY 2012 through FY 2015 rates are anticipated to be held equal to FY 2011 rates pending a more detailed pricing analysis. The following table displays the resulting increase by category.

Fiscal Year	Availability Fee	Sewer Service Charge Per 1,000 gallons water used
2008	\$6,506	\$3.74
2009	\$6,896	\$4.10
2010	\$7,310	\$4.50
2011	\$7,750	\$5.27
2012	\$7,750	\$6.01
2013	\$7,750	\$6.85
2014	\$7,750	\$7.52
2015	\$7,750	\$7.97

The system supplements the capacity of its own collections and treatment facilities through "Treatment by Contract" agreements with the District of Columbia Water and Sewer Authority (DCWASA), the Alexandria Sanitation Authority (ASA), the Upper Occoquan Sewage Authority (UOSA) and Arlington County. As stated in the individual agreements, the County pays its share of operating, capital and/or debt costs of each entity's system based on actual wastewater flows and allocated capacity, respectively.

The Wastewater Management Program has issued debt to fund major expansion and upgrade projects for both its own plant and its portion at the “Treatment by Contract” facilities. The following table shows the projected annual debt service payments along with remaining debt service as of June 30, 2010.

Wastewater Management Program Overview

Wastewater Management Debt Service			
Years	Principal	Interest	Total
2011	\$15,856,176	\$25,403,953	\$41,260,129
2012	16,532,204	24,771,968	41,304,172
2013	17,266,077	24,055,839	41,321,916
2014	18,001,450	23,303,550	41,305,000
2015	18,881,361	22,443,515	41,324,876
2016	19,917,382	21,547,351	41,464,733
2017	20,891,684	20,592,685	41,484,369
2018-2041	422,759,425	207,523,988	630,283,413
TOTAL	\$550,105,759	\$369,642,849	\$919,748,608

In FY 2012, the County is projected to provide for the treatment of 114.64 million gallons of wastewater per day. Approximately 40 percent of this flow is treated at the NCPCP. The flow is distributed between the NCPCP and the interjurisdictional facilities as detailed in the table below. The table also includes the capacity utilization percentage and the available (unused) capacity for each plant.

Treatment Plant	Capacity (MGD)	FY 2012 Projected Daily Average (MGD)	Capacity Utilization (%)	Available Capacity (MGD)
DCWASA Blue Plains	31.0	29.91	96.5%	1.09
Noman M. Cole, Jr.	67.0	45.93	68.6%	21.07
Alexandria Sanitation Authority	32.4	23.23	71.7%	9.17
Arlington County	3.0	2.30	76.7%	0.70
Upper Occoquan Sewage Authority	24.6	13.27	53.9%	11.33
Total	158.0	114.64	72.6%	43.36

To ensure that WWM remains competitive and provides a high performance operation including improvements to the technical and managerial capacities that will continue to enhance service quality, customer service and financial planning, WWM closely monitors the following areas:

	FY 2010 (Actual)	FY 2011 (Adopted)	FY 2012 (Projection)
Sewer Service Charge, \$/1,000 gallons	\$4.50	\$5.27	\$6.01
Treatment Costs, \$/MGD	\$1,350	\$1,375	\$1,335
Number of Sewer System Overflows	10	15	15
Odor Complaints per year	16	20	20

Wastewater Management Program Overview

The WWM is comprised of seven separate funds under a self-supporting fund structure (Enterprise Funds) consistent with the Sewer Bond Resolution adopted by the Board of Supervisors in July 1985. For more detailed information of the operational aspects of the various programs, refer to the narrative of Fund 401, Sewer Operation and Maintenance, which immediately follows this Overview. The following is a brief description of the seven active funds:

- ◆ **Fund 400** - Sewer Revenue is used to credit all operating revenues of the system, as well as most of the interest on invested fund balances. Revenues recorded in this fund are transferred to the various funds to meet their operational requirements. The remaining fund balances are used to set aside funds for various reserves and future system requirements.
- ◆ **Fund 401** - Sewer Operation and Maintenance provides funding for the three divisions responsible for the management and operation of the program, supported by a transfer from Fund 400.
- ◆ **Fund 402** - Sewer Construction Improvements provides funding for the repair, rehabilitation and improvement requirements of the entire program's infrastructure, supported by a transfer from Fund 400.
- ◆ **Fund 403** - Sewer Bond Parity Debt Service is used to record principal, interest and fiscal agent fees for the Series 2004 and 2009 Sewer Revenue Bonds and the planned FY 2011 Sewer Revenue Bond Sale in accordance with the current Sewer Bond Resolution, supported by a transfer from Fund 400.
- ◆ **Fund 406** - Sewer Bond Debt Reserve provides debt reserve funds for the 2004 and 2009 Series of Sewer Revenue Bonds and the planned FY 2011 Sewer Revenue Bond Sale in accordance with the current Sewer Bond Resolution, which are funded from the issuance of sewer revenue bonds and/or program revenues.
- ◆ **Fund 407** - Sewer Bond Subordinate Debt Service records all debt service payments on the UOSA revenue bonds and VRA loans. All future issues or refinancing of debt arising from interjurisdictional capacity rights may be treated as subordinate obligations of the system as provided by the General Bond Resolution for Sewer Revenue Bonds. Funding is supported by a transfer from Fund 400.
- ◆ **Fund 408** - Sewer Bond Construction provides for major program construction projects, which are funded from the issuance of sewer revenue bonds and/or program revenues.

Fund 400 Sewer Revenue

Focus

All availability fees and sewer service charges associated with the Wastewater Management Program are credited to this fund as operating revenues. The total receipts from all revenue sources are used to finance the following: Operation and Maintenance (Fund 401); Construction Improvement Projects (Fund 402); Debt Service (Fund 403); and Subordinate Debt Service (Fund 407). Any remaining balance in Fund 400, Sewer Revenue is used for future year requirements and required reserves.



The Program's Availability Fee and Sewer Service Charge are based on staff analysis and consultant recommendations included in the January 2011 Wastewater Revenue Sufficiency and Rate Analysis.

Current Availability Fee Rates

Availability Charges are one-time "tap fees" charged to new customers for initial access to the system. The revenue from Availability Fees is used to offset the costs of expanding major treatment facilities. In FY 2012, Availability Fees will remain at \$7,750 for single-family homes based on current projections of capital requirements. Rates are based on requirements associated with treatment plant upgrades and interjurisdictional payments that result from population growth, more stringent treatment requirements and inflation. The FY 2012 rate is consistent with the recommendations of the Department of Public Works and Environmental Services and the analysis included in the January 2011 Wastewater Revenue Sufficiency and Rate Analysis report. FY 2012 through FY 2014 rates are anticipated to be held equal to FY 2011 rates pending a more detailed pricing analysis. The following table displays the rates by category.

Category	FY 2011 Availability Fee	FY 2012 Availability Fee
Single Family	\$7,750	\$7,750
Townhouses and Apartments	\$6,200	\$6,200
Hotels/Motels	\$1,938	\$1,938
Nonresidential	\$401/fixture unit	\$401/fixture unit

Fund 400 Sewer Revenue

Current Sewer Service Charge

Sewer Service Charges are revenues received from existing customers and are used to fully recover program operation and maintenance costs, debt service payments and capital project requirements attributable to improving wastewater treatment effluent quality as mandated by state and federal agencies. The Sewer Service Charge rate will increase from \$5.27 to \$6.01 per 1,000 gallons of water consumption in FY 2012. This equates to an approximate increase of 14.0 percent in Sewer Service Charges. In addition, the base charge remains the same in FY 2012 and is billed quarterly in the amount of \$5.00 per bill totaling \$20.00 per year. Base charges are an industry standard used to promote revenue stability and are locally used by: Fairfax Water, Loudoun Water, Stafford County, District of Columbia Water and Sewer Authority (DCWASA), City of Alexandria, Washington Suburban Sanitary Commission (WSSC), and Prince William County. The combined effect of the sewer service charge increase as well as the base charge equate to an anticipated increase in the annual cost to the typical household of \$56.24. For FY 2013 and FY 2014, annual service charge increases of 13.9 percent and 9.8 percent are being considered. Sewer service charge rates are increasing as debt and capital expenses rise in anticipation of construction of additional treatment facilities to meet more stringent nitrogen removal requirements imposed by the state as a result of “Chesapeake 2000” Agreement. The Chesapeake Bay water quality program requires reductions in the amount of nutrient pollutants. In December 2004, the state notified the County that the renewal of County’s National Pollutant Discharge Elimination System (NPDES) permit includes a requirement that nutrient removal be performed at the “Limits of Technology.” Current technology allows for discharge limits of 3.0 milligrams per liter for nitrogen and 0.1 milligrams per liter for phosphorus. The County has a nitrogen discharge requirement of 7.0 milligrams per liter. A phased approach has been under way to renovate and upgrade current plant facilities to accommodate new more stringent nutrient discharge requirements. Due to the significant level of requirements, it is anticipated that projects will be financed on an as-needed basis. These rate increases are consistent with the recommendations of the Department of Public Works and Environmental Services and the analysis included in the January 2011 Wastewater Revenue Sufficiency and Rate Analysis report.

Category	FY 2011 Sewer Service Charge	FY 2012 Sewer Service Charge
Per 1,000 gallons water consumed	\$5.27	\$6.01

The FY 2012 Sewer Service Charge will generate an additional \$17.608 million in revenue over the estimated FY 2011 *Revised Budget Plan* amount and will partially offset the increased costs associated with capital project construction, system operation and maintenance, debt service and upgrades to effectively meet new, more stringent nitrogen discharge limitations from wastewater treatment plants. Other sources of revenue are projected to remain fairly flat due to a moderate level of new development and growth anticipated in the County as compared to previous years. The program may also utilize sewer fund balances to partially offset these higher costs.

Fund 400 Sewer Revenue

Availability Fees and Sewer Service Charges from FY 2008 through FY 2015

Fiscal Year	Availability Fee	Sewer Service Charge Per 1,000 gallons water used
2008	\$6,506	\$3.74
2009	\$6,896	\$4.10
2010	\$7,310	\$4.50
2011	\$7,750	\$5.27
2012	\$7,750	\$6.01
2013	\$7,750	\$6.85
2014	\$7,750	\$7.52
2015	\$7,750	\$7.97

Changes to FY 2011 Adopted Budget Plan

The following funding adjustments reflect all approved changes in the FY 2011 Revised Budget Plan since passage of the FY 2011 Adopted Budget Plan. Included are all adjustments made as part of the FY 2010 Carryover Review, FY 2011 Third Quarter Review, and all other approved changes through April 12, 2011.

- ◆ There have been no revisions to this fund since the approval of the FY 2011 Adopted Budget Plan.

Fund 400 Sewer Revenue

FUND STATEMENT

Fund Type G40, Enterprise Funds

Fund 400, Sewer Revenue

	FY 2010 Actual	FY 2011 Adopted Budget Plan	FY 2011 Revised Budget Plan	FY 2012 Advertised Budget Plan	FY 2012 Adopted Budget Plan
Beginning Balance	\$87,265,589	\$81,555,589	\$86,560,787	\$66,925,787	\$88,525,787
Revenue:					
Lateral Spur Fees	\$12,600	\$10,000	\$10,000	\$10,000	\$10,000
Sales of Service	8,888,800	8,000,000	8,000,000	9,500,000	9,500,000
Availability Charges	10,668,539	12,000,000	12,000,000	10,000,000	10,000,000
Connection Charges	28,980	50,000	50,000	30,000	30,000
Sewer Service Charges	117,550,994	125,775,000	125,775,000	143,383,500	143,383,500
Miscellaneous Revenue	200,360	150,000	150,000	150,000	150,000
Sale Surplus Property	47,503	30,000	30,000	30,000	30,000
Interest on Investments	847,422	2,000,000	1,000,000	900,000	900,000
Total Revenue	\$138,245,198	\$148,015,000	\$147,015,000	\$164,003,500	\$164,003,500
Total Available	\$225,510,787	\$229,570,589	\$233,575,787	\$230,929,287	\$252,529,287
Transfers Out:					
Sewer Operation and Maintenance (401)	\$90,000,000	\$98,800,000	\$73,400,000	\$78,000,000	\$78,000,000
Sewer Construction Improvements (402)	18,000,000	24,500,000	24,500,000	29,000,000	29,000,000
Sewer Bond Parity Debt Service (403)	6,650,000	19,850,000	22,650,000	25,554,960	25,554,960
Sewer Bond Subordinate Debt Service (407)	24,300,000	24,500,000	24,500,000	25,633,624	25,633,624
Total Transfers Out	\$138,950,000	\$167,650,000	\$145,050,000	\$158,188,584	\$158,188,584
Total Disbursements	\$138,950,000	\$167,650,000	\$145,050,000	\$158,188,584	\$158,188,584
Ending Balance ¹	\$86,560,787	\$61,920,589	\$88,525,787	\$72,740,703	\$94,340,703
Management Reserves:					
Operating and Maintenance Reserve ²	\$45,000,000	\$30,000,000	\$45,000,000	\$40,000,000	\$40,000,000
New Customer Reserve ³	22,846,287	23,000,000	23,000,000	23,000,000	23,000,000
Virginia Resource Authority Reserve ⁴	6,637,072	6,637,072	6,637,072	6,637,072	6,637,072
Total Reserves	\$74,483,359	\$59,637,072	\$74,637,072	\$69,637,072	\$69,637,072
Unreserved Balance	\$12,077,428	\$2,283,517	\$13,888,715	\$3,103,631	\$24,703,631

¹ The Wastewater Management Program maintains fund balances at adequate levels relative to projected debt service requirements, operation and maintenance expenses and capital improvements. These costs change annually and funding for sewer projects is carried forward each fiscal year; therefore, ending balances fluctuate, reflecting the carryover of these funds.

² The Operating and Maintenance Reserve provides for unforeseen expenses associated with sewer system emergencies. This reserve is targeted to be maintained at a level between \$25 and \$45 million. This level of reserve is based on industry practice to maintain existing customer reserves at a level which can support 30 and 180 days of working capital and approximately 50 percent of one year's requirements for rehabilitation and replacement of the current system's assets.

³ The New Customer Reserve provides for debt service and administrative expenses associated with new customer debt, until such time as adjustments to availability charges can be accommodated. This reserve is targeted to be maintained at approximately \$22 million. This level of reserve is based on payment expenses associated with one year of debt service and administrative expenses associated with new customer debt.

⁴ The Virginia Resource Authority Reserve was established in anticipation of debt service reserve requirements for Virginia Resource Authority loans related to future treatment plant issues.

Fund 401

Sewer Operation and Maintenance



Mission

To safely collect and treat wastewater in compliance with all regulatory requirements using state-of-the-art technology in the most cost-effective manner in order to improve the environment and enhance the quality of life in Fairfax County.

Focus

The Wastewater Management Program includes wastewater collection and conveyance, wastewater treatment, and planning and monitoring program areas. The primary functions are to strategically plan, efficiently operate and effectively maintain the wastewater system in the best interest of the County and its customers. Funding for sewer operations and maintenance are financed by a transfer from Fund 400, Sewer Revenue, which is used to credit all system revenues collected, including availability fees and sewer service charges associated with the program.

This program operates and maintains nearly 3,380 miles of sewer, 65 pump stations and 54 flow-metering stations. Treatment of wastewater generated is provided primarily through five regional wastewater collection and treatment plants. The regional treatment approach takes advantage of economies of scale in wastewater treatment and ensures the economical and efficient operation and management of the program.



One of the five regional plants is the County's owned and operated Noman M. Cole, Jr. Pollution Control Plant (NCPCP), which is currently permitted to treat 67 million gallons per day (mgd) of flow. Other regional facilities include the District of Columbia Water and Sewer Authority's Blue Plains Treatment Plant with 31 mgd capacity; Alexandria Sanitation Authority's Treatment Plant with 32.4 mgd capacity; Upper Occoquan Sewage Authority's Treatment Plant with 24.6 mgd capacity; and Arlington County's Treatment Plant with 3 mgd capacity. Fairfax County utilizes all of these facilities to accommodate a total capacity of 158 mgd.

Fund 401

Sewer Operation and Maintenance

A number of trends that may influence the operation and maintenance of the sanitary sewer system over the next two to five years include the following:

Chesapeake Bay Water Quality Program Requirements - The Chesapeake Bay water quality program requires reductions in the amount of nutrient pollutants discharged from wastewater treatment facilities. In December 2004, the state notified the County that the renewal of County's National Pollutant Discharge Elimination System (NPDES) permit includes a requirement that nutrient removal be performed at the "Limits of Technology." Current technology allows for discharge limits of 3.0 milligrams per liter for nitrogen and 0.1 milligrams per liter for phosphorus. The County has a nitrogen discharge requirement of 7.0 milligrams per liter. A phased approach has been under way to renovate and upgrade current plant facilities to accommodate these more stringent nutrient discharge requirements. The Sewer Service Charge rate will increase from \$5.27 to \$6.01 per 1,000 gallons of water consumption in FY 2012. This equates to an approximate increase of 14.0 percent in Sewer Service Charges. In addition, the base charge remains the same in FY 2012 and is billed quarterly in the amount of \$5.00 per bill totaling \$20.00 per year. The combined effect of the sewer service charge increase as well as the base charge equate to an anticipated increase in the annual cost to the typical household of \$56.24. For FY 2013 and FY 2014, annual service charge increases of 13.9 percent and 9.8 percent are being considered. Sewer service charge rates are increasing as debt and capital expenses rise in anticipation of construction of additional treatment facilities to meet more stringent nitrogen removal requirements imposed by the state as a result of the "Chesapeake 2000" agreement. In FY 2011, a Sewer Revenue Bond sale in the amount of \$150 million is planned to support capital projects including enhanced nutrient removal upgrades, replacement and rehabilitation of sewer line projects and plant upgrades at the Noman M. Cole, Jr. Pollution Control Plant and treatment by contract wastewater treatment facilities.

Capacity, Maintenance, Operation, and Management (CMOM) - The United States Environmental Protection Agency (USEPA) has been planning for several years to promulgate sanitary sewer overflow (SSO) regulations, which would require municipalities to develop and implement a CMOM program to eliminate any sewer overflows and back-ups from the wastewater collection systems. The proposed SSO rule and the CMOM program would significantly affect program costs.

Integration of Information Technology - The Geographic Information System (GIS), the Supervisory Control and Data Acquisition (SCADA) system and the Infrastructure Computerized Maintenance Management System (ICMMS) require integration for optimal use. Computing and information technology are an integral part of every aspect of the Wastewater Management Program operations. Today's high customer expectations and increasing reliance on consistent 24-hour services, lead to an increasing dependence on and expectation for stable and reliable integrated information technologies that infuse the business process. Presently, the Enterprise Asset Management system (EAM) has successfully integrated with GIS and ICMMS system which provide reports for the SCADA system. The EAM system and SCADA system are not yet integrated. Future customer service needs will require a full enterprise integration of the critical information technology systems to reduce total cost of ownership, increase availability of critical business data in the right format, and improve the quality and delivery of services to sewer customers.

Fund 401

Sewer Operation and Maintenance

Capital Improvements - Reinvestment in the sewer system infrastructure ensures optimum operation of all wastewater facilities. This initiative, closely related to CMOM endeavors, emphasizes capital improvements to wastewater collection and treatment facilities to meet requirements of the future sanitary sewer overflow regulations by the USEPA. The program continues to take a proactive stance toward infrastructure rehabilitation; however, CMOM regulations could greatly affect operations.

Asset Management Program - As a result of evaluating the program's financial management strategies, an Asset Management Program was developed. The first phase aligned the program's capital asset policies and procedures with the County's fixed asset policies and developed a process in which to evaluate the program's infrastructure. The second phase developed criteria to identify the program's critical assets. After the criteria were tested and accepted they were applied to all program assets. Phase three will be the condition assessment of all assets beginning with the most critical assets.

The Wastewater Management Program is funded by revenues generated by the customers of the sanitary sewer system and recorded in Fund 400, Sewer Revenue. Sewer service charges support system operation and maintenance costs, debt service payments, and capital projects attributable to supporting and improving wastewater treatment services for existing customers. Availability fees support a proportional share of system costs and capital projects attributable to growth of the system required to support new customers. Existing customers are defined as those who have paid an availability fee for access to the system and receive wastewater treatment services. New customers are those who have not paid the availability fee. Upon payment of the availability fee and connection to the system, a new customer becomes an existing customer. The County allocates expenses, interest income, bond proceeds, debt service payments, capital improvement project costs and funding, and operating transfers between existing and new users of the system. In accordance with the County's "Growth Pays for Growth Policy," both existing and new customers must pay for their share of the system's total annual revenue requirements.

Budget and Staff Resources

Agency Summary					
Category	FY 2010 Actual	FY 2011 Adopted Budget Plan	FY 2011 Revised Budget Plan	FY 2012 Advertised Budget Plan	FY 2012 Adopted Budget Plan
Authorized Positions/Staff Years					
Regular	321 / 320.5	321 / 320.5	321 / 320.5	321 / 320.5	321 / 320.5
Expenditures:					
Personnel Services	\$23,398,508	\$29,641,961	\$25,423,922	\$25,430,945	\$25,430,945
Operating Expenses	59,783,496	70,414,035	64,471,285	66,819,252	66,819,252
Capital Equipment	311,103	580,348	600,932	1,724,974	1,724,974
Subtotal	\$83,493,107	\$100,636,344	\$90,496,139	\$93,975,171	\$93,975,171
Less:					
Recovered Costs	(\$668,617)	(\$667,567)	(\$667,567)	(\$687,567)	(\$687,567)
Total Expenditures	\$82,824,490	\$99,968,777	\$89,828,572	\$93,287,604	\$93,287,604

Fund 401

Sewer Operation and Maintenance

FY 2012 Funding Adjustments

The following funding adjustments from the FY 2010 Adopted Budget Plan are necessary to support the FY 2012 program. Included are all adjustments recommended by the County Executive that were approved by the Board of Supervisors, as well as any additional Board of Supervisors' actions, as approved in the adoption of the budget on April 26, 2011.

- ◆ **Employee Compensation** **\$0**
It should be noted that no funding is included for pay for performance or market rate adjustments in FY 2012.

- ◆ **Other Post-Employment Benefits** **\$76,927**
An increase of \$76,927 is required to reflect increased costs associated with providing Other Post-Employment Benefits (OPEBs) to retirees, including the Retiree Health Benefits Subsidy. Before FY 2011, costs related to these benefits were paid solely by the General Fund; however, these annual costs are now spread across funds in order to more appropriately reflect benefit-related expenses for the employees within each fund. For more information on Other Post-Employment Benefits, please refer to Fund 603, OPEB Trust Fund, in Volume 2 of the FY 2012 Adopted Budget Plan.

- ◆ **Personnel Services Reduction** **(\$4,287,943)**
A decrease of \$4,287,943 in Personnel Services is based on actual personnel services requirements in FY 2010. The agency continues to manage position vacancies and streamline operations for the most efficient delivery of service.

- ◆ **Operating Expenses Reduction** **(\$3,594,783)**
A decrease of \$3,594,783 in Operating Expenses is based on actual experience in FY 2010. Savings in FY 2010 are expected to continue through FY 2011 and FY 2012 and are associated with decreased costs for interjurisdictional charges based on shared operating and maintenance charges from Blue Plains, Alexandria Sanitation Authority (ASA), the Upper Occoquan Sewage Authority (UOSA) and Arlington. In addition, significant savings are projected to continue based on a more effective use of sewage treatment chemicals in the wastewater treatment process and decreases in expected utilities costs including electricity, water, and fuel for plant operations.

- ◆ **Recovered Costs** **(\$20,000)**
An increase of \$20,000 in Recovered Costs is based on increases in laboratory analysis of stormwater runoff as part of the Municipal Separate Storm Sewer System (MS4) permit program to meet regulatory requirements.

Fund 401

Sewer Operation and Maintenance

- ◆ **Capital Equipment** **\$1,724,974**
 Capital Equipment funding of \$1,724,974 is included for requirements associated with replacement equipment that has outlived its useful life and is not cost effective to repair. Replacement equipment includes: \$650,000 for two combination vacuor units used to pressure clean sanitary sewer lines to prevent sewer back-ups and sewer overflows; \$263,000 for three backhoes to excavate emergency sewer line breaks; \$261,140 for two Closed Circuit Television (CCTV) surveillance vans to inspect sewer lines; \$170,000 for six ½ ton pickup trucks for sewer line inspections as required by the USEPA Capacity, Management, Operation and Maintenance (CMOM) Program; \$130,000 for one, 1½ ton vehicle used to clean off road sanitary sewer lines in an effort to eliminate sewer back-ups and overflows; \$58,000 for utility trucks used for maintenance operations at the Noman Cole Pollution Control Plant; and \$33,000 for one ¾ ton pickup truck for the transportation of fuel at various worksites. All of these vehicles require replacement based on established age, mileage criteria and excessive repairs. In addition, an amount of \$159,834 is required for the replacement of critical laboratory and computer equipment at the Noman Cole Pollution Control Plant.

Changes to FY 2011 Adopted Budget Plan

The following funding adjustments reflect all approved changes in the FY 2010 Revised Budget Plan since passage of the FY 2011 Adopted Budget Plan. Included are all adjustments made as part of the FY 2010 Carryover Review, FY 2011 Third Quarter Review, and all other approved changes through April 12, 2011.

- ◆ **Carryover Adjustments** **\$412,225**
 As part of the FY 2010 Carryover Review, the Board of Supervisors approved encumbered funding of \$391,641 in Operating Expenses and \$20,584 in Capital Equipment.

- ◆ **Third Quarter Adjustments** **(\$10,552,430)**
 As part of the FY 2011 Third Quarter Review, the Board of Supervisors approved a decrease of \$10,552,430 due to identified personnel services savings and operating savings. Of this amount, \$4,218,039 in Personnel Services is associated with savings based on managed position vacancies and fringe benefits. In addition, a decrease of \$6,334,391 in Operating Expenses is based on overall operating efficiencies from interjurisdictional agreements, chemicals savings and electricity savings based on actual fuel factor rates and a reduction in kilowatt usage. These savings were anticipated during FY 2011 and were considered in the FY 2012 rate analysis and the recommendations associated with the sewer rates for the next five years.

Wastewater Collection

Funding Summary					
Category	FY 2010 Actual	FY 2011 Adopted Budget Plan	FY 2011 Revised Budget Plan	FY 2012 Advertised Budget Plan	FY 2012 Adopted Budget Plan
Authorized Positions/Staff Years					
Regular	140 / 140	140 / 140	140 / 140	140 / 140	140 / 140
Total Expenditures	\$12,909,409	\$15,670,642	\$14,324,762	\$15,473,974	\$15,473,974

Fund 401

Sewer Operation and Maintenance

Position Summary		
<p>Collection Program</p> <ul style="list-style-type: none"> 1 Director 1 Human Resources Generalist III 1 Network/Telecomm. Analyst II 1 Network/Telecomm. Analyst I 1 Safety Analyst 1 Inventory Manager 1 Material Mgmt. Specialist III 1 Admin. Assistant IV 1 Admin. Assistant III 2 Admin. Assistants II 1 Material Mgmt. Specialist I 1 Material Mgmt. Assistant 	<p>Gravity Sewers</p> <ul style="list-style-type: none"> 1 Public Works Env. Services Manager 1 Maintenance Superintendent 4 Senior Maintenance Supervisors 2 Public Works Env. Services Specialists 7 Engineering Technicians II 1 Engineer V 16 Engineering Technicians I 2 Heavy Equipment Operators 16 Maintenance Crew Chiefs 3 Motor Equipment Operators 2 Truck Drivers 12 Senior Maintenance Workers 19 Maintenance Workers 1 Engineer III 5 Environmental Services Sups. 1 Map Drafter 	<p>Pumping Stations</p> <ul style="list-style-type: none"> 1 Public Works Env. Services Manager 1 Engineer III 1 Industrial Electrician Supervisor 1 Instrumentation Supervisor 1 Plant Maintenance Supervisor 2 Industrial Electricians III 4 Instrumentation Technicians III 4 Industrial Electricians II 6 Plant Mechanics III 3 Instrumentation Technicians II 8 Plant Mechanics II 1 Instrumentation Technician I 1 Engineering Technician III
<p>TOTAL POSITIONS 140 Positions / 140.0 Staff Years</p>		

Goal

To operate, maintain, and repair the County's wastewater collection system in a manner that protects Fairfax County citizens and the environment.

Wastewater Treatment

Funding Summary					
Category	FY 2010 Actual	FY 2011 Adopted Budget Plan	FY 2011 Revised Budget Plan	FY 2012 Advertised Budget Plan	FY 2012 Adopted Budget Plan
Authorized Positions/Staff Years					
Regular	135 / 135	135 / 135	135 / 135	135 / 135	135 / 135
Total Expenditures	\$17,359,613	\$24,726,665	\$19,715,279	\$19,720,785	\$19,720,785

Position Summary		
<p>Noman M. Cole, Jr., Pollution Control Plant</p> <ul style="list-style-type: none"> 1 Director 1 Public Works Env. Svcs. Spec. 1 Engineer IV 1 Info. Tech. Prog. Manager I 1 Database Administrator I 2 Material Mgmt. Specialists I 2 Network/Telecomm. Analysts II 1 Safety Analyst 1 Network/Telecomm. Analyst I 1 Inventory Manager 1 Heavy Equipment Supervisor 1 Info. Technology Technician II 1 Administrative Assistant IV 2 Heavy Equipment Operators 3 Administrative Assistants III 1 Material Mgmt. Driver 1 Data Analyst I 	<p>Operations</p> <ul style="list-style-type: none"> 1 Wastewater Plant Oper. Mgr. 1 Engineer V 2 Engineers III 1 Plant Operations Superintendent 6 Plant Operations Supervisors 10 Plant Operators III 17 Plant Operators II 20 Plant Operators I 2 Engineering Technicians III 1 Engineering Technician II <p>Maintenance</p> <ul style="list-style-type: none"> 2 Public Works Env. Svcs. Mgrs. 1 Industrial Electrician Supervisor 1 Instrumentation Supervisor 2 Plant Maintenance Supervisors 1 Painter II 2 Industrial Electricians I 1 Grounds Maintenance Worker 	<ul style="list-style-type: none"> 1 Chief Building Maintenance 2 Industrial Electricians III 3 Instrumentation Technicians III 6 Instrumentation Technicians II 1 Senior Maintenance Supervisor 4 Industrial Electricians II 7 Plant Mechanics III 2 Welders II 8 Plant Mechanics II 3 Painters I 1 HVAC II 4 Maintenance Workers
<p>TOTAL POSITIONS 135 Positions / 135.0 Staff Years</p>		

Fund 401

Sewer Operation and Maintenance

Goal

To ensure efficient and effective operation and maintenance of the County's wastewater treatment facilities within the laws and standards established by the Congress of the United States in Public Law 92-500 which designates regulatory powers to the USEPA and the Virginia Department of Environmental Quality.

Wastewater Planning and Monitoring

Funding Summary					
Category	FY 2010 Actual	FY 2011 Adopted Budget Plan	FY 2011 Revised Budget Plan	FY 2012 Advertised Budget Plan	FY 2012 Adopted Budget Plan
Authorized Positions/Staff Years					
Regular	46 / 45.5	46 / 45.5	46 / 45.5	46 / 45.5	46 / 45.5
Total Expenditures	\$52,555,468	\$59,571,470	\$55,788,531	\$58,092,845	\$58,092,845

Position Summary		
Financial Management and Planning 1 Deputy Director Public Works 1 Director 1 Management Analyst IV 1 Financial Specialist IV 1 Financial Specialist III 1 Programmer Analyst III 1 Financial Specialist II 1 Env. Services Technical Specialist 2 Administrative Assistants IV 1 Administrative Assistant III, PT 1 Administrative Assistant II 1 Management Analyst I 2 Engineering Technicians II	Engineering Planning and Analysis 1 Engineer V 1 Engineer IV 1 Geog. Info. Spatial Analyst III 2 Geog. Info. System Techs. 2 Engineering Technicians III 4 Engineers III	Environmental Monitoring 1 Environmental Services Director 2 Asst. Environmental Services Directors 4 Environmental Health Spec. II 2 Environmental Technologists III 3 Environmental Technologists II 7 Environmental Technologists I 1 Management Analyst II
TOTAL POSITIONS 46 Positions / 45.5 Staff Years		
PT Denotes Part-Time Position		

Goal

To manage sewer revenue collection; to monitor and report County sewage flows treated at non-County facilities; to plan for growth and development in the County's public sewer system; and to environmentally monitor County treatment facilities, other publicly and privately-owned treatment facilities in the program and nearby embayments.

Key Performance Measures

Objectives

- ◆ To comply with Title V air permit and state water quality permit requirements 100 percent of the time in order to contribute to a pure and natural state of air and water in Fairfax County.
- ◆ To maintain sewer infrastructure effectively in order to experience no more than 15 sewer back-ups, which is higher than the current 5-year rolling annual average of 12.
- ◆ To ensure efficient wastewater collection and treatment services by providing service to customers at rates that are one of the lowest in the area.

Fund 401

Sewer Operation and Maintenance

- ◆ To provide excellent financial and asset management by ensuring a debt coverage ratio of 1.25 or greater.

Indicator	Prior Year Actuals			Current Estimate	Future Estimate
	FY 2008 Actual	FY 2009 Actual	FY 2010 Estimate/Actual	FY 2011	FY 2012
Output:					
Total average daily wastewater flow treated (million gallons)	98.8	100.5	112.0 / 107.7	112.0	112.0
Emergency repair work orders processed	207	457	500 / 160	250	250
Service trouble calls received	1,249	1,038	1,500 / 1,092	1,100	1,100
Operating Reserve maintained (millions)	\$24.8	\$26.0	\$45.0 / \$45.0	\$35.0	\$45.0
Efficiency:					
Percent of treatment capacity available for growth	38%	36%	33% / 32%	33%	30%
Emergency repairs, as a percent of total work orders	0.9%	0.9%	1.0% / 0.3%	1.0%	1.0%
Sewer Service Billing Rate, \$/1,000 gallons	\$3.74	\$4.10	\$4.50 / \$4.50	\$5.27	\$6.01
Service Quality:					
Sanitary sewer overflows (SSOs) per year (FY 2010, 5-yr. avg. = 15)	17	14	20 / 10	15	15
Percent of customers responded to within 24 hours	100%	100%	100% / 100%	100%	100%
Percentage of sewage back-ups responded to within 2 hours	100%	100%	100% / 100%	100%	100%
Odor complaints per year (FY 2010, 5-yr. avg. = 19)	22	17	25 / 16	20	20
Percent of Pay as you go Capital Improvement Program funded	100%	100%	100% / 100%	100%	100%
Outcome:					
Compliance with Title V air permit and State water quality permit	100%	100%	100% / 100%	100%	100%
Blockages causing sewer back-ups per year (FY 2010, 5-yr. avg. = 12)	18	11	20 / 11	15	15
Average household sewer bill compared to other providers in the area	Lowest	Lower	Lower / One of the lowest	One of the lowest	One of the lowest
Debt Coverage Ratio: (Revenue - Operating Cost/Debt)	1.78	1.25	1.30 / 1.28	1.25	1.25

Fund 401

Sewer Operation and Maintenance

Performance Measurement Results

The Wastewater Management Program continues to comply with Title V air permit and State water quality permit requirements to maintain 100 percent compliance. Sanitary sewage blockages remained unchanged in both FY 2009 and FY 2010 due to increased efforts to monitor the sewer program and keep the sewer system clean of grease and debris. Blockages are expected to increase in FY 2011 and FY 2012 due to the continual deterioration of aging sewer lines which can result in increased sewer line collapse and maintenance costs, as well as the removal of tree roots or large shrubs near sewer lines.

When comparing average annual sewer service billings for the regional jurisdictions, Fairfax County has a lower average annual sewer service billing at \$401. Other regional jurisdictions range from \$326 to \$633 (as of January 1, 2011). The average sewer service billings for the other regional jurisdictions have been developed by applying each jurisdiction's sewer service rate to appropriate Single Family Residence Equivalent (SFRE) water usage determined from an analysis of Fairfax Water's historical average water usage records for SFREs. Based on the latest rate comparison, Fairfax County had one of the lower annual sewer service charges. The program is able to maintain its competitive rates while providing quality service to its customers, protecting the environment, and maintaining sufficient financial resources to fully fund the program's initiatives.

Fund 401

Sewer Operation and Maintenance

FUND STATEMENT

Fund Type G40, Enterprise Funds

Fund 401, Sewer Operation and Maintenance

	FY 2010 Actual	FY 2011 Adopted Budget Plan	FY 2011 Revised Budget Plan	FY 2012 Advertised Budget Plan	FY 2012 Adopted Budget Plan
Beginning Balance	\$9,712,141	\$1,346,715	\$16,887,651	\$15,382,697	\$459,079
Transfer In:					
Sewer Revenue (400)	\$90,000,000	\$98,800,000	\$73,400,000	\$78,000,000	\$78,000,000
Total Transfer In	\$90,000,000	\$98,800,000	\$73,400,000	\$78,000,000	\$78,000,000
Total Available	\$99,712,141	\$100,146,715	\$90,287,651	\$93,382,697	\$78,459,079
Expenditures:					
Personnel Services	\$23,398,508	\$29,641,961	\$25,423,922	\$25,430,945	\$25,430,945
Operating Expenses	59,783,496	70,414,035	64,471,285	66,819,252	66,819,252
Recovered Costs	(668,617)	(667,567)	(667,567)	(687,567)	(687,567)
Capital Equipment	311,103	580,348	600,932	1,724,974	1,724,974
Total Expenditures¹	\$82,824,490	\$99,968,777	\$89,828,572	\$93,287,604	\$93,287,604
Total Disbursements	\$82,824,490	\$99,968,777	\$89,828,572	\$93,287,604	\$93,287,604
Ending Balance^{2,3}	\$16,887,651	\$177,938	\$459,079	\$95,093	(\$14,828,525)

¹ In order to account for revenues and expenditures in the proper fiscal year, an audit adjustment in the amount of \$76,048.30 has been reflected as an increase to FY 2010 expenditures to correctly record expenditure accruals. The audit adjustment has been included in the FY 2010 Comprehensive Annual Financial Report (CAFR). Details of the FY 2010 audit adjustment were included in the FY 2011 Third Quarter Package.

² In response to fluctuations in expenditure requirements, adjustments were made to the FY 2011 Revised Budget Plan which will result in additional requirements in FY 2012. In order to eliminate the FY 2012 negative ending balance, a transfer from sewer revenues will be included in the FY 2011 Carryover Review. Staff is currently conducting an analysis to identify the correct level of transfer required for Fund 401 in FY 2012.

³ The Wastewater Management Program maintains fund balances at adequate levels relative to projected operation and maintenance expenses. These costs change annually; therefore, funding for sewer operations and maintenance is carried forward each fiscal year, and ending balances fluctuate, reflecting the carryover of these funds.

Fund 402

Sewer Construction Improvements

Focus

Fund 402, Sewer Construction Improvements, provides for wastewater management construction projects through a transfer of funds from Fund 400, Sewer Revenue. All projects in Fund 402 are fully supported by sewer system revenues.

In FY 2012, an amount of \$29,000,000 is included in Fund 402, Sewer Construction Improvements. Funding will provide for the replacement of power generators and aging equipment at several pumping stations; replacement of the Dogue Creek Force Main; the installation, repair, replacement and renovation of 20 miles



of sewer lines using predominantly "no dig" technologies; and the replacement of equipment and facilities at the Noman M. Cole, Jr. Pollution Control Plant including clarifier mechanisms, wastewater and sludge pumps, motor and pump drives, chemical feed systems, motor control centers, HVAC systems, incinerator rehabilitation and the Supervisory Control and Data Acquisition (SCADA) system.

Changes to FY 2011 Adopted Budget Plan

The following funding adjustments reflect all approved changes in the FY 2011 Revised Budget Plan since passage of the FY 2011 Adopted Budget Plan. Included are all adjustments made as part of the FY 2010 Carryover Review, FY 2011 Third Quarter Review, and all other approved changes through April 12, 2011.

- ◆ As part of the *FY 2010 Carryover Review*, the Board of Supervisors approved an increase of \$25,948,189 due to the carryover of unexpended project balances.
- ◆ As part of the *FY 2011 Third Quarter Review*, the Board of Supervisors made no adjustments to this fund.

A Fund Statement and a Summary of Capital Projects are provided on the following pages. The Summary of Capital Projects may include some projects without a Total Project Estimate amount. These projects are considered "continuing" projects or projects for which funding is necessary on an ongoing basis (e.g., a contingency or planning project).

Fund 402

Sewer Construction Improvements

FUND STATEMENT

Fund Type G40, Enterprise Funds

Fund 402, Sewer Construction Improvements

	FY 2010 Actual	FY 2011 Adopted Budget Plan	FY 2011 Revised Budget Plan	FY 2012 Advertised Budget Plan	FY 2012 Adopted Budget Plan
Beginning Balance	\$24,969,800	\$0	\$26,223,363	\$0	\$0
Transfers In:					
Sewer Revenue (400)	\$18,000,000	\$24,500,000	\$24,500,000	\$29,000,000	\$29,000,000
Total Transfer In	\$18,000,000	\$24,500,000	\$24,500,000	\$29,000,000	\$29,000,000
Total Available	\$42,969,800	\$24,500,000	\$50,723,363	\$29,000,000	\$29,000,000
Total Expenditures ¹	\$16,746,437	\$24,500,000	\$50,723,363	\$29,000,000	\$29,000,000
Total Disbursements	\$16,746,437	\$24,500,000	\$50,723,363	\$29,000,000	\$29,000,000
Ending Balance²	\$26,223,363	\$0	\$0	\$0	\$0

¹ In order to account for revenues and expenditures in the proper fiscal year, an audit adjustment in the amount of \$275,173.92 has been reflected as a decrease to the FY 2010 expenditures to reflect expenditure accruals. This will impact the amount carried forward resulting in an increase of \$275,173.92 to the FY 2011 Revised Budget Plan. The projects affected by this adjustment are Project L00117, Dogue Creek Rehabilitation and Replacement and Project X00905, Replacement and Transmission. The audit adjustment has been included in the FY 2010 Comprehensive Annual Financial Report (CAFR). Details of the FY 2010 audit adjustment were included in the FY 2011 Third Quarter Package.

² The capital projects in this sewer fund are budgeted based on the total project costs. Most projects span multiple years, from design to construction completion. Therefore, funding for capital projects is carried forward each fiscal year, and ending balances fluctuate, reflecting the carryover of these funds.

Fund 402

Sewer Construction Improvements

FY 2012 Summary of Capital Projects

Fund: 402, Sewer Construction Improvements

Project #	Description	Total Project Estimate	FY 2010 Actual Expenditures	FY 2011 Revised Budget	FY 2012 Advertised Budget Plan	FY 2012 Adopted Budget Plan
G00903	Arlington Wastewater Treatment	\$18,416,498	\$0.00	\$0.00	\$0	\$0
I00351	Pump Station Renovations		2,007,171.88	0.00	0	0
I00353	Pumping Stations	12,440,586	119,882.33	7,320,704.00	5,000,000	5,000,000
I00904	ASA Wastewater Treatment Plant	208,919,898	0.00	0.00	0	0
L00117	Dogue Creek Rehab/Replacement		1,164,620.96	11,564,152.03	4,300,000	4,300,000
T00125	Rocky Run Pump Station Upgrade		118,084.64	257,780.36	0	0
X00442	Freds Oak Facility Improvement	560,000	108,188.59	451,811.41	0	0
X00445	Integrated Sewer Metering		0.00	114,019.40	0	0
X00826	Extension Project FY 1996	23,589,879	584,095.53	13,939.77	0	0
X00828	Extension and Improvement Projects		359,870.37	1,639,038.76	0	0
X00903	Replacement and Transmission Program		1,325,150.59	9,274,849.41	14,400,000	14,400,000
X00904	Sewer Line Enlargement		65,591.84	69,003.07	0	0
X00905	Replacement & Transmission		7,800,870.28	2,941,939.20	0	0
X00906	Sewer Line Enlargement		442,342.44	0.00	0	0
X00910	Replacement and Renewal		1,630,433.51	1,896,425.03	0	0
X00912	Replacement and Renewal-Treatment		976,833.76	3,148,170.09	5,300,000	5,300,000
X00930	Sewer Relocation - VADOT		43,300.41	2,838.20	0	0
X00998	Sewer Contingency Project		0.00	12,028,691.80	0	0
Total		\$263,926,861	\$16,746,437.13	\$50,723,362.53	\$29,000,000	\$29,000,000

Fund 403 Sewer Bond Parity Debt Service

Focus

Fund 403, Sewer Bond Parity Debt Service, records debt service obligations incurred from bonds issued in accordance with the 1986 Sewer Bond Resolution. Bond proceeds are used to fund capital improvement requirements in the Wastewater Management Program including upgrades to the treatment facilities serving the County and construction of nutrient removal facilities for the removal of nitrogen as required by the State Water Control Board. The removal of nitrogen will improve the quality of the effluent produced at all of the treatment plants.



An amount of \$26,104,805 is required for this fund in FY 2012 including \$7,700,677 in principal payments and \$18,399,128 in interest payments associated with outstanding 2004, 2009 and planned 2011 Sewer Revenue Bonds, as well as \$5,000 in fiscal agent fees. FY 2012 interest payments reflect an increase due to the full year impact of the planned 2011 bond sale, as well as the structuring of the amortization schedule which requires higher interest payments for the first several years which decrease over the life of the bonds. Fiscal agent fees are included for the management of all sewer bond accounts. All debt service payments are supported by Sewer System Revenues.

	Principal	Interest	Fees	Total
Sewer Revenue Bonds:				
2004	\$2,935,000	\$3,748,506		\$6,683,506
2009	2,532,083	7,178,197		9,710,280
2011	2,233,594	7,472,425		9,706,019
Subtotal-Debt Service	\$7,700,677	\$18,399,128		\$26,099,805
Fiscal Agent Fees			\$5,000	\$5,000
Total	\$7,700,677	\$18,399,128	\$5,000	\$26,104,805

Changes to FY 2011 Adopted Budget Plan

The following funding adjustments reflect all approved changes in the FY 2011 Revised Budget Plan since passage of the FY 2011 Adopted Budget Plan. Included are all adjustments made as part of the FY 2010 Carryover Review, FY 2011 Third Quarter Review, and all other approved changes through April 12, 2011.

- ◆ As part of the *FY 2010 Carryover Review*, the Board of Supervisors made no adjustments to this fund.
- ◆ As part of the *FY 2011 Third Quarter Review*, the Board of Supervisors approved an increase of \$2,800,000 in Transfers In due to the timing of interest payments associated with the FY 2009 Sewer Bond sale.

Fund 403

Sewer Bond Parity Debt Service

FUND STATEMENT

Fund Type G40, Enterprise Funds

Fund 403, Sewer Bond Parity Debt Service

	FY 2010 Actual	FY 2011 Adopted Budget Plan	FY 2011 Revised Budget Plan	FY 2012 Advertised Budget Plan	FY 2012 Adopted Budget Plan
Beginning Balance	\$4,536,296	\$292,485	(\$2,773,887)	\$574,845	\$23,582
Transfer In:					
Sewer Revenue (400) ¹	\$6,650,000	\$19,850,000	\$22,650,000	\$25,554,960	\$25,554,960
Total Transfer In	\$6,650,000	\$19,850,000	\$22,650,000	\$25,554,960	\$25,554,960
Total Available	\$11,186,296	\$20,142,485	\$19,876,113	\$26,129,805	\$25,578,542
Expenditures:					
Principal Payment ²	\$2,740,000	\$5,215,000	\$5,215,000	\$7,700,677	\$7,700,677
Interest Payments ²	11,195,825	14,607,531	14,607,531	18,399,128	18,399,128
Fiscal Agent Fees	16,729	5,000	5,000	5,000	5,000
Total Expenditures³	\$13,952,554	\$19,827,531	\$19,827,531	\$26,104,805	\$26,104,805
Non Appropriated:					
Amortization Expense ⁴	\$7,629	\$25,000	\$25,000	\$25,000	\$25,000
Total Disbursements	\$13,960,183	\$19,852,531	\$19,852,531	\$26,129,805	\$26,129,805
Ending Balance^{5, 6}	(\$2,773,887)	\$289,954	\$23,582	\$0	(\$551,263)

¹ This fund is supported by a transfer in from Fund 400, Sewer Revenue.

² The bond principal and interest payments are shown as expenditures. However, for accounting purposes, the Comprehensive Annual Financial Report will show these disbursements as "Construction in Progress" to be capitalized.

³ In order to account for revenues and expenditures in the proper fiscal year, an audit adjustment in the amount of \$3,351,263.00 has been reflected as an increase to FY 2010 expenditures based on an expenditure accrual. The audit adjustment has been included in the FY 2010 Comprehensive Annual Financial Report (CAFR). Details of the FY 2010 audit adjustment were included in the FY 2011 Third Quarter Package.

⁴ In order to capitalize bond costs, this category is designated as an annual non-appropriated amortization expense. FY 2010 is based on amortization expenses associated with the 2004 bond series. The FY 2011 amount of \$25,000 includes the 2004 bond series, FY 2009 bond series and the planned 2011 sewer revenue bond sale.

⁵ The Wastewater Management Program maintains fund balances at adequate levels relative to projected debt service requirements. Fund balances fluctuate from year to year based on actual debt requirements and are used to cover amortization of issuance costs.

⁶ A negative balance results from an FY 2010 audit adjustment due to the timing of interest payments associated with the 2009 Bond sale. The bond sale took place on June 17, 2009 and the first payment was due on July 15, 2010. The negative FY 2012 ending balance will be adjusted by increasing the sewer revenue transfer at the FY 2011 Carryover Review.

Fund 406 Sewer Bond Debt Reserve

Focus

Fund 406, Sewer Bond Debt Reserve, fulfills the County's requirement to maintain a Reserve Fund for existing and planned sewer bonds. As outlined in the 1986 Bond Resolution, this reserve is required to be the lesser of the maximum principal and interest requirements for any bond year or 125 percent of the average annual principal and interest requirements for the bonds.

No funding is required for Fund 406, Sewer Bond Debt Reserve in FY 2012. The current balance of \$26,261,123 is a sufficient level to satisfy the legal reserve requirements of \$6,900,348 for the 2004 Sewer Revenue Refunding bonds, \$9,654,775 for the 2009 Sewer Revenue Bonds and \$9,706,000 for the planned FY 2011 Sewer Revenue Bond sale. In FY 2011, a Sewer Revenue Bond sale in the amount of \$150 million is planned to support capital projects including enhanced nutrient removal upgrades, replacement and rehabilitation of sewer lines and plant upgrades at both the Noman M. Cole, Jr. Pollution Control Plant and other treatment by contract wastewater treatment facilities. This includes bond proceeds of \$9,706,000 in this fund and \$140,294,000 in Fund 408, Sewer Bond Construction. Bond proceeds will be used to fund a portion of the County's share of construction costs for Enhanced Nutrient Removal (ENR) facilities to meet current environmental regulations, renovation and replacement (R&R) of aging Integrated Sewer System (System) infrastructure, purchase of additional treatment capacity, if needed by the System, and required deposits to bond reserves.

Changes to FY 2011 Adopted Budget Plan

The following funding adjustments reflect all approved changes in the FY 2011 Revised Budget Plan since passage of the FY 2011 Adopted Budget Plan. Included are all adjustments made as part of the FY 2010 Carryover Review, FY 2011 Third Quarter Review, and all other approved changes through April 12, 2011.

- ◆ There have been no revisions to this fund since approval of the FY 2011 Adopted Budget Plan.

Fund 406 Sewer Bond Debt Reserve

FUND STATEMENT

Fund Type G40, Enterprise Funds

Fund 406, Sewer Bond Debt Reserve

	FY 2010 Actual	FY 2011 Adopted Budget Plan	FY 2011 Revised Budget Plan	FY 2012 Advertised Budget Plan	FY 2012 Adopted Budget Plan
Beginning Balance	\$16,555,123	\$16,555,123	\$16,555,123	\$26,261,123	\$26,261,123
Revenue:					
Bond Proceeds	\$0	\$9,706,000	\$9,706,000	\$0	\$0
Total Revenue	\$0	\$9,706,000	\$9,706,000	\$0	\$0
Total Available	\$16,555,123	\$26,261,123	\$26,261,123	\$26,261,123	\$26,261,123
Total Expenditures	\$0	\$0	\$0	\$0	\$0
Total Disbursements	\$0	\$0	\$0	\$0	\$0
Ending Balance¹	\$16,555,123	\$26,261,123	\$26,261,123	\$26,261,123	\$26,261,123

¹ The fund balance provides a sufficient level to satisfy the legal reserve requirements of \$6,900,348 for the 2004 Sewer Revenue Refunding bonds, \$9,654,775 for the 2009 Sewer Revenue Bonds and \$9,706,000 for the planned FY 2011 Sewer Revenue Bond sale. These reserves provide for one year of principal and interest as required by the Sewer System's General Bond Resolution.

Fund 407

Sewer Bond Subordinate Debt Service

Focus

Fund 407, Sewer Bond Subordinate Debt Service, provides debt service funding for the Upper Occoquan Sewage Authority (UOSA) Bond Series and the Virginia Resources Authority (VRA) loans. The UOSA Bond Series is based on the County's portion of the UOSA plant expansion from 27.0 million gallons per day (mgd) to 54.0 mgd. Two low-interest VRA loans from the State Revolving Fund Program were used to fund the County's share of construction costs for the Alexandria Sanitation Authority treatment plant upgrade for ammonia removal as required by the State Water Control Board.

All debt service payments are supported by Sewer System Revenues through a transfer from Fund 400, Sewer Revenue. Pursuant to the Sewer Bond resolution and respective agreements, these debt obligations are subordinate to the County's Sewer Revenue Bonds and therefore, the payments are made from this fund.

Funding in the amount of \$26,724,284 will provide for the FY 2012 principal and interest requirements, including an amount of \$20,087,212 for the UOSA plant requirements, and \$6,637,072 for the VRA debt requirements. UOSA debt is structured so that no principal payments are made during the construction phase of the project. Interest is capitalized and principal payments begin once construction is substantially complete.

The following table identifies the payments required in FY 2012.

	Principal	Interest	Total
UOSA PLANT EXPANSION:			
1995A	\$0	\$1,568,697	\$1,568,697
2003	2,160,827	1,332,299	3,493,126
2004	4,444,992	931,948	5,376,940
2005	0	2,660,060	2,660,060
2007A	0	2,621,743	2,621,743
2007B	0	2,552,749	2,552,749
2010	0	1,813,897	1,813,897
Subtotal – UOSA	\$6,605,819	\$13,481,393	\$20,087,212
VRA DEBT PAYMENTS:			
FY 2001 VRA Loan	\$2,019,232	\$980,052	\$2,999,284
FY 2002 VRA Loan	2,440,070	1,197,718	3,637,788
Subtotal – VRA	\$4,459,302	\$2,177,770	\$6,637,072
Total	\$11,065,121	\$15,659,163	\$26,724,284

Fund 407

Sewer Bond Subordinate Debt Service

Changes to FY 2011 Adopted Budget Plan

The following funding adjustments reflect all approved changes in the FY 2011 Revised Budget Plan since passage of the FY 2011 Adopted Budget Plan. Included are all adjustments made as part of the FY 2010 Carryover Review, FY 2011 Third Quarter Review, and all other approved changes through April 12, 2011:

- ◆ There have been no revisions to this fund since approval of the FY 2011 Adopted Budget Plan.

Fund 407

Sewer Bond Subordinate Debt Service

FUND STATEMENT

Fund Type G40, Enterprise Funds

Fund 407, Sewer Bond Subordinate Debt Service

	FY 2010 Actual	FY 2011 Adopted Budget Plan	FY 2011 Revised Budget Plan	FY 2012 Advertised Budget Plan	FY 2012 Adopted Budget Plan
Beginning Balance	\$1,490,263	\$1,456,872	\$1,510,452	\$1,090,660	\$1,099,712
Transfer In:					
Sewer Revenue (400)	\$24,300,000	\$24,500,000	\$24,500,000	\$25,633,624	\$25,633,624
Total Transfer In	\$24,300,000	\$24,500,000	\$24,500,000	\$25,633,624	\$25,633,624
Total Available	\$25,790,263	\$25,956,872	\$26,010,452	\$26,724,284	\$26,733,336
Expenditures:					
Principal Payment ¹	\$9,546,810	10,581,593	\$10,581,593	\$11,065,121	\$11,065,121
Interest Payment ¹	14,733,001	14,329,147	14,329,147	15,659,163	15,659,163
Total Expenditures²	\$24,279,811	\$24,910,740	\$24,910,740	\$26,724,284	\$26,724,284
Total Disbursements	\$24,279,811	\$24,910,740	\$24,910,740	\$26,724,284	\$26,724,284
Ending Balance³	\$1,510,452	\$1,046,132	\$1,099,712	\$0	\$9,052

¹The bond principal and interest payments are shown here as expenditures. However, for accounting purposes, the Comprehensive Annual Financial Report will show these disbursements as "Construction in Progress" to be capitalized.

² In order to account for revenues and expenditures in the proper fiscal year, an audit adjustment in the amount of \$9,052.00 has been reflected as a decrease to FY 2010 expenditures due to expenditure accruals. The audit adjustment has been included in the FY 2010 Comprehensive Annual Financial Report (CAFR). Details of the FY 2010 audit adjustment were included in the FY 2011 Third Quarter Package.

³ The Wastewater Management Program maintains fund balances at adequate levels relative to projected debt service requirements. These costs change annually and therefore, fund balances fluctuate from year to year based on actual debt service requirements.

Fund 408 Sewer Bond Construction

Focus

Fund 408, Sewer Bond Construction, provides for major sewer system construction projects including upgrades and expansions of sewer treatment plants utilized by Fairfax County residents that are funded primarily from the sale of sewer revenue bonds. Funding has been provided in recent years in Fund 408, Sewer Bond Construction, to begin to meet state regulatory requirements for nitrogen removal and plant upgrades at the District of Columbia Water and Sewer Authority (DCWASA), the Alexandria Sanitation Authority (ASA), the Arlington County Treatment Plant, and the Noman M. Cole, Jr. Pollution Control Plant.

The Chesapeake Bay water quality program requires reductions in the amount of nutrient pollutants. In December 2004, the state notified the County that the renewal of County's National Pollutant Discharge Elimination System (NPDES) permit includes a requirement that nutrient removal be performed at the "Limits of Technology." Current technology allows for discharge limits of 3.0 milligrams per liter for nitrogen and 0.1 milligrams per liter for phosphorus. The County has a nitrogen discharge requirement of 7.0 milligrams per liter. A phased approach has been under way to renovate and upgrade current plant facilities to accommodate new more stringent nutrient discharge requirements.



The next Sewer Revenue Bond sale is scheduled during FY 2011 in the amount of \$150 million to support capital projects including enhanced nutrient removal upgrades, replacement and rehabilitation of sewer lines, plant upgrades at the Noman M. Cole, Jr. Pollution Control Plant and upgrades at treatment by contract wastewater treatment facilities. No funding is included for Fund 408 in FY 2012. Work will continue on existing and previously funded projects.

Fund 408 Sewer Bond Construction

Changes to FY 2011 Adopted Budget Plan

The following funding adjustments reflect all approved changes in the FY 2011 Revised Budget Plan since passage of the FY 2011 Adopted Budget Plan. Included are all adjustments made as part of the FY 2010 Carryover Review, FY 2011 Third Quarter Review, and all other approved changes through April 12, 2011.

- ◆ As part of the *FY 2010 Carryover Review*, the Board of Supervisors approved an increase of \$86,455,752 due to the carryover of unexpended project balances in the amount of \$49,355,752 and the appropriation of additional revenues of \$37,100,000. Revenues in the amount of \$6,500,000 were appropriated based on a grant from the Department of Environmental Quality (DEQ) for the construction of a reclaimed water system for the reuse of highly treated wastewater from the Noman M. Cole, Jr. Pollution Control Plant to the Energy Resource Recovery Facility (ERRF). This grant funding was awarded to Fairfax County as a result of the American Recovery and Reinvestment Act on December 7, 2009. Of the total \$6.5 million awarded, \$2.0 million was received in FY 2010 and \$4.5 million is anticipated in FY 2011. In addition, grant funding in the amount of \$30,600,000 was associated with the Virginia Water Quality Improvement Fund Point Source Grant for nitrogen removal requirements associated with the Chesapeake Bay Program as approved by the Board of Supervisors on February 23, 2009. An amount of \$4.1 million in revenue for this grant was received in FY 2010, with an additional \$26.5 million anticipated in FY 2011.

- ◆ As part of the *FY 2011 Third Quarter Review*, the Board of Supervisors made no adjustments to this fund.

A Fund Statement and a Summary of Capital Projects are provided on the following pages. The Summary of Capital Projects may include some projects without a Total Project Estimate amount. These projects are considered "continuing" projects or projects for which funding is necessary on an ongoing basis (e.g., a contingency or planning project).

Fund 408

Sewer Bond Construction

FUND STATEMENT

Fund Type G40, Enterprise Funds

Fund 408, Sewer Bond Construction

	FY 2010 Actual	FY 2011 Adopted Budget Plan	FY 2011 Revised Budget Plan	FY 2012 Advertised Budget Plan	FY 2012 Adopted Budget Plan
Beginning Balance	\$110,953,222	\$11,247,495	\$67,485,639	\$11,703,970	\$11,703,970
Revenue:					
Sale of Bonds ¹	\$0	\$140,294,000	\$140,294,000	\$0	\$0
Interest on Investments	456,475	1,000,000	1,000,000	500,000	500,000
Virginia Water Quality Improvement Grant ²	4,100,498	0	26,499,502	0	\$0
American Recovery and Reinvestment Act (ARRA) ³	1,974,575	0	4,525,425	0	0
Total Revenue	\$6,531,548	\$141,294,000	\$172,318,927	\$500,000	\$500,000
Total Available	\$117,484,770	\$152,541,495	\$239,804,566	\$12,203,970	\$12,203,970
Total Expenditures ⁴	\$49,999,131	\$140,294,000	\$228,100,596	\$0	\$0
Total Disbursements	\$49,999,131	\$140,294,000	\$228,100,596	\$0	\$0
Ending Balance⁵	\$67,485,639	\$12,247,495	\$11,703,970	\$12,203,970	\$12,203,970

¹ In FY 2011, an amount of \$150 million in revenue bonds is anticipated to be issued to support enhanced nitrogen removal projects and upgrades within the Capital Improvement Program including \$140.3 million in this fund and \$9.7 million to be reserved in Fund 406, Sewer Bond Debt Reserve for legal requirements.

² Reflects Virginia Water Quality Improvement Fund Point Source grant approved by the Board of Supervisors on February 23, 2009 for nitrogen removal requirements associated with the Chesapeake Bay Program. In FY 2010 an amount of \$4,100,498 was received and \$26,499,502 is anticipated in FY 2011 and beyond.

³ On December 7, 2009, the Board of Supervisors approved funding in the amount of \$6,500,000 associated with a grant award from the Department of Environmental Quality for the construction of a reclaimed water system from the Noman M. Cole, Jr. Pollution Control Plant to the Energy Resource Recovery Facility (ERRF). This grant funding was awarded to Fairfax County as a result of the American Recovery and Reinvestment Act of 2009. In FY 2010 an amount of \$1,974,575 was received and \$4,525,425 is anticipated in FY 2011 and beyond.

⁴ In order to account for revenues and expenditures in the proper fiscal year, an audit adjustment in the amount of \$832,105.00 has been included as an increase to FY 2010 revenues to accurately reflect revenue accruals for federal stimulus funds for the Noman Cole Water Reuse project and \$1,350,843.64 has been reflected as a decrease to FY 2010 expenditures to accurately reflect expenditure accruals. The projects affected by this adjustment are Project FS0001, Noman Cole Water Reuse, and Project N00323, Noman Cole Treatment Plant Upgrades. The audit adjustments have been included in the FY 2010 Comprehensive Annual Financial Report (CAFR). Details of the FY 2010 audit adjustment were included in the FY 2011 Third Quarter Package.

⁵ The capital projects in this sewer fund are budgeted based on the total project costs. Most projects span multiple years, from design to construction completion. Therefore, funding for capital projects is carried forward each fiscal year, and ending balances fluctuate, reflecting the carryover of these funds.

Fund 408

Sewer Bond Construction

FY 2012 Summary of Capital Projects

Fund: 408, Sewer Bond Construction

Project #	Description	Total Project Estimate	FY 2010 Actual Expenditures	FY 2011 Revised Budget	FY 2012 Advertised Budget Plan	FY 2012 Adopted Budget Plan
FS0001	Noman Cole Water Reuse	\$16,720,000	\$2,055,655.00	\$14,664,345.00	\$0	\$0
G00902	DC Blue Plains Exp 309 MGD		12,851,793.41	21,160,156.58	0	0
G00904	Arlington Treatment Plant Upgrade	34,750,000	7,056,696.88	11,585,558.29	0	0
I00906	ASA Plant Improvements		11,865,567.84	21,825,051.75	0	0
J00901	LCSA Plant Upgrade	22,000,000	0.00	21,999,999.90	0	0
N00322	Lower Potomac 67 MGD	129,892,094	2,306,865.98	149,218.58	0	0
	Noman M. Cole, Jr. Pollution Control		12,673,265.23	95,140,578.22	0	0
N00323	Plant Upgrades					
	Noman M. Cole, Jr. Pollution Control	42,794,000	1,189,286.56	40,575,687.42	0	0
X00911	Plant Renovations					
X00997	Construction Reserve	1,000,000	0.00	999,999.90	0	0
Total		\$247,156,094	\$49,999,130.90	\$228,100,595.64	\$0	\$0



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Internal Service Funds

Overview

Internal Service Funds account for services provided by specific County agencies to other County agencies on a cost reimbursement basis. The services consist of insurance, central acquisition of commonly used supplies and equipment, vehicle fleet maintenance, communications, and data processing. Revenues of these funds consist primarily of charges to County agencies for these services. Specific funds included in this group are:

FAIRFAX COUNTY INTERNAL SERVICE FUNDS

- ◆ **Fund 501, County Insurance Fund**, is utilized to meet the County's casualty obligations, liability exposures, and worker's compensation requirements.
- ◆ **Fund 503, Department of Vehicle Services**, ensures that the County, School and Park Authority vehicle fleet is responsive to the transportation needs of all customer agencies and is operated in a safe and cost-effective manner.
- ◆ **Fund 504, Document Services Division**, supports the printing, copier, and micrographic services to County and School agencies.
- ◆ **Fund 505, Technology Infrastructure Services**, is managed by the Department of Information Technology and provides Data Center and Network Services to County agencies. Infrastructure costs associated with the operation and maintenance of the mainframe, data communications, PC replacements, and radio networks are billed to user agencies.
- ◆ **Fund 506, Health Benefits Fund**, is the County's self-insurance fund which provides health insurance benefits to Fairfax County employees.

FAIRFAX COUNTY PUBLIC SCHOOLS INTERNAL SERVICE FUNDS

- ◆ **Fund 590, Public School Insurance Fund**, is an insurance fund that provides administration of workers' compensation accounts, centralization of self-insurance accounts for automobile and general liability, and commercial insurance for other liabilities.
- ◆ **Fund 591, Public School Health and Flexible Benefits Fund**, is the Fairfax County Public Schools self-insurance fund which provides health insurance benefits to its employees.
- ◆ **Fund 592, Public School Central Procurement**, facilitates accounting of orders for textbooks, supplies, and equipment for the Fairfax County Public Schools (FCPS).

Fund 501

County Insurance Fund

Mission

To ensure the health and safety of County residents, employees, and public officials, and to protect the County's financial assets. The agency is committed to providing the highest quality customer service in managing the County's risks and exposures.

Focus

Fairfax County has a statutory responsibility to provide Workers' Compensation benefits, including medical treatment and loss of wages due to related disability, to employees who sustain occupational injuries and illnesses. The County Insurance Fund was established to fulfill this obligation. The Fund also provides for countywide commercial insurance and self-insurance. The County self-insures automobile and general liability claims. Special commercial coverage is provided for aviation insurance on County helicopters, real property coverage, and Fire and Rescue Department vehicle damage insurance. Administrative expenses of risk management programs are paid through this Fund.

Fairfax County provides a wide range of services to its employees and residents, which in turn create potential risks and exposures to the County. Some of these risks include injuries involving County employees or damage to County property; injuries to residents or damage to residents' property; automobile accidents; incidents arising from police activity; the actions of public officials; and the operation and maintenance of sewage and storm management systems.

The Risk Management Division approaches its mission from both internal and external perspectives. Recognizing that an organization the size of Fairfax County will experience losses, Risk Management staff work to mitigate losses and manage financial liabilities. This is accomplished through both self-insurance (which generally applies to losses expected to occur regularly, such as Workers' Compensation, automobile and general liability, and police professional and public officials liability) and commercial insurance (for losses which occur infrequently but tend to be large exposures, such as real property losses, aircraft liability, and damage to high-value vehicles). Recognizing the importance of prompt and fair resolution of claims against the County, claims administration uses both in-house staff and a contract claims administrator. Finally, Risk Management staff focuses on building and using partnerships with other County agencies, the community, and neighboring jurisdictions to mitigate risks and to ensure excellent communication with the residents of Fairfax County.

Fund 501 County Insurance Fund

Budget and Staff Resources

Agency Summary					
Category	FY 2010 Actual	FY 2011 Adopted Budget Plan	FY 2011 Revised Budget Plan	FY 2012 Advertised Budget Plan	FY 2012 Adopted Budget Plan
Authorized Positions/Staff Years					
Regular	13 / 13	13 / 13	14 / 14	14 / 14	14 / 14
Expenditures:					
Personnel Services	\$1,080,127	\$1,271,533	\$1,271,533	\$1,271,533	\$1,271,533
Operating Expenses	18,546,543	15,483,185	21,215,282	20,881,143	20,881,143
Capital Equipment	0	0	0	0	0
Subtotal	\$19,626,670	\$16,754,718	\$22,486,815	\$22,152,676	\$22,152,676
Less:					
Recovered Costs	(\$217,108)	(\$375,000)	(\$375,000)	(\$375,000)	(\$375,000)
Total Expenditures	\$19,409,562	\$16,379,718	\$22,111,815	\$21,777,676	\$21,777,676

Position Summary					
1 Risk Manager	2 Loss Prevention Analysts II	1 Administrative Assistant IV			
1 Insurance Manager	1 Claims Specialist III	2 Administrative Assistants III			
1 Loss Prevention Analyst IV	1 Claims Specialist II				
2 Loss Prevention Analysts III	2 Claims Specialists I				
TOTAL POSITIONS					
14 Positions / 14.0 Staff Years					

FY 2012 Funding Adjustments

The following funding adjustments from the FY 2011 Adopted Budget Plan are necessary to support the FY 2012 program. Included are all adjustments recommended by the County Executive that were approved by the Board of Supervisors, as well as any additional Board of Supervisors' actions, as approved in the adoption of the budget on April 26, 2011.

- ◆ **Employee Compensation** \$0
It should be noted that no funding is included for pay for performance or market rate adjustments in FY 2012.
- ◆ **Reductions** \$0
It should be noted that no reductions to balance the FY 2012 budget are included in this agency, based on both mandated requirements and the limited ability to generate personnel savings from vacancies given the small number of personnel that staff this agency.
- ◆ **General Insurance Costs** \$5,397,958
A net increase of \$5,397,958 in Operating Expenses is primarily due to an increase in costs associated with Worker's Compensation and other self-insurance coverage. A number of significant injuries requiring long-term care and surgeries are driving an increase in medical costs in Workers' Compensation claims and potentially significant liability losses are projected based on pending self-insurance claims in litigation.

Fund 501

County Insurance Fund

Changes to FY 2011 Adopted Budget Plan

The following funding adjustments reflect all approved changes in the FY 2011 Revised Budget Plan since passage of the FY 2011 Adopted Budget Plan. Included are all adjustments made as part of the FY 2010 Carryover Review, FY 2011 Third Quarter Review, and all other approved changes through April 12, 2011.

- ◆ **Carryover Adjustments** **\$3,789,838**
As part of the *FY 2010 Carryover Review*, the Board of Supervisors approved a net increase of \$3,789,838 in Operating Expenses, including an increase of \$3,372,735 in support of Workers' Compensation due primarily to significant hospitalization costs and an increase of \$747,103 in Self Insurance costs due to an approved settlement and legal costs incurred for outside counsel defending the County in litigation. These increases are partially offset by a savings of \$330,000 in Commercial Insurance Premiums as a direct result of a joint renewal by the County and Fairfax County Public Schools due to the efforts of the Smart Savings Task Force.

- ◆ **Third Quarter Adjustments** **\$1,942,259**
As part of the *FY 2011 Third Quarter Review*, the Board of Supervisors approved a net increase of \$1,942,259 in Operating Expenses, including an increase of \$1,270,000 in support of Workers' Compensation due primarily to hospitalizations and additional treatment for prior year cases, an increase of \$600,000 in Self Insurance costs due to an approved settlement, and an increase of \$72,259 to support federally mandated modifications to 400 Automated External Defibrillator (AED) device cabinets to comply with ADA requirements and maintenance of 140 AED units.

- ◆ **Position Changes** **\$0**
As part of the FY 2011 review of County position categories, a conversion of 1/1.0 SYE position was made. The status of limited term positions was reviewed in light of recent changes to federal regulations related to health care and other federal tax requirements. As a result of this review a number of existing limited term positions were converted to Merit Regular status.

Key Performance Measures

Goal

To identify and limit potential financial losses to the County arising in the normal course of business or as a result of accidents, acts of nature, and any action for which the County can be held liable; to provide prompt and efficient resolution of claims resulting from such losses; and to manage financial resources and commercial insurance options to limit the impact of losses on current operations.

Objectives

- ◆ To process 98 percent of all claims within 30 business days from date of incident.

- ◆ To reduce the overall rate of preventable automobile accidents from 0.90 to 0.60 per 100,000 miles driven through an aggressive program of driver education.

- ◆ To maintain the ratio of premium paid to the value of assets covered at 0.150 percent or less in order to maximize the value of County assets insured in relation to the total premium dollars expended.

Fund 501

County Insurance Fund

Indicator	Prior Year Actuals			Current Estimate	Future Estimate
	FY 2008 Actual	FY 2009 Actual	FY 2010 Estimate/Actual	FY 2011	FY 2012
Output:					
Claims requiring investigation	2,490	2,492	3,000 / 3,103	3,000	3,000
County drivers receiving training	834	935	1,080 / 970	1,080	1,080
Total insurance premiums paid	\$3,495,306	\$3,544,993	\$3,654,500 / \$3,463,335	\$3,136,637	\$3,278,700
Efficiency:					
Cost per claim processed	\$118	\$114	\$107 / \$81	\$80	\$80
Cost per driver trained	\$121	\$184	\$102 / \$120	\$112	\$112
Cost per insurance policy	\$565	\$554	\$601 / \$545	\$601	\$560
Service Quality:					
Average claims processing time (days)	5	5	7 / 6	5	5
Preventable accidents	265	240	250 / 281	225	225
Value of County assets covered (in billions)	\$2.368	\$2.503	\$2.463 / \$2.346	\$2.560	\$2.560
Outcome:					
Percentage of claims processed within 30 days	98%	98%	98% / 97%	98%	98%
Preventable accidents per 100,000 miles driven	0.72	0.69	0.60 / 0.90	0.60	0.60
Ratio of premium paid to value of assets covered	0.148%	0.142%	0.185% / 0.148%	0.150%	0.150%

Performance Measurement Results

Driver safety and accident prevention programs remain a priority to the County. There was a slight increase in preventable accidents in FY 2010. Stability is anticipated in this area for FY 2012 and County staff continues to maintain the goal of reducing accident rates.

The commercial insurance portfolio is a key element in protecting the assets of the County against losses in a major event; it ensures that the County is not faced with major property, Workers' Compensation, and liability losses during periods when it cannot afford the costs associated with losses. While the actual premiums tend to increase, County staff successfully continues to lower the rates for those premiums, surpassing the goal of a 0.185 percent premium rate by attaining a 0.148 percent rate in FY 2010.

Workers' Compensation costs are the single greatest challenge to the Insurance Fund. A key factor in containing costs and expediting the return to work of injured employees is prompt and adequate medical evaluation. Awareness of the County's programs in this area, coupled with efficient reporting systems, serve both employee and County interests. The Risk Management Division has exceeded the seven-day average reporting goal and now averages six days reporting time. With 97 percent of all claims being processed within 30 days, the program approaches its ambitious goal of processing 98 percent of all claims within 30 business days from the date of incident.

Risk Management has now consolidated its business areas into three key lines of business – Claims, Loss Prevention, and Insurance.

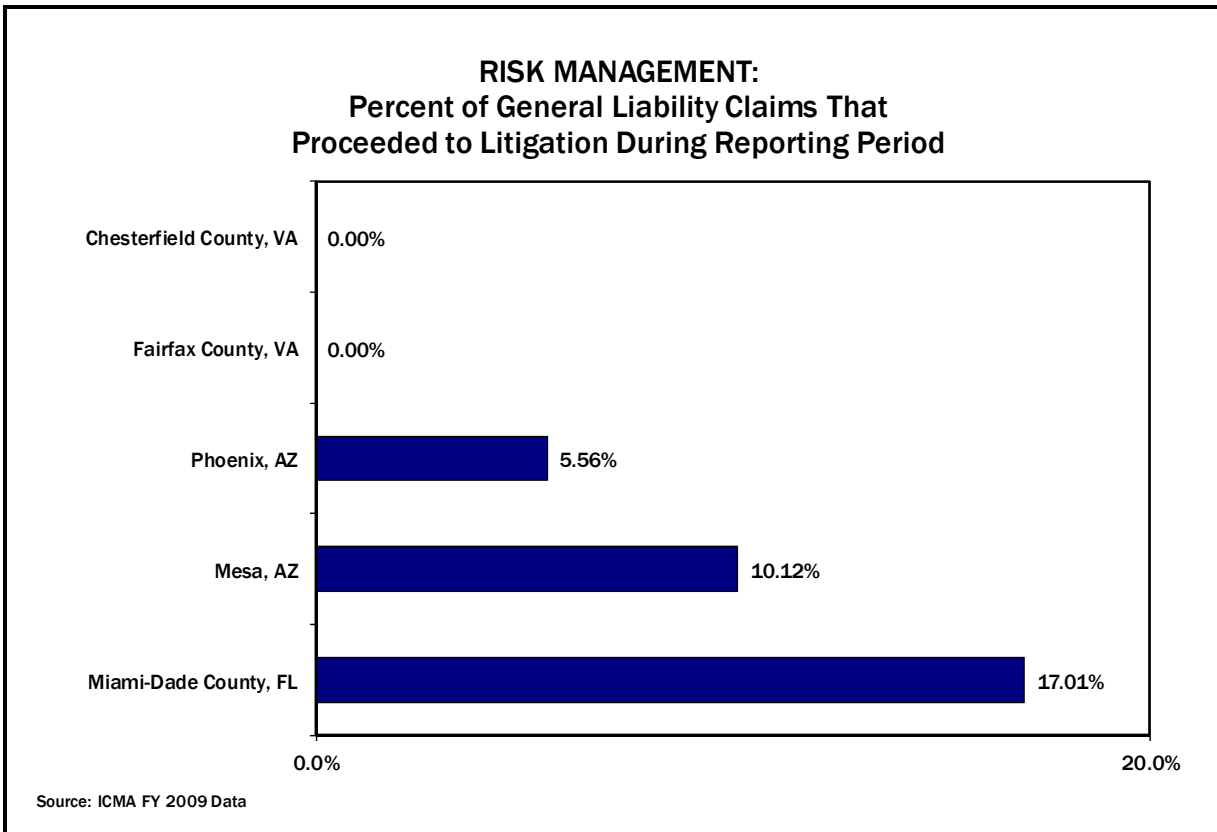
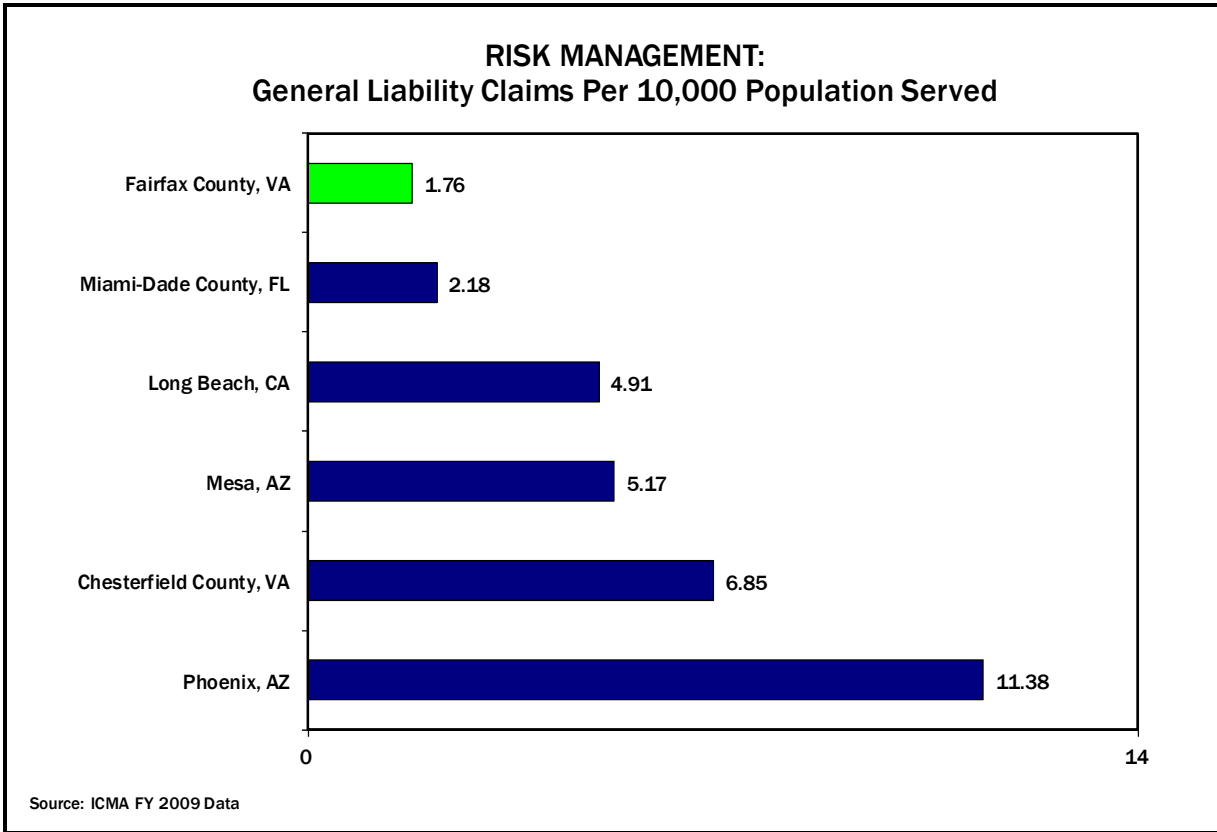
Fund 501

County Insurance Fund

Benchmarking

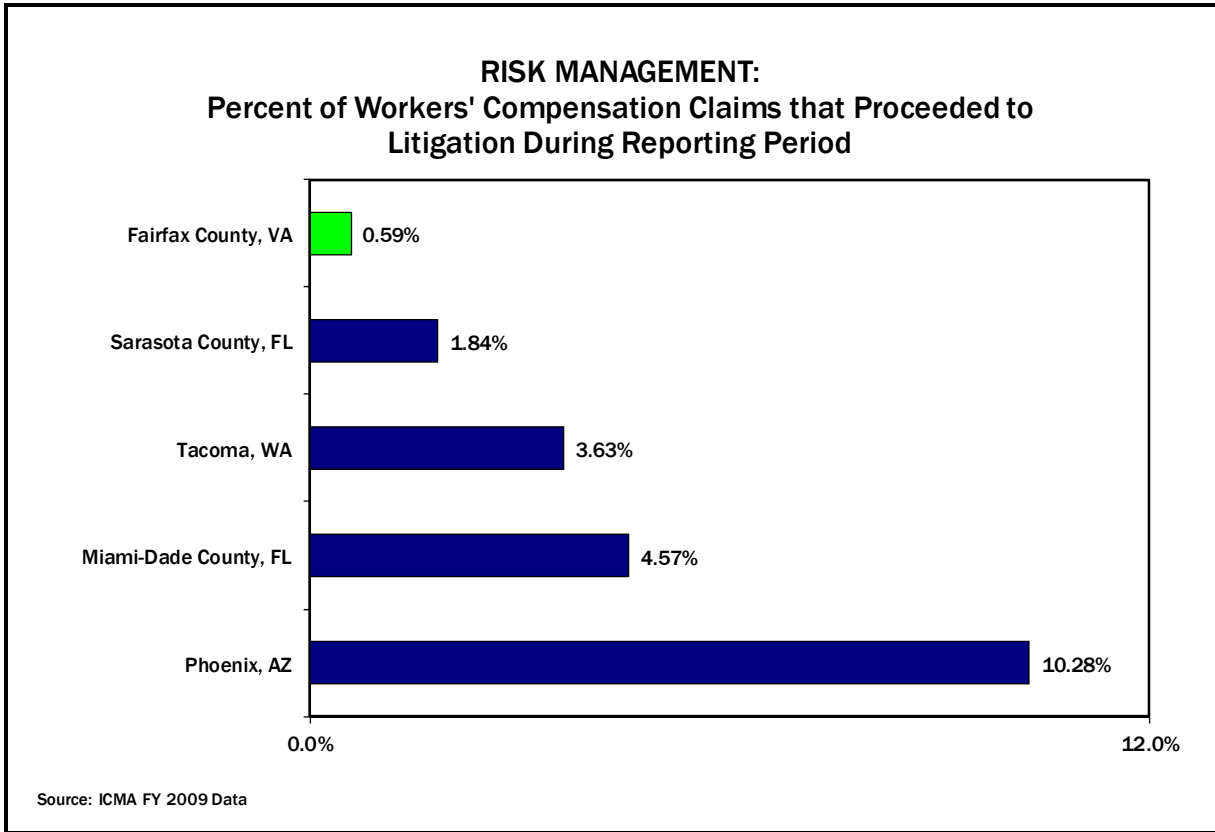
As a means of enhancing accountability, benchmarking data have been included in the annual budget since FY 2005. These data are included in each of the Program Area Summaries in Volume 1 and now in Other Funds (Volume 2) as available. The majority of this benchmarking data come from the International City/County Management Association's (ICMA) benchmarking effort in which Fairfax County has participated since 2000. Approximately 220 cities, counties and towns provide comparable data annually in 15 service areas. However, not all jurisdictions provide data for every service area. As part of the ICMA benchmarking effort, participating local governments (cities, counties and towns) provide data on standard templates provided by ICMA in order to ensure consistency. ICMA then performs extensive checking and data cleaning to ensure the greatest possible accuracy and comparability of data. As a result of the time required to collect the data and undergo ICMA's comprehensive data cleaning processes, information is always available with a one-year delay. FY 2009 data represent the latest available information. The jurisdictions presented in the graphs on the following pages generally show how Fairfax County compares to other large jurisdictions (population over 500,000). In cases where other Virginia localities provided data, they are shown as well. Risk Management is one of the service areas for which Fairfax County provides data. As can be seen on the following pages, Fairfax County compares favorably to the other large jurisdictions that provided data for this template. The County's General Liability claims, expenditures and percent that proceeded to litigation during the reporting period are all relatively low compared to the other responding cities and counties. An important point to note about the ICMA comparative data effort is that since participation is voluntary, the jurisdictions that provide data have demonstrated that they are committed to becoming/remaining high performance organizations. Therefore, comparisons made through this program should be considered in the context that the participants have self-selected and are more inclined to be among the higher performers than a random sample among local governments nationwide. It is also important to note that not all jurisdictions respond to all questions. In some cases, the question or process is not applicable to a particular locality or data are not available. For those reasons, the universe of jurisdictions with which Fairfax County is compared is not always the same for each benchmark.

Fund 501 County Insurance Fund



Fund 501

County Insurance Fund



Fund 501 County Insurance Fund

FUND STATEMENT

Fund Type G50, Internal Service Funds

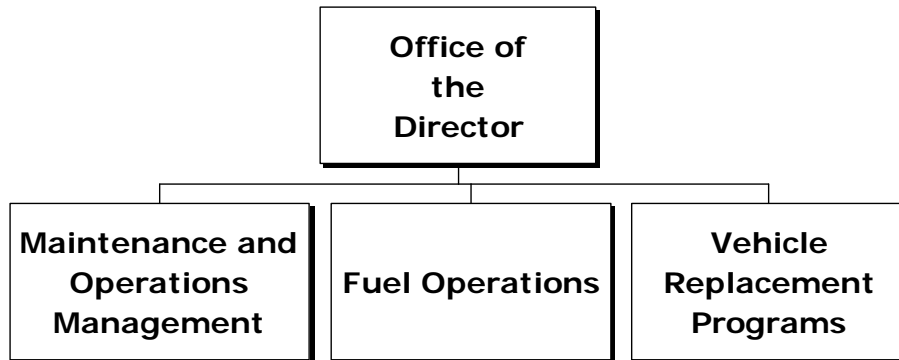
Fund 501, County Insurance Fund

	FY 2010 Actual	FY 2011 Adopted Budget Plan	FY 2011 Revised Budget Plan	FY 2012 Advertised Budget Plan	FY 2012 Adopted Budget Plan
Beginning Balance	\$42,111,511	\$41,875,097	\$40,515,660	\$42,259,280	\$42,187,021
Revenue:					
Interest	\$267,577	\$832,774	\$275,000	\$275,000	\$275,000
Workers' Compensation	514,237	664,034	515,000	515,000	515,000
Other Insurance	120,663	105,859	105,859	105,859	105,859
Total Revenue	\$902,477	\$1,602,667	\$895,859	\$895,859	\$895,859
Transfer In:					
General Fund (001)	\$15,616,251	\$13,866,251	\$22,887,317	\$21,017,317	\$21,017,317
Total Transfer In	\$15,616,251	\$13,866,251	\$22,887,317	\$21,017,317	\$21,017,317
Total Available	\$58,630,239	\$57,344,015	\$64,298,836	\$64,172,456	\$64,100,197
Expenditures:					
Administration	\$1,328,810	\$1,459,383	\$1,459,383	\$1,453,713	\$1,453,713
Workers' Compensation	10,992,094	8,867,400	13,510,135	13,020,000	13,020,000
Self Insurance Losses	2,260,282	2,507,000	3,854,103	3,881,465	3,881,465
Commercial Insurance Premium	3,463,335	3,466,637	3,136,637	3,278,700	3,278,700
Automated External Defibrillator	70,058	79,298	151,557	143,798	143,798
Total Expenditures	\$18,114,579	\$16,379,718	\$22,111,815	\$21,777,676	\$21,777,676
Expense for Net Change in Accrued Liability ¹	\$1,294,983	\$0	\$0	\$0	\$0
Total Disbursements	\$19,409,562	\$16,379,718	\$22,111,815	\$21,777,676	\$21,777,676
Ending Balance ¹	\$40,515,660	\$40,964,297	\$42,187,021	\$42,394,780	\$42,322,521
Restricted Reserves:					
Accrued Liability	\$34,379,609	\$33,084,626	\$34,379,609	\$34,379,609	\$34,379,609
AED Replacement Reserve	600,000	800,000	727,741	935,500	863,241
PC Replacement Reserve	7,200	7,200	7,200	7,200	7,200
Reserve for Catastrophic Occurrences	5,528,851	7,072,471	7,072,471	7,072,471	7,072,471

¹ As the Expense for Net Change in Accrued Liability does not affect the cash balance of the fund, the Ending Balance is calculated using Total Available less total Expenditures, not Disbursements.

Fund 503

Department of Vehicle Services



Mission

To establish efficient and effective delivery of fleet services by providing customer agencies with safe, reliable, economical, and environmentally-sound transportation and related support services which are responsive to the needs of customer departments, and which conserve the value of the vehicle and equipment investment.

Focus

The Department of Vehicle Services (DVS) provides management and maintenance services to the County's vehicle fleet and maintenance support to the Fairfax County Public Schools (FCPS). At the end of FY 2010, there was a combined County and School fleet of approximately 5,820 units, of which 5,768 are maintained by DVS. Of these units, approximately 2,270 units belong to FCPS. The remaining 3,550 County units consist of approximately 1,510 units with specialized equipment (i.e., dump trucks, wreckers) or a police package, 730 vehicles over one half ton in capacity, 680 "light fleet" vehicles supporting programs and services, and 630 non-motorized units (trailers, mowers, snow plow blades). Not included in the County fleet count are vehicles owned by Fairfax Water, FASTRAN programs, or FAIRFAX CONNECTOR buses.

The department has four maintenance facilities. The Jermantown and West Ox facilities are located on the western side of the County, and the Newington and Alban facilities are located on the south end of the County. These facilities provide timely, responsive and efficient vehicle repairs/services, including effective towing and road services at competitive prices. Modifications to the Alban facility to increase vehicle maintenance capacity and efficiency were completed in FY 2010.

DVS manages the County's Vehicle Replacement Fund, which accumulates funding over a vehicle's life in order to pay for the replacement of that vehicle when it meets replacement criteria. The current replacement criteria include the age, mileage, and condition of the vehicle. This fund is intended primarily for General Fund agencies. As of July 2010, 33 agencies participate in the fund, which includes approximately 2,289 units. Additionally, DVS manages funds for Helicopter, Boat, and Police Specialty Vehicle Replacement for the Police Department; an Ambulance and a Large Apparatus Replacement Fund for the Fire and Rescue Department; and a FASTRAN Bus Replacement Fund for the Department of Neighborhood and Community Services. These funds allow the Police Department, Fire and Rescue Department, and Department of Neighborhood and Community Services to make fixed annual payments to ensure the availability of future funds for a regular replacement program.

Fund 503

Department of Vehicle Services

DVS manages the County's fuel program, including maintenance of the County's 52 fuel sites. These sites are located at police stations, fire stations, schools, DVS maintenance facilities, Public Works facilities and Park Authority maintenance centers. In late FY 2009, the McConnell Public Safety and Transportation Operations Center (MPSTOC) opened with three 20,000 gallon diesel tanks. These tanks provide fuel for Metro and Connector buses. DVS works with Agency Directors to maintain tight controls over fuel issues to ensure agencies charge fuel directly to their agency vehicle codes and minimize the use of miscellaneous fuel codes.

Other services provided by DVS include: emergency roadside repair; oversight and records maintenance, including security administration for the County's Fleet Maintenance System; analysis of current fleet mileage and usage; evaluation of new technologies; operation of the County's motor pool; technical support/review of vehicle and equipment specifications; and initiating purchase requests for County vehicles and related equipment.

DVS continues to maintain the M4 Fleet maintenance system, provide regular training on all relevant modules to staff and customer departments and explore the utilization of other available modules (such as the Replacement module). The M4 system tracks all parts issues, commercial charges and labor charges to vehicles and equipment, and provides customer departments a regular preventive maintenance schedule.

In FY 2005, DVS and the Department of Management and Budget finalized a procedural memorandum for a fleet utilization policy with the goal of balancing the investment in the fleet while ensuring that departments and agencies have the fleet means to support their missions. This policy established a Fleet Utilization Management Committee (FUMC) with the responsibility to routinely review the vehicle and equipment fleet to ensure that fleet use and practices are in compliance with the procedural memorandum. In FY 2012, the FUMC will continue its annual review of the County fleet to ensure that fleet levels currently in place within each agency are appropriate to actual program and service requirements. It should be noted that a total of 189 vehicles have been rotated, reassigned, or sold as part of previous reviews. The FUMC also routinely reviews agency requests for fleet additions to ensure a legitimate need for fleet growth.

DVS continues to strive for economically responsible environmental stewardship by working increased fuel efficiency and reduced emissions and petroleum consumption characteristics into vehicle specifications. Specifications for new, heavy duty trucks favor the cleanest diesel engines. In anticipation of the possible adoption of ethanol as a motor fuel, DVS continues to add "flex-fuel" vehicles that can use either E85, gasoline, or any combination. The hybrid fleet now includes 111 cars and one heavy-duty truck, and with grant funding DVS has ordered a plug-in hybrid school bus and a hydraulic hybrid refuse collection truck. As plug-in hybrids and electric vehicles come to market near the end of 2010, the department will procure small numbers for evaluation. DVS is active in the County's efforts to prepare the community for the introduction of these vehicles, exploring viable plans to provide supporting infrastructure, primarily charging stations; and authoritative and reliable information is available to potential buyers, county staff and many other stakeholders. DVS continues to retrofit the County and FCPS diesel fleets with emissions-reducing technologies, and is evaluating or implementing a variety of fuel reduction measures in targeted, high fuel use sub-fleets.

Fund 503

Department of Vehicle Services

Budget and Staff Resources

Agency Summary					
Category	FY 2010 Actual	FY 2011 Adopted Budget Plan	FY 2011 Revised Budget Plan	FY 2012 Advertised Budget Plan	FY 2012 Adopted Budget Plan
Authorized Positions/Staff Years					
Regular	258 / 258	258 / 258	258 / 258	258 / 258	258 / 258
Expenditures:					
Personnel Services	\$18,450,121	\$19,275,270	\$19,275,270	\$19,275,270	\$19,275,270
Operating Expenses	35,999,778	40,400,292	42,219,540	41,601,478	41,601,478
Capital Equipment	7,947,766	9,891,685	16,273,854	8,521,553	8,521,553
Capital Projects	590,866	0	106,527	0	0
Total Expenditures	\$62,988,531	\$69,567,247	\$77,875,191	\$69,398,301	\$69,398,301

FY 2012 Funding Adjustments

The following funding adjustments from the FY 2011 Adopted Budget Plan are necessary to support the FY 2012 program. Included are all adjustments recommended by the County Executive that were approved by the Board of Supervisors, as well as any additional Board of Supervisors' actions, as approved in the adoption of the budget on April 26, 2011.

- ◆ **Employee Compensation** \$0
 It should be noted that no funding is included for pay for performance or market rate adjustments in FY 2012.
- ◆ **Operating Expenses** \$1,201,186
 A net increase of \$1,201,186 is due primarily to \$608,150 in fuel-related costs based on slightly higher gallons and price per gallon estimates as well as \$593,036 in higher costs for non-fuel related Operating Expenses primarily in the area of oil, parts, and tires.
- ◆ **Capital Equipment** \$8,521,553
 Capital Equipment funding of \$8,521,553 includes the following: \$5,195,013 for the purchase of 215 vehicles that were eligible but not replaced in FY 2010 due to the decision to delay vehicle replacement by two years; \$2,400,000 for the purchase of four vehicles out of the Fire Apparatus Replacement Fund; \$810,000 for the replacement of three vehicles out of the ambulance replacement fund; and \$116,540 for the replacement of two aging fuel tanks located at the Frying Pan and McLean Fire Stations as well as a fluid dispensing system at the Alban Maintenance Facility.

Changes to FY 2011 Adopted Budget Plan

The following funding adjustments reflect all approved changes in the FY 2011 Revised Budget Plan since passage of the FY 2011 Adopted Budget Plan. Included are all adjustments made as part of the FY 2010 Carryover Review, FY 2011 Third Quarter Review, and all other approved changes through April 12, 2011.

- ◆ **Carryover Adjustments** \$7,597,944
 As part of the FY 2010 Carryover Review, the Board of Supervisors approved encumbered funding of \$1,988,144. The remaining \$5,609,800 was approved to allow the Police Department to purchase one dual engine helicopter in FY 2011. Originally, it was anticipated that this helicopter would be

Fund 503

Department of Vehicle Services

purchased in FY 2010; however, due to a delay in the bid process and the possible impact of FY 2011 budget reductions, the Police Department postponed procurement during FY 2010.

- ◆ **Third Quarter Adjustments** **\$710,000**
As part of the *FY 2011 Third Quarter Review*, the Board of Supervisors approved an increase of \$710,000 for parts and commercial repairs as additional fleet maintenance and repair requirements are required.

Cost Centers

The Department of Vehicle Services provides services in support of the County's fleet in three distinct cost centers: Maintenance and Operations Management, Vehicle Replacement Program, and Fueling Operations. The majority of the agency's positions and funding is centered in Maintenance and Operations Management.

Maintenance and Operations Management

Funding Summary					
Category	FY 2010 Actual	FY 2011 Adopted Budget Plan	FY 2011 Revised Budget Plan	FY 2012 Advertised Budget Plan	FY 2012 Adopted Budget Plan
Authorized Positions/Staff Years					
Regular	256 / 256	256 / 256	256 / 256	256 / 256	256 / 256
Total Expenditures	\$33,160,329	\$33,496,370	\$34,497,512	\$34,097,562	\$34,097,562

Position Summary					
1 Director	12	Auto Parts Specialists I	1	Maintenance Trade Helper II	1
2 Assistant Directors	6	Assistant Superintendents	1	Business Analyst III	1
3 Administrative Assistants IV	1	Inventory Mgmt. Supervisor	1	Network Telecom Analyst II	1
2 Administrative Assistants III	1	Management Analyst IV	1	Information Technology Tech. II	1
8 Administrative Assistants II	2	Management Analysts III	17	Sr. Motor Mech. Supervisors	17
3 Auto Body Repairers II	1	Management Analyst II	4	Motor Equipment Superintendents	4
3 Auto Body Repairers I	1	Human Resource Generalist I	3	Material Mgmt. Supervisors	3
8 Auto Parts Specialists II	101	Mechanics II	1	Material Mgmt. Specialist III	1
	71	Mechanics I	1	Material Mgmt. Assistant	1
TOTAL POSITIONS					
256 Positions / 256.0 Staff Years					

Key Performance Measures

Goal

To provide timely, responsive, and efficient vehicle repairs/services, including effective towing and road services, at competitive prices for County-owned vehicles.

Objectives

- ◆ To maintain a vehicle availability rate of at least 97 percent on 100 percent of operating days.

Fund 503 Department of Vehicle Services

Indicator	Prior Year Actuals			Current Estimate	Future Estimate
	FY 2008 Actual	FY 2009 Actual	FY 2010 Estimate/Actual	FY 2011	FY 2012
Output:					
Units maintained	5,784	5,814	5,836 / 5,768	5,767	5,767
Vehicle equivalents maintained	22,288	22,635	22,664 / 22,402	22,333	22,333
Efficiency:					
Maintenance cost per vehicle equivalent	\$1,443	\$1,447	\$1,491 / \$1,451	\$1,508	\$1,529
Parts inventory value per vehicle	\$251	\$249	\$249 / \$282	\$282	\$282
Parts inventory fill rate	90.4%	91.3%	91.0% / 91.5%	91.0%	91.0%
Parts inventory turnover	5.18	5.32	5.00 / 4.83	5.00	5.00
Service Quality:					
Parts inventory accuracy	96.4%	98.7%	99.5% / 98.8%	99.5%	99.5%
Percent of customers satisfied	100.0%	98.0%	97.0% / 97.0%	95.0%	92.0%
Outcome:					
Vehicle availability rate	98.0%	98.2%	97.0% / 98.1%	97.0%	97.0%
Percent of days 97 percent target was achieved	100.0%	99.2%	100.0% / 100.0%	100.0%	100.0%

Performance Measurement Results

In FY 2010, DVS was able to ensure, on a countywide basis, that customer agency vehicles were available for use and were in safe operational condition. A total of 5,768 County and School units (motorized and non-motorized) were maintained. The FY 2010 decreases in maintained units and in vehicle equivalents was primarily related to customer agency budget constraints. It should be noted that "units maintained" in any given year may include vehicles authorized as additions in a previous year, but not received until the indicated year.

The DVS FY 2010 maintenance cost per vehicle equivalent was below the rate estimated primarily due to the decrease in maintained units described above. Due to an increase in the parts inventory for FY 2010, the parts inventory value per vehicle increased and the FY 2010 inventory turnover decreased. In FY 2010, DVS achieved a slightly lower customer satisfaction rating compared to prior years. This rate is expected to decrease marginally throughout FY 2011 and FY 2012 primarily due to continued budget constraints facing customer agencies.

Vehicle Replacement Programs

Funding Summary					
Category	FY 2010 Actual	FY 2011 Adopted Budget Plan	FY 2011 Revised Budget Plan	FY 2012 Advertised Budget Plan	FY 2012 Adopted Budget Plan
Authorized Positions/Staff Years					
Regular	1 / 1	1 / 1	1 / 1	1 / 1	1 / 1
Total Expenditures	\$7,900,821	\$9,891,685	\$16,273,854	\$8,405,013	\$8,405,013

Fund 503

Department of Vehicle Services

Position Summary
1 Engineer III
TOTAL POSITIONS
1 Position / 1.0 Staff Year

Key Performance Measures

Goal

To provide administrative and financial oversight for the Vehicle Replacement, Large Apparatus, Ambulance, Vehicle Specialty, FASTRAN, and other replacement funds and to ensure that vehicles are replaced within the established criteria (i.e., miles, years and condition).

Objectives

- ◆ To order vehicles that meet replacement criteria within the fiscal year. Given the two year moratorium on the majority of vehicle replacements from October 2008 through October 2010, this total is estimated at 39 percent in FY 2011 and 50 percent in FY 2012.

Indicator	Prior Year Actuals			Current Estimate	Future Estimate
	FY 2008 Actual	FY 2009 Actual	FY 2010 Estimate/Actual	FY 2011	FY 2012
Output:					
Vehicles in Vehicle Replacement Reserve (VRR)	2,303	2,342	2,342 / 2,289	2,290	2,290
Technical reviews processed	173	96	110 / 93	115	120
Vehicles meeting VRR criteria	299	281	530 / 210	262	195
Vehicles ordered/replaced	299	101	52 / 57	262	195
Efficiency:					
VRR administrative cost per vehicle	\$26.58	\$28.01	\$29.29 / \$29.97	\$29.60	\$29.60
Service Quality:					
Percent of customers satisfied	100.0%	94.0%	90.0% / 90.0%	80.0%	75.0%
Outcome:					
Percent of vehicles meeting criteria that are replaced	100.0%	9.0%	10.0% / 27.0%	39.0%	50.0%

Performance Measurement Results

The number of vehicles in the Vehicle Replacement Reserve (VRR) decreased in FY 2010 primarily due to the two year extension of vehicle replacement criteria initiated in FY 2009. As a result of the criteria extensions, DVS replaced only 27 percent of FY 2010 VRR vehicles that met the established criteria. The VRR administrative cost per vehicle increased slightly primarily due to the decrease in the number of vehicles in the reserve. As estimated, customer satisfaction decreased in FY 2010 due to the criteria extension. Replacement activity will resume in November of FY 2011 with the replacement of vehicles that were not replaced in FY 2009. However, a lower level of customer satisfaction is still expected through FY 2011 and FY 2012, as a result of continuing impacts from the extension.

Fund 503

Department of Vehicle Services

Fueling Operations

Funding Summary					
Category	FY 2010 Actual	FY 2011 Adopted Budget Plan	FY 2011 Revised Budget Plan	FY 2012 Advertised Budget Plan	FY 2012 Adopted Budget Plan
Authorized Positions/Staff Years					
Regular	1 / 1	1 / 1	1 / 1	1 / 1	1 / 1
Total Expenditures	\$21,927,381	\$26,179,192	\$27,103,825	\$26,895,726	\$26,895,726

Position Summary
1 Heavy Equipment Operator
TOTAL POSITIONS
1 Position / 1.0 Staff Year

Key Performance Measures

Goal

To provide County-owned vehicle operators with effective and efficient fueling services in accordance with all federal, state, and County regulations.

Objectives

- ◆ To provide in-house fueling services that support fleet operations in order to achieve a cost savings of 5.0 cents per gallon for unleaded gasoline and 15.0 cents per gallon for diesel fuel compared to commercial fuel stations.

Indicator	Prior Year Actuals			Current Estimate	Future Estimate
	FY 2008 Actual	FY 2009 Actual	FY 2010 Estimate/Actual	FY 2011	FY 2012
Output:					
Gallons of unleaded gasoline purchased	2,596,988	2,556,430	2,659,619 / 2,486,339	2,545,380	2,606,070
Gallons of diesel purchased	7,003,767	7,117,985	7,708,589 / 7,529,446	7,641,501	8,428,833
Efficiency:					
Average cost per gallon (all fuel types)	\$2.95	\$2.38	\$2.20 / \$2.22	\$2.74	\$2.40
Service Quality:					
Percent of customers satisfied	100.0%	99.0%	99.0% / 100.0%	99.0%	99.0%
Outcome:					
Price savings between in-house and commercial stations: unleaded gasoline	\$0.108	\$0.192	\$0.050 / \$0.160	\$0.050	\$0.050
Price savings between in-house and commercial stations: diesel	\$0.115	\$0.498	\$0.150 / \$0.250	\$0.150	\$0.150

Fund 503

Department of Vehicle Services

Performance Measurement Results

The Fueling Operations measures examine the cost savings between County contracts and private providers, as well as how satisfied County vehicle drivers are with fueling operations. In FY 2010, fuel prices have remained relatively stable compared to prior years when prices were erratic and reached very high levels. Given the amount of fuel gallons used by the County, the savings were significant. As in past years, County customers purchasing unleaded gasoline and diesel fuel continued to benefit from significant cost savings per gallon compared to commercial prices. In FY 2010, excellent customer satisfaction ratings were achieved and are expected to remain in FY 2011 and FY 2012. It should be noted that the average cost per gallon (all fuel types) indicator includes appropriate markup to cover overhead.

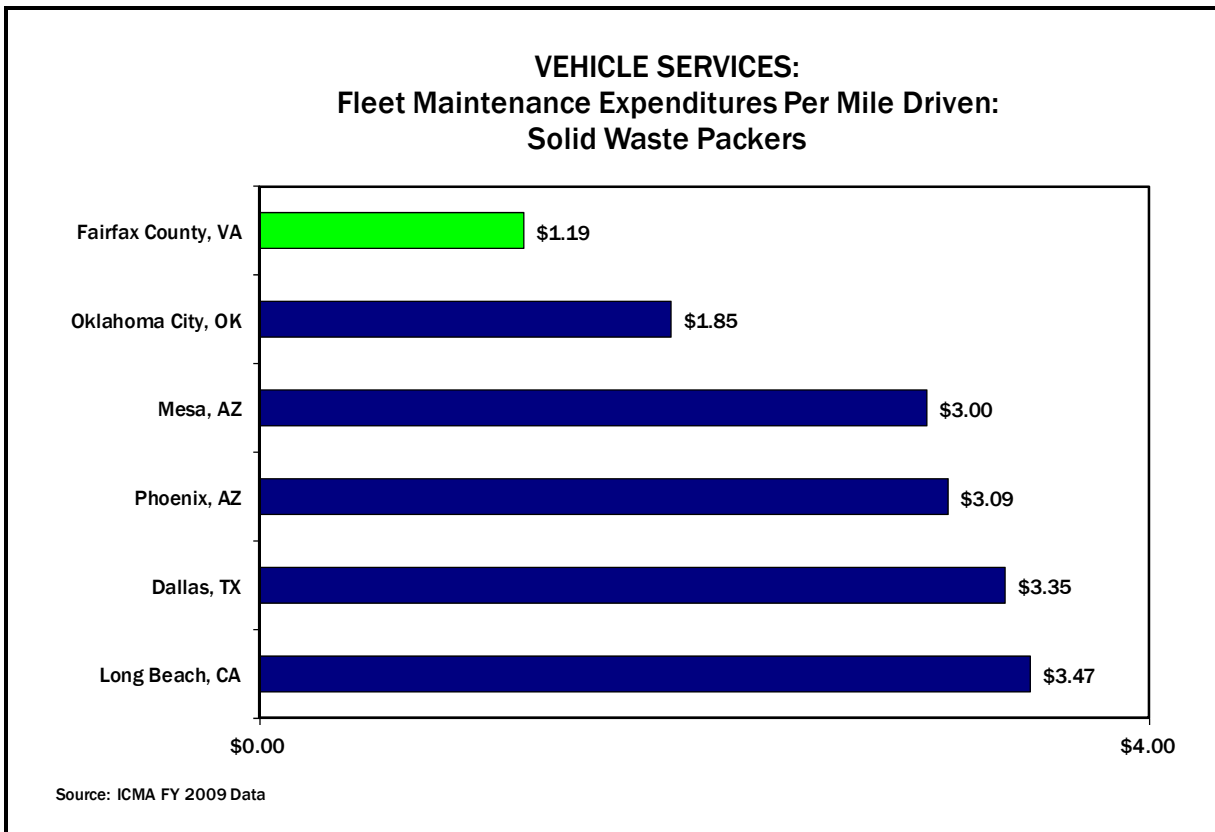
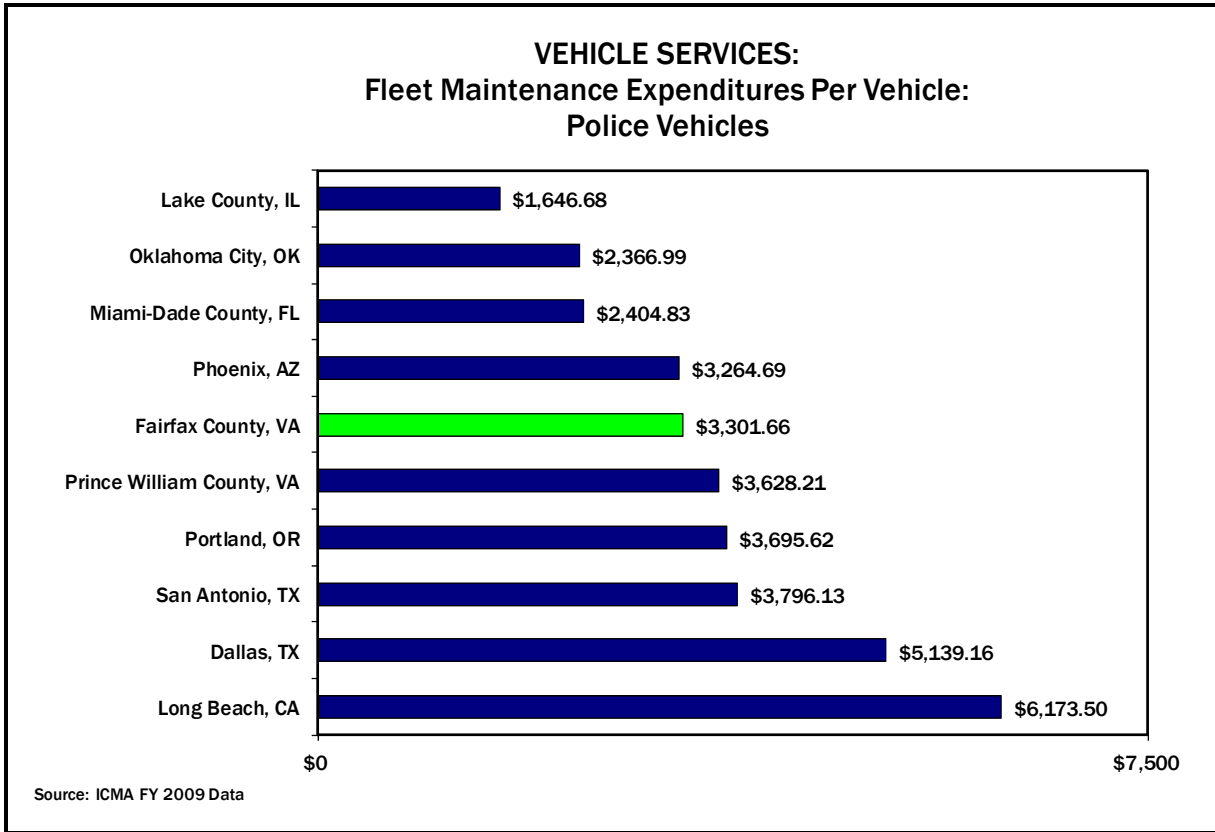
Benchmarking

As a means of enhancing accountability, benchmarking data have been included in the annual budget since FY 2005. These data are included in each of the Program Area Summaries in Volume 1 and now in Other Funds (Volume 2) as available. The majority of this benchmarking data come from the International City/County Management Association's (ICMA) benchmarking effort in which Fairfax County has participated since 2000. Approximately 220 cities, counties and towns provide comparable data annually in 15 service areas. However, not all jurisdictions provide data for every service area. An example of which is the Roads/Highways template that Fairfax County does not complete since the Commonwealth has primary responsibility for roadways in Virginia counties.

As part of the ICMA benchmarking effort, participating local governments (cities, counties and towns) provide data on standard templates provided by ICMA in order to ensure consistency. ICMA then performs extensive checking and data cleaning to ensure the greatest accuracy and comparability of data. As a result of the time to collect the data and undergo ICMA's rigorous data cleaning processes, information is always available with a one-year delay. FY 2009 data represent the latest available information. The jurisdictions presented in the graphs on the following pages generally show how Fairfax County compares to other large jurisdictions (population over 500,000). In cases where other Virginia localities provided data, they are shown as well. Fleet Management is one of the service areas for which Fairfax County provides data. An important point to note about the ICMA comparative data effort is that since participation is voluntary, the jurisdictions that provide data have demonstrated that they are committed to becoming/remaining high performance organizations. Therefore, comparisons made through this program should be considered in the context that the participants have self-selected and are inclined to be among the higher performers than a random sample among local governments nationwide. It is also important to note that not all jurisdictions respond to all questions. In some cases, the question or process is not applicable to a particular locality or data are not available. For those reasons, the universe of jurisdictions with which Fairfax County is compared is not always the same for each benchmark.

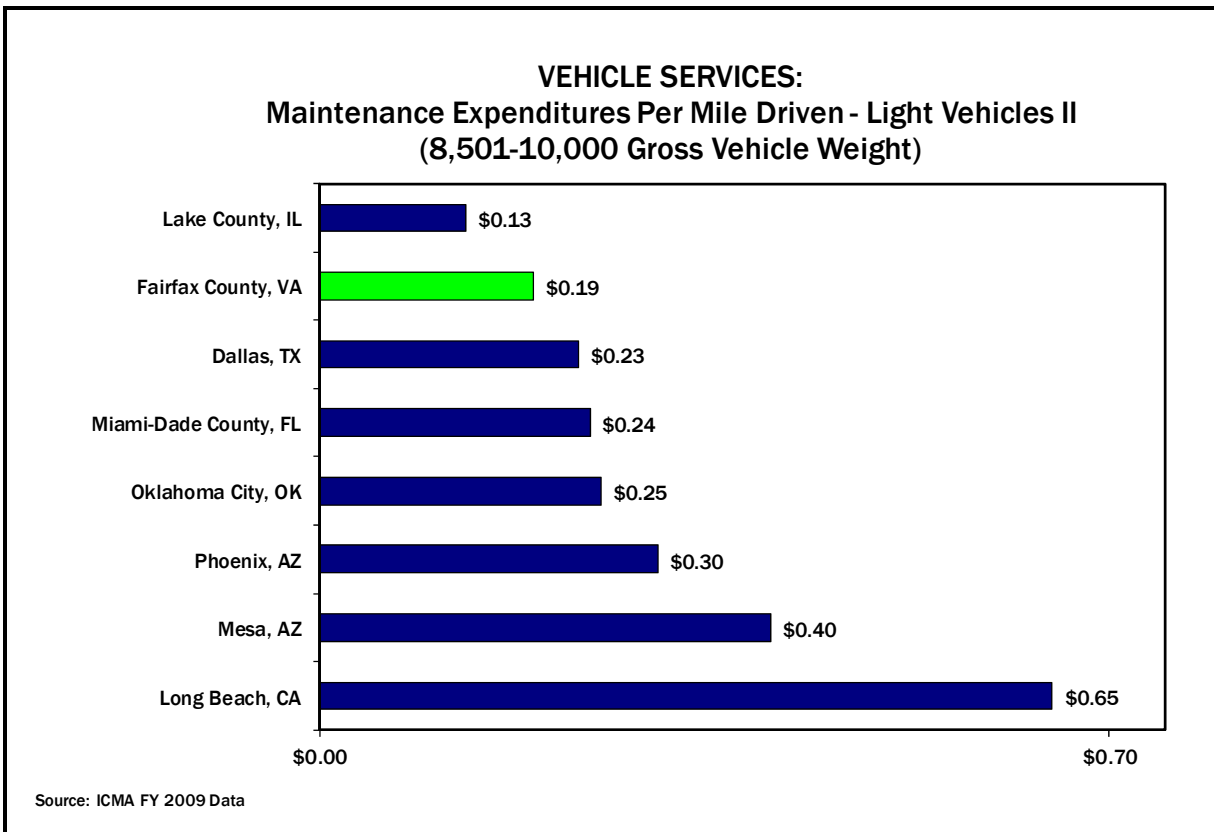
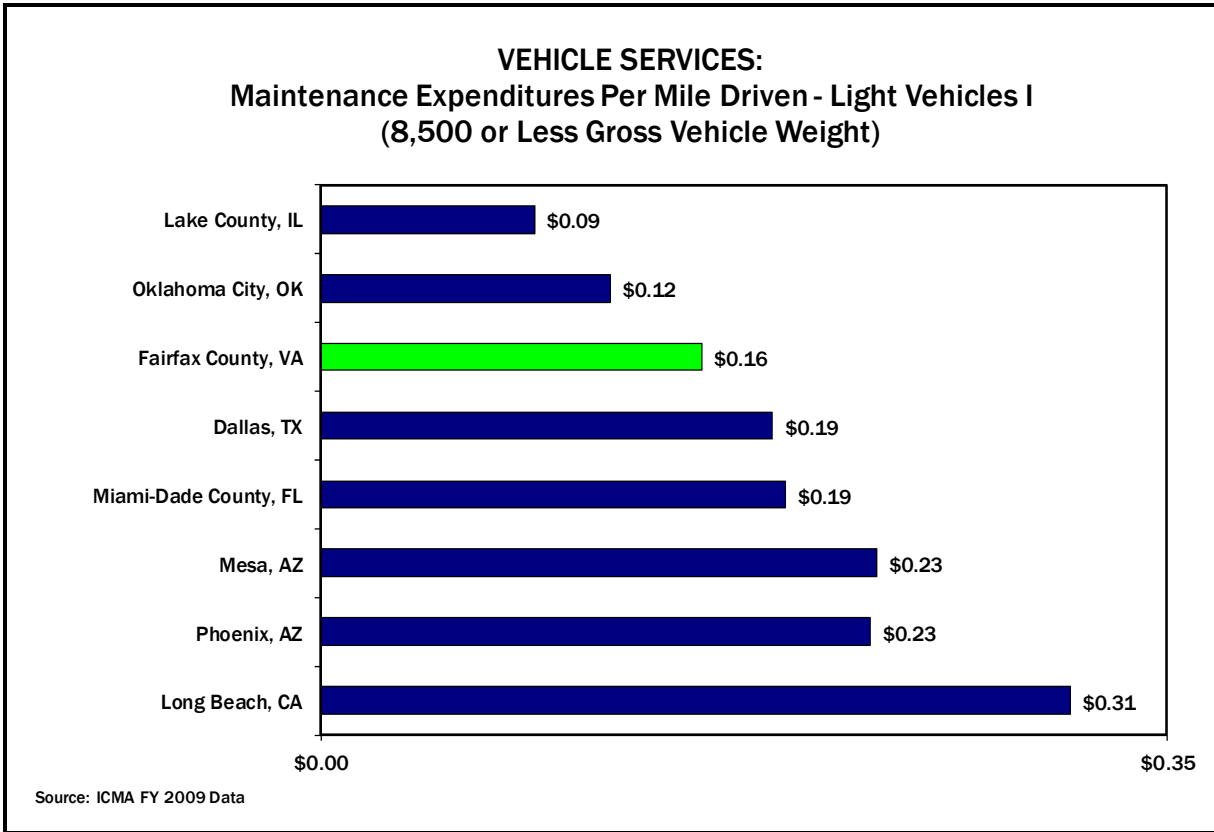
Fund 503

Department of Vehicle Services



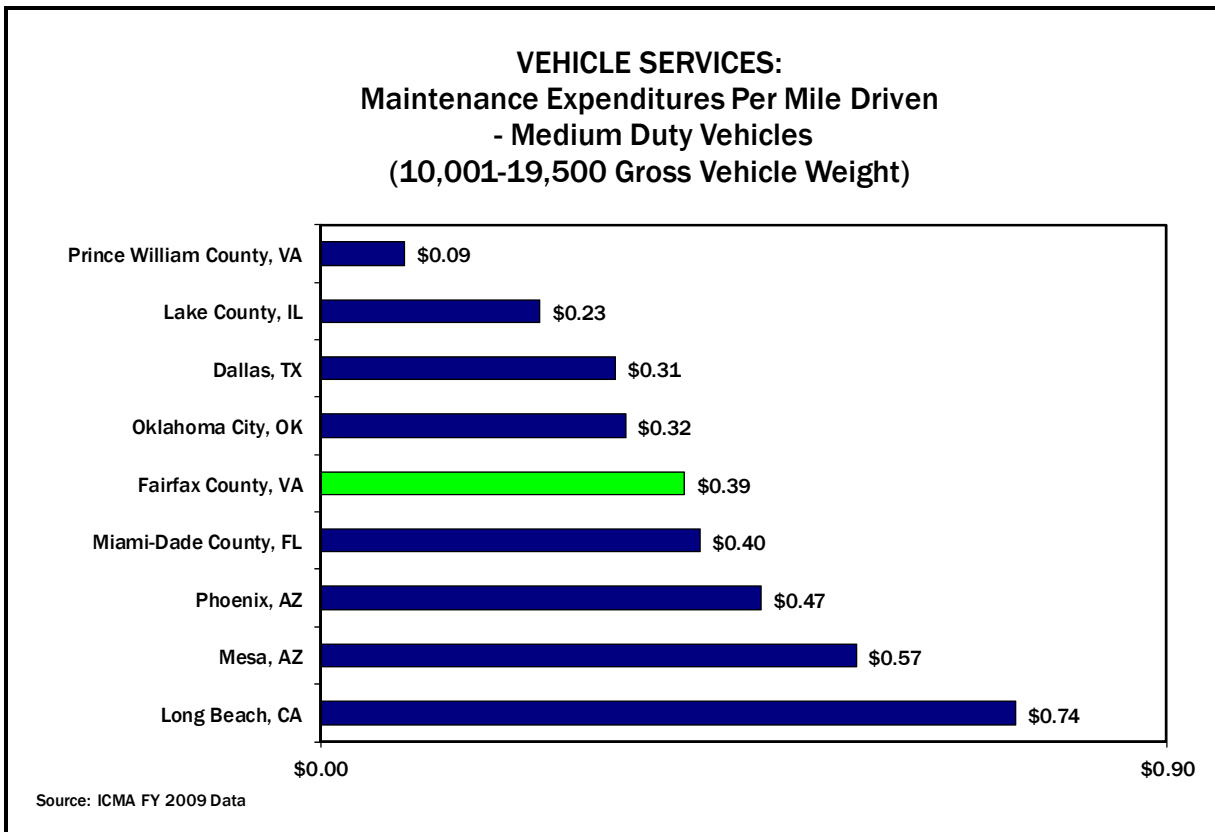
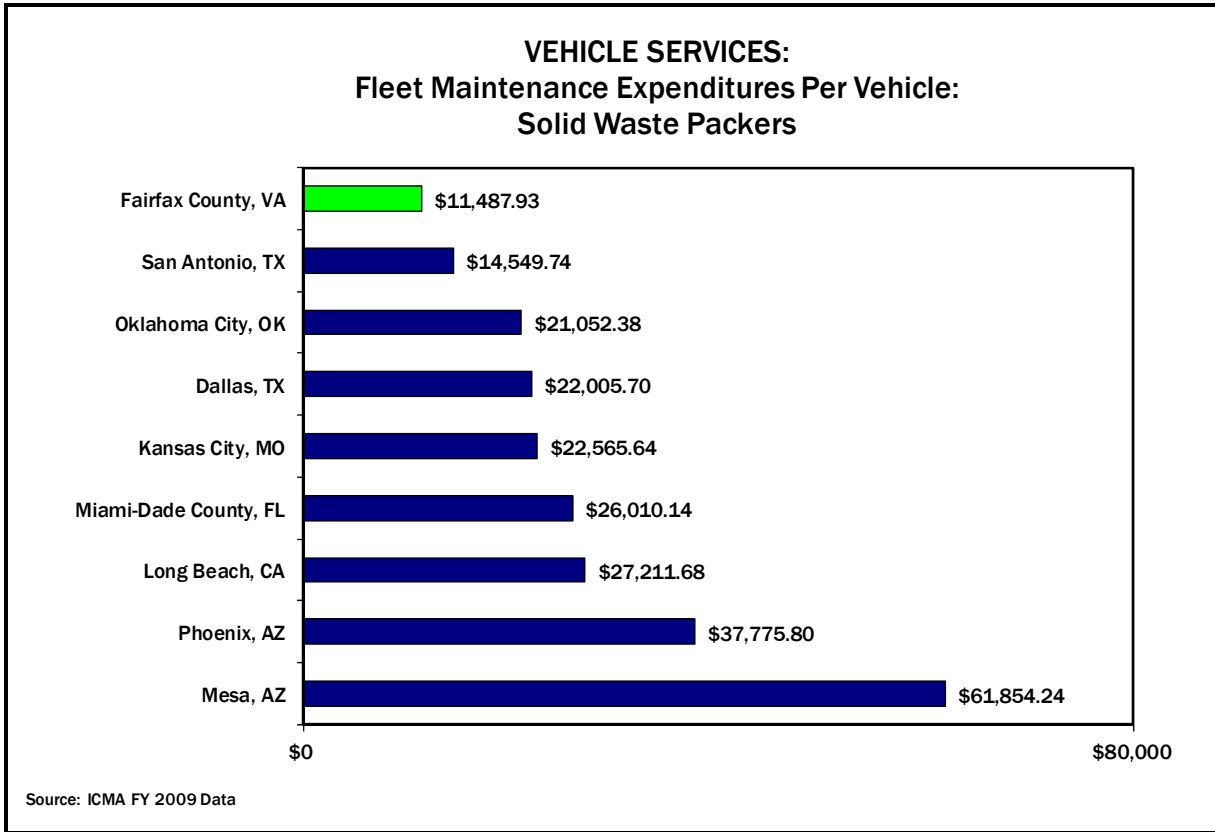
Fund 503

Department of Vehicle Services



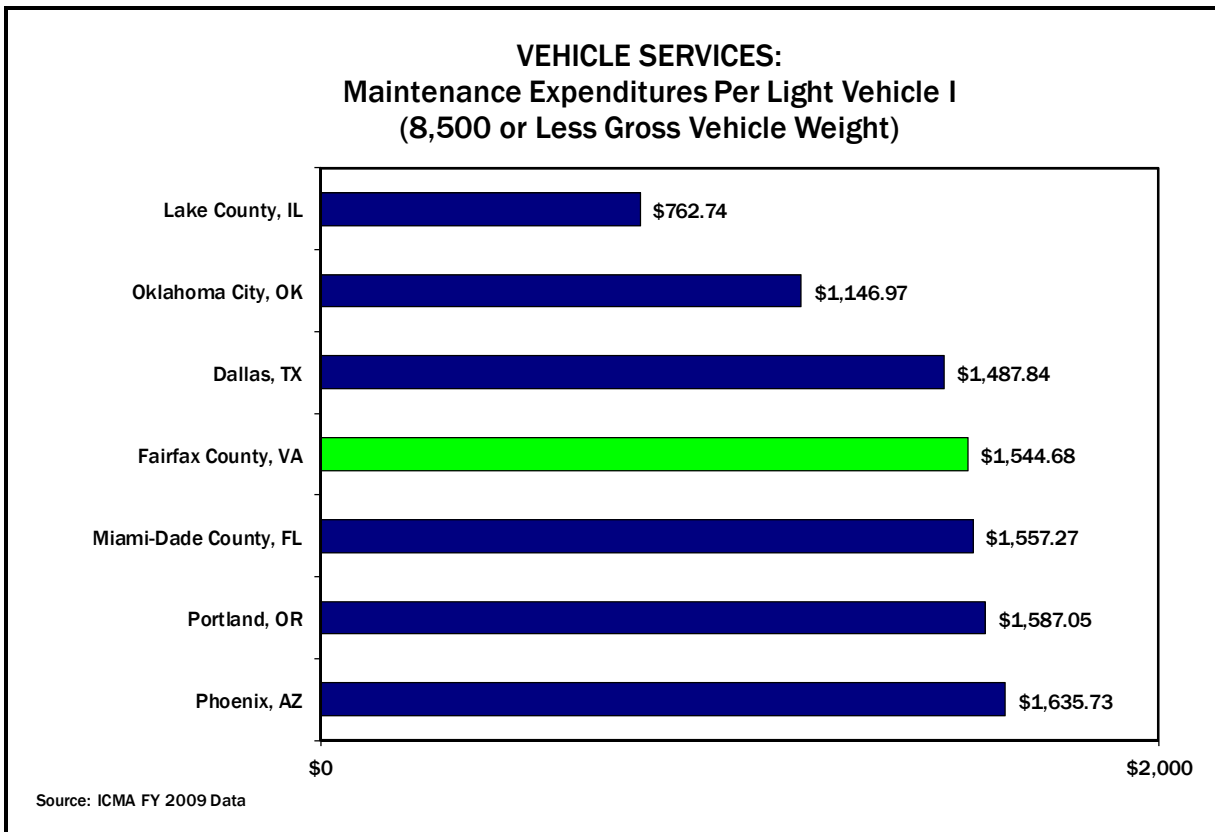
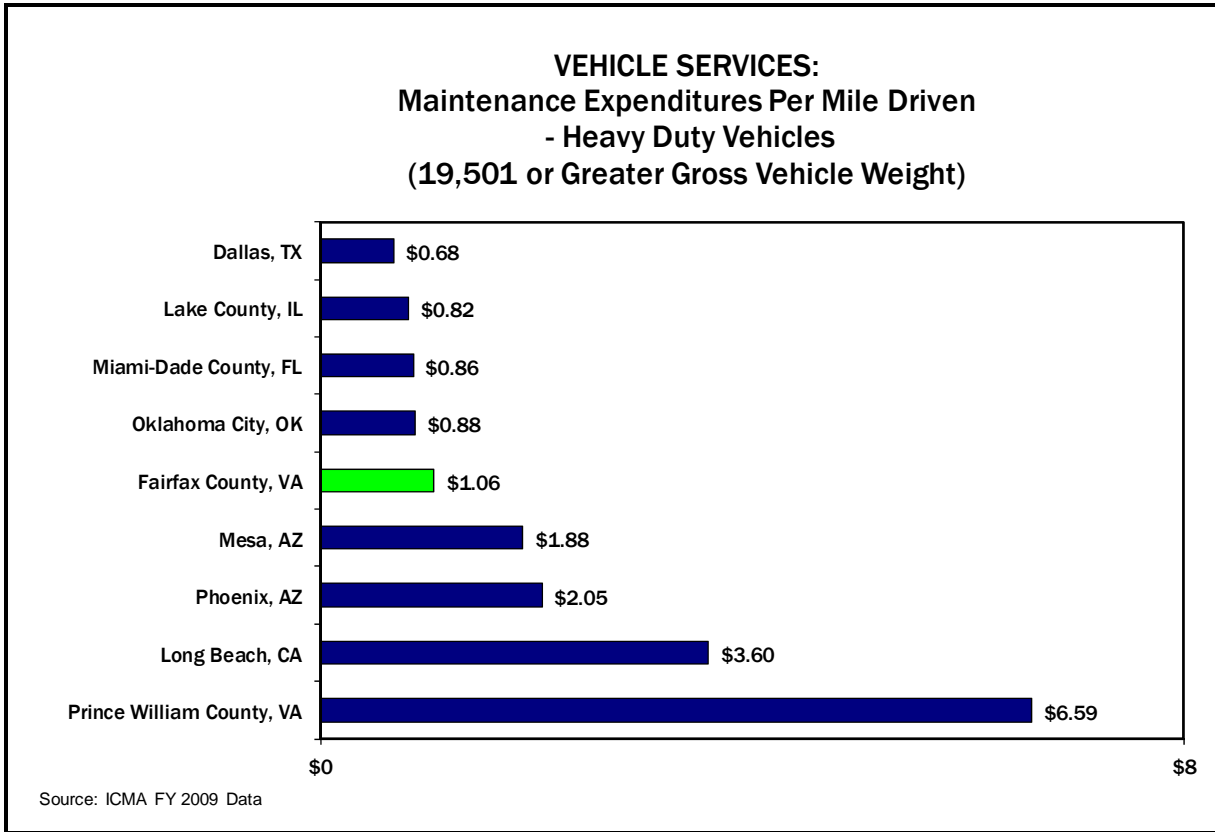
Fund 503

Department of Vehicle Services



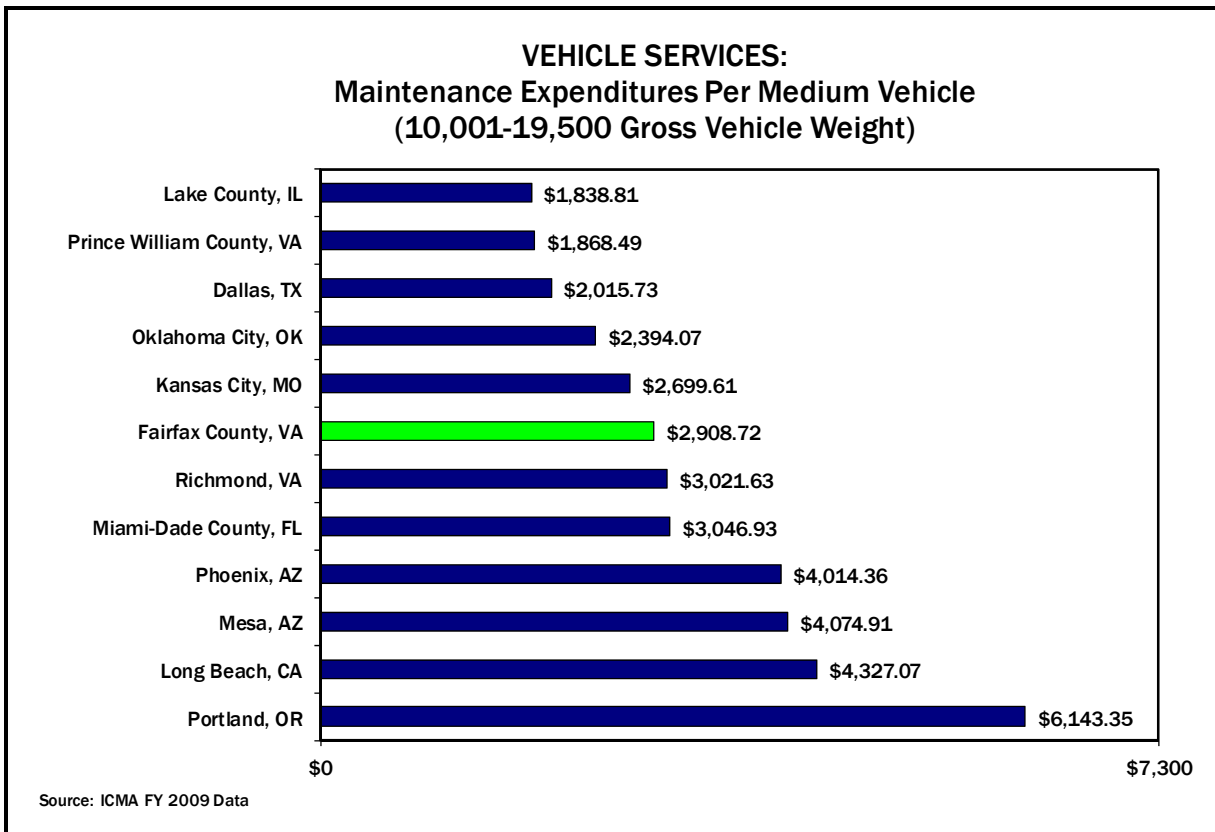
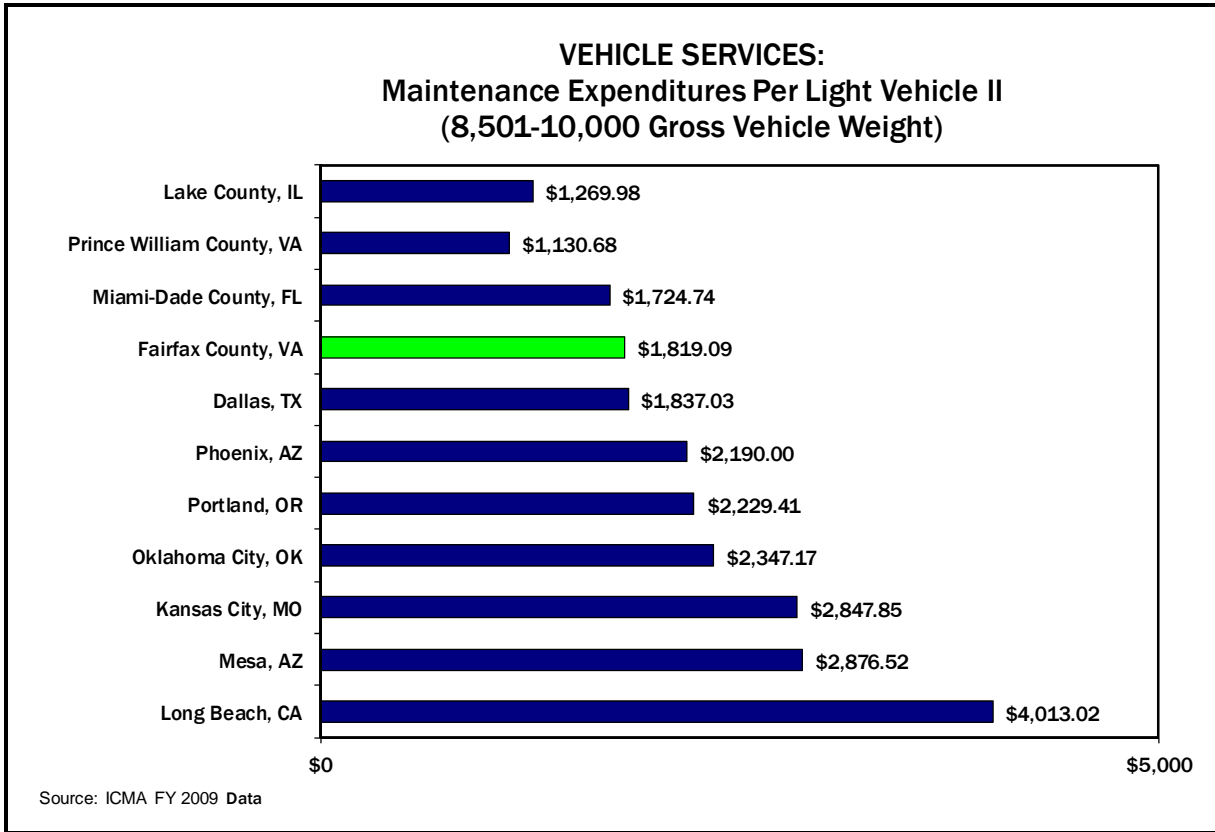
Fund 503

Department of Vehicle Services



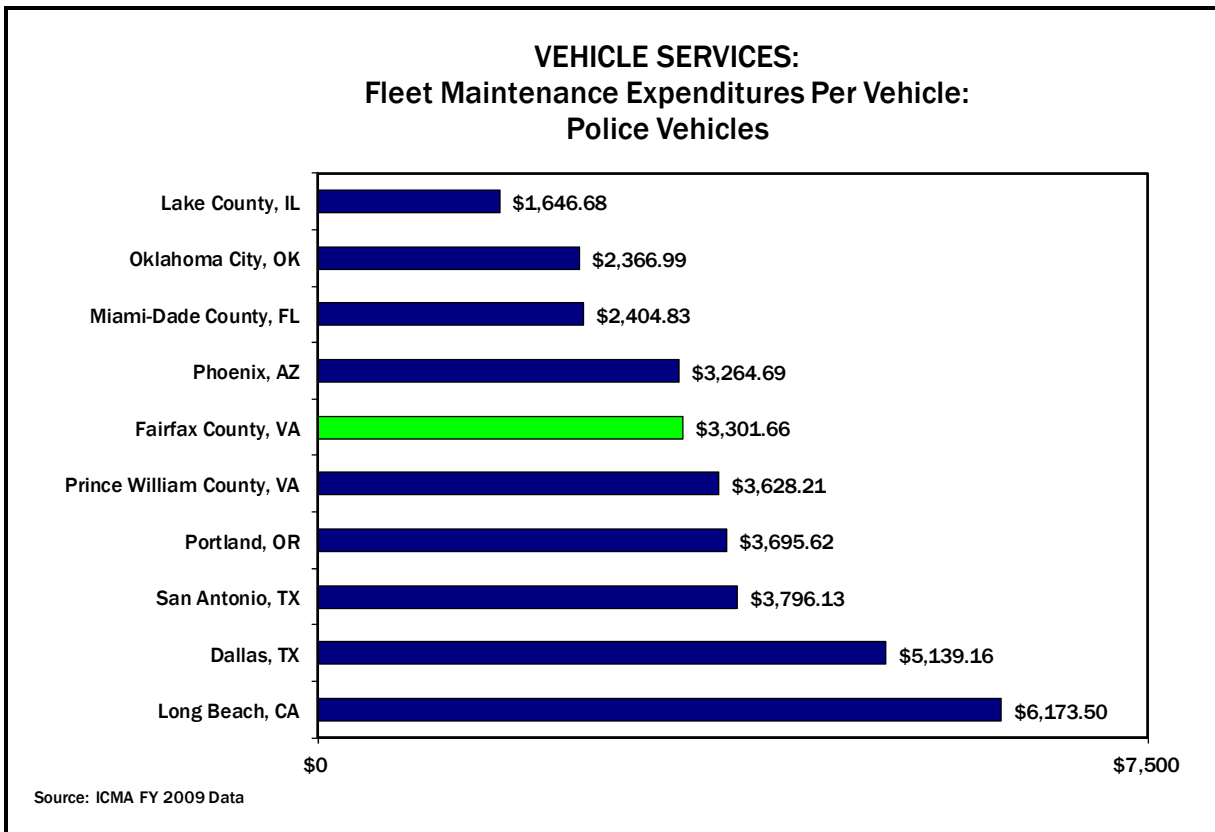
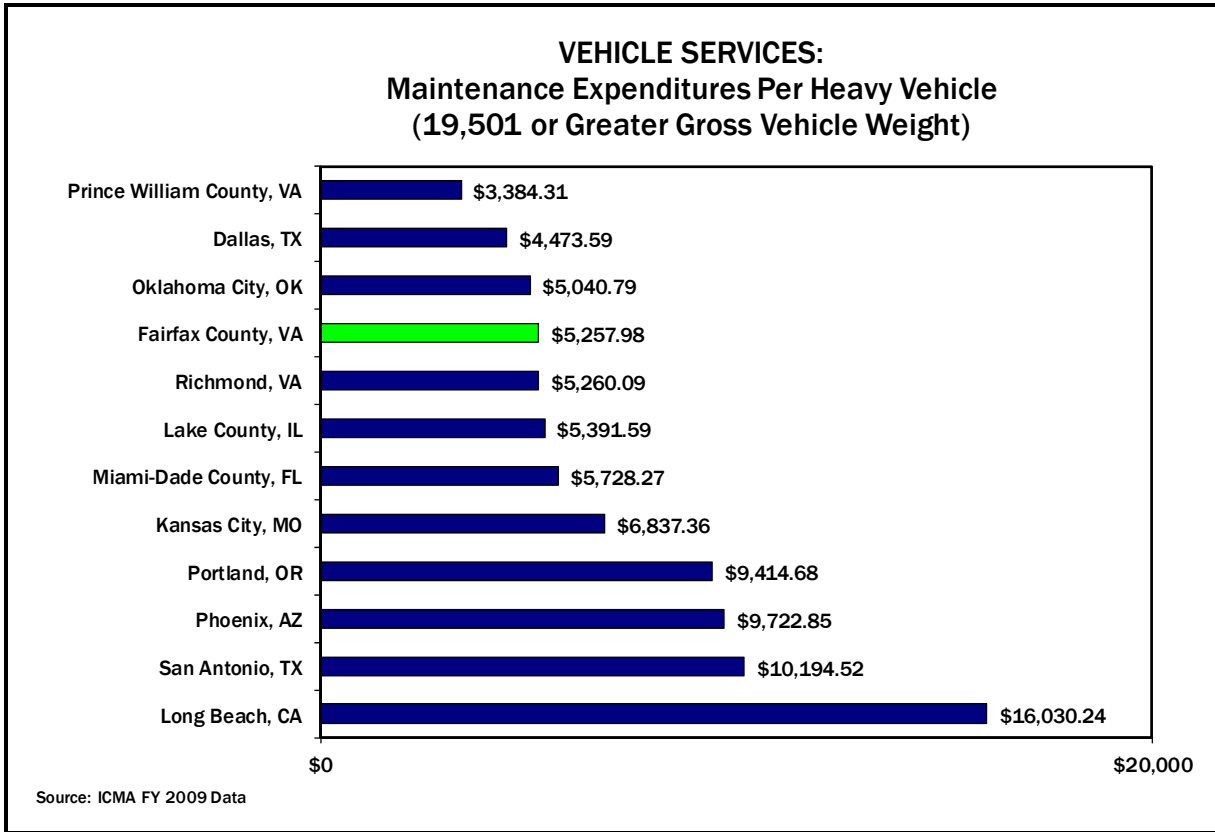
Fund 503

Department of Vehicle Services



Fund 503

Department of Vehicle Services



Fund 503

Department of Vehicle Services

FUND STATEMENT

Fund Type G50, Internal Service Fund

Fund 503, Department of Vehicle Services

	FY 2010 Actual	FY 2011 Adopted Budget Plan	FY 2011 Revised Budget Plan	FY 2012 Advertised Budget Plan	FY 2012 Adopted Budget Plan
Beginning Balance	\$48,433,607	\$30,693,643	\$44,890,336	\$32,982,122	\$32,272,122
Vehicle Replacement Reserve	\$7,761,514	\$10,606,432	\$11,180,909	\$7,576,819	\$7,576,819
Facility Infr./Renewal Reserve	3,152,780	1,203,162	1,294,678	1,260,827	1,188,151
Ambulance Replacement Reserve	4,557,922	2,969,422	3,055,744	2,489,744	2,489,744
Fire Apparatus Repl. Reserve	8,068,182	4,854,914	6,522,977	6,213,964	6,213,964
School Bus Replacement Reserve	17,019	17,019	17,019	17,019	17,019
FASTRAN Bus Repl. Reserve	1,698,077	1,698,077	1,717,610	1,717,610	1,717,610
Helicopter Replacement Reserve	6,654,637	1,044,837	7,433,900	2,533,363	2,533,363
Boat Replacement Reserve	327,646	77,646	160,027	237,673	237,673
Police Specialty Vehicle Reserve	2,545,167	2,545,167	2,897,293	3,206,843	3,206,843
Fuel Operations Reserve	3,401,505	62,386	1,844,473	907,454	907,454
Fuel Price Stabilization Reserve	4,000,000	4,000,000	4,000,000	4,000,000	4,000,000
Other	6,249,158	1,614,581	4,765,706	2,820,805	2,183,482
Unreserved Beginning Balance	\$0	\$0	\$0	\$0	\$0
Revenue:					
Vehicle Replacement Charges	\$6,909,912	\$7,086,951	\$7,086,951	\$6,710,525	\$6,710,525
Ambulance Repl. Charges	224,010	214,000	214,000	214,000	214,000
Fire Apparatus Repl. Charges	2,993,647	2,884,000	2,884,000	2,884,000	2,884,000
FASTRAN Bus Repl Charges	19,533	0	0	0	0
Helicopter Repl. Charges	779,263	709,263	709,263	894,256	894,256
Boat Replacement Charges	77,646	77,646	77,646	69,019	69,019
Police Specialty Veh. Charges	352,126	309,550	309,550	245,760	245,760
Vehicle Fuel Charges	22,570,349	26,166,806	26,166,806	26,699,369	26,699,369
Other Charges	32,214,092	31,808,761	31,808,761	31,241,757	31,241,757
Total Revenue	\$66,140,578	\$69,256,977	\$69,256,977	\$68,958,686	\$68,958,686
Total Available	\$114,574,185	\$99,950,620	\$114,147,313	\$101,940,808	\$101,230,808
Expenditures:					
Vehicle Replacement	\$1,390,517	\$6,341,685	\$6,691,041	\$5,195,013	\$5,195,013
Facility Infrastructure/Renewal	590,866	0	106,527	0	0
Ambulance Replacement	1,726,188	780,000	780,000	810,000	810,000
Fire Apparatus Replacement	4,538,852	2,770,000	3,193,013	2,400,000	2,400,000
Helicopter Replacement	0	0	5,609,800	0	0
Boat Replacement	245,265	0	0	0	0
Fuel Operations:					
Fuel	21,301,950	25,394,126	26,228,550	26,088,837	26,088,837
Other Fuel Related Expenses	625,431	785,066	875,275	806,889	806,889
Other:					
Personnel Services	18,418,625	19,212,559	19,212,559	19,212,559	19,212,559
Operating Expenses	14,103,892	14,283,811	15,178,426	14,876,847	14,876,847
Capital Equipment	46,945	0	0	8,156	8,156
Total Expenditures	\$62,988,531	\$69,567,247	\$77,875,191	\$69,398,301	\$69,398,301

Fund 503

Department of Vehicle Services

FUND STATEMENT

Fund Type G50, Internal Service Fund

Fund 503, Department of Vehicle Services

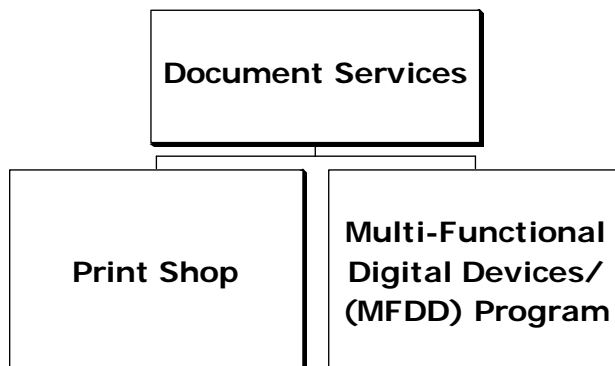
	FY 2010 Actual	FY 2011 Adopted Budget Plan	FY 2011 Revised Budget Plan	FY 2012 Advertised Budget Plan	FY 2012 Adopted Budget Plan
Transfers Out:					
General Fund (001) ¹	\$2,000,000	\$4,000,000	\$4,000,000	\$0	\$0
County Bond Constr. (311) ²	4,695,318	0	0	0	0
Total Transfers Out	\$6,695,318	\$4,000,000	\$4,000,000	\$0	\$0
Total Disbursements	\$69,683,849	\$73,567,247	\$81,875,191	\$69,398,301	\$69,398,301
Ending Balance³	\$44,890,336	\$26,383,373	\$32,272,122	\$32,542,507	\$31,832,507
Vehicle Replacement Reserve	\$11,180,909	\$7,278,670	\$7,576,819	\$9,057,331	\$8,420,008
Facility Infr./Renewal Reserve	1,294,678	1,203,162	1,188,151	1,260,827	1,188,151
Ambulance Replacement Reserve	3,055,744	2,403,422	2,489,744	1,893,744	1,893,744
Fire Apparatus Replacement Reserve	6,522,977	4,968,914	6,213,964	6,697,964	6,697,964
School Bus Replacement Reserve	17,019	17,019	17,019	17,019	17,019
FASTRAN Bus Repl. Reserve	1,717,610	1,698,077	1,717,610	1,717,610	1,717,610
Helicopter Replacement Reserve	7,433,900	1,754,100	2,533,363	3,427,619	3,427,619
Boat Replacement Reserve	160,027	155,292	237,673	306,692	306,692
Police Specialty Veh. Reserve	2,897,293	2,854,717	3,206,843	3,452,603	3,452,603
Fuel Operations Reserve	1,844,473	50,000	907,454	711,097	711,097
Fuel Price Stabilization Reserve	4,000,000	4,000,000	4,000,000	4,000,000	4,000,000
Other	4,765,706	0	2,183,482	0	0
Unreserved Ending Balance	\$0	\$0	\$0	\$0	\$0

¹ Over the FY 2010 to FY 2011 timeframe, Transfers Out to the General Fund totaling \$6.0 million have been made associated with deferring replacement of the majority of vehicles in the County fleet for two years effective October 14, 2008. An additional \$3.0 million was transferred to the General Fund as part of the FY 2009 Third Quarter Review, bringing the entire savings associated with deferring vehicle replacement to \$9.0 million.

² In FY 2010, an amount of \$4,695,318 was transferred to Fund 311, County Bond Construction, to supplement bond funds appropriated from the FY 2007 School Bond Referendum associated with the planned expansion and renovation of the Newington Maintenance Facility including the installation of sound barriers at the facility. Combined with \$2.7 million transferred to Fund 311 in FY 2009, a total amount of \$7,395,318 has been transferred to Fund 311 for this purpose. It should be noted that funds used to support these transfers were redirected from savings in the Fuel Operations Reserve, Vehicle Replacement Reserve, Facility Infrastructure/Renewal Reserve and the Other Reserve.

³ The Ending Balance in Fund 503, Department of Vehicle Services, fluctuates based on vehicle replacement requirements in a given year. Except in rare cases, vehicles are not replaced until they have met both established age and mileage criteria. In years where more vehicles meet their criteria and are replaced, the ending balance will be lower (and vice versa).

Fund 504 Document Services



Mission

To provide and coordinate high speed production printing services to County agencies as well as to the Fairfax County Public School System.

Focus

As part of the FY 2011 Adopted Budget Plan, the Print Shop, previously managed by the Department of Cable and Consumer Services (DCCS), was consolidated under the Department of Information Technology (DIT). The Print Shop is responsible for providing high speed digital black and white and color printing, offset printing, and bindery services. In FY 2010 Printing and Duplicating Services produced over 16.7 million digital black and white impressions, over 1.6 million digital color impressions, and over 5,000 billable hours in offset printing. The Print Shop fully recovered over 100 percent of expenses while maintaining a 100 percent customer satisfaction rating for all printing jobs. The Print Shop also conducts printing consultations to advise County and Fairfax County Public Schools staff regarding printing requirements and provides recommendations on available printing methods, document layout, and bindery options. All direct labor and material costs associated with these services, as well as an equipment replacement reserve fee, are recovered from customer agencies.

The Department of Information Technology is also responsible for the authorized fleet of large and mid-size Multi-Functional Digital Devices (MFDDs) that are used throughout County government for copying, printing, faxing, and scanning. MFDDs are installed in buildings across the County and are linked to individual workstations via the County's enterprise network. Program activities include administration of the County's MFDD fleet contract; day-to-day management of the service delivery; and integration with the County's technology infrastructure, including network and Microsoft applications. DIT also uses job-based accounting and tracking software to help identify program costs that can be recovered from non-General Fund sources. In late FY 2010, the County signed a contract with Meridian Imaging Solutions to provide these services.

Currently, DIT is exploring opportunities to maximize the digital capabilities and overall efficiency of the Print Shop and MFDD fleet within Document Services.

Fund 504 Document Services

Budget and Staff Resources

Agency Summary					
Category	FY 2010 Actual	FY 2011 Adopted Budget Plan	FY 2011 Revised Budget Plan	FY 2012 Advertised Budget Plan	FY 2012 Adopted Budget Plan
Authorized Positions/Staff Years					
Regular	13 / 13	10 / 10	10 / 10	10 / 10	10 / 10
Expenditures:					
Personnel Services	\$883,347	\$816,364	\$816,364	\$816,364	\$816,364
Operating Expenses	4,248,637	3,292,607	4,694,923	3,734,423	3,734,423
Capital Equipment	902,184	1,941,816	2,129,222	1,500,000	1,500,000
Total Expenditures	\$6,034,168	\$6,050,787	\$7,640,509	\$6,050,787	\$6,050,787

Position Summary					
1	Printing Services Manager	1	Printing Shift Supervisor	3	Print Shop Operators II
2	Customer Services Specialists	1	Digital Printing Analyst	2	Print Shop Operators I
TOTAL POSITIONS					
10 Positions / 10.0 Staff Years					

FY 2012 Funding Adjustments

The following funding adjustments from the FY 2011 Adopted Budget Plan are necessary to support the FY 2012 program. Included are all adjustments recommended by the County Executive that were approved by the Board of Supervisors, as well as any additional Board of Supervisors' actions, as approved in the adoption of the budget on April 26, 2011.

- ◆ FY 2012 funding remains at the same level as the FY 2011 Adopted Budget Plan; however, it should be noted that an amount of \$441,816 is being reallocated from Capital Equipment to Operating Expenses in order to properly align the budget with how MFDD capital lease expenditures are recorded.
- ◆ **Employee Compensation** \$0
It should be noted that no funding is included for pay for performance or market rate adjustments in FY 2012.

Changes to FY 2011 Adopted Budget Plan

The following funding adjustments reflect all approved changes in the FY 2011 Revised Budget Plan since passage of the FY 2011 Adopted Budget Plan. Included are all adjustments made as part of the FY 2010 Carryover Review, FY 2011 Third Quarter Review, and all other approved changes through April 12, 2011.

- ◆ **Carryover Adjustments** \$1,589,722
As part of the FY 2010 Carryover Review, the Board of Supervisors approved encumbered funding of \$251,615. The remaining increase of \$1,338,107 is required for the County's Multi-Functional Digital Device (MFDD) program to continue to support County agencies by allocating devices due to usage, program requirements and workload. These funds were unspent during FY 2010 due to the deployment schedule of new devices tied to a new MFDD contract.

Fund 504

Document Services

Key Performance Measures

Goal

To provide high-speed production printing services to all County agencies and the Fairfax County Public Schools in order to fulfill their informational and educational objectives with printed material.

Objectives

- ◆ To provide quality printing and duplicating services in a cost-effective and timely manner by recovering 100 percent of offset and digital expenses.
- ◆ To provide an efficient cost per copy charge by managing the Multi-Functional Digital Devices program, while limiting increases in cost per copy and achieving a customer satisfaction rate of 87 percent.

Indicator	Prior Year Actuals			Current Estimate	Future Estimate
	FY 2008 Actual	FY 2009 Actual	FY 2010 Estimate/Actual	FY 2011	FY 2012
Output:					
Offset printing hours billed	4,982	6,086	5,000 / 5,009	4,750	4,750
Digital black and white impressions produced (in millions)	22.3	21.2	19.1 / 16.7	16.7	16.7
Digital color impressions produced (in millions)	2.4	2.3	2.0 / 1.6	1.6	1.6
Office copies made (in millions)	55.8	53.8	54.0 / 57.5	54.0	54.0
Efficiency:					
Cost per offset printing hour billed	\$179.57	\$122.31	\$115.51 / \$73.78	\$73.78	\$73.78
Cost per digital black and white impression produced	\$0.057	\$0.054	\$0.057 / \$0.059	\$0.057	\$0.057
Cost per digital color impression produced	\$0.148	\$0.143	\$0.191 / \$0.185	\$0.200	\$0.200
Cost per office copy	\$0.045	\$0.045	\$0.048 / \$0.045	\$0.045	\$0.045
Client charge per office copy	\$0.045	\$0.045	\$0.048 / \$0.045	\$0.045	\$0.045
Service Quality:					
Percent of clients satisfied with offset printing services	97%	100%	95% / 100%	95%	95%
Percent of clients satisfied with digital black and white jobs	97%	100%	95% / 100%	95%	95%
Percent of clients satisfied with digital color jobs	97%	100%	95% / 100%	95%	95%
Percent of office copier clients satisfied with services	85%	85%	85% / 80%	82%	87%
Outcome:					
Percent of offset expenses recovered	92%	89%	100% / 99%	100%	100%
Percent of digital black and white expenses recovered	101%	107%	100% / 116%	100%	100%
Percent of digital color expenses recovered	151%	137%	100% / 114%	100%	100%
Percent change in cost per copy	0.00%	0.00%	6.67% / 0.00%	0.00%	0.00%

Fund 504

Document Services

Performance Measurement Results

In FY 2010, the Print Shop recovered 99 percent of offset expenses and well over 100 percent of digital black and white and digital color expenses. The Print Shop also conducted a customer satisfaction survey in FY 2010 and found the current satisfaction rate to be 100 percent.

In FY 2010, the County entered into a 4-year contract with Meridian Imaging Solutions introducing new Ricoh hardware, software and increased functionality. Cost per copy and client charge per copy in FY 2011 and FY 2012 are anticipated to remain flat at \$0.045. Upon the phase out of the 10 year relationship with the previous vendor, "cost per copy" and "client charge per cost" remained consistent \$0.045. Office copies produced using printers in the MFDD program increased 3.5 million over the estimated 54 million in FY 2010 as agencies began transitioning document output from more expensive less efficient desktop printers to the less expensive more feature rich new copiers. FY 2011 and FY 2012 estimates remain flat from FY 2010 as added paper reduction measures are in place helping to offset the increased usage. The transition from the previous vendor and paper reductions contributed to a decrease in satisfied MFD clients in FY 2010 but remained within the objective rate of 80 percent as the aging fleet had exceeded its useful life and required more maintenance. Resistance to change is expected during FY 2011 but a slight increase in satisfied MFD clients to 82 percent is estimated for FY 2011. Through additional training and adoption, custom workflow rollout and system stabilization, customer satisfaction is estimated to increase to 87 percent in FY 2012. The MFD Program has not seen an increase in cost per copy over the last three fiscal years nor is it estimated to increase through FY 2012. This estimate is based on the cost of paper remaining relatively stable during this same timeframe. If paper costs increase, then there will likely be a corresponding increase in the cost per copy.

Fund 504 Document Services

FUND STATEMENT

Fund Type G50, Internal Service Funds

Fund 504, Document Services

	FY 2010 Actual	FY 2011 Adopted Budget Plan	FY 2011 Revised Budget Plan	FY 2012 Advertised Budget Plan	FY 2012 Adopted Budget Plan
Beginning Balance	\$2,459,629	\$464,436	\$2,298,809	\$646,001	\$646,001
Revenue:					
County Receipts	\$2,384,786	\$2,491,743	\$2,491,743	\$2,384,771	\$2,384,771
School Receipts	977,767	995,492	995,492	977,767	977,767
Equipment Replacement Reserve	112,562	102,233	102,233	112,577	112,577
Total Revenue	\$3,475,115	\$3,589,468	\$3,589,468	\$3,475,115	\$3,475,115
Transfer In:					
General Fund (001) ¹	\$2,398,233	\$2,398,233	\$2,398,233	\$2,398,233	\$2,398,233
Total Transfer In	\$2,398,233	\$2,398,233	\$2,398,233	\$2,398,233	\$2,398,233
Total Available	\$8,332,977	\$6,452,137	\$8,286,510	\$6,519,349	\$6,519,349
Expenditures:					
Personnel Services	\$883,347	\$816,364	\$816,364	\$816,364	\$816,364
Operating Expenses	4,248,637	3,292,607	4,694,923	3,734,423	3,734,423
Capital Equipment	902,184	1,941,816	2,129,222	1,500,000	1,500,000
Total Expenditures	\$6,034,168	\$6,050,787	\$7,640,509	\$6,050,787	\$6,050,787
Total Disbursements	\$6,034,168	\$6,050,787	\$7,640,509	\$6,050,787	\$6,050,787
Ending Balance²	\$2,298,809	\$401,350	\$646,001	\$468,562	\$468,562
Print Shop Replacement Equipment Reserve					
Reserve	\$685,053	\$109,436	\$109,436	\$158,562	\$158,562
PC Replacement Reserve ³	5,000	5,000	5,000	10,000	10,000
Print Shop Operating Reserve ⁴	350,000	286,914	286,914	300,000	300,000
Unreserved Ending Balance	\$1,258,756	\$0	\$244,651	\$0	\$0

¹ The General Fund transfer supports the equipment lease for the County's Multi-Functional Digital Device (MFDD) program.

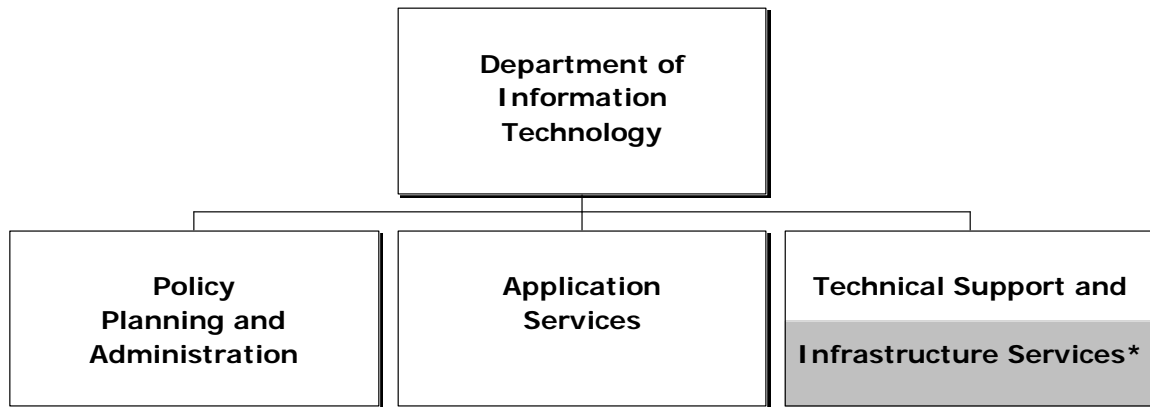
² The ending balance supports the three reserves for the agency and fluctuates depending upon the needs of the fund in a given year.

³ The PC Replacement Reserve provides for the timely replacement of computer equipment for the activities in this fund.

⁴ The Print Shop Operating Reserve is used to provide financial support to the Print Shop program as the technical and business practices in the industry evolve.

Fund 505

Technology Infrastructure Services



- Fund 505, Technology Infrastructure Services

* All staffing and operating support for Infrastructure Services is found in Volume 2, Fund 505.

Mission

To provide a reliable and secure technology infrastructure foundation required to support County business processes and systems that strengthen the public service commitment of Fairfax County.

Focus

Fund 505, Technology Infrastructure Services, provides the underlying technology foundation supporting information systems and communications for Fairfax County Government. This consists of the enterprise portfolio of computers, telecommunications equipment, and infrastructure providing the essential foundational technology that supports Fairfax County government. The Department of Information Technology (DIT) coordinates all aspects of information technology for the County and plays an enabling role in advancing the strategic value of technology to transform work processes and provide quality services to customers. DIT manages technology as an enterprise asset and is responsible for direction and execution of information technology and communications systems by supporting and managing services and Fund 505.

The County's centralized approach to common infrastructure systems and operations provides economies and efficiencies through consolidation and leveraging of resources. Optimum performance is achieved by automated information technology (IT) support processes and enterprise-wide security tools, ensuring data integrity and system-use accountability. County IT architecture employs industry-standard products and best practices for efficient solution delivery and support. Fund 505, Technology Infrastructure Services, includes technology activities and communication services that support systems and operations for County agencies, including the management of County desktop computers (PCs and mobiles), servers, enterprise office-productivity software, e-mail and messaging system (Microsoft suite) and databases (1,000). Fund 505 also supports the operations of the County's primary data center (the Enterprise Technology Operation Center, or ETOC), monitoring and maintenance of enterprise data communications networks, and the Public Service Radio System and Radio Center services. One of the County's major assets is the fiber Institutional Network (I-Net) which provides a private secure network infrastructure connecting 400 County and Fairfax County Public Schools buildings serving data, voice

Fund 505

Technology Infrastructure Services

and video transport. DIT manages the I-Net infrastructure and services. The equipment associated with this initiative is directly supported from the I-Net program funds within Fund 105, Cable Communications. New IT projects are implemented through Fund 104, IT Projects, while other IT systems are installed by agencies. However, all new IT systems require infrastructure services, thus increasing service obligations and putting upward pressure on costs.

Fund 505 is an internal service fund supported by revenues from County agencies and other entities such as the Fairfax County Public Schools. Expenditures are primarily driven by the customer agencies' use of the IT utility, software licenses, data center operations, computer equipment refresh, PC replacement program, network carrier services, and support staff.

DIT also provides intra-governmental services including the operation and maintenance of the County data center, mainframe, servers and data storage and back-up 24 hours a day, seven days per week; the safeguarding of County software license obligations, data repositories and information assets; and the on-going maintenance of County data and radio communication networks. The County's enterprise network provides bandwidth securely connecting county agencies to the vast array of business applications available on the County mainframe or server platforms (over 11,000 desktops, over 1,000 servers and 400 production databases). Data center charge-back also supports the legacy corporate systems on the current mainframe used by county and schools. A transfer from Fund 105, Cable Communications, is provided to offset I-Net costs for General Fund and General Fund-supported agencies.

Another activity within Fund 505 is the management of the PC Replacement Reserve, which ensures that funding is available for scheduled desktop device technology refreshes. The regularly scheduled replacement of this equipment helps County agencies remain current with advancements in technology that could affect their services. The PC Replacement schedule was deferred for one year in FY 2010 to allow for necessary budget reductions. Beginning in FY 2011, the program moved from a four-year to a five-year replacement cycle in order to achieve additional annual savings, and DIT continually reviews various service options to try to identify efficiencies in the acquisition and deployment of equipment. The cost per PC in the program includes PC hardware, required software licenses, IT security agent, protected disposal, and desk-side staff support of County PCs. The County's program has been recognized as a cost-effective value, best-practice model in both the governmental and commercial sectors, fully optimizing the allocation of IT assets and providing efficient and predictable desktop maintenance and support. DIT staff is studying several options for future restructuring of the PC Replacement Program, such as separate replacement charges and schedules to accommodate desktop and laptop PCs, with possible implementation as early as the FY 2013 budget process.

In addition to the areas mentioned above, Fund 505 also supports the staff positions dedicated to coordinating radio repair and providing radio engineering services to County agencies and the Fairfax County Public School (FCPS) system. Operational maintenance of the radio network is of primary importance to the County public safety agencies, public works agencies, FCPS, and other County agencies. With the deployment of both the new public safety and public service radio systems, the operations of the Radio Center now include interoperability management to ensure 24/7 communication with other jurisdictions. To support the operational and maintenance requirements of the systems, costs are recovered from the County user agencies, FCPS and Fairfax Water.

Fund 505

Technology Infrastructure Services

Budget and Staff Resources



Agency Summary					
Category	FY 2010 Actual	FY 2011 Adopted Budget Plan	FY 2011 Revised Budget Plan	FY 2012 Advertised Budget Plan	FY 2012 Adopted Budget Plan
Authorized Positions/Staff Years					
Regular	64 / 64	63 / 63	73 / 73	73 / 73	73 / 73
Expenditures:					
Personnel Services	\$6,279,630	\$6,224,403	\$6,607,403	\$6,524,403	\$6,524,403
Operating Expenses	16,097,825	20,735,745	22,115,256	21,509,761	21,509,761
Capital Equipment	1,317,299	1,200,000	1,932,754	1,449,400	1,449,400
Total Expenditures	\$23,694,754	\$28,160,148	\$30,655,413	\$29,483,564	\$29,483,564

Position Summary		
<p><u>Communication/Infrastructure</u> <u>Program Management</u> 1 Info. Tech. Program Director III 2 Network/Telecom Analysts IV 1 Management Analyst I</p> <p><u>Server/SAN Infrastructure</u> 2 Network/Telecom Analysts IV 2 Network/Telecom Analyst I</p> <p><u>Desktop Support/PC Replacement</u> 1 Network/Telecom Analyst III 23 Enterprise IT Technicians</p>	<p><u>Data Center Services</u> 1 Info. Tech. Program Manager II 1 IT Systems Architect 1 Systems Programmer III 5 Systems Programmers II 2 Systems Programmers I 1 Programmer Analyst III 1 Programmer Analyst II 1 Database Administrator II 1 Business Analyst I 1 IT Technician II</p>	<p><u>Radio Center Services</u> 1 Info. Tech. Program Manager II 1 Network/Telecom Analyst IV 3 Network/Telecom Analysts III 2 Network/Telecom Analysts II 1 Communications Engineer 2 Communications Technicians 1 IT Technician II</p> <p><u>Network/I-Net</u> 1 Info. Tech. Program Director 1 Info. Tech. Program Manager 1 Network/Telecom Analyst IV 7 Network/Telecom Analyst III 4 Network/Telecom Analysts II 1 Info. Security Analyst IV</p>
<p>TOTAL POSITIONS 73 Positions / 73.0 Staff Years</p>		

FY 2012 Funding Adjustments

The following funding adjustments from the FY 2011 Adopted Budget Plan are necessary to support the FY 2012 program. Included are all adjustments recommended by the County Executive that were approved by the Board of Supervisors, as well as any additional Board of Supervisors' actions, as approved in the adoption of the budget on April 26, 2011.

- ◆ **Employee Compensation** \$0
 It should be noted that no funding is included for pay for performance or market rate adjustments in FY 2012.

- ◆ **Chargeback Adjustments** \$1,323,416
 An increase of \$1,023,416 is included to support annual SAP software license and Oracle database license maintenance starting in FY 2012. The SAP software, which resides on an Oracle database, is the backbone of the Fairfax County Unified System (FOCUS) which will be replacing the existing legacy County and School financial, procurement and human resources applications. Now that initial licenses have been purchased, it is standard in the technology industry for the customer to pay an annual amount to support basic operational maintenance such as normal product fixes and corrections, product updates, and access to the manufacturer support center. An additional \$300,000

Fund 505

Technology Infrastructure Services

is included to properly align personnel costs of infrastructure technology staff. This total amount will be billed to Agency 70, Department of Information Technology.

- ◆ **Capital Equipment** **\$1,449,400**
Funding of \$1,200,000 is included for capitalized lease payments primarily associated with network equipment, server replacement, and Storage Area Network capacity. In addition, \$249,400 has been reallocated from Operating Expenses to Capital Equipment to properly reflect software license expenditures in the category in which they will be expended.

Changes to FY 2011 Adopted Budget Plan

The following funding adjustments reflect all approved changes in the FY 2011 Revised Budget Plan since passage of the FY 2011 Adopted Budget Plan. Included are all adjustments made as part of the FY 2010 Carryover Review, FY 2011 Third Quarter Review, and all other approved changes through April 12, 2011.

- ◆ **Carryover Adjustments** **\$2,495,265**
As part of the FY 2010 Carryover Review, the Board of Supervisors approved encumbered funding of \$2,119,159. The remaining increase of \$376,106 will support the purchase of enterprise platform and server management and network access control tools necessary to support County initiatives in the areas of network security, teleworking, and Continuity of Operations (COOP).
- ◆ **Position Changes** **\$0**
As part of the FY 2011 review of County position categories, a conversion of 10/10.0 SYE positions has been made. The status of limited term positions was reviewed in light of recent changes to federal regulations related to health care and other federal tax requirements. As a result of this review a number of existing limited term positions have been converted to Merit Regular status.

Key Performance Measures

Objectives

- ◆ To maintain the number of business days to fulfill Telecommunications service requests for a) non-critical requests at a standard of 4 days; b) critical requests at a standard of next business day; and c) emergency requests at a standard of the same day.
- ◆ To maintain the percentage of LAN/PC workstation calls to Technical Support Services closed within 72 hours at 87 percent.
- ◆ To achieve an 87 percent resolution rate for the average first-call problem for the Technical Support Center (TSC), DIT Help Desk.

Fund 505 Technology Infrastructure Services

Indicator	Prior Year Actuals			Current Estimate	Future Estimate
	FY 2008 Actual	FY 2009 Actual	FY 2010 Estimate/Actual	FY 2011	FY 2012
Output:					
Responses to calls for repairs on voice devices	2,359	1,691	1,700 / 1,778	1,700	1,700
Moves, adds or changes (voice and data)	5,114	8,711	6,200 / 2,575	3,000	3,000
Calls resolved	16,152	15,184	17,200 / 14,892	15,400	16,100
Customer requests for service fulfilled by Technical Support Center (TSC)	72,002	77,186	80,000 / 86,402	87,500	88,500
Efficiency:					
Cost per call	\$110	\$110	\$110 / \$110	\$110	\$110
Average number of hours annually spent per staff member to resolve calls	1,230	1,240	1,230 / 1,280	1,280	1,280
Customer requests for service per TSC staff member	5,538	6,223	5,761 / 7,200	7,200	7,200
Service Quality:					
Customer satisfaction with telecommunication services	95.0%	95.0%	95.0% / 95.0%	95.0%	95.0%
Percent of customers reporting satisfaction with resolution of LAN/PC workstation calls	80%	91%	91% / 91%	92%	92%
Percent satisfaction of County employees with support from Technical Support Center	85%	92%	92% / 97%	97%	97%
Outcome:					
Business days to fulfill service requests from initial call to completion of request for non-critical requests	4	4	4 / 4	4	4
Business days to fulfill service requests from initial call to completion of request for critical calls	2	1	2 / 2	2	2
Business days to fulfill Telecommunications service requests for emergencies	1	1	1 / 1	1	1
Percent of calls closed within 72 hours	85%	83%	92% / 86%	87%	87%
Percent of first-contact problem resolution	71%	70%	72% / 85%	86%	87%

Fund 505

Technology Infrastructure Services

Performance Measurement Results

This cost center provides infrastructure services, communication service to all county agencies and other government customers, response to help desk service requests and maintenance of the county data communication networks. In FY 2010 Voice Communication Services continued the installation and the transition of several sites to the new Avaya networked enterprise-wide platform, resulting in a decrease to the repair calls handled by Voice Communication Services. This on-going project incorporating new equipment and the I-Net backbone are stable systems with redundancy built-in to allow for greater efficiencies in site functionality. The Avaya voice platform is being completed without any additional staff. In FY 2010 MACDs (Moves, Adds, Changes and Deletions) continued to decrease due to budget constraints which slowed down the number of MACDs within the County. The FY 2011 and FY 2012 forecasts project a slight increase in MACDs due to agency relocation and realignments and agencies operational efficiencies. Customer satisfaction levels remained steady.

The Technical Support Center Help Desk requests for service have increased; however, remote resolution of service problems had a direct correlation to the increase in first contact resolution as well as to the calls resolved in less than 72 hours. Workstation lockdowns and image control have favorably impacted the time required to resolve workstation issues. FY 2010 customer satisfaction increased due to internal quality control measures and remote resolution capabilities. The FY 2011 and FY 2012 focus will emphasize remote resolution and Infra-workflow services to streamline routine processes. With the County moving towards Windows 7 and Office 2010, DIT anticipates increases in call volume as users adjust to the new operating system and application. In FY 2012, the implementation of the FOCUS project is anticipated to increase support calls to the Service Desk upon implementation.

Fund 505

Technology Infrastructure Services

FUND STATEMENT

Fund Type G50, Internal Service Funds

Fund 505, Technology Infrastructure Services

	FY 2010 Actual	FY 2011 Adopted Budget Plan	FY 2011 Revised Budget Plan	FY 2012 Advertised Budget Plan	FY 2012 Adopted Budget Plan
Beginning Balance	\$5,735,303	\$2,890,816	\$5,641,038	\$3,051,065	\$3,051,065
Revenue:					
Radio Services Charges	\$576,047	\$639,630	\$639,630	\$576,350	\$576,350
PC Replacement Charges	5,881,114	5,884,782	5,884,782	5,884,782	5,884,782
DIT Infrastructure Charges					
County Agencies and Funds	18,323,918	18,046,545	18,046,545	19,369,961	19,369,961
Fairfax County Public Schools	1,526,933	1,588,010	1,588,010	1,651,530	1,651,530
Outside Customers	88,817	92,370	92,370	96,065	96,065
Subtotal DIT Infrastructure Charges	<u>\$19,939,668</u>	<u>\$19,726,925</u>	<u>\$19,726,925</u>	<u>\$21,117,556</u>	<u>\$21,117,556</u>
Total Revenue	\$26,396,829	\$26,251,337	\$26,251,337	\$27,578,688	\$27,578,688
Transfers In:					
Cable Communications (105) ¹	\$1,814,103	\$1,814,103	\$1,814,103	\$1,814,103	\$1,814,103
Total Transfers In	<u>\$1,814,103</u>	<u>\$1,814,103</u>	<u>\$1,814,103</u>	<u>\$1,814,103</u>	<u>\$1,814,103</u>
Total Available	\$33,946,235	\$30,956,256	\$33,706,478	\$32,443,856	\$32,443,856
Expenditures:					
Infrastructure Services	\$19,184,349	\$20,676,177	\$22,531,171	\$21,999,593	\$21,999,593
Radio Center Services	1,044,616	1,004,020	1,009,217	1,004,020	1,004,020
Computer Equipment Replacement Program	2,605,013	5,779,951	5,950,211	5,779,951	5,779,951
Upgrade/Replacement of Technology Infrastructure Equipment	860,776	700,000	1,164,814	700,000	700,000
Total Expenditures	<u>\$23,694,754</u>	<u>\$28,160,148</u>	<u>\$30,655,413</u>	<u>\$29,483,564</u>	<u>\$29,483,564</u>
Transfer Out:					
General Fund (001) ²	\$4,610,443	\$0	\$0	\$0	\$0
Total Transfers Out	<u>\$4,610,443</u>	<u>\$0</u>	<u>\$0</u>	<u>\$0</u>	<u>\$0</u>
Total Disbursements	\$28,305,197	\$28,160,148	\$30,655,413	\$29,483,564	\$29,483,564
Ending Balance³	\$5,641,038	\$2,796,108	\$3,051,065	\$2,960,292	\$2,960,292
Infrastructure Replacement Reserve (CERF) ⁴					
PC Replacement Reserve ⁵	\$3,193,283	\$440,965	\$839,922	\$500,621	\$500,621
Unreserved Balance	\$254,957	\$0	\$0	\$254,957	\$254,957

Fund 505

Technology Infrastructure Services

¹ A Transfer In from Fund 105, Cable Communications, is used to offset costs related to the I-Net for General Fund and General Fund supported agencies. This funding supports the system wide charges of the new Public Safety and Public Service radio program, as well as maintains funding for the replacement and upgrade of enterprise computer equipment.

² In FY 2010, a Transfer Out from the PC Replacement Reserve was utilized to offset General Fund requirements.

³ The fluctuation in ending balance is primarily due to the operation of the PC Replacement and Computer Equipment Reserve Programs. The programs collect funding each year, hold it in reserve until needed, and then expend the funds for replacement equipment. The time period for this action varies based on the needs of the programs.

⁴ This reserve is designed to assist in the scheduled replacement of enterprise computer and network assets. The funds are held in this Computer Equipment Replacement Fund (CERF).

⁵ The balance in the PC Replacement Reserve fluctuates annually based on scheduled PC replacements which were previously on a four-year replacement cycle. PC Replacement was deferred in FY 2010, effectively extending the program to a five year cycle. This action resulted in a Transfer Out to the General Fund of \$3,048,543. This amount was combined with other expenditure reductions within Fund 505, resulting in a total Transfer Out of \$4,610,443 to the General Fund.

Fund 506 Health Benefits Fund

Focus

Fund 506, Health Benefits Fund, is the administrative unit for the County's self-insured health plans. For the self-insured plans, the County pays only for claims and third party administrative fees. The cost to fund claims expenses is covered by premiums from active employees, the employer, retirees, and retention of interest earnings.

Fairfax County Government offers its employees and retirees four health insurance choices providing various coverage options and competitive premium rates:

- Self-Insured point-of-service (POS) plan – Features a local network of providers with a co-pay structure for office visits and other services.
- Self-Insured open access plan (OAP) with two levels of coverage – Features a national network of providers. High option coverage features a co-pay structure for office visits and other services, while the Low option coverage features co-insurance and modest deductibles.
- Fully-insured health maintenance organization (HMO) – Features care centers located in communities throughout the area with a co-pay structure for office visits and other services.

In calendar year 2007, self-insured vision benefits were added to all health insurance plans with no impact to premium rates for the self-insured plans. A disease management program was implemented in CY 2009 as part of the County's wellness initiative. This program is used to detect chronic conditions early and provide assistance to those affected to help manage their disease, resulting in healthier outcomes. In CY 2011, the County's health insurance program was revised to consolidate plans similar in design and implement a new lower cost option. In addition, all plans were changed to offer eligible preventive care services on a zero-cost basis. This change is expected to help stem the cost of coverage for participants while also providing early intervention for chronic conditions or illness. All of the County's health insurance plans are self-insured, with the exception of the HMO plan. Self-insurance allows the County to more fully control all aspects of the plans, including setting premiums to smooth out the impact of increases on employees while maintaining adequate funding to cover claims expenses and reserves. It should be noted that, in FY 2012, the County will begin the process of selecting new vendors for all health insurance products and will be reviewing options for partnering with Fairfax County Public Schools to leverage the County's position in the marketplace.

As part of the March 2010 passage of comprehensive health care reform legislation, the Early Retiree Reinsurance Program (ERRP) was established to provide reimbursements to participating employers for a portion of the costs of health benefits for early retirees. The County applied and was approved for participation in the ERRP, and the first reimbursement of \$1.2 million was received in January 2011. As part of the *FY 2011 Third Quarter Review*, this revenue was reflected in the Health Benefits Fund and was set aside in reserve. As there is uncertainty surrounding how long the \$5 billion allocated to the ERRP will last, it is difficult to project how much total revenue the County may receive under this program. As a result, no anticipated revenues from the ERRP have been included in the FY 2012 Adopted Budget Plan; any additional revenues received will be reflected at future quarterly reviews. All revenues received under the ERRP will be used to offset increases in health insurance costs for all participants in the County's self-insured plans.

Fund 506 Health Benefits Fund

As the health care environment is in the midst of significant reform, staff is monitoring changes in the health plan market, incorporating required changes in the County's plans and processes, and examining the overall impact of reform on the County's benefits package. Upon a thorough examination, staff will be developing a long-term strategy to continue to provide cost-effective and comprehensive health care coverage to employees and retirees within the parameters of the new health care laws.

The County continues to contribute 85 percent of the total premium for employees enrolled as an individual and 75 percent of the total premium for employees enrolled under either the two-party or family plan. Retirees over the age of 55 currently receive a subsidy from the County toward the cost of health insurance. The current monthly subsidy, approved in FY 2006, commences at age 55 and varies by length of service. Details on the retiree health subsidy can be found in the narrative for Fund 603, OPEB (Other Post-Employment Benefits) Trust Fund, in Volume 2 of the [FY 2012 Adopted Budget Plan](#).

As with many employers nationwide, the County has experienced considerable fluctuations in medical costs. Prescription drugs, new medical technologies and increased utilization, as well as the cost of medical malpractice and liability insurance, continue to drive increases in medical costs. After significant increases in claims expenses at the beginning of the decade, cost growth was moderate (at or below 5 percent) in FY 2005 and FY 2006, but has fluctuated within a range of 10-12 percent since FY 2007. Based on estimated FY 2011 average cost growth of over 14 percent, premium increases for January 2011 were set at 10.1 percent for the POS plan and 21.2 percent for the OAP (High Option) plan. These rates were set in accordance with the Board's FY 2011 budget guidance, with consideration of balancing the impact to employees with ensuring that the premiums for each plan would cover the associated expenses, as each plan has experienced different participation trends and claims experience. Additionally, premiums were set taking into consideration the potential impacts on the County's GASB 45 liability. If premiums are not set appropriately, and increases in retiree claims outpace the growth in premiums, the County's liability under GASB 45 and, consequently, the annual required contribution for OPEB, may increase. It is the County's policy to maintain a net OPEB asset, which demonstrates that the County has met its obligations to adequately fund the annual required contribution each year. For more information on GASB 45 and other post-employment benefits (OPEB), please refer to Fund 603, OPEB Trust Fund, in Volume 2 of the [FY 2012 Adopted Budget Plan](#).

The January 2011 premium increases were also implemented in conjunction with the changes in the County's health insurance program described above, which provided lower-cost options to employees and retirees. Furthermore, recognizing the impact of health insurance costs on employees, especially in light of the suspension of compensation increases in FY 2010 and FY 2011, the Board of Supervisors approved two premium "holidays" as part of the *FY 2010 Carryover Review*. For two pay periods in December 2010, the County paid the employee share of health insurance premiums for active County employees who were eligible for and enrolled in County health insurance plans. Funding for the premium holidays was appropriated in Agency 89, Employee Benefits, in the General Fund, with no impact on the revenue or maintenance of reserves in the Health Benefits Fund.

As a result of continuing increases in cost growth, it is projected that the County will raise premiums by 10 percent for all plans, effective January 1, 2012 for the final six months of FY 2012. It should be noted that these premium increases are budgetary projections only; final premium decisions will be made in the fall of 2011 based on updated experience. Premium decisions will be based on the impact to employees and retirees, the actual claims experience of each plan, the maintenance of adequate reserves, and the impact on the County's GASB 45 liability.

Fund 506 Health Benefits Fund

Premium Stabilization Reserve

To help mitigate the impact of unanticipated cost increases in future years, the County created a premium stabilization reserve in FY 2005. This reserve allows the County to maintain premium increases at manageable levels and smooth out the employer and employee impact of dramatic cost growth swings.

During the years of moderate cost growth, the County was able to accumulate funds within the Premium Stabilization Reserve and these funds were utilized to mitigate premium increases, especially during calendar years 2007 and 2009 when premiums were held flat for the self-insured plans. In order to maintain an appropriate funding level within the reserve, guidelines were developed in FY 2010 for the future use of and target funding for the reserve:

- The Premium Stabilization Reserve should remain at 10-15 percent of claims expenses in order to maintain appropriate funding to offset unanticipated increases in cost growth or individual high-cost claims. If the reserve balance is within the target corridor, these balances may be used to mitigate premium increases. At no point should reserve balances be utilized which would bring the balance to less than 5 percent of claims.
- If the reserve falls below 10 percent of claims, the County will take actions to increase the reserve amount in order to reach the 10-15 percent target corridor within 3 years. These actions may include making additional General Fund contributions to the fund or increasing premiums.
- Any amount above 15 percent of claims may also be used for contributions towards the County's OPEB requirements under GASB 45 or to offset other County benefit expenses.

By following these parameters, an appropriate amount should be held within the reserve to ensure that funds are available to mitigate premium increases when necessary, although increases may still be significant based on claims trends within each plan. It should be noted that the premium increases projected for January 2012 were developed under these guidelines.

In addition to the Premium Stabilization Reserve, the fund maintains an unreserved ending balance based on a percent of claims paid of at least 10 percent. An ending balance of 10 to 15 percent of claims paid is the targeted industry standard based on potential requirements in the event of a plan termination.

LiveWell Workforce Wellness Program

In FY 2009, the LiveWell Workforce Wellness Program began as an effort to significantly improve employees' overall health and well-being, while also serving to curb rising health care costs. The program currently includes reduced membership fees at County RECenters, influenza vaccinations, and other wellness programming. In FY 2011, the Employee Fitness and Wellness Center (EFWC) was integrated into the LiveWell Program. The EFWC, located at the Government Center, provides convenient access for employees and retirees to cardiovascular and strength training equipment, as well as a variety of fitness classes at a reasonable monthly rate. The center is operated by the Park Authority, but all associated personnel and operating costs are charged to Fund 506.

Fund 506 Health Benefits Fund

Other components of the LiveWell program include:

- *Reduced membership fees at County RECenters.* In response to employee demand and to promote the importance of overall physical health, a 50 percent subsidy for annual memberships at County RECenters is included in the program. As workplace sites for employees are spread throughout the County and, thus, all employees are not located near the EFWC, this benefit allows merit employees and retirees to use all nine County RECenters at a reduced rate.
- *Influenza vaccinations for employees and retirees.* Providing flu shots to employees is a simple mechanism to reduce absenteeism due to flu outbreaks and protect the overall health of employees and retirees.
- *Health & Wellness Programming.* LiveWell sponsors workshops throughout the year, at various employee worksites, on a variety of health and wellness topics, including nutrition, stress, and exercise. In March 2010, weight management and smoking cessation support will be added to the programming offered to employees through LiveWell.
- *Health Risk Assessments (HRAs).* The County is still in the process of integrating Health Risk Assessments with the current benefit plans. Health Risk Assessments gather information on participants' personal medical history, preventative services, and emotional health and lifestyle choices. Health plan participants can use the HRA to help determine their personal health risks and take preventative measures, while allowing the County to use aggregate data to create targeted programming towards health conditions that most affect County employees.

In June 2010, LiveWell was awarded with a 2010 Achievement Award from the National Association of Counties (NACo) for a summer, team-based challenge which encouraged employees to walk more, exercise, and lose weight. In recognition of the budgetary constraints facing the County, this program was primarily funded through employee participation fees.

A comprehensive wellness program has the potential to reduce the rate of escalation of health care costs, resulting in savings for self-insured plans through cost avoidance. As such, expenses related to the LiveWell initiative are included in Fund 506, Health Benefits Fund, as it is anticipated that increases in self-insured claims expenses will be mitigated as benefits of the program begin to materialize.

Fund 506

Health Benefits Fund

FY 2012 Funding Adjustments

The following funding adjustments from the FY 2011 Adopted Budget Plan are necessary to support the FY 2012 program. Included are all adjustments recommended by the County Executive that were approved by the Board of Supervisors, as well as any additional Board of Supervisors' actions, as approved in the adoption of the budget on April 26, 2011.

- ◆ **Health Insurance Requirements** **\$746,652**
A net increase of \$746,652 is attributable to an increase of \$1,102,243 in administrative expenses and an increase of \$1,109,737 for Incurred But Not Reported (IBNR) claims, partially offset by a decrease of \$1,465,328 in benefits paid. These adjustments are based on prior year experience and projected claims as a result of health insurance plan changes implemented in January 2011.

- ◆ **Premium Stabilization Reserve** **\$2,359,782**
An increase of \$2,359,782 is attributable to the appropriation of fund balance to the Premium Stabilization Reserve. Any balances above the funding equivalent to two months of claims set aside in the Unreserved Ending Balance are appropriated to the Premium Stabilization Reserve to provide the fund flexibility in managing unanticipated increases in claims.

Changes to FY 2011 Adopted Budget Plan

The following funding adjustments reflect all approved changes in the FY 2011 Revised Budget Plan since passage of the FY 2011 Adopted Budget Plan. Included are all adjustments made as part of the FY 2010 Carryover Review, FY 2011 Third Quarter Review, and all other approved changes through April 12, 2011.

- ◆ **Carryover Adjustments** **\$6,966,065**
As part of the *FY 2010 Carryover Review*, the Board of Supervisors approved an increase of \$6,966,065 to reflect an appropriation from fund balance to increase the Premium Stabilization Reserve, which allows the fund flexibility in maintaining premium increases at manageable levels.

Fund 506 Health Benefits Fund

FUND STATEMENT

Fund Type G50, Internal Service Funds

Fund 506, Health Benefits Fund

	FY 2010 Actual	FY 2011 Adopted Budget Plan	FY 2011 Revised Budget Plan	FY 2012 Advertised Budget Plan	FY 2012 Adopted Budget Plan
Beginning Balance	\$28,275,238	\$17,412,681	\$27,473,477	\$20,103,230	\$21,303,230
Revenue:					
Employer Share of Premiums	\$69,106,154	\$82,095,319	\$82,095,319	\$82,831,438	\$82,831,438
Employee Share of Premiums	20,364,042	22,363,286	22,363,286	24,479,380	24,479,380
Retiree Premiums	20,298,207	21,064,332	21,064,332	21,547,889	21,547,889
Early Retiree Reinsurance Program (ERRP)	0	0	1,200,000	0	0
Interest Income	233,010	268,827	268,827	211,188	211,188
Administrative Service Charge/COBRA Premiums	575,548	489,926	489,926	477,701	477,701
Employee Fitness Center Revenue	0	61,000	61,000	61,000	61,000
Total Revenue	\$110,576,961	\$126,342,690	\$127,542,690	\$129,608,596	\$129,608,596
Total Available	\$138,852,199	\$143,755,371	\$155,016,167	\$149,711,826	\$150,911,826
Expenditures:					
Benefits Paid	\$105,022,478	\$120,378,621	\$120,378,621	\$118,913,293	\$118,913,293
Administrative Expenses	4,767,266	4,243,949	4,243,949	5,346,192	5,346,192
Premium Stabilization Reserve ¹ Incurred but not Reported Claims (IBNR)	0	0	6,966,065	2,359,782	2,359,782
LiveWell Program	183,163	742,000	742,000	742,000	742,000
Total Expenditures	\$111,378,722	\$126,746,872	\$133,712,937	\$129,853,306	\$129,853,306
Total Disbursements	\$111,378,722	\$126,746,872	\$133,712,937	\$129,853,306	\$129,853,306
Ending Balance:					
Fund Equity	\$40,299,483	\$31,453,933	\$35,748,664	\$35,317,249	\$36,517,249
IBNR	12,826,006	14,445,434	14,445,434	15,458,729	15,458,729
Ending Balance	\$27,473,477	\$17,008,499	\$21,303,230	\$19,858,520	\$21,058,520
Premium Stabilization Reserve	\$9,934,724	\$0	\$0	\$0	\$0
ERRP Reserve	0	0	1,200,000	0	1,200,000
Unreserved Ending Balance	\$17,538,753	\$17,008,499	\$20,103,230	\$19,858,520	\$19,858,520
Percent of Claims	16.7%	14.1%	16.7%	16.7%	16.7%

¹ Fluctuations in the Premium Stabilization Reserve are the result of reconciliations of budget to actual experience. For example it is anticipated that a significant portion of the Revised Budget Plan Premium Stabilization Reserve will be carried forward from one year to the next with adjustments as a result of final year-end experience.

Fund 590

Public School Insurance Fund

Focus

Fund 590, Public School Insurance Fund, provides administration for workers' compensation insurance, self-insurance funds for automobile and general liability, and the purchase of commercial insurance for other liabilities. FY 2012 expenditures are estimated at \$18.9 million.

Fund 590

Public School Insurance Fund

FUND STATEMENT

Fund Type G50, Internal Service Funds

Fund 590, Public School Insurance Fund

	FY 2010 Actual	FY 2011 Adopted Budget Plan	FY 2011 Revised Budget Plan ¹	FY 2012 Superintendent's Proposed	FY 2012 Adopted Budget Plan ²
Beginning Balance³	\$27,605,581	\$27,218,288	\$27,909,626	\$27,600,355	\$27,600,355
Revenue:					
Workers' Compensation:					
School Operating Fund (090)	\$7,275,947	\$7,926,080	\$7,926,080	\$9,238,928	\$9,238,928
School Food & Nutrition Serv. Fund (191)	277,166	277,166	277,166	277,166	277,166
Other Insurance					
School Operating Fund (090)	4,463,682	4,468,127	4,468,127	4,468,127	4,468,127
Insurance Proceeds	141,973	50,000	50,000	50,000	50,000
Total Revenue	\$12,158,768	\$12,721,373	\$12,721,373	\$14,034,221	\$14,034,221
Total Available	\$39,764,349	\$39,939,661	\$40,630,999	\$41,634,576	\$41,634,576
Expenditures:					
Administration	\$561,160	\$875,246	\$607,500	\$875,246	\$875,246
Workers' Compensation	5,886,722	6,648,961	5,806,450	6,883,339	6,883,339
Other Insurance	4,671,417	5,877,473	5,855,444	5,921,615	5,921,615
Claims Management	735,424	650,000	761,250	686,132	686,132
Allocated Reserves ³	0	5,060,810	4,842,320	4,518,395	4,518,395
Subtotal Expenditures	\$11,854,723	\$19,112,490	\$17,872,964	\$18,884,727	\$18,884,727
Net Change in Accrued Liabilities					
Workers' Compensation	\$1,338,073	\$0	\$0	\$0	\$0
Other Insurance	584,605	0	0	0	0
Net Change in Accrued Liabilities	\$1,922,678	\$0	\$0	\$0	\$0
Total Expenditures	\$13,777,401	\$19,112,490	\$17,872,964	\$18,884,727	\$18,884,727
Total Disbursements	\$13,777,401	\$19,112,490	\$17,872,964	\$18,884,727	\$18,884,727
Ending Balance	\$27,909,626	\$20,827,171	\$22,758,035	\$22,749,849	\$22,749,849
Restricted Reserves:					
Workers' Comp Accrued Liability	(\$19,426,073)	(\$18,088,000)	(\$19,426,073)	(\$19,426,073)	(\$19,426,073)
Other Insurance Accrued Liability	(3,323,776)	(2,739,171)	(3,323,776)	(3,323,776)	(3,323,776)
Reserve for Catastrophic Occurrences	(5,159,777)	0	0	0	0
Unreserved Balance	\$0	\$0	\$8,186	\$0	\$0

¹ The FY 2011 Revised Budget Plan reflects adjustments adopted by the Fairfax County School Board on March 24, 2011 during their FY 2011 Third Quarter Review.

² Fairfax County School Board action on the FY 2012 budget was taken on May 26, 2011 and will be included for approval by the Board of Supervisors as part of the FY 2011 Carryover Review.

³ Any unused portion of the allocated reserves is carried forward into the subsequent budget year. Accordingly, the FY 2012 beginning balance is the projected ending balance for FY 2011 plus the estimated ending balance for the allocated reserves, for a total of \$27,600,355.

Fund 591 Public School Health and Flexible Benefits

Focus

Fund 591, Health and Flexible Benefits, provides for the administration of health and dental care benefit plans for employees and retirees. In addition, the Health and Flexible Benefits Fund administers two Flexible Spending Accounts, which enable employees to realize savings by setting aside pre-tax dollars, through Fairfax County Public Schools (FCPS) payroll deductions, for eligible health care and dependent care costs. FY 2012 expenditures are estimated at \$336.3 million.



Fund 591

Public School Health and Flexible Benefits

FUND STATEMENT

Fund Type G50, Internal Service Funds

Fund 591, Public School Health
and Flexible Benefits

	FY 2010 Actual	FY 2011 Adopted Budget Plan	FY 2011 Revised Budget Plan ¹	FY 2012 Superintendent's Proposed	FY 2012 Adopted Budget Plan ²
Beginning Balance	\$51,971,268	\$52,446,696	\$49,660,180	\$46,713,537	\$46,713,537
Revenue:					
Employer/Employee Premiums	\$210,487,988	\$225,276,283	\$225,276,284	\$237,074,379	\$237,074,379
Retiree/Other Health Premiums	35,710,746	35,566,516	35,566,516	39,339,515	39,339,515
Interest Income	2,795,962	4,260,479	4,260,479	3,571,200	3,571,200
Medicare Part D	3,225,379	2,400,000	2,400,000	2,773,827	2,773,827
Flexible Account Withholdings	6,658,193	6,449,893	6,449,893	6,814,957	6,814,957
Total Revenue	\$258,878,268	\$273,953,171	\$273,953,172	\$289,573,878	\$289,573,878
Total Available	\$310,849,536	\$326,399,867	\$323,613,352	\$336,287,415	\$336,287,415
Expenditures:					
Health Benefits Paid	\$193,367,825	\$205,900,000	\$205,900,000	\$217,553,940	\$217,553,940
Premiums Paid	49,961,706	52,800,000	52,800,000	55,788,480	55,788,480
Health Administration Expenses	9,881,312	10,892,896	10,817,541	11,512,874	11,512,874
Flexible Accounts Reimbursements	6,560,029	6,404,575	6,404,577	6,689,875	6,689,875
FSA Administrative Expenses	123,484	121,000	121,000	125,082	125,082
Claims Incurred but not Reported (IBNR)	17,325,000	16,886,697	16,886,697	19,550,000	19,550,000
IBNR Prior Year Credit	(16,030,000)	(16,030,000)	(16,030,000)	(18,446,000)	(18,446,000)
Claims Stabilization Reserve ³	0	49,424,699	46,713,537	43,513,164	43,513,164
Total Expenditures	\$261,189,356	\$326,399,867	\$323,613,352	\$336,287,415	\$336,287,415
Total Disbursements	\$261,189,356	\$326,399,867	\$323,613,352	\$336,287,415	\$336,287,415
Ending Balance	\$49,660,180	\$0	\$0	\$0	\$0

¹ The FY 2011 Revised Budget Plan reflects adjustments adopted by the Fairfax County School Board on March 24, 2011 during their FY 2011 Third Quarter Review.

² Fairfax County School Board action on the FY 2012 budget was taken on May 26, 2011 and will be included for approval by the Board of Supervisors as part of the FY 2011 Carryover Review.

³ The Claims Stabilization Reserve is appropriated for budgeting purposes to offset fluctuations in health insurance costs during the fiscal year. This reserve is to be carried forward as beginning balance for FY 2012.

Fund 592

Public School Central Procurement

Focus

Fund 592, Public School Central Procurement, facilitates accounting of orders for textbooks, supplies, library materials, printing and equipment for the Fairfax County Public Schools (FCPS). Central purchases processed through this fund will be charged to individual school accounts; therefore, this Internal Service clearing account does not increase the total FCPS budget. FY 2012 expenditures are estimated at \$14.0 million.



Fund 592

Public School Central Procurement

FUND STATEMENT

Fund Type G50, Internal Service Funds

Fund 592, Public School Central Procurement

	FY 2010 Actual	FY 2011 Adopted Budget Plan	FY 2011 Revised Budget Plan ¹	FY 2012 Superintendent's Proposed	FY 2012 Adopted Budget Plan ²
Beginning Balance	\$718,373	\$718,372	\$457,516	\$457,516	\$457,516
Revenue:					
Sales to Schools/Departments	\$11,023,393	\$14,000,000	\$14,000,000	\$14,000,000	\$14,000,000
Total Revenue	\$11,023,393	\$14,000,000	\$14,000,000	\$14,000,000	\$14,000,000
Total Available	\$11,741,766	\$14,718,372	\$14,457,516	\$14,457,516	\$14,457,516
Expenditures:					
Purchase for Resale	\$11,284,250	\$14,000,000	\$14,000,000	\$14,000,000	\$14,000,000
Total Expenditures	\$11,284,250	\$14,000,000	\$14,000,000	\$14,000,000	\$14,000,000
Total Disbursements	\$11,284,250	\$14,000,000	\$14,000,000	\$14,000,000	\$14,000,000
Inventory Change	\$0	\$0	\$0	\$0	\$0
Ending Balance	\$457,516	\$718,372	\$457,516	\$457,516	\$457,516

¹ The FY 2011 Revised Budget Plan reflects adjustments adopted by the Fairfax County School Board on March 24, 2011 during their FY 2011 Third Quarter Review.

² Fairfax County School Board action on the FY 2012 budget was taken on May 26, 2011 and will be included for approval by the Board of Supervisors as part of the FY 2011 Carryover Review.

Trust and Agency Funds

Overview

Trust Funds account for assets held by the County in a trustee capacity and include four retirement trust funds and two trust funds to pre-fund other post-employment benefits. Agency Funds are custodial in nature and are maintained to account for funds received and disbursed by the County for various governmental agencies and other organizations. Agency Funds include two holding funds for revenue collected for the Route 28 Tax District and the Mosaic District Community Development Authority.

Retirement Trust Funds

- ◆ Each of the four retirement funds derives income from employer contributions, employee contributions, and returns on investments. Payments are made from these funds to eligible retirees based on established benefit formulas. Three retirement trust funds comprise the Fairfax County Employee Retirement Systems and are administered by the Fairfax County Retirement Administration Agency. The fourth retirement fund is for educational employees and is administered by Fairfax County Public Schools.
 - **Fund 600 - Uniformed Retirement System**
 - **Fund 601 - Fairfax County Employees' Retirement System**
 - **Fund 602 - Police Officers Retirement System**
 - **Fund 691 - Educational Employees' Supplementary Retirement**

Other Post-Employment Benefits (OPEB) Trust Funds

- ◆ Beginning in FY 2008, Fairfax County and Fairfax County Public Schools were required to implement Governmental Accounting Standards Board (GASB) Statement No. 45 for other post-employment benefits (OPEBs). GASB 45 requires that the County and Schools accrue the cost of the retiree health subsidy and other post-employment benefits during the period of employees' active employment, while the benefits are being earned, and disclose the unfunded actuarial accrued liability in order to accurately account for the total future cost of post-employment benefits and the financial impact on the County. This funding methodology mirrors the funding approach used for pension benefits. Fund 603, OPEB Trust Fund, and Fund 692, Public School OPEB Trust Fund, allow the County and Schools to capture long-term investment returns, make progress towards reducing the unfunded liability, and pre-fund the cost of post-employment health care and other non-pension benefits.
 - **Fund 603 - OPEB Trust Fund**
 - **Fund 692 - Public School OPEB Trust Fund**

Route 28 Tax District

- ◆ Fairfax County, in partnership with Loudoun County, formed the Route 28 Highway Transportation Improvement District in 1987. The District was formed to accelerate planned highway improvements to State Route 28 that relied on slower pay-as-you-go financing. The owners of industrial and commercial property within the District are subject to an additional tax assessment of 18 cents per \$100 of assessed value.
 - **Fund 700 - Route 28 Tax District**

Trust and Agency Funds

Mosaic District Community Development Authority

- ◆ The Board of Supervisors approved the Mosaic District Community Development Authority (CDA) on April 27, 2010. The District consists of a land area of approximately 31 acres within Fairfax County on a site located in the southwest quadrant of the intersection of Lee Highway and Gallows Road in the Merrifield area, approximately 12 miles west of Washington D.C. The District is part of a mixed-use development that is expected to be developed by Eskridge (E&A), LLC, a South Carolina limited liability company to include residential, retail, hotel and office components. The CDA will fund a \$30.0 million dollar portion of the public facilities to be constructed on the site through a 30-year bond to be issued by the CDA whose debt service will be paid by a self-assessment. The CDA will also fund a \$42.0 million dollar portion of the public facilities to be constructed on the site (road improvements, parks, and a small portion of the parking garage) through a 22-year bond also issued through the CDA whose debt service will be paid through incremental real estate tax revenues. Liability for the debt service will be secured by the CDA, not the County.

- **Fund 716 - Mosaic District Community Development Authority**

Employee Retirement Systems Overview

Fairfax County employee retirement systems include the Uniformed Retirement System (Fund 600), the Fairfax County Employees' Retirement System (Fund 601), and the Police Officers Retirement System (Fund 602). Each of these systems is funded from employees' contributions based on a fixed percentage of pay, County contributions based on a variable percentage of employee pay as determined by actuarial analysis, and return on investments. In order to assure the continued soundness of each fund, an actuarial valuation is conducted annually and, if appropriate, an adjustment is made to the employer contribution rate.

For the Uniformed Retirement Trust Fund, the employer's contribution comes from two sources: Agency 89, Employee Benefits, in the County's General Fund, for uniformed public safety employees in General Fund agencies and Fund 120, E-911, for the non-administrative staff in the Department of Public Safety Communications. For the Fairfax County Employees' Retirement Trust Fund, the employer's contribution comes from two sources: Agency 89, Employee Benefits, for County employees and Fairfax County Public Schools (FCPS) for school employees. For the Police Officers Retirement Trust Fund, the full amount of the employer's contribution comes from Agency 89, Employee Benefits, in the County's General Fund.

On March 18, 2002 the Board of Supervisors adopted a corridor approach to employer contributions. The corridor approach adds further stability to the employer contribution rates and continues to adequately fund the retirement systems. In the corridor method of funding, a fixed contribution rate is assigned to each system and the County contributes at the fixed rate unless the system's funding ratio falls outside the pre-selected corridor of 90-120 percent or if benefit enhancements are approved. At the Board of Supervisors' direction, staff conducted a comprehensive examination of the corridor policy in FY 2010 and concluded that the corridor approach should be maintained, as it has cushioned the County from dramatic rate increases in the past and is currently providing insulation from the global financial crisis. However, recognizing the difficult economic environment and the impact on investment returns, it is unlikely that the funding ratios for the three systems will increase significantly over the next few years based on the current corridor parameters. Consequently, the corridor will remain at 90-120 percent, as codified in the Fairfax County Code, but every effort will be made to gradually move towards a narrower corridor of 95-105 percent. This solution will allow the County to maintain the flexibility afforded by the current policy with the understanding that increasing contributions to the retirement systems, when feasible from a budgetary perspective, will improve the systems' financial position. At a future date, when the funding ratios of the systems have risen above 95 percent, consideration will be given to formally revising the corridor to 95-105 percent.

Retirees are eligible to receive a Cost-of-Living Adjustment (COLA) composed of a base COLA which is the lesser of the Consumer Price Index (CPI) for the 12 months ending on the previous year's March 31, or 4.0 percent. If certain conditions are met, an additional 1.0 percent COLA can be awarded at the discretion of each retirement system's Board of Trustees. This additional ad-hoc COLA results in an increase in the employer contribution rate. Staff reviewed the ad-hoc COLA policy at the Board of Supervisors' direction in FY 2010 and concluded that it is important for an individual Board of Trustees to maintain the discretion to grant an ad-hoc COLA for its retirees and that the criteria used to grant a COLA among the three systems be consistent. However, it was determined that the financial conditions that must be met in order for a Board of Trustees to consider granting an ad-hoc COLA should be strengthened, especially since the granting of such a COLA impacts the employer contribution rates and, thus, requires County funding. As a result, the Fairfax County Code was changed to require that the retirement system must have an actuarial surplus - demonstrated by having a funding ratio exceeding 100 percent - before an ad-hoc COLA can be considered.

Employee Retirement Systems Overview

A Deferred Retirement Option Plan (DROP) was added as a benefit for members of the Uniformed and Police Officers Retirement Systems in FY 2004 and was added for members of the Employees' Retirement System in FY 2006. It should be noted that when the DROP program was initially implemented, a sunset provision was put into place in order to give the Board of Supervisors the opportunity to examine the impact of the program. This sunset provision was eliminated by the Board of Supervisors in September 2010.

As directed by the Board of Supervisors, and with funding designated at the *FY 2010 Carryover Review*, the Department of Human Resources is currently conducting a comprehensive retirement study with results to be presented to the Board of Supervisors when completed. This study will include a comprehensive review of the long-term liabilities of the retirement systems, as well as recommended options for system affordability and benefit levels.

It should be noted that, in their budget guidance approved with the adoption of the FY 2012 budget, the Board of Supervisors directed staff to, as part of the retirement study mentioned above, review concepts such as a health insurance opt-back-in for retirees, health savings accounts, i.e. Voluntary Employees Beneficiary Associations (VEBAs), and additional changes to the employee contribution to Police retirement. The Board also directed the County Executive to include funding at the FY 2011 Carryover Review for a reduction from 30 to 25 percent in the Social Security offset for service-connected disability retirees in the Uniformed and Employees' Retirement Systems.

The final FY 2012 employer contribution rates for each of the three retirement systems are as follows:

Fund	FY 2011 Rates (%)	FY 2012 Rates (%)	Percentage Point Change (%)	Net General Fund Impact
Uniformed	30.56	33.81	3.25	\$4,501,129
Employees'	14.70	17.20	2.50	\$7,797,254
Police Officers	28.31	31.30	2.99	<u>\$3,052,554</u>
Total				\$15,350,937

Following the actuarial funding policy currently in effect, contribution rates are adjusted only to fund approved benefits, to acknowledge changes in actuarial assumptions, both financial and demographic, and to recognize funding adjustments required when the funding ratio falls below 90 percent or rises above 120 percent. The global financial crisis during FY 2009 resulted in significant losses in the value of the invested assets of all three retirement systems. Capital markets rebounded significantly in FY 2010, and the retirement systems achieved strong positive results for the year. While the very high investment returns achieved in FY 2010 have strengthened the financial position of the systems, the impact of FY 2009 results will continue to affect actuarial funding ratios and contribution requirements. The funding ratio for the Employees' System dropped from 76.0 percent to 72.0 percent; the Police Officers system ratio dropped from 85.0 percent to 82.1 percent; and the Uniformed System ratio dropped from 85.7 percent to 82.1 percent. As the funding ratio of each system fell further outside of the corridor, following established funding policy, the employer contribution rates for each of the systems must be increased to amortize the unfunded liabilities.

Employee Retirement Systems Overview

In line with the recommendation to move gradually to a 95-105 percent corridor and in recognition of the need to increase the employer contribution rates in order to improve the systems' financial position, additional increases are included based on a change to the amortization schedule. Prior to FY 2011, if the funding ratio fell below 90 percent, the unfunded actuarial accrued liability (UAAL) below 90 percent was amortized over 15 years in order to get back to a 90 percent level. For FY 2011, the employer contribution rates were increased to allow for an amortization to a 91 percent level, in accordance with the phased approach to move towards the 95 percent target. For FY 2012, this change has been maintained to continue to allow for an amortization to a 91 percent level.

- ◆ The employer contribution rate for the Uniformed system is required to increase by 3.25 percentage points based on the funding ratio falling further below the 90 percent threshold and maintaining the change in the amortization schedule.
- ◆ The employer contribution rate for the Employees' system is required to increase by 2.50 percentage points based on the funding ratio falling further below the 90 percent threshold and maintaining the change in the amortization schedule.
- ◆ The employer contribution rate for the Police Officers system is required to increase by 2.99 percentage points based on the funding ratio falling further below the 90 percent threshold and maintaining the change in the amortization schedule.

For more information on the General Fund impact of these employer contribution rate changes, please refer to the Agency 89, Employee Benefits, narrative in the Nondepartmental program area section of Volume 1.

Employee Retirement Systems Overview

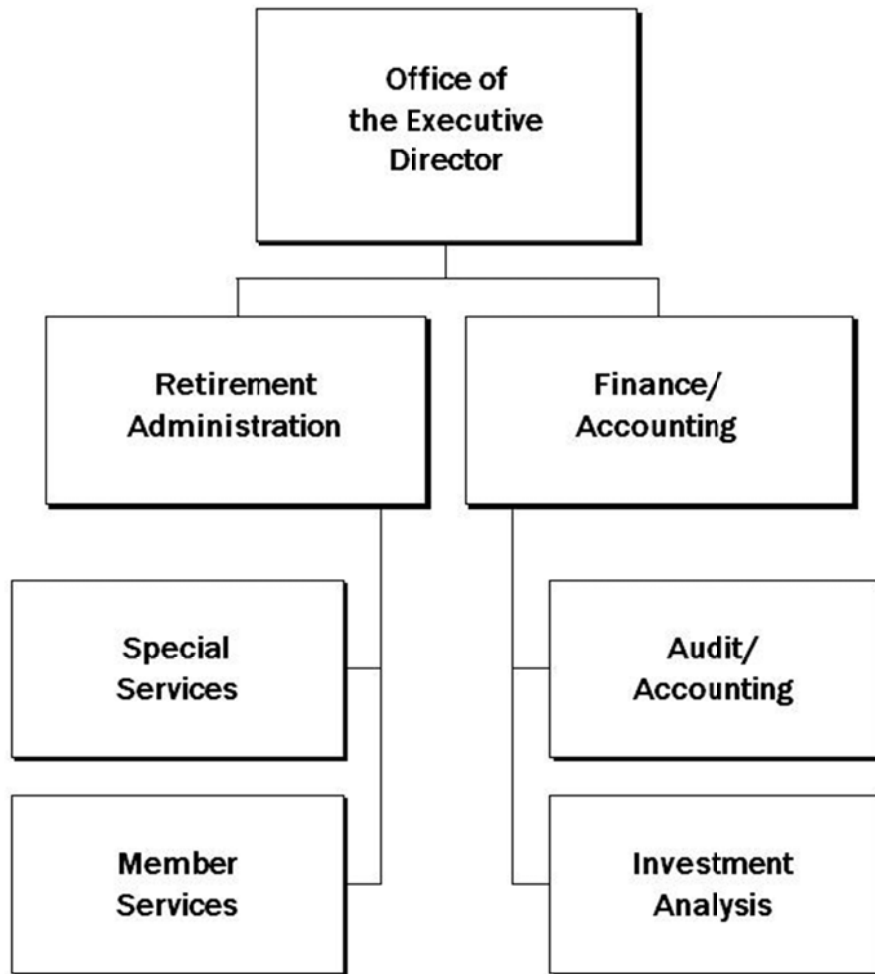
The following table displays relevant information about each retirement system:

EMPLOYEES COVERED					
Uniformed Retirement		Fairfax County Employees'		Police Officers Retirement	
Fire and Rescue Personnel; Uniformed Office of Sheriff employees; Animal Control Officers; Helicopter Pilots; Non-administrative staff in the Department of Public Safety Communications.		County employees not covered under Uniformed or Police Officers system; certain FCPS employees including food service, custodial, bus drivers, part-time and substitute teachers, maintenance staff.		Fairfax County Police Officers.	
CONDITIONS OF COVERAGE					
Uniformed Retirement		Fairfax County Employees'		Police Officers Retirement	
At age 55 with 6 years of service or after 25 years of service.		At age 65 with 5 years of service or earlier when age and years of service combined equal 80 or, for reduced "early retirement" benefits, 75. Not before age 50.		At age 55 or after 20 years of police service if hired before 7/1/81; or 25 years of service if hired on or after 7/1/81.	
EMPLOYEE CONTRIBUTION					
	Uniformed Retirement		Fairfax County Employees' Retirement		Police Officers Retirement
	Plan A	Plan B	Plan A	Plan B	
Up to Wage Base	4.00%	7.08%	4.00%	5.33%	10.00% of Pay
Above Wage Base	5.33%	8.83%	5.33%	5.33%	
Plan C	4.00%				
Plan D	7.08%				
EMPLOYER CONTRIBUTION					
Rate Structure / FY 2012					
Uniformed Retirement		Fairfax County Employees' Retirement		Police Officers Retirement	
33.81%		17.20%		31.30%	

Employee Retirement Systems Overview

INVESTMENT MANAGERS AS OF JUNE 30, 2010		
Uniformed Retirement	Fairfax County Employees' Retirement	Police Officers Retirement
<ul style="list-style-type: none"> ▪ Acadian Asset Management ▪ Advisory Research ▪ Artio Global Investors ▪ Ashmore Investment Management ▪ BlackRock, Inc. ▪ Brandywine Global Investment Management ▪ Bridgewater Associates ▪ Cohen & Steers Capital Management ▪ Harbourvest Partners ▪ JP Morgan Investment Management ▪ King Street Capital ▪ Marathon Asset Management ▪ Morgan Stanley ▪ NCM Capital Management ▪ Optima Management ▪ Orbimed Advisors ▪ Pacific Investment Management Co. ▪ Pantheon Ventures ▪ Ramius, LLC ▪ Standish Mellon Asset Management ▪ UBS Realty Advisors ▪ Victory Capital Management 	<ul style="list-style-type: none"> ▪ Artio Global Investors ▪ BlackRock, Inc. ▪ Brandywine Global Investment Management ▪ Bridgewater Associates ▪ The Clifton Group ▪ Cohen & Steers Capital Management ▪ Columbia Wanger Asset Management ▪ Deerfield Capital Management ▪ DePrince, Race & Zollo ▪ DoubleLine Capital ▪ Enhanced Investment Technologies ▪ First Quadrant ▪ Grammercy Advisors ▪ JP Morgan Investment Management ▪ LSV Asset Management ▪ MacKay Shields ▪ Marathon Asset Management ▪ Morgan Stanley ▪ Pacific Investment Management Co. ▪ Post Advisory Group ▪ Pzena Investment Management ▪ Sands Capital Management ▪ Shenkman Capital Management ▪ Standish Mellon Asset Management ▪ Stark Investments ▪ Trust Company of the West 	<ul style="list-style-type: none"> ▪ Acadian Asset Management ▪ AQR Capital Management ▪ Bridgewater Associates ▪ Clarivest Asset Management ▪ The Clifton Group ▪ Cohen & Steers Capital Management ▪ Dodge & Cox Investment Managers ▪ DoubleLine Capital ▪ Goldman Sachs ▪ Grantham, Mayo, Van Otterloo ▪ King Street Capital ▪ Loomis Sayles ▪ Mariner Investment Group ▪ McKinley Capital Management ▪ MetWest Asset Management ▪ Morgan Stanley ▪ Oaktree Capital Management ▪ Pacific Investment Management Co. ▪ Pzena Investment Management ▪ Ramius, LLC ▪ Standish Mellon Asset Management

Retirement Administration Agency



Mission

As an agent of the Boards of Trustees of the Employees', Police Officers, and Uniformed Retirement Systems, the mission of the Retirement Administration Agency is to administer the systems according to the terms established by the County of Fairfax and to do so in a manner that:

- ◆ Safeguards and invests the assets of the systems;
- ◆ Maximizes cost effectiveness of the retirement programs by optimizing long-term investment returns within an acceptable level of variation in required funding and by maintaining efficient administrative operations;
- ◆ Maximizes the value of retirement plans in retaining County personnel through communications, education, and counseling programs and by providing quality service;
- ◆ Fulfills the obligations of the systems to retirees by providing timely and accurate payments and by providing quality service; and
- ◆ Provides technical support and advice to County management and the Board of Supervisors regarding retirement benefits.

Retirement Administration Agency

Focus

The Retirement Administration Agency contributes to the County's corporate stewardship through sound management of County resources and assets. To accomplish its specific mission, the Retirement Administration Agency will focus on:

- ◆ Support for the Boards of Trustees;
- ◆ Services to active employees and retirees;
- ◆ Accurate accounting and control of plan assets;
- ◆ Accuracy of data;
- ◆ Cost efficiency of processes; and
- ◆ Investment return and risk control.

Under the direction of the Boards of Trustees for the Fairfax County Employees' Retirement, Police Officers Retirement, and Uniformed Retirement Systems, the Retirement Administration Agency processes benefit payments to eligible Fairfax County retirees and beneficiaries. The agency also processes payments for the retiree health benefit subsidy and provides counseling and comprehensive information pertaining to benefits to active and retired County employees.

The agency receives revenues from various sources, including employee and employer contributions to the various retirement systems, employee payback, and return on investments, to finance the three employee retirement systems. Employee contributions are based on a fixed percentage of pay. For the Uniformed Retirement System, employer contributions come from two sources: Agency 89, Employee Benefits, for uniformed public safety employees in General Fund agencies and Fund 120, E-911, for the non-administrative staff in the Department of Public Safety Communications. For the Employees' Retirement System, employer contributions come from Agency 89, Employee Benefits, for County employees and from Fairfax County Public Schools (FCPS) for school employees. Employer contributions for the Police Officers Retirement System come solely from Agency 89, Employee Benefits, in the County's General Fund. Adjustments are made to the employer's contribution rate only to fund approved benefits, to acknowledge changes in actuarial assumptions, both financial and demographic, and to recognize funding adjustments required when the funding ratio falls out of the 90 to 120 percent funding corridor. It should be noted that staff conducted a comprehensive examination of the corridor policy in FY 2010 and concluded that the corridor approach should be maintained. However, recognizing the difficult economic environment and the impact on investment returns, it is unlikely that the funding ratios for the three systems will increase significantly over the next few years based on the current corridor parameters. Consequently, the corridor will remain at 90-120 percent, as codified in the Fairfax County Code, but every effort will be made to gradually move towards a narrower corridor of 95-105 percent. At a future date, when the funding ratios of the systems have risen above 95 percent, consideration will be given to formally revising the corridor to 95-105 percent.

Some revenues are also generated through employee payback, a process by which employees who have left the County can make a "payback" contribution and return to their previous standing in the retirement system upon their return to County employment. Additionally, significant revenues are achieved through returns on fund investments. Revenue projections are based on an assumed actuarial rate of return of 7.5 percent. An actuarial valuation is conducted annually for each of the three funds to

Retirement Administration Agency

assure the continued soundness of the retirement systems. In addition, an experience study – which compares actual experience to actuarial assumptions, both economic and demographic – is conducted once every five years to ensure that the plan is being valued appropriately. A study is currently underway, and any changes made will be reflected in the required contribution rates for FY 2013.

Budget and Staff Resources

Agency Summary					
Category	FY 2010 Actual	FY 2011 Adopted Budget Plan	FY 2011 Revised Budget Plan	FY 2012 Advertised Budget Plan	FY 2012 Adopted Budget Plan
Authorized Positions/Staff Years					
Regular	24 / 24	24 / 24	25 / 25	25 / 25	25 / 25
Expenditures:					
Personnel Services	\$2,437,218	\$2,483,684	\$2,483,684	\$2,722,119	\$2,722,119
Operating Expenses	294,880,837	348,226,472	348,226,472	359,468,352	359,468,352
Capital Equipment	0	0	0	0	0
Total Expenditures	\$297,318,055	\$350,710,156	\$350,710,156	\$362,190,471	\$362,190,471

Position Summary		
<p>OFFICE OF THE DIRECTOR</p> <p>1 Executive Director</p> <p>1 Administrative Assistant IV</p> <p>RETIREMENT ADMINISTRATION</p> <p>1 Deputy Director</p> <p>2 Administrative Assistants II</p>	<p>Special Services</p> <p>1 Programmer Analyst III</p> <p>1 Programmer Analyst II</p> <p>1 Communications Specialist II</p> <p>Membership Services</p> <p>1 Management Analyst III</p> <p>1 Management Analyst II</p> <p>3 Retirement Counselors</p> <p>4 Administrative Assistants V</p> <p>1 Senior Payroll Specialist</p>	<p>FINANCE/ACCOUNTING</p> <p>1 Financial Specialist IV</p> <p>Audit/Accounting</p> <p>1 Accountant I</p> <p>Investment Analysis</p> <p>1 Chief Investment Officer</p> <p>1 Senior Investment Manager</p> <p>2 Investment Managers</p> <p>1 Investment Analyst</p>
<p>TOTAL POSITIONS¹ 25 Positions / 25.0 Staff Years</p>		

¹ It should be noted that 1/1.0 SYE Accountant III resides in the Retirement Administration Agency, but is accounted for and financed by Fund 603, OPEB Trust Fund. The 25/25.0 SYE positions shown above are financed jointly by the three retirement trust funds (Fund 600, Fund 601, and Fund 602).

FY 2012 Funding Adjustments

The following funding adjustments from the FY 2011 Adopted Budget Plan are necessary to support the FY 2012 program. Included are all adjustments recommended by the County Executive that were approved by the Board of Supervisors, as well as any additional Board of Supervisors' actions, as approved in the adoption of the budget on April 26, 2011.

- ◆ **Employee Compensation** \$0
 It should be noted that no funding is included for pay for performance or market rate adjustments in FY 2012.

- ◆ **Fringe Benefits** \$232,683
 A net increase of \$232,683 in Personnel Services is primarily attributable to health insurance expenses, based on actual enrollment and premium increases.

Retirement Administration Agency

- ◆ **Other Post-Employment Benefits** **\$5,752**
An increase of \$5,752 is required to reflect increased costs associated with providing Other Post-Employment Benefits (OPEBs) to retirees, including the Retiree Health Benefits Subsidy. Before FY 2011, costs related to these benefits were paid solely by the General Fund; however, these annual costs are now spread across funds in order to more appropriately reflect benefit-related expenses for the employees within each fund. For more information on Other Post-Employment Benefits, please refer to Fund 603, OPEB Trust Fund, in Volume 2 of the [FY 2012 Adopted Budget Plan](#).
- ◆ **Benefit Payments** **\$6,331,566**
An increase of \$6,331,566 in Operating Expenses reflects increased payments of \$6,077,781 to retirees due to a higher number of retirees and higher individual payment levels and an increase in payments to beneficiaries of \$843,785, offset by a decrease of \$590,000 in the allowance for refunds based on projected turnover of active members.
- ◆ **Investment Management Fees** **\$4,950,000**
An increase of \$4,950,000 in Operating Expenses reflects an increase in investment management fees due to anticipated gain in assets and the investment strategies adopted by the Boards of Trustees.
- ◆ **Computer Software** **\$19,704**
An increase of \$19,704 in Operating Expenses due to an increase in system software expenses and maintenance costs.
- ◆ **Actuarial Services** **(\$26,574)**
A decrease of \$26,574 in Operating Expenses reflects a decrease in the costs associated with conducting an actuarial experience study that is required every five years.
- ◆ **Investment Consulting Services** **(\$28,536)**
A decrease of \$28,536 in Operating Expenses reflects a decrease in investment consulting fees associated with contract renewals.
- ◆ **Other Operating Expenses** **(\$4,280)**
A net decrease of \$4,280 in all other Operating Expenses due to the net impact of several adjustments.

Changes to FY 2011 Adopted Budget Plan

The following funding adjustments reflect all approved changes in the FY 2011 Revised Budget Plan since passage of the [FY 2011 Adopted Budget Plan](#). Included are all adjustments made as part of the FY 2010 Carryover Review, FY 2011 Third Quarter Review, and all other approved changes through April 12, 2011.

- ◆ **Position Changes** **\$0**
As part of the FY 2011 review of County position categories, a conversion of 1/1.0 SYE position was made. The status of limited term positions was reviewed in light of recent changes to federal regulations related to health care and other federal tax requirements. As a result of this review a number of existing limited term positions were converted to Merit Regular status.

Retirement Administration Agency

Key Performance Measures

Objectives

- ◆ To maintain at 100 percent the number of retiree benefit payments processed on time.
- ◆ To achieve at least a 7.5 percent return on investment over rolling three year periods.
- ◆ To achieve realized return on investment commensurate with the S&P 500 Index and the Barclays Capital Aggregate Bond Index.

Indicator	Prior Year Actuals			Current Estimate	Future Estimate
	FY 2008 Actual	FY 2009 Actual	FY 2010 Estimate/Actual	FY 2011	FY 2012
Output:					
Members: Fairfax County Employees	20,279	20,685	21,297 / 20,696	21,327	21,313
Members: Uniformed	2,907	2,926	3,127 / 3,040	3,043	3,145
Members: Police Officers	2,153	2,140	2,239 / 2,182	2,199	2,229
Return on investment: Fairfax County Employees	\$31,057,752	(\$637,156,651)	\$213,953,906 / \$516,765,952	\$182,360,175	\$205,951,893
Return on investment: Uniformed	(\$22,896,664)	(\$206,666,367)	\$86,391,273 / \$135,620,783	\$78,443,625	\$83,795,904
Return on investment: Police Officers	(\$52,849,694)	(\$148,302,988)	\$68,774,822 / \$146,351,038	\$62,907,327	\$70,433,212
Efficiency:					
Cost per member: Fairfax County Employees	\$60	\$71	\$75 / \$74	\$80	\$85
Cost per member: Uniformed	\$101	\$107	\$118 / \$110	\$129	\$131
Cost per member: Police Officers	\$138	\$152	\$170 / \$155	\$183	\$186
Investment costs as a percent of assets: Fairfax County Employees	0.46%	0.47%	0.54% / 0.40%	0.54%	0.57%
Investment costs as a percent of assets: Uniformed	0.49%	0.50%	0.54% / 0.42%	0.56%	0.58%
Investment costs as a percent of assets: Police Officers	0.39%	0.42%	0.47% / 0.39%	0.50%	0.54%

Retirement Administration Agency

Indicator	Prior Year Actuals			Current Estimate	Future Estimate
	FY 2008 Actual	FY 2009 Actual	FY 2010 Estimate/Actual	FY 2011	FY 2012
Service Quality:					
Percent of retiree checks issued within schedule time frame: Fairfax County Employees	100%	100%	100% / 100%	100%	100%
Percent of retiree checks issued within schedule time frame: Uniformed	100%	100%	100% / 100%	100%	100%
Percent of retiree checks issued within schedule time frame: Police Officers	100%	100%	100% / 100%	100%	100%
Return compared to assumed actuarial rate (7.5%): Fairfax County Employees	1.20%	(23.65%)	7.50% / 25.21%	7.50%	7.50%
Return compared to assumed actuarial rate (7.5%): Uniformed	(2.10%)	(19.96%)	7.50% / 15.53%	7.50%	7.50%
Return compared to assumed actuarial rate (7.5%): Police Officers	(5.70%)	(17.41%)	7.50% / 20.78%	7.50%	7.50%
Large cap domestic equity return compared to S&P 500 Index: S&P 500 Index	(13.10%)	(26.21%)	NA / 14.43%	NA	NA
Large cap domestic equity return compared to S&P 500 Index: Fairfax County Employees	(14.80%)	(24.47%)	NA / 21.74%	NA	NA
Large cap domestic equity return compared to S&P 500 Index: Uniformed	(10.50%)	(30.41%)	NA / 15.74%	NA	NA
Large cap domestic equity return compared to S&P 500 Index: Police Officers	(11.70%)	(30.44%)	NA / 20.59%	NA	NA
Fixed income return compared to the Barclays Capital Aggregate Bond Index: Barclays Capital Aggregate Bond Index	7.10%	6.05%	NA / 9.50%	NA	NA
Fixed income return compared to the Barclays Capital Aggregate Bond Index: Fairfax County Employees	9.20%	8.96%	NA / 30.96%	NA	NA
Fixed income return compared to the Barclays Capital Aggregate Bond Index: Uniformed	10.40%	9.20%	NA / 20.83%	NA	NA
Fixed income return compared to the Barclays Capital Aggregate Bond Index: Police Officers	7.40%	11.91%	NA / 22.22%	NA	NA

Retirement Administration Agency

Indicator	Prior Year Actuals			Current Estimate	Future Estimate
	FY 2008 Actual	FY 2009 Actual	FY 2010 Estimate/Actual	FY 2011	FY 2012
Outcome:					
Percent of retiree payments processed on time: Fairfax County Employees	100%	100%	100% / 100%	100%	100%
Percent of retiree payments processed on time: Uniformed	100%	100%	100% / 100%	100%	100%
Percent of retiree payments processed on time: Police Officers	100%	100%	100% / 100%	100%	100%
Deviation from actuarial rate of return (total plan): Fairfax County Employees	(6.3%)	(31.2%)	0.0% / 17.7%	0.0%	0.0%
Deviation from actuarial rate of return (total plan): Uniformed	(9.6%)	(27.5%)	0.0% / 8.0%	0.0%	0.0%
Deviation from actuarial rate of return (total plan): Police Officers	(13.2%)	(24.9%)	0.0% / 13.3%	0.0%	0.0%
Deviation from S&P 500 (large cap equities): Fairfax County Employees	(1.7%)	1.7%	0.0% / 7.3%	0.0%	0.0%
Deviation from S&P 500 (large cap equities): Uniformed	2.6%	(4.2%)	0.0% / 1.3%	0.0%	0.0%
Deviation from S&P 500 (large cap equities): Police Officers	1.4%	(4.2%)	0.0% / 6.2%	0.0%	0.0%
Deviation from Barclays Capital Aggregate (fixed income): Fairfax County Employees	2.1%	2.9%	0.0% / 21.5%	0.0%	0.0%
Deviation from Barclays Capital Aggregate (fixed income): Uniformed	3.3%	3.2%	0.0% / 11.3%	0.0%	0.0%
Deviation from Barclays Capital Aggregate (fixed income): Police Officers	0.3%	5.9%	0.0% / 12.7%	0.0%	0.0%

Retirement Administration Agency

Performance Measurement Results

During FY 2010, the markets rebounded from the global financial and economic crisis that began during FY 2009, and while not offsetting all of the FY 2009 declines, the retirement systems were able to generate strong investment returns. The rates of return for all three systems for FY 2010 exceeded the long-term return target of 7.5 percent. The Employees' System returned 25.2 percent; the Police Officers System returned 20.8 percent; and the Uniformed System returned 15.5 percent. To provide a context for these returns, it is helpful to compare them to the returns in the major capital markets. For the year ending June 30, 2010, the S&P 500 Index returned 14.4 percent and U.S. small-cap stocks returned 21.5 percent. Among non-U.S. stocks, developed markets were up 6.4 percent and emerging markets rose 23.5 percent. Investments in real assets also had positive returns. The commodity index rose 2.8 percent and real estate investment trusts increased 53.9 percent. The fixed income markets also produced good results and the Barclays Capital Bond index rose by 9.5 percent.

In addition to comparing returns to general market results, they should also be considered relative to the returns achieved by other public pension plans. All three systems had very strong results relative to their peers across the country and were in the top quartile of the BNYMellon public plan universe. The Employees' System ranked first; the Police Officers System ranked second; and the Uniformed System ranked 13th. The dispersion of investment results among the three systems in FY 2010 was attributable to differences in the systems' asset allocation strategies and the varying degrees to which each system's investment management firms added value.

While the very high investment returns achieved in FY 2010 have strengthened the financial position of the systems, the impact of FY 2009 results will continue to affect actuarial funding ratios and contribution requirements. Employer contribution rates and funding ratios are calculated based on a number of actuarial assumptions, including an actuarially determined rate of return. The actuarial rate of return uses a smoothing methodology to phase in total recognition of a given year's returns above or below the long-term expected rate of 7.5 percent. This smoothing is done to mitigate volatility in funding requirements, recognizing the cyclical nature of capital market returns. However, this smoothing process does not include the impact of any liability gains or losses, which are determined by comparing actual experience, such as rates of retirement and death, against actuarial assumptions. Funding policy and calculations include an average compound return of 7.5 percent over the long-term. Including the results through FY 2010, the actual compound annual returns achieved since 1981, the earliest date for which data is available, have been 9.1 percent for the Uniformed System, 9.9 percent for the Police Officers System and 10.0 percent for the Employees' System.

Retirement Administration Agency

FUND STATEMENT

Fund Type G60, Pension Trust Funds

Fund 600, Uniformed Retirement

	FY 2010 Actual	FY 2011 Adopted Budget Plan	FY 2011 Revised Budget Plan	FY 2012 Advertised Budget Plan	FY 2012 Adopted Budget Plan
Beginning Balance	\$867,187,220	\$939,120,537	\$991,072,541	\$1,048,886,820	\$1,048,886,820
Revenue:					
Employer Contributions	\$40,771,184	\$45,455,503	\$45,455,503	\$50,121,640	\$50,121,640
Employee Contributions	10,936,435	11,579,026	11,579,026	10,521,857	10,521,857
Employee Payback	158,070	100,000	100,000	100,000	100,000
Return on Investments	47,555,954	78,443,265	78,443,265	83,795,904	83,795,904
Total Realized Revenue	\$99,421,643	\$135,577,794	\$135,577,794	\$144,539,401	\$144,539,401
Unrealized Gain/(Loss) ¹	\$88,064,829	\$0	\$0	\$0	\$0
Total Revenue	\$187,486,472	\$135,577,794	\$135,577,794	\$144,539,401	\$144,539,401
Total Available	\$1,054,673,692	\$1,074,698,331	\$1,126,650,335	\$1,193,426,221	\$1,193,426,221
Expenditures:					
Administrative Expenses	\$778,606	\$975,251	\$975,251	\$1,027,095	\$1,027,095
Investment Services	3,867,675	5,040,000	5,040,000	5,800,000	5,800,000
Payments to Retirees	57,716,291	70,345,439	70,345,439	71,368,000	71,368,000
Beneficiaries	640,624	712,825	712,825	755,000	755,000
Refunds	597,955	690,000	690,000	700,000	700,000
Total Expenditures	\$63,601,151	\$77,763,515	\$77,763,515	\$79,650,095	\$79,650,095
Total Disbursements	\$63,601,151	\$77,763,515	\$77,763,515	\$79,650,095	\$79,650,095
Ending Balance ²	\$991,072,541	\$996,934,816	\$1,048,886,820	\$1,113,776,126	\$1,113,776,126

¹ Unrealized gain/loss will be reflected as an actual revenue at the end of each fiscal year.

² The Uniformed Retirement Fund maintains fund balances at adequate levels relative to projected requirements. The fund balance fluctuates annually primarily due to interest on investments.

Retirement Administration Agency

FUND STATEMENT

Fund Type G60, Pension Trust Funds

Fund 601, Fairfax County Employees' Retirement

	FY 2010 Actual	FY 2011 Adopted Budget Plan	FY 2011 Revised Budget Plan	FY 2012 Advertised Budget Plan	FY 2012 Adopted Budget Plan
Beginning Balance	\$2,039,051,396	\$2,154,412,291	\$2,469,080,090	\$2,569,612,621	\$2,569,612,621
Revenue:					
County Employer Contributions	\$46,139,349	\$70,133,160	\$70,133,160	\$83,312,528	\$83,312,528
County Employee Contributions	22,648,489	24,263,211	24,263,211	23,087,072	23,087,072
School Employer Contributions	18,010,646	27,720,691	27,720,691	27,720,691	27,720,691
School Employee Contributions	8,789,230	9,638,152	9,638,152	9,638,152	9,638,152
Employee Payback	295,797	400,000	400,000	400,000	400,000
Return on Investments	163,087,846	182,360,175	182,360,175	205,951,893	205,951,893
Total Realized Revenue	\$258,971,357	\$314,515,389	\$314,515,389	\$350,110,336	\$350,110,336
Unrealized Gain/(Loss) ¹	\$353,678,106	\$0	\$0	\$0	\$0
Total Revenue	\$612,649,463	\$314,515,389	\$314,515,389	\$350,110,336	\$350,110,336
Total Available	\$2,651,700,859	\$2,468,927,680	\$2,783,595,479	\$2,919,722,957	\$2,919,722,957
Expenditures:					
Administrative Expenses	\$2,568,674	\$2,919,394	\$2,919,394	\$3,037,834	\$3,037,834
Investment Services	9,624,929	10,910,000	10,910,000	14,100,000	14,100,000
Payments to Retirees	162,766,575	190,785,036	190,785,036	194,504,000	194,504,000
Beneficiaries	3,585,429	4,058,428	4,058,428	4,392,000	4,392,000
Refunds	4,075,162	5,310,000	5,310,000	4,790,000	4,790,000
Total Expenditures	\$182,620,769	\$213,982,858	\$213,982,858	\$220,823,834	\$220,823,834
Total Disbursements	\$182,620,769	\$213,982,858	\$213,982,858	\$220,823,834	\$220,823,834
Ending Balance ²	\$2,469,080,090	\$2,254,944,822	\$2,569,612,621	\$2,698,899,123	\$2,698,899,123

¹ Unrealized gain/loss will be reflected as an actual revenue at the end of each fiscal year.

² The Employees' Retirement Fund maintains fund balances at adequate levels relative to projected requirements. The fund balance fluctuates annually primarily due to interest on investments.

Retirement Administration Agency

FUND STATEMENT

Fund Type G60, Pension Trust Funds

Fund 602, Police Retirement

	FY 2010 Actual	FY 2011 Adopted Budget Plan	FY 2011 Revised Budget Plan	FY 2012 Advertised Budget Plan	FY 2012 Adopted Budget Plan
Beginning Balance	\$706,622,286	\$756,995,967	\$836,033,056	\$879,532,107	\$879,532,107
Revenue:					
Employer Contributions	\$23,766,626	\$29,049,707	\$29,049,707	\$31,954,831	\$31,954,831
Employee Contributions	10,389,241	10,455,800	10,455,800	10,193,060	10,193,060
Employee Payback	0	50,000	50,000	0	0
Return on Investments	49,108,612	62,907,327	62,907,327	70,433,212	70,433,212
Total Realized Revenue	\$83,264,479	\$102,462,834	\$102,462,834	\$112,581,103	\$112,581,103
Unrealized Gain/(Loss) ¹	\$97,242,426	\$0	\$0	\$0	\$0
Total Revenue	\$180,506,905	\$102,462,834	\$102,462,834	\$112,581,103	\$112,581,103
Total Available	\$887,129,191	\$859,458,801	\$938,495,890	\$992,113,210	\$992,113,210
Expenditures:					
Administrative Expenses	\$746,773	\$912,077	\$912,077	\$940,542	\$940,542
Investment Services	2,845,676	3,500,000	3,500,000	4,500,000	4,500,000
Payments to Retirees	44,379,006	50,750,744	50,750,744	52,087,000	52,087,000
Beneficiaries	2,717,817	3,120,962	3,120,962	3,589,000	3,589,000
Refunds	406,863	680,000	680,000	600,000	600,000
Total Expenditures	\$51,096,135	\$58,963,783	\$58,963,783	\$61,716,542	\$61,716,542
Total Disbursements	\$51,096,135	\$58,963,783	\$58,963,783	\$61,716,542	\$61,716,542
Ending Balance ²	\$836,033,056	\$800,495,018	\$879,532,107	\$930,396,668	\$930,396,668

¹ Unrealized gain/loss will be reflected as an actual revenue at the end of each fiscal year.

² The Police Retirement Fund maintains fund balances at adequate levels relative to projected requirements. The fund balance fluctuates annually primarily due to interest on investments.

Fund 603

OPEB Trust Fund

Focus

Fund 603, OPEB Trust Fund, was created to capture long-term investment returns and make progress towards reducing the unfunded actuarial accrued liability under GASB 45 and funds the cost of other post-employment benefits (OPEBs) including health care, life insurance, and other non-pension benefits offered to retirees, such as the County's retiree health benefit subsidy.

GASB 45

Beginning in FY 2008, the County's financial statements were required to implement Governmental Accounting Standards Board (GASB) Statement No. 45 for other post-employment benefits. This standard addresses how local governments should account for and report their costs related to post-employment health care and other non-pension benefits. Historically, the County funded these benefits on a pay-as-you-go basis. GASB 45 requires that the County accrue the cost of other post-employment benefits during the period of employees' active employment, while the benefits are being earned, and disclose the unfunded actuarial accrued liability to accurately account for the total future cost of post-employment benefits and the financial impact on the County. This funding methodology mirrors the funding approach used for pension benefits. A valuation is performed to calculate the County's actuarial accrued liability and the associated annual required contribution (ARC). The liability and ARC are calculated annually, and adjustments are made due to benefit enhancements, medical trend experience, and normal growth assumptions. It is the County's policy to maintain a net OPEB asset, which demonstrates that the County has met its obligations to adequately fund the annual required contribution each year.

The liability includes the retiree health benefit subsidy, which is paid out to County retirees, as well as the liability associated with an "implicit" subsidy provided to retirees. As premiums for the County's self-insured health plans are set using the blended experience of active employees and retirees, retiree premiums are lower than if they were set solely using the experience of the retiree group. GASB 45 requires that the County calculate and include the liability for this implicit subsidy. The differential between actuarial assumptions related to retiree claims and premiums and actual claims experience and premiums is the primary driver behind the liability related to the implicit subsidy. When claims experience is favorable compared to premium increases and actuarial assumptions, the implicit subsidy liability is likely to decline. Conversely, if the County experiences an unanticipated spike in retiree claims expenses, the implicit subsidy liability could increase. The impact of the difference between actuarial assumptions and actual experience is magnified by the fact that, similar to pension benefits, the County must project the impact over a 30-year period. Thus, a small change in the implicit subsidy in a single year is compounded over time.

The actuarial valuation as of July 1, 2010 under GASB 45 calculated the County's actuarial accrued liability (AAL), excluding the Schools portion, at approximately \$489.2 million and the unfunded actuarial accrued liability as \$428.7 million, as shown below.

Valuation Results as of July 1, 2010	
(in thousands)	
Actuarial Accrued Liability (AAL)	\$489,203
Plan Assets	\$60,473
Unfunded Actuarial Accrued Liability	\$428,730
Annual Required Contribution (ARC)	\$35,373

Fund 603 OPEB Trust Fund

It should be noted that the July 1, 2010 AAL of \$489.2 million increased over the July 1, 2009 AAL of \$441.3 million primarily due to actual retiree claims experience.

To begin preparing for the implementation of GASB 45, a reserve was established in Fund 506, Health Benefits Fund, as part of the *FY 2005 Carryover Review* to begin to address the County's unfunded liability. Through excess revenues received from employer contributions and additional General Fund contributions, a reserve of \$48.2 million was accumulated and transferred to the newly created Fund 603, OPEB Trust Fund, at the *FY 2007 Carryover Review*. This \$48.2 million in initial funding helped reduce the unfunded liability and fully funded the FY 2008 annual required contribution. The *FY 2009 Revised Budget Plan* included an additional \$14.9 million transfer from the GASB 45 Liability Reserve in Fund 506 which counted towards the FY 2009 ARC. Contributions towards the ARC were made through a \$9.9 million General Fund transfer in FY 2010 and a \$13.9 million General Fund transfer in FY 2011. The initial funding in FY 2008 helped to establish a net OPEB asset which has carried forward each year and has helped to offset ARC requirements. The FY 2012 Adopted Budget Plan increases the General Fund transfer to \$20.0 million in recognition that the net OPEB asset has diminished and is no longer available to fully offset the annual required contribution. Additionally, in recognition of the fact that the OPEB liability is calculated based on all County positions and not only those funded by the General Fund, beginning in FY 2011, funds not supported by General Fund dollars began making contributions. It is anticipated that these contributions will total approximately \$4.0 million in FY 2012.

Primarily due to the carryover of the FY 2009 net OPEB asset of \$23.8 million and the \$9.9 million General Fund transfer in FY 2010, a net OPEB asset was shown on the County's FY 2010 financial statements of \$9.5 million. Based on preliminary estimates of the implicit subsidy contribution and current funding levels, a net OPEB asset is projected for FY 2011 as displayed in the chart below.

Net OPEB Asset		
(in thousands)		
	FY 2010	FY 2011
	Actual	Estimate
Annual Required Contribution (ARC)	\$32,553	\$35,373
<i>Adjustments to ARC</i>	(\$462)	(\$184)
Annual OPEB Cost (AOC)	\$32,091	\$35,189
Resources to Apply toward the ARC:		
<i>Transfer from the General Fund</i>	\$9,900	\$13,900
<i>Contributions from Other Funds</i>	\$0	\$3,100
<i>Implicit Subsidy Contribution</i>	\$7,871	\$8,800
Carryover of Prior Year Asset	\$23,826	\$9,506
Net OPEB Asset/(Obligation)	\$9,506	\$117

In order to avoid dependence on one-time funding, it is anticipated that the General Fund transfer to the OPEB Trust Fund will be increased in FY 2013 so that the ARC is fully covered through contributions from the General Fund and other funds, as well as the contribution credited for the implicit subsidy. Building adequate funding in the baseline budget is an important step in ensuring that the County can fully fund the ARC each year and meet its OPEB obligations.

Fund 603 OPEB Trust Fund

After exploring numerous alternatives as to how to prudently invest and accumulate resources for OPEB, County staff recommended, and the Board of Supervisors approved on February 25, 2008, County participation in the Virginia Pooled OPEB Trust Fund in cooperation with the Virginia Municipal League (VML)/Virginia Association of Counties (VACo) Finance Program and other jurisdictions in the Commonwealth of Virginia. The County is represented on the Board of Trustees for the pooled trust and actively participates in decision-making to prudently invest accumulated resources for OPEB. It should be noted that the Virginia Pooled OPEB Trust Fund is used for investment purposes only; funds accumulated for OPEB are still accounted for in Fund 603.

Retiree Health Benefit Subsidy

The County provides monthly subsidy payments to eligible retirees to help pay for health insurance. Prior to July 2003, the monthly subsidy was \$100 for all eligible retirees. The current monthly subsidy, approved in FY 2006, commences at age 55 and varies by length of service as detailed in the following table. It should be noted that for those retired prior to July 2003, the monthly subsidy is the greater of \$100 and the amounts below. There is not a reduction in subsidy payments for employees who retired prior to July 1, 2003 but do not meet the revised requirements for years of service. However, those employees who retired prior to July 1, 2003 with 15 or more years of service were eligible for the increased subsidy as of July 1, 2003. The retiree health benefit subsidy is provided to retirees on a discretionary basis, and the Board of Supervisors reserves the right to reduce or eliminate the benefit in the future if the cost of the subsidy becomes prohibitive or an alternative is chosen to aid retirees in meeting their health insurance needs.

Retiree Health Benefit Subsidy	
Years of Service at Retirement	Monthly Subsidy
5 to 9	\$30
10 to 14	\$65
15 to 19	\$155
20 to 24	\$190
25 or more	\$220

The current subsidy structure became effective January 1, 2006 and includes a 25 percent increase approved by the Board of Supervisors in response to the implementation of the Medicare Part D prescription drug benefit. This increase qualified the County's self-insured health insurance plan to be deemed as actuarially equivalent to the Medicare Part D program. Employers who offer an actuarially equivalent program are eligible to receive a subsidy from the Centers for Medicare and Medicaid Services (CMS) based on retiree enrollment in their plans. The County receives the CMS subsidy on retirees and spouses enrolled in the County's self-insured health plan who do not enroll in Medicare Part D. The federal funding from CMS completely offsets the cost of the 25 percent increase to the retiree subsidy. In addition to the increase, the subsidy structure was changed so that retirees no longer receive a reduced subsidy upon reaching the age of Medicare eligibility.

Primarily as a result of the March 2010 passage of comprehensive health care reform legislation, the health care environment is in the midst of significant changes. Staff is continuing to examine the impact of reform on the County's current benefit options and will be developing a long-term strategy to provide cost-effective and comprehensive health care coverage to retirees.

Fund 603 OPEB Trust Fund

During FY 2012, the average number of subsidy recipients, including new retirees who are eligible to receive the retiree health benefit subsidy, is expected to increase by 195, or 6.9 percent, from 2,838 in FY 2011 to 3,033 in FY 2012. Estimates of the average number of subsidy recipients are based on a review of the projected number of retirements and health subsidy eligibility for personnel already retired from the Fairfax County Employees', Uniformed, and Police Officers Retirement Systems. Retirees who become eligible to receive the subsidy are paid based on the period of eligibility within the fiscal year, which may or may not comprise a full year of payments. It should be noted that in FY 2006, the Board of Supervisors approved an additional benefit to Health Department employees who remained in the Virginia Retirement System (VRS) after their conversion from state to County employment in 1995. Current and future retirees who participate in a County health plan are eligible to receive the differential between the County retiree health benefit subsidy for which the employee is eligible based on years of service, which currently has a maximum of \$220 per month, and that provided by VRS, which has a maximum of \$120 per month. Furthermore, effective July 1, 2006, the County began providing the maximum retiree health benefit subsidy of \$220 per month to those Police officers who were hired before July 1, 1981 and retired or will retire with full retirement benefits with 20, but less than 25, years of service. These Police officers previously received a subsidy of \$190 per month.

Initiatives

- ◆ Provide an appropriate funding level to support the retiree health benefit subsidy and make progress towards reducing the County's unfunded OPEB liability.
- ◆ Continue to allow for the timely and accurate distribution of retiree health benefit subsidy payments.
- ◆ Estimate actuarial liabilities to comply with GASB's accounting requirements for post-employment benefits other than pensions.
- ◆ Invest fund assets appropriately in order to facilitate the capture of long-term investment returns.
- ◆ Continue to develop, considering the impacts of health care reform, a long-term County strategy to provide retiree medical benefits.

Budget and Staff Resources

Agency Summary¹					
Category	FY 2010 Actual	FY 2011 Adopted Budget Plan	FY 2011 Revised Budget Plan	FY 2012 Advertised Budget Plan	FY 2012 Adopted Budget Plan
Authorized Positions/Staff Years					
Regular	1 / 1	1 / 1	1 / 1	1 / 1	1 / 1
Total Expenditures	\$14,239,001	\$6,842,229	\$17,700,229	\$7,144,556	\$7,144,556

Position Summary¹	
1	Accountant III
TOTAL POSITIONS	
1 Positions / 1.0 Staff Year	

¹ It should be noted that the 1/1.0 SYE Accountant III position resides in the Retirement Administration Agency and is financed by Fund 603, OPEB Trust Fund.

Fund 603 OPEB Trust Fund

FY 2012 Funding Adjustments

The following funding adjustments from the FY 2011 Adopted Budget Plan are necessary to support the FY 2012 program. Included are all adjustments recommended by the County Executive that were approved by the Board of Supervisors, as well as any additional Board of Supervisors' actions, as approved in the adoption of the budget on April 26, 2011.

- ◆ **Employee Compensation** **\$0**
It should be noted that no funding is included for pay for performance or market rate adjustments in FY 2012.

- ◆ **Benefit Payments** **\$291,827**
An increase of \$291,827 is attributable to a projected increase in the number of retirees receiving the retiree health benefits subsidy.

- ◆ **Administrative Expenses** **\$10,500**
An increase of \$10,500 in Operating Expenses is primarily associated with an anticipated increase in investment services fees.

Changes to FY 2011 Adopted Budget Plan

The following funding adjustments reflect all approved changes in the FY 2011 Revised Budget Plan since passage of the FY 2011 Adopted Budget Plan. Included are all adjustments made as part of the FY 2010 Carryover Review, FY 2011 Third Quarter Review, and all other approved changes through April 12, 2011:

- ◆ **Third Quarter Adjustments** **\$10,858,000**
As part of the FY 2011 Third Quarter Review, the Board of Supervisors approved an increase of \$10,858,000 for both revenues and expenditures to appropriately reflect the County's contribution and benefit payments for the implicit subsidy for retirees. These increases are required to offset anticipated audit adjustments that are posted to the fund at the end of the fiscal year to appropriately reflect all activities under GASB 45.

Fund 603 OPEB Trust Fund

FUND STATEMENT

Fund Type G60, Trust Funds

Fund 603, OPEB Trust Fund

	FY 2010 Actual	FY 2011 Adopted Budget Plan	FY 2011 Revised Budget Plan	FY 2012 Advertised Budget Plan	FY 2012 Adopted Budget Plan
Beginning Balance	\$51,792,775	\$57,591,794	\$62,653,493	\$69,987,841	\$73,987,841
Revenue:					
CMS Medicare Part D Subsidy	\$1,249,630	\$1,100,000	\$1,100,000	\$1,200,000	\$1,200,000
Investment Income	29,539	75,000	75,000	40,000	40,000
Implicit Subsidy	7,871,000	0	10,858,000	0	0
Other Funds Contributions	0	3,101,577	3,101,577	3,959,562	3,959,562
Total Realized Revenue	\$9,150,169	\$4,276,577	\$15,134,577	\$5,199,562	\$5,199,562
Unrealized Gain/(Loss)	\$6,049,550	\$0	\$0	\$0	\$0
Total Revenue	\$15,199,719	\$4,276,577	\$15,134,577	\$5,199,562	\$5,199,562
Transfers In:					
General Fund (001)	\$9,900,000	\$9,900,000	\$13,900,000	\$20,000,000	\$20,000,000
Total Transfers In	\$9,900,000	\$9,900,000	\$13,900,000	\$20,000,000	\$20,000,000
Total Available	\$76,892,494	\$71,768,371	\$91,688,070	\$95,187,403	\$99,187,403
Expenditures:					
Benefits Paid	\$6,169,565	\$6,677,488	\$6,677,488	\$6,969,315	\$6,969,315
Implicit Subsidy	7,871,000	0	10,858,000	0	0
Administrative	198,436	164,741	164,741	175,241	175,241
Total Expenditures	\$14,239,001	\$6,842,229	\$17,700,229	\$7,144,556	\$7,144,556
Total Disbursements	\$14,239,001	\$6,842,229	\$17,700,229	\$7,144,556	\$7,144,556
Reserved Ending Balance ¹	\$62,653,493	\$64,926,142	\$73,987,841	\$88,042,847	\$92,042,847

¹ The Reserved Ending Balance in Fund 603, OPEB Trust Fund, represents the amount of assets held in reserve by the County to offset the estimated Unfunded Actuarial Accrued Liability for other post-employment benefits. The balance is anticipated to grow each year as a result of contributions and investment returns. The \$92.0 million reserve in FY 2012 is applied toward the liability of \$489.2 million calculated as of July 1, 2010.

Fund 691

Educational Employees' Supplementary Retirement

Focus

The Educational Employees' Supplementary Retirement Fund is a qualified retirement plan under section 401(a) of the Internal Revenue Code and is required to operate under specific provisions of the Code and in conformance with general trust law. Responsibility for general administration and operation of the fund is vested in a Board of Trustees. FY 2012 expenditures are estimated at \$179.7 million.

Fund 691

Educational Employees' Supplementary Retirement

FUND STATEMENT

Fund Type G60, Trust and Agency Funds

Fund 691, Educational Employees'
Supplementary Retirement

	FY 2010 Actual	FY 2011 Adopted Budget Plan	FY 2011 Revised Budget Plan ¹	FY 2012 Superintendent's Proposed	FY 2012 Adopted Budget Plan ²
Beginning Balance	\$1,441,366,143	\$1,477,410,082	\$1,607,613,266	\$1,730,695,809	\$1,730,695,809
Receipts:					
Contributions	\$85,786,963	\$96,203,790	\$97,997,287	\$103,851,702	\$103,851,702
Investment Income	238,799,238	126,626,000	195,119,682	212,881,558	212,881,558
Total Revenue	\$324,586,201	\$222,829,790	\$293,116,969	\$316,733,260	\$316,733,260
Total Available	\$1,765,952,344	\$1,700,239,872	\$1,900,730,235	\$2,047,429,069	\$2,047,429,069
Total Expenditures	\$158,339,078	\$175,427,519	\$170,034,426	\$179,749,264	\$179,749,264
Total Disbursements	\$158,339,078	\$175,427,519	\$170,034,426	\$179,749,264	\$179,749,264
Ending Balance	\$1,607,613,266	\$1,524,812,353	\$1,730,695,809	\$1,867,679,805	\$1,867,679,805

¹ The FY 2011 Revised Budget Plan reflects adjustments adopted by the Fairfax County School Board on March 24, 2011 during their FY 2011 Third Quarter Review.

² Fairfax County School Board action on the FY 2012 budget was taken on May 26, 2011 and will be included for approval by the Board of Supervisors as part of the FY 2011 Carryover Review.

Fund 692

Public School OPEB Trust Fund

Focus

Fund 692, Public School Other Post-Employment Benefits (OPEB) Trust Fund, was established by the School Board in FY 2008 as a mechanism to accumulate and invest assets to fund the Fairfax County Public School (FCPS) system's other post-employment benefits.

In July 2004, the Governmental Accounting Standards Board (GASB) issued Statement No. 45, "Accounting and Financial Reporting by Employers for Postemployment Benefits Other Than Pensions." This standard addresses how the school system should account for and report its costs related to post-employment health care and other non-pension benefits, such as the program subsidizing the cost of health benefit coverage and premiums for eligible retirees and their surviving spouses.

Program participants may continue medical coverage by paying the appropriate subsidized premiums. Subsidies range from \$15 to \$175 per month (explicit subsidy), based on years of service and the retirement plan under which the retiree is covered. In addition, FCPS subsidizes the premium rates paid by the retirees by allowing them to participate in the medical plans at the reduced or blended group premium rates for both active and retired employees (implicit subsidy). These rates provide an implicit subsidy for retirees because, on an actuarial basis, their current and future claims are expected to result in higher costs to the program on average than those of active employees. GASB 45 requires that FCPS calculate and include the liability for this implicit subsidy.

An actuarial valuation is performed to determine the actuarial accrued liability and the corresponding Annual Required Contribution (ARC) based on the 30-year amortization of this liability and an additional amount necessary to pre-fund benefits accrued by active employees during the current year. Funding contributions towards the ARC are determined by the School Board. The FY 2012 ARC will be determined by an actuarial valuation that will be completed in FY 2012.

Fund 692

Public School OPEB Trust Fund

FUND STATEMENT

Fund Type G60, Trust Funds

Fund 692, Public School OPEB Trust Fund

	FY 2010 Actual	FY 2011 Adopted Budget Plan	FY 2011 Revised Budget Plan ¹	FY 2012 Superintendent's Proposed	FY 2012 Adopted Budget Plan ²
Beginning Balance³	\$17,520,320	\$17,995,320	\$19,562,623	\$37,002,623	\$37,002,623
Revenue:					
Employer Contributions	\$27,137,145	\$37,000,000	\$45,663,000	\$36,789,000	\$36,789,000
Net Investment Income	2,103,347	2,000,000	4,300,000	2,500,000	2,500,000
Total Revenue	\$29,240,492	\$39,000,000	\$49,963,000	\$39,289,000	\$39,289,000
Total Available	\$46,760,812	\$56,995,320	\$69,525,623	\$76,291,623	\$76,291,623
Total Expenditures	\$27,198,189	\$26,047,000	\$30,723,000	\$32,552,500	\$32,552,500
Total Disbursements	\$27,198,189	\$26,047,000	\$30,723,000	\$32,552,500	\$32,552,500
Reserved Ending Balance	\$19,562,623	\$30,948,320	\$38,802,623	\$43,739,123	\$43,739,123

¹The FY 2011 Revised Budget Plan reflects adjustments adopted by the Fairfax County School Board on March 24, 2011 during their FY 2011 Third Quarter Review.

²Fairfax County School Board action on the FY 2012 budget was taken on May 26, 2011 and will be included for approval by the Board of Supervisors as part of the FY 2011 Carryover Review.

³The FY 2012 Beginning Balance does not yet reflect adjustments adopted by the Fairfax County School Board on March 24, 2011 during their FY 2011 Third Quarter Review. These adjustments will be reflected as part of the FY 2011 Carryover Review.

Fund 700

Route 28 Tax District

Focus

Fairfax County, in partnership with Loudoun County, formed the Route 28 Highway Transportation Improvement District (District) on December 21, 1987. Under Virginia law such a district may be formed only upon the joint petition of owners of at least 51 percent of the land area in each county which is within the boundaries of the proposed district and which has been zoned or is used for commercial or industrial purposes. The District was formed to provide improvements to State Route 28 which connects State Route 7 in eastern Loudoun County to U.S. Route 50 and Interstate 66 in western Fairfax County, running approximately parallel to the County's western border. State Route 28 provides access to Dulles International Airport, along with the Dulles Access Road, which connects the Capital Beltway to Dulles International Airport. This District was formed upon landowner petition to accelerate planned highway improvements proposed by the state which relied primarily on slower pay-as-you-go financing from the Northern Virginia region's share of the State Primary Road Fund allocation. Under the terms of the agreement with the state, the District will fund 75 percent of defined Phase I and Phase II improvements and the state will fund 25 percent.

The District, administered by a Commission appointed by the Board of Supervisors of both counties, may subject the owners of industrial and commercial property within the District to a maximum additional tax assessment of 20 cents per \$100 of assessed value. The proposed FY 2012 assessment is 18 cents per \$100 of assessed value. These funds, in addition to funds received through the State Primary Road Fund allocation formula, are to be used for the road improvements and debt service on bonds issued by the state. Improvements completed for Phase I of the Route 28 project included widening the existing road from two to six lanes and upgrading three major intersections. Legislation authorizing the issuance of Commonwealth Transportation Board (CTB) revenue bonds up to \$160.7 million plus issuance expenses to finance the Phase I improvements to Route 28 was enacted during the 1988 Virginia General Assembly and became effective July 1, 1988. This legislation stipulates that the additional tax assessment in the District and funds allocated to the highway construction district, in which Route 28 lies, would reimburse the state for its debt service payments on its bonds. The Commonwealth issued \$138.5 million in revenue bonds for the District in September 1988.

Fairfax County and Loudoun County entered into a contract with the District and agreed to levy an additional tax assessment, as requested by the District, collect the tax, and pay all tax revenues to a Fiscal Agent for distribution. The contract specifies that the counties shall pay all revenues collected on behalf of the District to Trustees jointly designated by the CTB and the counties, and the District in turn shall notify the County of the required payment and request a rate sufficient to collect that amount, up to a maximum of 20 cents per \$100 of assessed value. The rate is set at \$0.18 cents per \$100 dollars of assessed value. In FY 2012, an amount of \$9,765,406 has been included for Fairfax County collections based on estimated tax collections and an allowance for potential property buy outs, late payments, and penalties.

In August 2002 Fairfax County, Loudoun County, the Commonwealth Transportation Board and the Fairfax County Economic Development Authority (EDA) entered into contractual agreements to provide for construction of a portion of additional improvements on Route 28 (Phase II improvements). Specifically, six separated grade interchanges to be constructed to ease traffic congestion. Funding totaling \$201.7 million was made available from a joint financing plan providing \$75.4 million from CTB funds allocated from the state six-year primary and secondary road plan, approximately \$36.3 million of remaining CTB Route 28 bond authorization, and approximately \$90.0 million of bond funds issued by the EDA in 2003 and 2004 and supported by the two counties. Construction of the first six interchanges was completed in FY 2008.

Fund 700 Route 28 Tax District

In October 2006, the CTB, the counties and the Fairfax County EDA approved the financing plan for the construction of the final four interchanges at Willard Road, Frying Pan Park Road, CIT/Innovation Drive and Nokes Boulevard. The plan included acceptance of a \$5.0 million grant and a \$20.0 million loan from the State Transportation Partnership Opportunity Fund (TPOF); and issuance of Route 28 District revenue bonds; and use of surplus District tax revenues to fund the \$119.2 million project estimate. Fairfax County EDA Revenue bonds were planned to be issued in two series. A total of \$41.505 million were issued on February 27, 2007 and \$51.505 million were issued on July 9, 2008. It should be noted that on July 24, 2007, the CTB notified the District Commission that an additional \$23,936,772 was approved in the CTB's FY 2008-2013 Six Year Improvement Plan as payment toward the State Obligation under the District Contract. Therefore, this additional funding fully replaced the \$20,000,000 originally planned for the TPOF loan.

All bond issues will be fully supported by District tax revenue. In order to maximize revenues available for new debt service, the CTB refunded its outstanding 1992 bonds in October 2002. At the same time the CTB issued \$36.4 million of new bonds for construction, representing the balance of bond authorization remaining from the 1988 acts of the General Assembly. The Fairfax County EDA issued Transportation Contract Revenue bonds in the amount of \$33.375 million in October 2003 and issued \$57.4 million in August 2004 as well as \$41.505 million on February 27, 2007 and \$51.505 million on July 9, 2008. In the event that District revenues are not sufficient to make debt service payments, the state bonds are backed by the appropriated state allocations to the Northern Virginia Transportation District. The EDA bonds are supported by a Revenue Stabilization Fund (RSF) that is equal to the maximum annual EDA debt service and is created from surplus revenue collections. As a further credit enhancement for the proposed EDA bonds, both Fairfax and Loudoun Counties have pledged a joint moral obligation in the event that tax revenues and the RSF are not sufficient to support EDA debt service. It should be noted that due to the strong financial status of the fund, the Route 28 District Advisory Board recommended on March 18, 2009 a two cent decrease in the tax rate from \$0.20 to \$0.18 per \$100 of assessed value. This tax rate decrease was subsequently adopted by the Board of Supervisors on April 27, 2009. There is no change in the tax rate for FY 2012. The following chart depicts the current financing structure:

Current Bonds

Bond Year (April 1)	District Revenues ¹	Series 2002 CTB Debt Service ²	Series 2003 2004 2007A & 2008 EDA Debt Service ³	Total Debt Service	Excess Revenues	Cumulative Excess Revenues ⁴
Balance Fwd						\$6,408,259
2003	\$5,836,398	\$4,656,294	\$0	\$4,656,294	\$1,180,104	7,588,363
2004	12,679,429	7,523,176	3,127,943	10,651,119	2,028,310	9,616,673
2005	13,367,270	7,531,145	3,676,138	11,207,283	2,159,987	11,776,660
2006	14,486,968	7,528,145	4,169,446	11,697,591	2,789,377	13,066,037
2007	20,912,782	7,529,845	4,169,445	11,699,290	9,213,492	22,279,529
2008	24,706,517	7,524,883	6,034,672	13,559,555	11,146,962	33,426,491
2009	24,836,571	7,530,712	7,582,839	15,113,551	9,723,020	43,149,511
2010	21,578,600	7,528,150	8,679,995	16,208,145	5,370,455	38,104,608 ⁵
2011	17,189,628	7,528,835	9,299,115	16,827,950	361,678	38,466,286
2012	17,361,498	7,529,625	9,752,250	17,281,875	79,623	38,545,909
2013		7,530,300	9,988,263	17,518,563		

Fund 700 Route 28 Tax District

Bond Year (April 1)	District Revenues ¹	Series 2002 CTB Debt Service ²	Series 2003 2004 2007A & 2008 EDA Debt Service ³	Total Debt Service	Excess Revenues	Cumulative Excess Revenues ⁴
2014		7,528,050	10,530,813	18,058,863		
2015		7,531,800	11,291,025	18,822,825		
2016		7,530,550	11,765,485	19,296,035		
2017		7,528,800	11,767,235	19,296,035		
2018		7,525,800	11,771,675	19,297,475		
2019		8,100,000	11,200,688	19,300,688		
2020		8,100,000	11,197,350	19,297,350		
2021		8,105,000	11,192,438	19,297,438		
2022		8,105,000	11,193,663	19,298,663		
2023		8,105,000	11,193,950	19,298,950		
2024		8,105,000	11,191,975	19,296,975		
2025		8,105,000	11,195,588	19,300,588		
2026		8,105,000	11,192,388	19,297,388		
2027		8,105,000	11,190,888	19,295,888		
2028		8,105,000	11,191,513	19,296,513		
2029		8,105,000	11,193,488	19,298,488		
2030		8,105,000	11,191,838	19,296,838		
2031		8,105,000	11,195,288	19,300,288		
2032		8,105,000	11,192,888	19,297,888		
2033			19,299,088	19,299,088		
2034			19,298,213	19,298,213		
2035			19,298,325	19,298,325		
2036			19,298,038	19,298,038		
2037			19,295,813	19,295,813		
Total	N/A	\$231,046,110	\$376,809,758	\$607,855,869	N/A	N/A

¹ FY 2003 represents partial year tax revenue and interest collections from October 1, 2002 to April 1, 2003. Tax district revenues represent all revenue collected from April 2 through April 1, respectively. FY 2003 through FY 2010 amounts are actual figures. FY 2011 and FY 2012 are estimates of combined Fairfax and Loudoun collections plus estimated interest earnings on revenue, debt service reserve and revenue stabilization fund accounts. Actual revenues also may include district buy-out proceeds.

² CTB Revenue Refunding and Revenue Bond Debt Service issue of September 26, 2002.

³ Based on completion of EDA bond issues in 2003, 2004, 2007 and 2008 for an aggregate amount of \$183,795,000. Sale of the Series 2003 bonds in the amount of \$33,375,000 was completed on October 29, 2003. Sale of the Series 2004 bonds in the amount of \$57,410,000 was completed on August 19, 2004. Sale of the Series 2007A Bonds in the amount of \$41,505,000 was completed on February 27, 2007. Sale of the Series 2008 Bonds in the amount of \$51,505,000 was completed on July 9, 2008.

⁴ Balance Forward represents funds on account with CTB and transferred to the Fiscal Agent upon refunding the 1992 bonds and new money bonds issued October 2002. An amount of \$19.30 million is reserved to fund the Revenue Stabilization Fund (RSF). Excess revenues available after achieving full RSF funding are held with the Fiscal Agent and may be used to fund deficiencies in the Debt Service Fund, additional Phase II improvements or reduce the tax rate in accordance with the District Contract. The tax rate may not be reduced until the District has recorded at least two successive years of excess revenues.

⁵ The balance is adjusted to reflect decreases associated with a transfer out of \$10,383,996 to the Virginia Department of Transportation for design work related to spot road widening improvements and audit expenses of \$31,362.

Fund 700

Route 28 Tax District

FY 2012 Funding Adjustments

The following funding adjustments from the FY 2011 Adopted Budget Plan are necessary to support the FY 2012 program. Included are all adjustments recommended by the County Executive that were approved by the Board of Supervisors, as well as any additional Board of Supervisors' actions, as approved in the adoption of the budget on April 26, 2011.

◆ **Fiscal Agent Payments** **(\$880,402)**

A decrease of \$880,402 or 8.3 percent from the FY 2011 Adopted Budget Plan amount of \$10,645,808 for estimated payments to the fiscal agent is projected primarily due to assessed value adjustments anticipated for FY 2012 which include tax estimates of \$8,765,406 based on projections for the January 1, 2011 assessments and an allowance for one-time buy-outs and late payments of \$1,000,000.

Changes to FY 2011 Adopted Budget Plan

The following funding adjustments reflect all approved changes in the FY 2011 Revised Budget Plan since passage of the FY 2011 Adopted Budget Plan. Included are all adjustments made as part of the FY 2010 Carryover Review, FY 2011 Third Quarter Review, and all other approved changes through April 12, 2011.

◆ **Carryover Adjustments** **\$303**

As part of the FY 2010 Carryover Review, the Board of Supervisors approved appropriation of \$303 remaining in the fund balance. All taxes collected, as well as tax district buy-out funds, are remitted to the fiscal agent on a monthly basis as collected.

Fund 700 Route 28 Tax District

FUND STATEMENT

Fund Type G70, Agency Funds

Fund 700, Route 28 Tax District

	FY 2010 Actual	FY 2011 Adopted Budget Plan	FY 2011 Revised Budget Plan	FY 2012 Advertised Budget Plan	FY 2012 Adopted Budget Plan
Beginning Balance	\$7,021	\$0	\$303	\$0	\$0
Revenue:					
Real Estate Taxes-Current ¹	\$11,530,372	\$9,645,808	\$9,645,808	\$8,765,406	\$8,765,406
Revenue from Buy Outs	0	1,000,000	1,000,000	1,000,000	1,000,000
Interest on Investments	4,332	0	0	0	0
Total Revenue	\$11,534,704	\$10,645,808	\$10,645,808	\$9,765,406	\$9,765,406
Total Available	\$11,541,725	\$10,645,808	\$10,646,111	\$9,765,406	\$9,765,406
Expenditures:					
Payments to the Fiscal Agent	\$11,541,422	\$10,645,808	\$10,646,111	\$9,765,406	\$9,765,406
Total Expenditures	\$11,541,422	\$10,645,808	\$10,646,111	\$9,765,406	\$9,765,406
Total Disbursements	\$11,541,422	\$10,645,808	\$10,646,111	\$9,765,406	\$9,765,406
Ending Balance²	\$303	\$0	\$0	\$0	\$0
Tax rate/per \$100 Assessed Value	\$0.18	\$0.18	\$0.18	\$0.18	\$0.18

¹ Estimate to provide for sufficient appropriation includes projected tax collections based on assessments, and allowances for late payments, penalties and permitted property buy-outs. All monies collected are required to be remitted to the Fiscal Agent monthly as collected.

² As all monies collected are required to be remitted to the Fiscal Agent monthly as collected the ending balance should be zero unless as of the closing period there were pending remittances to the Fiscal Agent.

Fund 716

Mosaic District Community Development Authority

Focus

The purpose of this fund is to provide the necessary accounting structure for revenue collections and anticipated bond proceeds from the sale of Mosaic District Community Development Authority (CDA) bonds for this project. The District was created in order to provide a vehicle for financing certain public improvements that are needed to develop the District in accordance with existing zoning. The County agreed to create the District for that purpose in order to promote economic development generally and development of an especially desirable nature (i.e., mixed-use urban) in particular. The public improvements to be financed through the District include all or a portion of the following infrastructure, facilities, and services: sanitary sewers mains and lines; water mains and lines, pump stations, and water storage facilities; storm sewer mains and lines; landscaping and related site improvements; parking facilities; sidewalks and walkway paths; storm water management and retention systems; lighting; street and directional signage; wetlands mitigation; roads, curbs, and gutters; public park and plaza facilities; open space areas; public school improvements; and any and all facilities and services appurtenant to the above including the acquisition of land.

On July 21, 2008, the Board of Supervisors adopted 16 Principles for Public Investment in Support of Commercial Redevelopment ("Principles") in order to provide policy guidance related to requests for public investment in designated redevelopment, revitalization and other strategic areas of the County and endorsed a process whereby such requests would be evaluated.

The County has various funding methods available that can be used to assist commercial investment. One mechanism by which public investment may be requested is through the establishment of a CDA, which can be established to provide a broad range of public infrastructure and services. A CDA is established by petition to the Board from a majority (51%) of land owners within a proposed area, and is governed by appointees of the Board of Supervisors. The 51% can be based on either land area or assessed value. A CDA is a flexible tool that can be funded by ad valorem special taxes or special assessments, as negotiated with petitioners. It typically covers a relatively small area, such as a single shopping mall, a downtown redevelopment area, a mixed use development, and typically involves a single or small group of owners. No general fund or debt impact is intended, unless the CDA is coupled with tax increment financing.

Pursuant to Article 6 of Title 15.2 of the Code of Virginia, prior to accepting any petitions for the creation of a CDA, the Board must act to assume the power to consider such request. The Board held a public hearing on September 8, 2008, after which the Board adopted an ordinance by which the County assumed the power to consider petitions for the establishment of CDAs.

On October 15, 2007, the Board of Supervisors approved a rezoning of properties subsequently included in the District in RZ 2005-PR-041, a request by the private developer to rezone 31.31 acres of land to the Planned Development Commercial (PDC) and Planned Residential Mix (PRM) Districts in order to develop the portion of Merrifield designated as the town center in the Comprehensive Plan. More specifically, the site is located south of Lee Highway/Rt. 29, west of Yates Way, east of Eskridge Road and north of the Luther Jackson Middle School. The project was approved for approximately 1,000 dwelling units, a multi-plex theatre, 125,000 square feet of office space, 500,000 square feet of other non-residential uses and a 150 room hotel. Among the public improvements are two parks, the realignment and widening of Eskridge Road, the widening of Lee Highway, improvements to the Lee Highway/Gallows Road intersection and construction of a grid of streets. Virtually all parking will be provided in structures. Two Proffered Conditions Amendments have subsequently been approved which modified certain uses and layout of the site.

Fund 716

Mosaic District Community Development Authority

The Board of Supervisors adopted an Ordinance that established the Mosaic District (CDA) on April 27, 2009 on the land that is encompassed by RZ 2005-PR-041. The Ordinance establishing the Mosaic District CDA was amended on April 27, 2010, and again on April 26, 2011. The last amendment included the imposition of a special assessment to be levied on the properties within the District. On April 26, 2011, the Board also approved the bond resolution and amendments to the Board's by-laws, and endorsed the special assessment report that provided the basis for the allocation of the special assessment among the various parcels within the District.

County staff and the County's financial and bond consultants negotiated terms and conditions for the Memorandum of Understanding (MOU) among the County, the CDA and the developer. In summary, the MOU proposes to:

- ◆ Fund a \$30.0 million dollar portion of the public facilities to be constructed on the site through a 30 year bond to be issued by the District whose debt service will be paid by a self-assessment.

- ◆ Fund a \$42.0 million dollar portion of the public facilities to be constructed on the site (road improvements, parks, and a small portion of the parking garage) through a 22 year bond also issued through the District whose debt service will be paid through incremental real estate tax revenues. Liability for the debt service will be secured by the District, not the County.

FY 2012 Funding Adjustments

The following funding adjustments from the FY 2011 Adopted Budget Plan are necessary to support the FY 2012 program. Included are all adjustments recommended by the County Executive that were approved by the Board of Supervisors, as well as any additional Board of Supervisors' actions, as approved in the adoption of the budget on April 26, 2011.

- ◆ **Bond Sale Adjustment** **(\$88,400,000)**
This fund was created at the *FY 2011 Third-Quarter Review* in anticipation of a spring bond sale and the need to provide an accounting reporting structure for the District. No budget was approved for this fund for FY 2012, as it will be developed based on the actual bond sale results and included in the *FY 2011 Carryover Review*. Therefore, there is a decrease of \$88,400,000 or 100.0 percent from the FY 2011 Adopted Budget Plan.

Changes to FY 2011 Adopted Budget Plan

The following funding adjustments reflect all approved changes in the FY 2011 Revised Budget Plan since passage of the FY 2011 Adopted Budget Plan. Included are all adjustments made as part of the FY 2010 Carryover Review, FY 2011 Third Quarter Review, and all other approved changes through April 12, 2011.

- ◆ **Bond Sale Adjustment** **\$88,400,000**
This fund was created at the *FY 2011 Third-Quarter Review* in anticipation of a spring bond sale and the need to provide an accounting reporting structure for the District. Therefore, there is an increase of \$88,400,000 over the FY 2011 Adopted Budget Plan.

Fund 716

Mosaic District Community Development Authority

FUND STATEMENT

Fund Type G70, Agency Funds

Fund 716, Mosaic District Community
Development Authority

	FY 2010 Actual	FY 2011 Adopted Budget Plan	FY 2011 Revised Budget Plan	FY 2012 Advertised Budget Plan	FY 2012 Adopted Budget Plan ¹
Beginning Balance	\$0	\$0	\$0	\$0	\$6,500,000
Revenue:					
Bond Proceeds Series A	\$0	\$0	\$64,500,000	\$0	\$0
Bond Proceeds Series B	0	0	30,400,000	0	0
TIF Revenue - Series A	0	0	0	0	0
Special Assessment - Series A	0	0	0	0	0
Special Assessment - Series B	0	0	0	0	0
Interest Earnings	0	0	0	0	0
Total Revenue	\$0	\$0	\$94,900,000	\$0	\$0
Total Available	\$0	\$0	\$94,900,000	\$0	\$6,500,000
Expenditures:					
Bond Proceeds Series A to Trustee	\$0	\$0	\$58,000,000	\$0	\$0
Bond Proceeds Series B to Trustee	0	0	30,400,000	0	0
TIF Revenue - Series A to Trustee	0	0	0	0	0
Total Expenditures	\$0	\$0	\$88,400,000	\$0	\$0
Total Disbursements	\$0	\$0	\$88,400,000	\$0	\$0
Ending Balance	\$0	\$0	\$6,500,000	\$0	\$6,500,000

¹ This fund was created at the FY 2011 Third Quarter Review in anticipation of the need to provide an accounting reporting structure for the District based on an anticipated spring bond sale. No budget was approved for this fund for FY 2012, as it will be developed based on the actual bond sale results and included in the FY 2011 Carryover Review.

Housing and Community Development Program Overview



Introduction

The Housing Overview section describes the programs and projects operated by the Fairfax County Department of Housing and Community Development (HCD) and the multiple sources of funds that support these activities.

As a County agency, HCD undertakes many programs on behalf of the Board of Supervisors. HCD also serves as the administrative arm of the Fairfax County Redevelopment and Housing Authority (FCRHA), a separate legal entity that was established in 1966 pursuant to Chapter 1, Title 36 of the Code of Virginia. FCRHA's roles include planning, design, production, rehabilitation and maintenance of housing, for low- and moderate-income households, and assisting in the revitalization of neighborhoods in Fairfax County. Eleven Commissioners are appointed to the FCRHA for four-year terms by the Board of Supervisors. A chairman and vice-chairman are then selected by a vote of the commissioners.

Housing Blueprint

In January 2010, the Board of Supervisors endorsed a new affordable housing policy, known as the "Housing Blueprint", which focuses on providing housing for those with the greatest need, including homeless families and individuals, persons with disabilities, and households with extremely low incomes. The Blueprint also emphasizes partnering with the County's non-profit community to provide creative affordable housing solutions, refocusing of existing resources, and fostering the development of workforce housing through land use policies and public/private partnerships. The Blueprint has four goals:

- ◆ To end homelessness in 10 years;
- ◆ To provide affordable housing options to those with special needs;
- ◆ To reduce the waiting lists for affordable housing by half in 10 years; and
- ◆ To produce workforce housing sufficient to accommodate projected job growth.

A set of specific Blueprint metrics has been established for FY 2012 using a combination of existing resources and additional County funding. The commitment of resources and metrics reflect the Board-adopted Plan to Prevent and End Homelessness and the recommendations of the Fairfax County Affordable Housing Advisory Committee, in concert with the FCRHA, the interagency Housing Options Group, and the Fairfax-Falls Church Community Services Board, including priority recommendations regarding the County funds requested for Blueprint projects and programs.

Funding Sources Supporting HCD Operations

The sources supporting HCD's operations include County funds, general obligation bonds, federal grants, private capital, revenue from program operations (e.g., developer fees, rent from tenants of housing owned by the FCRHA and income from repayment of loans) and interest income. As a result of these multiple, complex funding streams, HCD administers 19 funds. Some funds are appropriated by

Housing and Community Development Program Overview

the Board of Supervisors, while others are allocated by the FCRHA. All are included in this budget in order to provide a complete financial overview. These 19 funds encompass all of the operations of HCD/FCRHA with the exception of nine housing developments that are operated by outside management companies under contract with the FCRHA and/or are owned by the FCHRA in partnership with private investors. Separate financial records are maintained for these developments.

FY 2012 anticipated expenditures supporting the HCD and FCRHA activities total \$113,396,516 including \$8,432,982 in General Fund support, \$30,857,551 in other County appropriated funds, and \$74,105,983 in non-County appropriated funds. Total revenue for FY 2012 is anticipated to be \$114,397,140 as shown on the Consolidated Fund Statement. Receipts from federal/state sources are anticipated to be \$65,352,043 or 57.1 percent of total funding sources. More detailed descriptions of FY 2012 funding levels may be found in the narratives for each fund following this Overview.

Because HCD's programs are supported by multiple sources of funds, the Agency Mission and Focus, Program Goals, and Performance Measures are consolidated in this Overview rather than appearing with each fund. Performance measures for FY 2012 are generally consistent with FY 2011 performance measures. This Overview also provides summary information on the organization, staffing and consolidated budget for HCD.

Mission

To preserve and increase opportunities for affordable housing in Fairfax County based on need, community priorities and the policy of the Board of Supervisors and the FCHRA. Driven by a community vision, to lead efforts to revitalize older areas of Fairfax County, to spur private reinvestment, maximize existing infrastructure and public investment, reverse negative perceptions, and create employment opportunities.

Focus

HCD connects with the residents of Fairfax County at their roots – home, neighborhood and community. All HCD programs, activities and services revolve around this important link and can be grouped in three service areas: **Affordable Housing; Neighborhood Preservation and Revitalization;** and **Capital Formation and Capacity Building.**

Affordable Housing supports individuals and families in their effort to find homes that are safe, affordable, and stable.

Neighborhood Preservation and Revitalization focuses on sustaining and improving communities.

Capital Formation and Capacity Building focuses on development of partnerships with private investors and other public agencies resulting in capital investment and financial support for the HCD and FCRHA mission.

These service areas encompass all of the activities of the 19 HCD funds. The total FY 2012 Adopted Budget Plan of \$113.4 million can be distributed to these service areas and the general costs of running the department. It should be noted that many of the functional areas of HCD cross these service areas, so an exact allocation to the service areas is not possible. The FY 2012 Adopted Budget Plan is \$9.3 million more than the FY 2011 Adopted Budget Plan primarily due to additional funding received from the U.S. Department of Housing and Urban Development for public housing requirements. During deliberations on the FY 2011 federal budget, significant adjustments were made to the initial funding projections. Appropriate revisions to the current FY 2012 budgets will be made as part of the *FY 2011 Carryover Review*

Housing and Community Development Program Overview

subsequent to final federal approval of Fairfax County's actual award. See subsequent Housing Fund narratives in Volume 2.

Highlighted below are the main functions included in each of the service areas.

Affordable Housing:

Affordable Housing Preservation

As of May 2011, a total of 2,436 affordable units have been preserved for both homeownership and rental purposes in a variety of large and small projects. Of that number, 252 units are preserved as affordable housing for periods of five years or less, and 2,184 units are preserved for 20 years or longer. The FCRHA's major affordable housing preservation successes include: Wedgewood Apartments, 672 units (Braddock District); Janna Lee Village, 319 units (Lee District); Madison Ridge, 216 units (Sully District); Crescent Apartments, 180 units (Hunter Mill District); Coralain Gardens, 105 units (Mason District); Sunset Park Apartments, 90 units (Mason District); and Hollybrooke II, 98 units and Hollybrooke III, 50 units (Mason District).

Bridging Affordability Program

Authorized as part of the FY 2011 Adopted Budget Plan and included in the Housing Blueprint, the "Bridging Affordability" Program is designed to provide funding to non-profits, via a competitive process, for use as rental subsidies and as capital for the acquisition of additional affordable units to address the homelessness and waiting list goals of the Blueprint. In FY 2011, it is expected that these funds will serve approximately 48 homeless individuals and families, and about 364 households on the County's affordable housing waiting lists. The Bridging Affordability Program is funded, subject to annual allocation, with program income from the County-owned Wedgewood Apartments property.

First-Time Homebuyers Program and Moderate Income Direct Sales Program

This program offers new and resale homes at below market prices. These homes are built by private developers and are located throughout the County. HCD markets the homes and, in most cases, provides financing assistance to first-time homebuyers. In FY 2010, a total of 63 families purchased homes via the Fairfax County First-Time Homebuyers program. Through FY 2010, 2,126 homes have been sold to first-time homebuyers as a result of these programs since 1992. As a part of its November 2010 Lines of Business (LOBS) review, HCD proposed outsourcing of the marketing of Affordable Dwelling Units (ADUs) to a non-profit organization, and focusing staff almost entirely on program compliance.

Below-Market Mortgages

The Homeownership Division administers FCRHA second-trust financing for first-time homebuyers using federal HOME and Neighborhood Stabilization Program (NSP) funding, and facilitates homebuyer access to below-market first-trust financing from the Virginia Housing Development Authority (VHDA). In FY 2010, a total investment of \$7,288,968 in non-County funds was leveraged by the Fairfax County First-Time Homebuyers Program in the form of federal HOME and NSP resources for second-trust financing and VHDA first-trust financing.

Homeownership Resource Center

The Homeownership Resource Center, located on the first floor of the FCRHA headquarters building on Pender Drive, serves over 400 persons per month, providing information on homeownership, homeownership education, one-on-one and group counseling sessions, opportunities to meet with lenders, applicant briefings, and coordination of resources for current and prospective first-time

Housing and Community Development Program Overview

homebuyers. In FY 2010, the Homeownership Resource Center responded to 2,721 telephone calls and 2,143 walk-in clients.

Homeownership Education

Through a partnership with VHDA, local lenders and housing professionals, six-hour homeownership education classes were provided to potential Fairfax County homebuyers in FY 2010. Completion of the class qualifies graduates to participate in the First-Time Homebuyers Program and the ability to access below-market financing, down payment and closing cost assistance. Classes have been offered in English, Spanish, Vietnamese, Korean, and American Sign Language. During FY 2010, the Fairfax County First-Time Homebuyers Program conducted 23 orientation sessions serving 767 attendees, and 11 application sessions serving 94 attendees. Twenty-four VHDA homebuyer classes were held, serving 577 participants. In addition, first-time homebuyers learned about maintaining their home and their responsibilities as homeowners living within their community. In FY 2010, HCD continued to provide post-purchase counseling, at settlement, for purchasers of ADUs being re-sold through the FCRHA. Also, in FY 2010, staff conducted annual compliance monitoring for all owners in the First-Time Homebuyers Program, and conducted a public records review for any activity that could jeopardize sustained homeownership.

Response to the Foreclosure Crisis

Fairfax County's foreclosure program was implemented in FY 2008 to address the issue of foreclosures occurring in the County and help stabilize impacted neighborhoods while increasing the opportunities for additional workforce housing. These approaches included: Assistance to Homeowners in Distress, the Silver Lining Initiative and Neighborhood Preservation Efforts. HCD's foreclosure-related accomplishments in FY 2010 included:

- ◆ Assistance to Homeowners in Distress: HCD provided continuing referrals for counseling of at-risk homeowners. In FY 2010, staff participated in 8 outreach meetings in communities, and responded to 10 walk-ins and 112 telephone calls from homeowners in distress.
- ◆ Silver Lining Initiative: In FY 2010, federal Neighborhood Stabilization Program (NSP) funding allowed the FCRHA to finance the purchase of foreclosed properties by its non-profit partners. In total, 10 foreclosed properties were purchased by non-profits using NSP, CDBG, HOME funds, representing a total of 19 units/beds of affordable rental housing, including 15 units/beds for persons with disabilities. Also in FY 2010, 11 loans totaling \$694,234 were made to first-time homebuyers purchasing foreclosed homes using federal HOME and NSP funds. The maximum income for HOME-funded loans is 80 percent of AMI. The maximum sales for houses purchased using a HOME-funded loan is \$362,790. The average income served by the Silver Lining Initiative's homeownership component in FY 2010 was \$50,313, or about 48 percent of Area Median Income (AMI) for a family of four.
- ◆ Neighborhood Preservation Efforts: A total of three Home Improvement Loan Program (HILP) loans were closed by a non-profit organization to rehabilitate foreclosed units acquired using federal NSP funds.

The number of foreclosures has decreased from a peak of 2,257 in September 2008. Additionally, mortgage financing has become more difficult to obtain. Therefore, HCD plans to phase out the Silver Lining Program and replace it with a down payment assistance program.

Housing and Community Development Program Overview

Compliance Monitoring

Compliance monitoring is an ongoing activity which encompasses a variety of HCD programs. This activity includes monitoring of:

- ◆ Over 1,400 properties sold through the First-Time Homebuyer Program (including “for-sale” ADUs);
- ◆ 1,027 privately-owned and operated rental ADUs which are located in large multifamily apartment properties across the County;
- ◆ An expected 1,212 Workforce Housing units which have been committed to be built by private developers as of May 2011;
- ◆ 2,995 Fairfax County/FCRHA-owned Public Housing and Fairfax County Rental Program (FCRP) multifamily units and 852 units (and/or beds) of senior and specialized housing; and
- ◆ Over 3,200 Housing Choice Vouchers.

In addition, HCD monitors the use of federal funds received by Fairfax County and granted to a variety of agencies and organizations. These programs include the Community Development Block Grant (CDBG), the Neighborhood Stabilization Program, and the HOME Investment Partnership (HOME) program.

FCRHA Rental Housing Programs

This function includes properties owned by the FCRHA under the Fairfax County Rental Program (FCRP) for households with modest means, as well as properties owned by limited partnerships affiliated with the FCRHA. In addition, it encompasses properties owned by the FCRHA and operated under the federal Public Housing Program and rental subsidies managed by the FCRHA and funded by the federal Housing Choice Voucher (HCV) Program for those with very low incomes. In FY 2010, the average income of households served in the FCRHA’s major multifamily affordable rental housing and tenant subsidy programs, including the federal Public Housing and HCV programs, and the FCRP, was approximately \$25,518, or 27 percent of the Area Median Income (AMI) for a family of three (the average household size in these programs). This meets the U.S. Department of Housing and Urban Development’s (HUD) definition of “extremely low income”. A total of 16,670 individuals were housed in these programs in FY 2010. In FY 2010, the FCRHA was again named a HUD “High Performer” for the HCV program. In 2010, the FCRHA’s Public Housing properties were found to be in excellent physical condition, scoring 95 out of 100 in HUD’s real estate assessment system.

In September 2010, HCD established the PROGRESS Center: the Partnership for Resident Opportunities, Growth, Resources and Economic Self Sufficiency. The Center is housed within HCD and staffed by existing employees, each bringing a rich background and experience in HCD housing programs and human services. The Center is initially focused on Public Housing residents, participants in the HCV program, and the residents at Fairfax County Rental Program properties such as Stonegate and Murraygate. The Center will be a resource within HCD for staff addressing client issues that can range from job loss to behavior issues to residents in crisis. The PROGRESS Center will focus on a number of critical areas of need, including employment and training opportunities and services related to affordable health insurance, emergency medical intervention, adult protective services, mental health services, and physical and sensory disabilities.

Housing and Community Development Program Overview

As a part of its November 2010 LOBS review, HCD announced plans to reorganize its Housing Application Center and process, to ensure the delivery of sensitive, pro-active customer service designed to achieve the goal of helping applicants find a home. HCD also proposed to establish an Asset Management Division, utilizing existing staff and consolidating resources, to focus on the financial performance, physical condition, capital improvements and accountability of the FCRHA's affordable housing properties.

FCRHA Development Activities

HCD, in conjunction with the FCRHA, facilitates the development of affordable housing by non-profit and for-profit developers through incentives and financing. HCD and FCRHA also build and own housing for low- and moderate-income families and individuals, and households with special needs. In addition, FCRHA partners with private investors, through limited partnerships, to develop and operate affordable housing. As of October 2010, construction of the FCRHA's new 90-unit active adult property at the Glens at Little River senior campus in the Braddock District was completed. In its November 2010 LOBS review, HCD recommended further utilization of the construction management resources of the Department of Public Works and Environmental Services (DPWES) for county-funded projects.

Active Adult Housing and Assisted Living

This activity provides 504 affordable active adult rental apartments in Fairfax, Herndon, Springfield, Lincolnia, McLean, and the Mount Vernon/Gum Springs areas of Fairfax County, including the new 90-unit Olley Glen facility. In addition, this activity provides 112 beds of assisted living at Braddock Glen in Fairfax (Braddock District) and at the Lincolnia Senior Center and Residence in Alexandria (Mason District). In its November 2010 LOBS review, HCD suggested the possibility of outsourcing management of the Lincolnia facility, with the concurrence of the other agencies operating in the facility and if efficiencies are likely to result.

Relocation Services and Monitoring

This program provides technical assistance and monitoring for preservation initiatives. This activity also includes relocation services for all federally-funded projects throughout the agency. In FY 2010, staff conducted relocation reviews of 166 projects for compliance with the federal Uniform Relocation Act and the Fairfax County Voluntary Relocation Assistance Guidelines.

Relocation Advisory Services for Condominium Conversion

These services provide technical assistance to developers under both the Fairfax County Relocation Guidelines and Fairfax County Code for projects where there is substantial rehabilitation and condominium conversion. Technical assistance under the federally mandated Uniform Relocation Act is provided if federal funds are involved in the project.

Affordable/Workforce Housing

The Board of Supervisors created a Workforce Housing Program through amendments to the Fairfax County Comprehensive Plan and Zoning Ordinance, and the adoption of a new Board policy. The Workforce Housing Program, based on the recommendations of the Board-appointed High-Rise Affordability Panel, is a proffer-based incentive system to encourage developers to provide workforce housing in the County's mixed-use development centers. The Board's action sets forth the expectation that 12 percent of all new residential units will be affordable to a range of moderate-incomes up to 120 percent of the AMI. As of May 2011, a total of 1,212 Workforce Dwelling Units had been committed by private developers in rezoning actions approved by the Board of Supervisors. Development of these units is expected to begin in two to three years. It is anticipated that approximately 24 workforce housing units committed by developers will be delivered on the market in FY 2011.

Housing and Community Development Program Overview

Neighborhood Preservation:

Home Improvement Loan Program and Home Repair for the Elderly

These activities provide loans to homeowners and some non-profit landlords to improve their properties. In addition, there is a crew to assist qualified elderly and disabled homeowners in making minor repairs at no charge. In FY 2010, a total of 22 loans and grants were provided to homeowners and a non-profit organization for repairs and improvements to their properties and 128 qualified disabled or elderly homeowners received free repairs. As a part of its November 2010 LOBS review, HCD proposed downsizing the scope of the Home Improvement Loan Program (HILP) to emergency situations; however, the Home Repair for the Elderly Program will be fully maintained.

Capital Formation and Capacity Building:

Impact of Federal Fiscal Year 2011 Reductions

In April 2011, H.R. 1473 was enacted, which reduced funding for the federal government through the end of its current fiscal year on September 30, 2011. The bill included significant cuts to core federal housing funding sources, including: a 16 percent reduction (\$1.034 million) in the Community Development Block Grant (CDBG) program, which funds numerous County and nonprofit community development and housing activities; a 12 percent reduction (\$323,113) in the HOME Investment Partnership Program (HOME), which provides rental assistance and homebuyer down payment assistance; an 18.4 percent (\$349,652) decrease in the Public Housing Capital Fund which supports efforts to maintain and modernize the County's aging Public Housing stock; and a reduction of 8.4 percent in administrative fees for the Housing Choice Voucher program, which support efforts to help voucher holders find affordable housing; among other reductions. These cuts have the effect of establishing a new baseline for each of these programs and could potentially lead to further reductions. The specific impact on County programs and services is being identified and associated funding adjustments will be reflected at the *FY 2011 Carryover Review*.

Impact of Funding from the American Recovery and Reinvestment Act of 2009 (ARRA) and the Neighborhood Stabilization Program (NSP)

In FY 2010, Fairfax County received a total of \$10,183,379 in federal affordable housing funds under ARRA and NSP, including:

- *ARRA:*
 - \$2.4 million for Public Housing rehabilitation under the Capital Fund grant;
 - \$1.6 million from the Community Development Block Grant (CDBG) program; and
 - \$2.4 million for homelessness prevention and rapid re-housing (HPRP).
- *NSP:*
 - \$2.8 million direct allocation from HUD; and
 - \$1.0 million from the State of Virginia's \$36 million allocation of NSP funds.

The FCRHA and HCD successfully managed the County's use of ARRA and NSP funds, which required significant flexibility in re-allocating staff and other resources to meet federal deadlines. The ARRA funds allowed the County to make significant progress on a number of critical fronts, including capital improvements at Public Housing properties, rehabilitation of Fairfax County Rental Program (FCRP) properties, funding the rehabilitation of non-profit owned affordable housing and preventing homelessness. The NSP funds were used to assist non-profit organizations in purchasing a total of 19 units of affordable housing (including beds in group homes) in foreclosed residential properties in FY 2010. In addition, a total of nine foreclosed homes were purchased by first-time homebuyers using

Housing and Community Development Program Overview

NSP funds in FY 2010. It should be noted that all Public Housing Capital Fund grant funds provided under ARRA were expended as of the end of FY 2010, and the other remaining ARRA and NSP funds will likely be fully expended prior to September 2012.

Funding Opportunities

This activity focuses on identifying and applying for available funding opportunities to leverage and supplement County funds for projects and programs. It includes federal entitlement grants such as Community Development Block Grant (CDBG) and HOME Investment Partnership Grant (HOME), other federal grants such as the Public Housing Capital Fund Program, the Neighborhood Stabilization Program, the Homelessness Prevention and Rapid Re-Housing Program, additional funding available under the American Recovery and Reinvestment Act (ARRA), and other state and local grants and loans, as well as private financing. A recent example of the FCRHA's success in applying for federal funds is the award of a \$480,000 federal Resident Opportunity and Self-Sufficiency (ROSS) Grant to fund two service coordinators over three years in the Public Housing program. This grant allowed HCD to laterally move two HCD staff members to these positions as part of the creation of the HCD PROGRESS Center.

Partnering

This activity links the FCRHA financing abilities with those of the private sector (non-profit and for-profit) to generate additional financial resources. Non-profit corporations or limited liability corporations (LLC) formed by the FCRHA partner with private investors and benefit from Federal Low Income Housing Tax Credits to fund FCRHA affordable housing for families and seniors. In addition, the FCRHA issues revenue bonds to raise funds from private investors to fund affordable housing and community facilities.

Consolidated Plan/Consolidated Community Funding Advisory Committee (CCFAC)

HCD provides leadership in developing and implementing the County's annual Consolidated Plan in conjunction with the CCFAC, a citizen committee. The Consolidated Plan is the required annual application for several entitlement grants to the County from the U.S. Department of Housing and Urban Development (HUD), which provided over \$8.9 million for local housing and community development programs in FY 2010. In FY 2010, HCD staff facilitated the development of the HUD-required Five-Year Consolidated Plan for FY 2011-2015, and the associated One Year Action Plan for FY 2011. This process included an in-depth review of Fairfax County's demographics, housing needs and other factors by the Center for Housing Research at Virginia Tech, a robust public input process, and oversight by the CCFAC. The plans, which were both substantially influenced by the Housing Blueprint, were approved by the Board of Supervisors and submitted to HUD in May 2010; the plans were subsequently approved by HUD with no identified deficiencies.

Human Services

This activity provides resources to the County's non-profit partners through the Consolidated Community Funding Pool (CCFP) for critical human services such as youth programs, housing support services, and services targeted toward the County's immigrant population. A significant portion of the funding comes from CDBG, administered by HCD, which also supports CCFP planning and administers contract awards. CCFP is providing approximately \$11.1 million in total funding for these services in FY 2012 subject to final HUD approval.

Housing and Community Development Program Overview

Affordable Housing Service Area

Goal

To implement the Board of Supervisors' Affordable Housing Goal that "opportunities should be available to all who live or work in Fairfax County to purchase or rent safe, decent, affordable housing within their means." This goal will be achieved through providing affordable housing preservation and development, technical assistance, and financing services in conjunction with the FCRHA and both for- and non-profit community partners; managing and maintaining quality affordable rental housing; administering rental housing subsidies in accordance with federal regulations and local policies; and providing homeownership opportunities to eligible households.

Key Performance Measures

Affordable Housing Preservation

Objectives

- ◆ To preserve 2,500 units of affordable housing by the end of fiscal year 2014 (from 2004 to 2014) and to leverage every \$1 in local funds invested in preservation with \$3 in non-County resources.

Indicator	Prior Year Actuals			Current Estimate	Future Estimate
	FY 2008 Actual	FY 2009 Actual	FY 2010 Estimate/Actual	FY 2011	FY 2012
Output:					
Number of affordable housing units preserved	812	152	25 / 27	29	29
Efficiency:					
Amount of General County funds per affordable housing unit preserved (1)	\$42,535	\$64,644	\$70,000 / \$7,509	\$10,000	\$10,000
Service Quality:					
Amount of funds leveraged per \$1 of County funds for units preserved (2)	\$3	\$3	\$3 / \$14	\$3	\$3
Outcome:					
Cumulative number of affordable units preserved since April 2004	2,224	2,376	2,401 / 2,403	2,432	2,461

(1) As in FY 2010, preservation activities in FY 2011 and 2012 are anticipated to rely almost exclusively on federal funding.

(2) Future year estimate dependent on the availability of additional County funding for affordable housing preservation.

Performance Measurement Results

In FY 2010, a total of 27 affordable units were preserved via FCRHA financing using federal funds; this exceeded the target but was considerably less than in previous fiscal years. Nearly all of the FY 2010 allocation for Fund 319, The Penny for Affordable Housing Fund was expended on debt service for two prior years' preservation projects, the Crescent (180 units; Hunter Mill District) and Wedgewood Apartments (672 units; Braddock District). With the dedication of Fund 319 primarily to debt service on the Crescent and Wedgewood properties, it is anticipated that future preservation efforts will rely primarily on federal funding.

Housing and Community Development Program Overview

Public Housing

Objectives

- ◆ To obtain a Public Housing Assessment System (PHAS) rating of at least 85 percent or better on a 100-point scale and maintain an occupancy rate of 95 percent or better.

Indicator	Prior Year Actuals			Current Estimate	Future Estimate
	FY 2008 Actual	FY 2009 Actual	FY 2010 Estimate/Actual	FY 2011	FY 2012
Output:					
Clients housed	2,878	2,863	2,850 / 2,866	2,850	2,850
Number of New Households Served (1)	NA	NA	NA / NA	75	75
Efficiency:					
Average income served as percentage of Area Median Income	23%	24%	30% / 23%	30%	30%
Service Quality:					
Percent on-time re-certifications	98%	100%	95% / 99%	95%	95%
Percent on-time inspections	100%	100%	95% / 100%	95%	95%
Outcome:					
Occupancy Rate (2)	98%	99%	95% / 99%	95%	95%
HUD's PHAS rating	NA	NA	85% / 89%	85%	85%

(1) New indicator for FY 2011 and 2012.

(2) Indicator moved to "Outcome" from "Service Quality" for FY 2011 and 2012.

Performance Measurement Results

In FY 2010, the Public Housing program continued to provide high quality housing to nearly 2,900 Fairfax County residents and maintained a high occupancy rate of 99 percent at the properties. Agency indicators in nearly every area of the Public Housing Assessment System (PHAS) are rated very highly, resulting in an overall PHAS rating of 89 percent in FY 2010, the most recent assessment awarded. One hundred percent of inspections, and 99 percent of re-certifications, were completed on time in FY 2010. The average household income served by the Public Housing program in FY 2010 was \$21,694, or 23 percent of the Area Median Income for a family of three (meets HUD definition of "extremely low-income").

Housing and Community Development Program Overview

Fairfax County Rental Program (FCRP)

Objectives

- ◆ To maintain an overall occupancy rate of 95 percent or higher for FCRP multi-family properties.

Indicator	Prior Year Actuals			Current Estimate	Future Estimate
	FY 2008 Actual	FY 2009 Actual	FY 2010 Estimate/Actual	FY 2011	FY 2012
Output:					
Individuals housed (1)	4,941	5,956	5,900 / 5,666	5,600	5,600
Number of units in program (2)	2,062	2,066	2,066 / 2,069	2,069	2,069
Efficiency:					
Average income served as a percentage of Area Median Income	42%	42%	45% / 39%	45%	45%
Service Quality:					
Percent on-time re-certifications (3)	98%	99%	95% / 99%	95%	95%
Outcome:					
Occupancy rate (4)	96%	96%	95% / 97%	95%	95%

(1) Current and future year estimates, reflect a change in methodology for counting individuals housed. In FY 2009 and prior years, this count included residents of certain Public Housing units that are part of tax-credit partnership properties managed under FCRP; starting in FY 2010, these units have been excluded.

(2) Includes all FCRP multifamily units, the Woodley Hills mobile home park and the Coan Pond working singles residences; does not include senior housing properties and certain special needs programs.

(3) Indicator includes all FCRHA-managed FCRP multifamily rental properties, excluding active senior properties.

(4) Indicator moved to "Outcome" for FY 2011 and FY 2012.

Performance Measurement Results

In FY 2010, there were 2,069 housing units for families and singles in the program, and 5,666 individuals were housed. The occupancy rate was 97 percent and the average household income served was \$36,509, or 39 percent of the Area Median Income for a family of three (meets HUD definition of "very low-income"). A total of 99 percent of re-certifications of all FCRHA-managed FCRP multifamily rental properties, excluding active senior properties, were conducted on-time.

Housing and Community Development Program Overview

Section 8

Objectives

- ◆ To obtain a Section 8 Management Assessment rating of 90 percent or better on a 100-point scale in the categories of timeliness and quality of inspections, rent calculations, lease-ups and contract enforcement, as well as in nine other areas specified by HUD.

Indicator	Prior Year Actuals			Current Estimate	Future Estimate
	FY 2008 Actual	FY 2009 Actual	FY 2010 Estimate/Actual	FY 2011	FY 2012
Output:					
Individuals housed (1)	8,264	8,454	8,500 / 8,138	8,500	8,500
Efficiency:					
Average income served as a percentage of Area Median Income	21%	21%	30% / 21%	30%	30%
Service Quality:					
Utilization rate	98%	102%	98% / 100%	98%	98%
Percent on-time inspections	98%	100%	95% / 100%	95%	95%
Percent on-time recertifications	99%	99%	95% / 100%	95%	95%
Outcome:					
HUD SEMAP rating	102%	102%	85% / 102%	90%	90%

(1) Estimate for FY 2011 and FY 2012 reflects new allocations of Family Unification Program vouchers, Veterans Affairs Supportive Housing (VASH) vouchers, and preservation vouchers for Winter Hill property (City of Falls Church).

Performance Measurement Results

The FCRHA's federal Housing Choice Voucher program housed over 8,000 individuals in FY 2010. The average household income served in FY 2010 was \$19,212, or approximately 21 percent of the Area Median Income for a family of three (meets HUD definition of "extremely low-income"). The targets for utilization rate, percent of on-time inspections, and percent of on-time re-certifications were all met. In addition, the FCRHA was again designated a "High Performer" by the United States Department of Housing and Urban Development (HUD), receiving a 102 percent HUD SEMAP score; this demonstrates highly effective stewardship of this vital federal affordable housing resource.

Housing and Community Development Program Overview

Elderly Housing Programs

Objectives

- ◆ To maintain an occupancy rate of 95 percent or higher and accurately track the cost for two subsidized Assisted Living facilities that contain a total of 112 beds.
- ◆ To maintain a customer satisfaction rating of 90 percent or higher and maintain an occupancy rate of 95 percent or higher in independent living facilities.

Indicator	Prior Year Actuals			Current Estimate	Future Estimate
	FY 2008 Actual	FY 2009 Actual	FY 2010 Estimate/Actual	FY 2011	FY 2012
Output:					
Assisted Living clients housed	112	112	112 / 111	112	112
Independent Living individuals housed (1)	184	442	414 / 447	434	504
Efficiency:					
Assisted Living cost per client (2)	\$29,916	\$26,579	\$29,000 / \$28,580	\$29,000	\$30,000
Independent Living cost per client	\$9,370	\$10,704	\$12,000 / \$10,550	\$12,000	\$12,000
Service Quality:					
Assisted Living occupancy rate (3)	98%	95%	95% / 95%	95%	95%
Independent Living occupancy rate	99%	98%	95% / 96%	95%	95%
Outcome:					
Assisted living overall customer satisfaction rating (3)	NA	NA	NA / 96%	95%	95%
Independent Living overall customer satisfaction rating	91%	85%	85% / 96%	90%	90%

(1) Current and future year estimates refer to clients housed in all senior independent living units, including those managed by the FCRHA and properties managed by third-party firms under contract with the FCRHA. Future year estimate includes the new Olley Glen community.

(2) Includes all operating costs except major capital expenditures.

(3) Occupancy and customer satisfaction may be affected at the Lincolnia property due to major renovations initiated FY 2011 and anticipated to be completed in FY 2012.

Performance Measurement Results

Elderly Housing – Assisted Living

In FY 2010, this performance measure addressed performance of two assisted living developments with 112 beds/units (Braddock Glen and the Lincolnia Senior Center and Residence). The cost per client was \$28,580 in FY 2010 and the properties maintained a 95 percent occupancy rate.

Elderly Housing – Independent Living

In FY 2010, this performance measure addressed performance of all 414 senior independent living units under FCRHA management (Little River Glen, Lincolnia Senior Center and Residence and Lewinsville), and those units under management by private third party. In FY 2010, a total of 447 individuals were housed, and the cost per client was \$10,550. The properties, including those managed by the FCRHA and those managed by third-party firms under contract with the FCRHA, maintained a 96 percent occupancy rate in FY 2010. The overall customer service satisfaction rating was 96 percent.

Housing and Community Development Program Overview

Homeownership

Objectives

- ◆ To obtain a Program Assessment rating of 95 percent or better on indicators addressing sales rate, foreclosures and rate of participation.

Indicator	Prior Year Actuals			Current Estimate	Future Estimate
	FY 2008 Actual	FY 2009 Actual	FY 2010 Estimate/Actual	FY 2011	FY 2012
Output:					
First-time homebuyers	152	120	100 / 63	50	100
Efficiency:					
Cost per new homeowner	\$1,888	\$2,633	\$2,200 / \$5,306	\$5,000	\$3,000
Non-County funds leveraged for Fairfax County First-Time Homebuyers (1)	\$20,208,613	\$15,890,554	\$10,000,000 / \$7,288,968	\$3,500,000	\$1,750,000
Service Quality:					
Participant satisfaction survey scores	94%	95%	95% / 94%	95%	95%
Outcome:					
Assessment rating	95%	95%	95% / 93%	95%	95%

(1) Non-County funds consist primarily of the VHDA set-aside of first-trust mortgage funds, via its Sponsoring Partnerships and Revitalizing Communities (SPARC) program, for Fairfax County first-time homebuyers. Qualified purchasers access these funds through HCD's homeownership programs. Current and future year estimates based on anticipated re-focusing of the SPARC program by VHDA, which may reduce the funding available for first-time homebuyers in Fairfax County.

Performance Measurement Results

The number of new and resale units varies from year to year, due to a variety of external factors such as real estate market conditions and the economy. The pace of real estate development in the County determines the timing of the production of affordable dwelling units (ADUs) within new residential developments. In FY 2010, 63 first time homebuyers achieved homeownership utilizing HCD programs. The cost per client was \$5,306 per new homeowner in FY 2010, which was higher than the target due to a decrease in the overall number of purchasers.

A total of \$7,288,968 in non-County funds was leveraged in investments in homeownership for Fairfax County first-time homebuyers. Non-County funds were made up primarily of the Virginia Housing Development Authority's (VHDA) set-aside of first trust mortgage funds via its SPARC program for Fairfax County first-time homebuyers; qualified purchasers accessed these funds through HCD's homeownership programs.

In addition, the HCD Homeownership Resource Center responded to 2,721 telephone calls and 2,143 walk-ins in FY 2010, and the service delivery satisfaction rate was 94 percent.

Housing and Community Development Program Overview

Neighborhood Preservation

Objectives

- ◆ To preserve and improve County residential properties by providing home improvement/rehabilitation services and financing to income-eligible households and non-profit organizations.

Indicator	Prior Year Actuals			Current Estimate	Future Estimate
	FY 2008 Actual	FY 2009 Actual	FY 2010 Estimate/Actual	FY 2011	FY 2012
Output:					
Number of properties improved and enhanced (1)	268	136	115 / 150	118	100
Efficiency:					
Amount of General County funds per property improved or enhanced	\$630	\$550	\$500 / \$2,398	\$2,400	\$2,400
Service Quality:					
Amount of leveraged funds per \$1 of County funds	\$13	\$5	\$5 / \$1	\$1	\$1
Outcome:					
Cumulative number of properties improved or enhanced since 2000 through County programs	1,092	1,228	1,343 / 1,378	1,496	1,596

(1) FY 2011 and FY 2012 estimates reflect the partial suspension of the Home Improvement Loan Program (HILP) due to staff reductions.

Performance Measurement Results

In FY 2010, 150 properties were improved or enhanced, the amount of County General funds per property improved or enhanced was \$2,398, and \$1 in non-County funds was leveraged for every \$1 in County funds expended. As of the end of FY 2010, a total of 1,378 properties were improved through County programs. Current year and future year targets have been adjusted to reflect the anticipated downsizing in scope of the Home Improvement Loan Program (HILP) in FY 2011 and FY 2012.

Housing and Community Development Program Overview

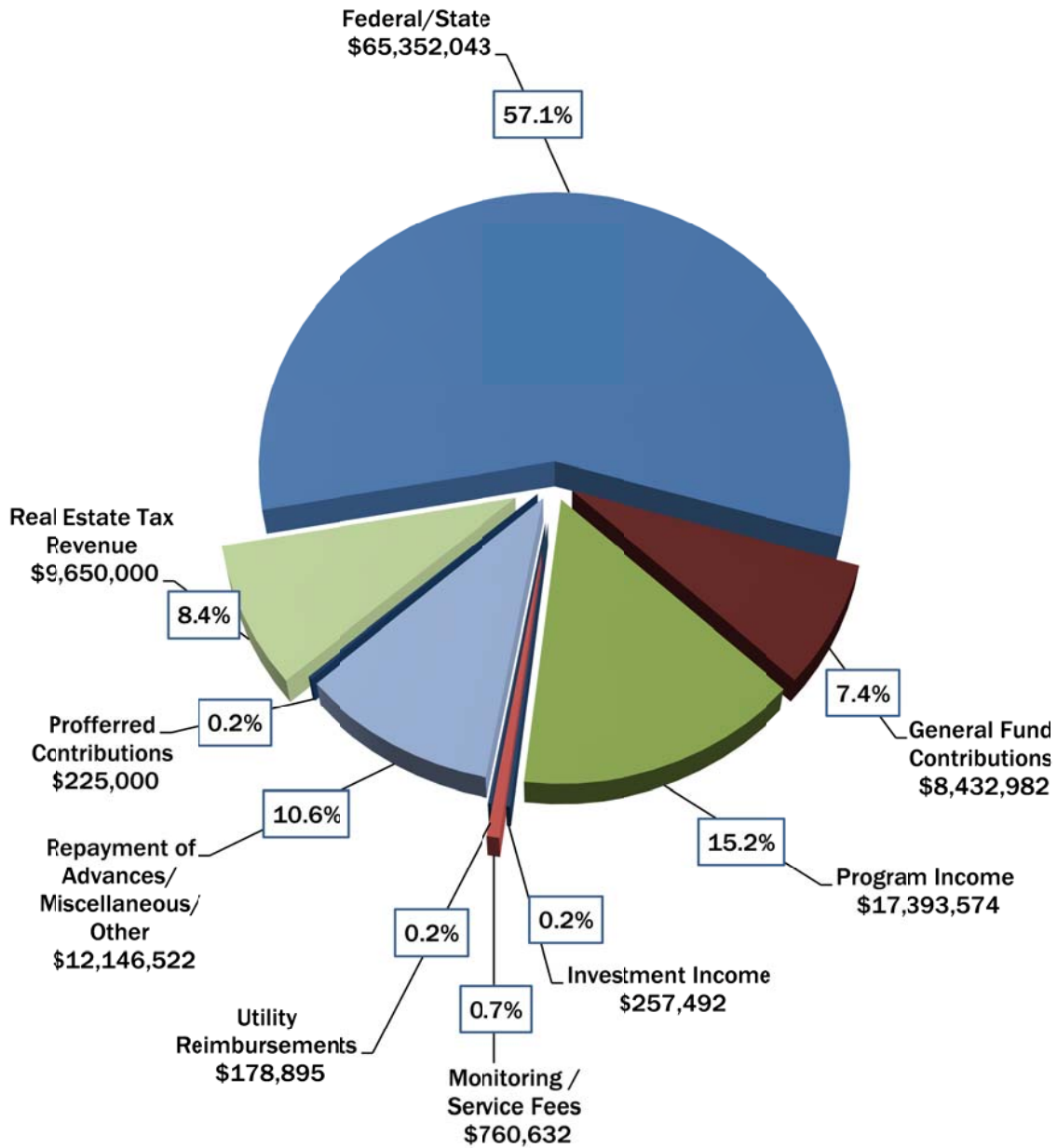
CONSOLIDATED FUND STATEMENT

	FY 2010 Actual	FY 2011 Adopted Budget Plan	FY 2011 Revised Budget Plan	FY 2012 Advertised Budget Plan	FY 2012 Adopted Budget Plan
Beginning Balance	\$56,005,657	\$34,061,436	\$55,723,097	\$43,057,241	\$40,912,006
Revenue:					
Federal/State	\$64,193,540	\$57,547,322	\$88,275,692	\$65,352,043	\$65,352,043
General Fund Contributions	9,134,191	8,432,982	8,534,985	8,432,982	8,432,982
Program Income	17,755,497	16,084,784	18,947,366	17,393,574	17,393,574
Sale of Bonds	0	0	4,356,833	0	0
Investment Income	230,797	403,431	183,847	257,492	257,492
Monitoring/Service Fees	580,877	581,507	807,274	760,632	760,632
Utility Reimbursements	151,438	178,895	151,438	178,895	178,895
Repayment of Advances	1,726,871	23,657	23,657	8,298	8,298
Proffered Contributions	90,850	815,000	200,000	225,000	225,000
Real Estate Tax Revenue	10,270,000	9,340,000	9,340,000	9,650,000	9,650,000
Miscellaneous/Other	12,278,502	11,566,120	14,907,844	12,138,224	12,138,224
Total Revenue¹	\$116,412,563	\$104,973,698	\$145,728,936	\$114,397,140	\$114,397,140
Total Available	\$172,418,220	\$139,035,134	\$201,452,033	\$157,454,381	\$155,309,146
Expenditures:					
Personnel Services	\$15,154,830	\$15,560,218	\$16,280,690	\$16,060,343	\$16,060,343
Operating Expenses	65,857,817	64,293,554	76,563,063	71,927,252	71,927,252
Capital Equipment	0	0	0	0	0
Grant Projects	9,009,143	8,689,961	26,844,173	9,155,745	9,155,745
Capital Projects	26,673,333	15,587,632	40,852,101	16,253,176	16,253,176
Total Expenditures¹	\$116,695,123	\$104,131,365	\$160,540,027	\$113,396,516	\$113,396,516
Total Disbursements	\$116,695,123	\$104,131,365	\$160,540,027	\$113,396,516	\$113,396,516
Ending Balance	\$55,723,097	\$34,903,769	\$40,912,006	\$44,057,865	\$41,912,630

¹ Designations are based on fund category, for example, Fund 340, Housing Assistance Programs is included in Capital Projects although some funding is used to support Personnel Services. Fund 949, FCRHA Internal Service Fund, was included as a separate housing fund beginning in FY 1998. Revenues and expenditures for this fund are included in the Consolidated Fund Statement, but do not increase total funding available to the agency. As such, this funding is netted out of the Program Area Summary by Fund.

Housing and Community Development Program Overview

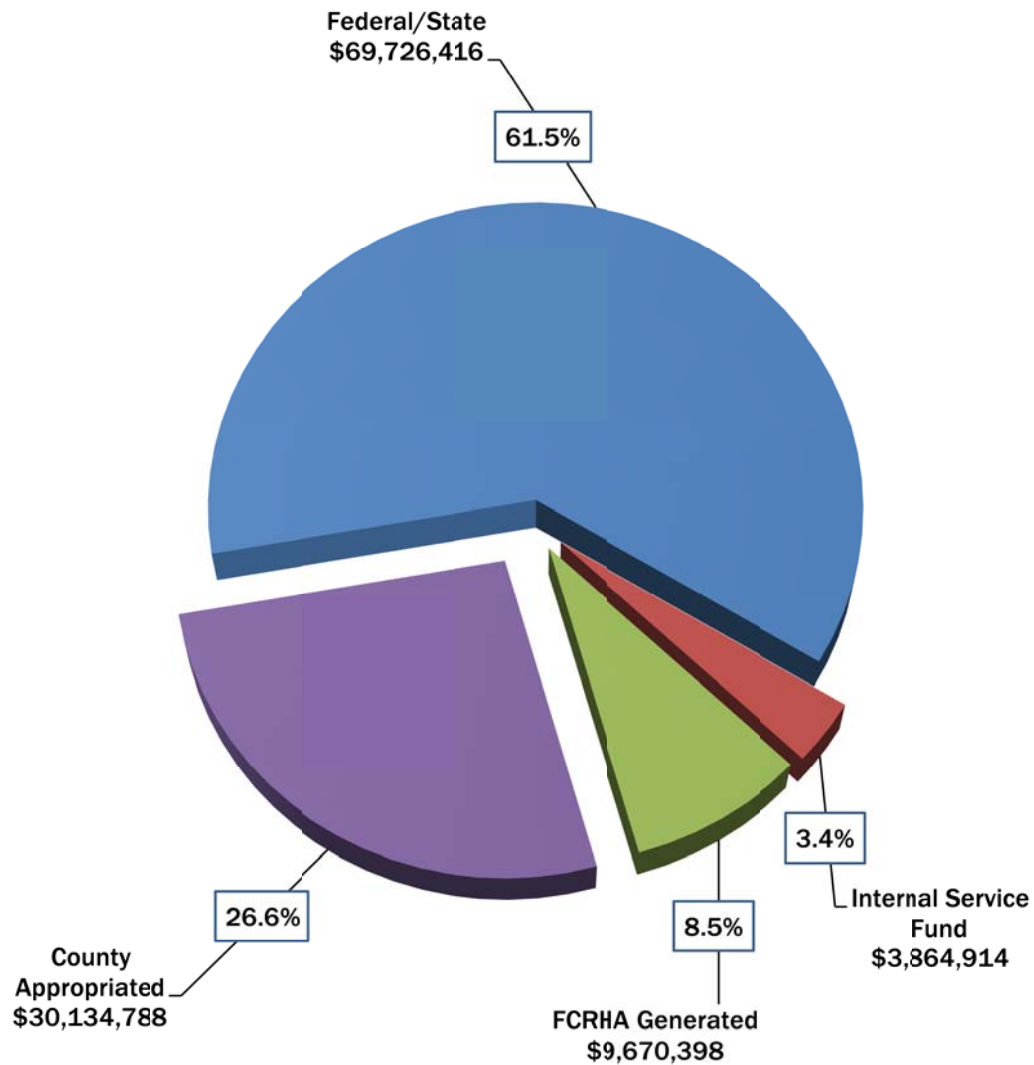
HOUSING PROGRAMS FY 2012 SOURCE OF FUNDS



TOTAL REVENUES = \$114,397,140

Housing and Community Development Program Overview

HOUSING PROGRAMS FY 2012 EXPENDITURES



TOTAL EXPENDITURES = \$113,396,516

Housing and Community Development Program Overview

Budget and Staff Resources

Program Area Summary by Fund					
Category	FY 2010 Actual	FY 2011 Adopted Budget Plan	FY 2011 Revised Budget Plan	FY 2012 Advertised Budget Plan	FY 2012 Adopted Budget Plan
Authorized Positions/Staff Years					
Regular	218 / 218	218 / 218	218 / 218	219 / 219	218 / 218
Grant	10 / 10	10 / 10	12 / 12	12 / 12	12 / 12
Total Positions	228 / 228	228 / 228	230 / 230	231 / 231	230 / 230
County Appropriated Funds:					
Operating:					
Department of Housing and Community Development					
141 Elderly Housing Programs	\$6,585,966	\$5,928,757	\$6,030,760	\$5,928,757	\$5,928,757
143 Homeowner and Business Loan Programs	3,536,038	4,186,706	5,201,767	4,159,501	4,159,501
	5,358,888	3,883,825	8,629,710	4,514,316	4,514,316
Total Operating Expenditures	\$15,480,892	\$13,999,288	\$19,862,237	\$14,602,574	\$14,602,574
Capital:					
144 Housing Trust Fund	\$2,177,035	\$840,000	\$4,235,632	\$348,814	\$348,814
319 The Penny for Affordable Housing Fund	18,186,529	13,458,400	19,864,899	14,668,400	14,668,400
340 Housing Assistance Program	1,374,801	515,000	8,355,876	515,000	515,000
Total Capital Expenditures	\$21,738,365	\$14,813,400	\$32,456,407	\$15,532,214	\$15,532,214
Total County Appropriated Fund	\$37,219,257	\$28,812,688	\$52,318,644	\$30,134,788	\$30,134,788
Federal/State Support:					
965 Housing Grants Fund	\$179,357	\$0	\$651,567	\$0	\$0
966 Section 8 Annual Contribution	44,481,899	43,607,618	49,373,304	50,911,987	50,911,987
967 Public Housing, Projects Under Management	9,065,918	9,181,813	9,655,921	9,658,684	9,658,684
969 Public Housing, Projects Under Modernization	4,007,098	0	3,220,899	0	0
142 Community Development Block Grant	7,576,868	5,982,304	17,122,933	6,463,133	6,463,133
145 HOME Investment Partnerships Grant	1,252,918	2,707,657	9,069,673	2,692,612	2,692,612
Total Federal/State Support	\$66,564,058	\$61,479,392	\$89,094,297	\$69,726,416	\$69,726,416
FCRHA Generated Funds:					
940 FCRHA General Operating	\$2,263,219	\$2,406,754	\$2,505,508	\$2,516,625	\$2,516,625
941 Fairfax County Rental Program	4,501,535	4,722,253	4,873,476	4,667,664	4,667,664
945 Non-County Appropriated Rehabilitation Loan	0	25,000	25,000	25,000	25,000
946 FCRHA Revolving Development	44,212	0	2,092,050	0	0
948 FCRHA Private Financing	883,658	774,232	3,082,745	720,962	720,962
949 Internal Service Fund	3,902,344	4,212,326	4,499,872	3,864,914	3,864,914
950 Housing Partnerships	1,316,840	1,698,720	2,048,435	1,740,147	1,740,147
Subtotal, FCRHA Funds	\$12,911,808	\$13,839,285	\$19,127,086	\$13,535,312	\$13,535,312
Less:					
949 Internal Service Fund	(\$3,902,344)	(\$4,212,326)	(\$4,499,872)	(\$3,864,914)	(\$3,864,914)
Total, FCRHA Funds	\$9,009,464	\$9,626,959	\$14,627,214	\$9,670,398	\$9,670,398
Total, All Sources	\$116,695,123	\$104,131,365	\$160,540,027	\$113,396,516	\$113,396,516
Less:					
949 Internal Service Fund	(\$3,902,344)	(\$4,212,326)	(\$4,499,872)	(\$3,864,914)	(\$3,864,914)
Net Total, All Sources	\$112,792,779	\$99,919,039	\$156,040,155	\$109,531,602	\$109,531,602

Note: Fund 142, Community Development Block Grant, and Fund 145, HOME Investment Partnership Grant, are federally-supported County Appropriated funds and have been reflected under the Federal/State Support Category. While the Board of Supervisors appropriates funding in these funds by project, the source of revenue is the federal government. The FY 2012 preliminary estimated federal funding for Fund 142, Community Development Block Grant (CDBG), is \$6,463,133 and for Fund 145, HOME Investment Partnership Grant (HOME), is \$2,692,612.

Housing and Community Development Program Overview

Position Summary		
<p><u>ADMINISTRATION</u> General Fund: 1 Director 1 Deputy Director 1 HCD Division Director 1 H/C Developer IV 1 Human Resources Generalist II 1 Info. Tech. Prog. Mgr. I 2 Network/Telecom Analysts II 2 Administrative Assistants IV</p> <p>CDBG: 1 GIS Analyst II</p> <p>FCRHA: 1 Information Officer III 1 Information Officer II 3 Administrative Assistants IV 1 Administrative Assistant III 1 Administrative Assistant II 1 H/C Developer V</p> <p>Section 8: 1 Network Telecom. Analyst III</p> <p>Public Housing: 1 Administrative Assistant II</p> <p><u>COMMUNITY IMPROVEMENT</u> General Fund: 1 Deputy Director 1 HCD Division Director 1 H/C Developer IV 1 Administrative Assistant IV 1 Finance Manager</p> <p><u>DESIGN, DEVELOPMENT AND CONSTRUCTION</u> General Fund: 1 HCD Division Director 2 H/C Developers IV</p> <p>CDBG: 2 H/C Developers IV 1 Administrative Assistant IV</p> <p>HOME: 1 H/C Developer IV 1 Housing Services Specialist II</p> <p>FCRHA: 1 Division Director 1 H/C Developer V 2 H/C Developers III 1 H/C Developer II</p> <p><u>FINANCIAL MANAGEMENT</u> General Fund: 1 Finance Manager 1 Financial Specialist IV 2 Accountants II 2 Accountants I 2 Administrative Assistants IV 4 Administrative Assistants III 1 Contract Analyst III</p> <p>CDBG: 1 Accountant III 1 Administrative Assistant V 1 Housing Community Developer V</p>	<p><u>FINANCIAL MANAGEMENT (cont.)</u> FCRHA: 1 Financial Specialist IV 1 Financial Specialist III 1 Accountant III 1 Accountant II 1 Administrative Assistant II</p> <p>Section 8: 1 Accountant II 1 Administrative Assistant IV</p> <p>Public Housing: 1 Financial Specialist IV 1 Accountant III 2 Administrative Assistants III</p> <p><u>HOUSING MANAGEMENT</u> General Fund: 2 HCD Division Directors 1 Housing Services Specialist IV 1 H/C Developer IV 1 H/C Developer II 1 Material Mgmt. Supervisor 1 Administrative Associate 2 Administrative Assistants IV 1 Administrative Assistant III</p> <p>Elderly Housing Programs: 1 Director of Senior Housing 1 Housing Services Specialist V 1 Housing Services Specialist IV 1 Housing Services Specialist III 1 Housing Services Specialist II 1 Housing Services Specialist I 1 Sr. Mech. Sys. Supervisor 1 Trades Supervisor 1 Electrician II 3 Facility Attendants II 1 General Bldg. Maint. Worker I 1 Administrative Assistant V 1 Maintenance Trade Helper II</p> <p>CDBG: 1 Housing Services Specialist IV 3 Housing Services Specialists II 1 Administrative Assistant IV</p> <p>FCRHA: 1 Housing Services Specialist IV 1 Housing Services Specialist II 1 Assistant Supr. Facilities Support</p> <p>FCRP: 1 Chief Accounting Fiscal Officer 1 H/C Developer V 1 H/C Developer II 1 Housing Services Specialist IV 3 Housing Services Specialists II 1 Trades Supervisor 1 Electrician II 1 Engineering Technician II 1 Plumber II 3 General Bldg. Maint. Workers II 2 General Bldg. Maint. Workers I 1 Administrative Assistant IV 1 Administrative Assistant III 1 Housing Manager 1 Materials Management Spec. III 1 Human Services Assistant</p>	<p><u>HOUSING MANAGEMENT (cont.)</u> Housing Partnerships: 2 Housing Services Specialists II 1 Housing Services Specialist I 1 HVAC II 2 Gen. Bldg. Maint. Workers II 1 Plumber I 1 Administrative Assistant III 1 Administrative Assistant II</p> <p>Housing Grants: 2 Housing Srvcs. Specialists III 2G 1 Housing Srvcs. Specialist II G 1 Housing Comm. Developer III G</p> <p>Section 8: 3 Housing Srvcs. Spclsts. V 1G 5 Housing Srvcs. Spclsts. III 24 Housing Srvcs. Spclsts. II 3G 1 Human Services Assistant 2 Administrative Assistants III 1 Administrative Assistant II</p> <p>Public Housing: 1 HCD Division Director 1 H/C Developer V 1 Management Analyst I 1 Human Srvcs. Coordinator II 2 Housing Srvcs. Specialists V 2 Housing Srvcs. Specialists III 1G 12 Housing Services Specialists II 3 Housing Services Specialists I 1 Trades Supervisor 4 HVACs I 6 General Bldg. Maint. Workers II 4 General Bldg. Maint. Workers I 1 Locksmith II 2 Plumbers II 1 Administrative Assistant IV 1 Administrative Assistant III</p> <p>Public Housing/Modernization: 1 H/C Developer V G 2 H/C Developers III 2G</p> <p><u>REAL ESTATE FINANCE AND GRANTS MANAGEMENT</u> General Fund: 1 H/C Developer IV 1 H/C Developer I</p> <p>CDBG: 1 Real Estate/Grant Manager 3 H/C Developers IV 2 H/C Developers III 1 Sr. Maintenance Supervisor 2 Gen. Bldg. Maint. Workers I 1 Administrative Assistant IV</p> <p>FCRHA: 2 H/C Developers V 1 Management Analyst III</p>
<p>TOTAL POSITIONS G Denotes Grant Position 218 Positions / 218.0 Staff Years 12/12.0 SYE Grant Positions in Funds 965, 966, 967, and 969</p>		

Housing and Community Development Program Overview

Housing Fund Structure

In many cases HCD service areas span multiple elements of the fund structure which follows. For example, the General Fund and the FCRHA Operating staff support most of the activities of the Department.

- ◆ County General Fund
 - Fund 001, General Operating

This fund supports positions in Agency 38, HCD, and provides subsidies for the operation of some rental housing programs. Subsidies include support for expenses such as administrative and maintenance staff costs, as well as a portion of condominium fees for certain FCRHA-owned units, limited partnership real estate taxes, and building maintenance.
- ◆ FCRHA General Operating
 - Fund 940, FCRHA General Operating

This fund includes all FCRHA revenues generated by financing fees earned from issuance of bonds, monitoring and service fees charged to developers, investment income, project reimbursements, consultant fees, and ground rents on land leased to developers. Revenues support operating expenses for the administration of the private activity bonds, the Home Improvement Loan Program staff, and other administrative costs, which crosscut many of the housing programs.
- ◆ Local Rental Housing Program
 - Fund 941, Fairfax County Rental Program (FCRP)

Fund 941 covers the operation of housing developments that are owned or managed by the FCRHA, other than federally-assisted public housing and certain County-supported rental housing. This includes operating costs for the FCRP units, the Woodley Hills Estates manufactured housing development, and projects regulated by the Virginia Housing Development Authority, including group homes for the disabled and mentally handicapped. These latter units are owned and maintained by FCRHA; however, programs for the residents are administered by the Fairfax-Falls Church Community Services Board.
 - Fund 950, FCRHA Housing Partnerships

Fund 950 was established in FY 2002 to budget and account for revenue and expenditures related to the housing developments owned by partnerships between FCHRA and private investors. Financial records for these partnerships are maintained separately, outside the County financial systems, in order to meet accounting and reporting requirements. However, HCD provides staff support to some of these developments and procures goods and services on behalf of these partnerships which are reflected in Fund 950. Previously, these items were included in Fund 941.
- ◆ Federal Section 8 Rental Assistance
 - Fund 966, Section 8 Annual Contribution

The Section 8/Housing Choice Voucher program is a federal housing rental assistance program for lower income families to assist them in leasing housing in the private marketplace. A portion of rent payments is provided by HUD, through HCD, and is calculated under various formulas, incorporating family income and the fair market rent for various types of housing in the Washington Metropolitan Area. The FCRHA administers the program, providing rental vouchers to eligible participants and rental subsidies to certain housing developments.

Housing and Community Development Program Overview

◆ Public Housing Program

- Fund 967, Public Housing, Projects Under Management
- Fund 969, Public Housing, Projects Under Modernization

These funds represent the Federal Public Housing Program that supports the operation, modernization, or acquisition of rental housing to be owned and operated by local housing authorities such as the FCRHA. The Public Housing Program had been divided into two separate components: projects in operation and modernization of existing Public Housing facilities. Under the program qualifications for Public Housing, units are leased to low-income tenants, and tenants pay no more than 30 percent of adjusted income toward dwelling rent or a minimum of \$50 per month.

◆ Special Revenue Funds

- Fund 141, Elderly Housing Programs
- Fund 142, Community Development Block Grant (CDBG)
- Fund 143, Homeowner and Business Loan Programs
- Fund 144, Housing Trust Fund
- Fund 145, HOME Investment Partnership Grant (HOME)
- Fund 945, Non-County Appropriated Rehabilitation Loan Program

These funds include housing programs which have a variety of sources of revenue, including rental income, federal/state support, bank funds, or proffered contributions. Elderly Housing Programs in Fund 141 provide for the operation of FCRHA-owned affordable housing for the low- and moderate-income elderly of the County. The CDBG program in Fund 142 is a federal grant that is used to conserve and upgrade neighborhoods through the provision of public facilities, support for community services and stimulation of development of low- and moderate-income housing. The Homeowner and Business Loan Programs in Fund 143 support homeowner assistance, such as the Moderate Income Direct Sales Program, which aids homeowners in the purchase of homes, as well as a federal grant aimed at providing loans to small and minority businesses. Fund 144 utilizes proffered contributions from private developers, County contributions, and investment earnings to encourage the preservation, development, and redevelopment of affordable housing by the FCRHA, non-profit sponsors, and the private sector. The HOME program in Fund 145 is a federal grant program that supports provision of affordable housing through acquisition, rehabilitation, new construction, and tenant-based rental assistance. Fund 945, Non-County Appropriated Rehabilitation Loan Fund, represents funds raised from private sources for the rehabilitation and upgrading of housing, and works in conjunction with County-appropriated funds in the CDBG and the Homeowner and Business Loan Program funds.

◆ Capital Projects

- Fund 319, The Penny for Affordable Housing Fund
- Fund 340, Housing Assistance Program

These funds provide County support for both affordable housing and community revitalization capital projects. Fund 319, The Penny for Affordable Housing Fund, is designed to provide funds to quickly and significantly impact the availability of affordable housing in the County within established criteria. In FY 2011, HCD established the Bridging Affordability program. It was conceived during the development of the Housing Blueprint, and is intended to provide local rental subsidies to individuals and families experiencing homelessness and households currently on Fairfax County's affordable housing waiting lists, including those managed by the Fairfax County Redevelopment and Housing Authority, the Fairfax-Falls Church Community Services Board, the Office to Prevent and End Homelessness and the homeless shelters. In FY 2011, it is expected that the Bridging Affordability program will serve 48 homeless individuals and families and 364 households on the county's waiting lists. Per the Board's direction in the Housing

Housing and Community Development Program Overview

Blueprint, the Bridging Affordability Program will be administered by HCD with specific grants made to one or more of the county's non-profit partners. HCD will provide program compliance, inspect units and administer the contracts with the non-profit partners. As designated by the Housing Blueprint, a portion of the operations revenue at the County-owned Wedgewood property will be used to fund the program, including two merit positions that will support the program. Fund 340, Housing Assistance Program, supports countywide residential improvement and repair projects, including staff resources, marketing, consultant services and capitalized projects.

◆ FCRHA Development Support

- Fund 946, FCRHA Revolving Development
- Fund 948, FCRHA Private Financing

Fund 946 provides development support for site investigation for proposed new projects and provides temporary advances for architectural and engineering plans, studies, or fees for which federal, state, County, or private funds will reimburse the FCRHA at a later date. Funding capital improvement projects for existing FCRP units is also provided. Fund 948, FCRHA Private Financing, is used to budget and report costs for two types of funds: those borrowed by the FCRHA from private lenders and other sources, and funds for FCRHA projects which are generated through the sale of FCRHA bonds.

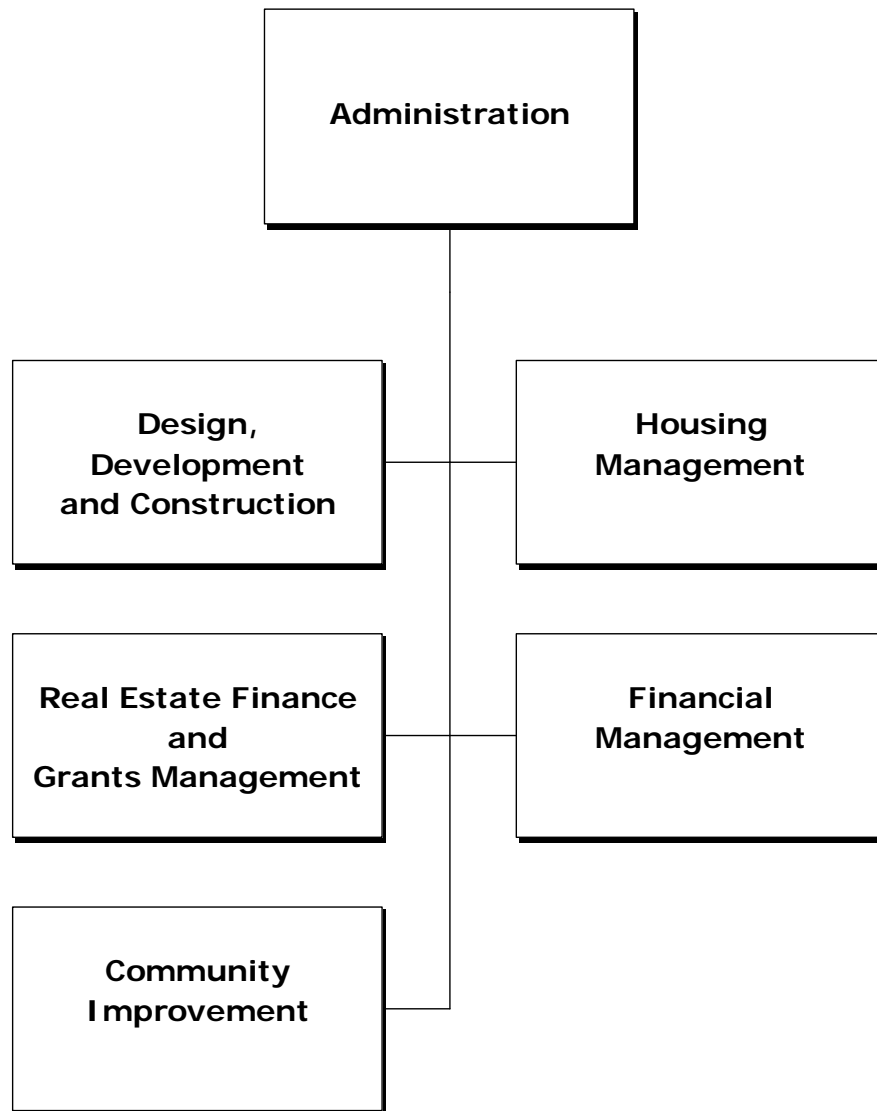
◆ FCRHA Internal Service Fund

- Fund 949, FCRHA Internal Service Fund, was established in FY 1998 to charge for goods and services that are shared among several housing funds. These costs include items such as office supplies, telephones, postage, copying, insurance, and audits which have been budgeted and paid from one of the FCRHA's funds and then allocated to the other funds proportionate to their share of the costs. This fund also includes costs associated with the maintenance and operation of FCRHA housing development, such as service contracts for extermination, custodial work, elevator maintenance, and grounds maintenance. The fund allows one contract to be established for goods and services, as opposed to multiple contracts in various funds.

◆ FCRHA Grant Fund

- Fund 965, Housing Grants, was established in FY 2000 to administer grants awarded to the FCRHA. The grants currently in this fund are awarded by the U.S. Department of Housing and Urban Development (HUD), based on competitive applications for funding, and provide for rent subsidies, counseling services, support services, operating expenses, and property improvements. HCD established the PROGRESS Center in FY 2011 to focus on a number of critical areas including employment and training opportunities and services related to affordable health insurance, emergency medical intervention, adult protective services, mental health services, and physical and sensory disabilities for our program residents. The key to connecting FCRHA residents to these services and resources will be partnerships established with other county agencies. Such partnerships already exist but are in the process of being formalized. They include partnerships with the Northern Virginia Workforce Investment Board and its non-profit employment training and job placement arm—The SkillSource Group, Inc. (SkillSource) and partnerships with sister county agencies including the Fairfax County Department of Family Services (DFS) and the Fairfax-Falls Church Community Services Board (CSB). The federal ROSS (Residential Opportunity Self Sufficiency) Grant totaling \$480,000 will be used to support this program.

Department of Housing and Community Development



Mission

To provide the residents of the County with safe, decent and more affordable housing for low- and moderate-income households. In addition, the Department of Housing and Community Development seeks to preserve, upgrade and enhance existing neighborhoods through conservation and rehabilitation of housing, and through the provision of public facilities and services.

Focus

The Fairfax County Department of Housing and Community Development (HCD) provides housing opportunities for low- and moderate-income residents in Fairfax County and assists in the renovation and improvement of neighborhoods. HCD, which acts as staff to the Fairfax County Redevelopment and Housing Authority (FCRHA), supports, develops and administers a wide variety of FCRHA programs, including:

- ◆ Rental housing and tenant subsidies;
- ◆ Specialized housing;
- ◆ Loans for home ownership and home improvement;

Department of Housing and Community Development

- ◆ Affordable housing finance; and
- ◆ Community development.

County resources within the General Fund provide support for positions in Agency 38, Housing and Community Development (HCD). These positions coordinate the County's community development and improvement programs, support the development and operation of FCRHA assisted housing, and provide critical support in financial management, computer network operations and policy planning.

The General Fund also supports the federal public housing and local rental programs by funding a portion of the administrative and maintenance staff costs, as well as condominium fees, limited partnership real estate taxes and building maintenance.

Budget and Staff Resources

Agency Summary					
Category	FY 2010 Actual	FY 2011 Adopted Budget Plan	FY 2011 Revised Budget Plan	FY 2012 Advertised Budget Plan	FY 2012 Adopted Budget Plan
Authorized Positions/Staff Years					
Regular	44 / 44	44 / 44	43 / 43	44 / 44	43 / 43
Expenditures:					
Personnel Services	\$3,737,385	\$4,181,534	\$3,902,534	\$4,181,534	\$4,181,534
Operating Expenses	3,105,331	2,259,723	2,361,726	2,259,723	2,259,723
Capital Equipment	0	0	0	0	0
Subtotal	\$6,842,716	\$6,441,257	\$6,264,260	\$6,441,257	\$6,441,257
Less:					
Recovered Costs	(\$256,750)	(\$512,500)	(\$233,500)	(\$512,500)	(\$512,500)
Total Expenditures	\$6,585,966	\$5,928,757	\$6,030,760	\$5,928,757	\$5,928,757

FY 2012 Funding Adjustments

The following funding adjustments reflect all changes to the FY 2011 Adopted Budget Plan, as approved by the Board of Supervisors on April 26, 2011.

- ◆ FY 2012 funding remains at the same level as the FY 2011 Adopted Budget Plan.
- ◆ **Employee Compensation** \$0
It should be noted that no funding is included for pay for performance or market rate adjustments in FY 2012.

Department of Housing and Community Development

Changes to FY 2011 Adopted Budget Plan

The following funding adjustments reflect all approved changes in the FY 2011 Revised Budget Plan since passage of the FY 2011 Adopted Budget Plan. Included are all adjustments made as part of the FY 2010 Carryover Review, FY 2011 Third Quarter Review, and all other approved changes through April 12, 2011.

- ◆ **Carryover Adjustments** **\$72,003**
 As part of the FY 2010 Carryover Review, the Board of Supervisors approved an increase of \$72,003 primarily associated with professional consulting fees necessary for employee training, management fees and other miscellaneous expenses.
- ◆ **Third Quarter Adjustments** **\$30,000**
 As part of the FY 2011 Third Quarter Review, the Board of Supervisors approved an increase of \$30,000 to develop non-profit homeownership outsourcing. The Homeownership Program will be more focused on compliance with program rules and zoning ordinance requirements by home purchasers. Much of the transactional work the staff currently performs will be outsourced to a nonprofit organization. Since this new structure will require planning and development of processes and procedures, development of a Request for Procurement and selection criteria, and training of a nonprofit, funding of \$30,000 will be used to hire a consultant to assist in the planning and early implementation stages.
- ◆ **Position Adjustment** **\$0**
 Subsequent to the FY 2011 Third Quarter Review, 1/1.0 SYE Housing Community Developer II position was transferred to the Facilities Management Department as part of a reorganization associated with an interdepartmental realignment.

Administration

Funding Summary					
Category	FY 2010 Actual	FY 2011 Adopted Budget Plan	FY 2011 Revised Budget Plan	FY 2012 Advertised Budget Plan	FY 2012 Adopted Budget Plan
Authorized Positions/Staff Years					
Regular	10 / 10	10 / 10	10 / 10	10 / 10	10 / 10
Total Expenditures	\$2,154,541	\$1,814,993	\$1,886,622	\$1,814,993	\$1,814,993

Position Summary					
1	Director	1	Housing/Community Developer IV	2	Network/Telecom Analysts II
1	Deputy Director	1	Human Resources Generalist II	2	Administrative Assistants IV
1	HCD Division Director	1	Info. Tech. Program Manager I		
TOTAL POSITIONS					
10 Positions/ 10.0 Staff Years					

Goal

To provide administrative and computer systems support to the core business areas of the Fairfax County Redevelopment and Housing Authority and the Department of Housing and Community Development by responding to computer network requests from agency employees and public information requests from citizens, agencies and other interested individuals and groups.

Department of Housing and Community Development

Design, Development and Construction

Funding Summary					
Category	FY 2010 Actual	FY 2011 Adopted Budget Plan	FY 2011 Revised Budget Plan	FY 2012 Advertised Budget Plan	FY 2012 Adopted Budget Plan
Authorized Positions/Staff Years					
Regular	3 / 3	3 / 3	3 / 3	3 / 3	3 / 3
Total Expenditures	\$312,076	\$351,920	\$351,920	\$351,920	\$351,920

Position Summary	
1	HCD Division Director
2	Housing/Community Developers IV
TOTAL POSITIONS	
3 Positions / 3.0 Staff Years	

Goal

To provide design, development and construction services to facilitate the availability of affordable housing for low- and moderate-income residents and to implement public improvement projects in the County.

Housing Management

Funding Summary					
Category	FY 2010 Actual	FY 2011 Adopted Budget Plan	FY 2011 Revised Budget Plan	FY 2012 Advertised Budget Plan	FY 2012 Adopted Budget Plan
Authorized Positions/Staff Years					
Regular	12 / 12	12 / 12	10 / 10	11 / 11	10 / 10
Total Expenditures	\$2,718,229	\$2,120,678	\$2,151,052	\$2,120,678	\$2,120,678

Position Summary					
2	HCD Division Directors	1	Material Management Supervisor	2	Administrative Assistants IV
1	Housing Services Specialist IV	1	Housing/Community Developer IV	1	Administrative Assistant III
		1	Housing/Community Developer II	1	Administrative Associate
TOTAL POSITIONS					
10 Positions / 10.0 Staff Years					

Goal

To manage and maintain affordable housing that is decent, safe and sanitary for eligible families and to maintain FCRHA housing in accordance with community standards and to provide homeownership opportunities to eligible households.

Department of Housing and Community Development

Financial Management

Funding Summary					
Category	FY 2010 Actual	FY 2011 Adopted Budget Plan	FY 2011 Revised Budget Plan	FY 2012 Advertised Budget Plan	FY 2012 Adopted Budget Plan
Authorized Positions/Staff Years					
Regular	12 / 12	12 / 12	13 / 13	13 / 13	13 / 13
Total Expenditures	\$870,967	\$994,169	\$994,169	\$994,169	\$994,169

Position Summary					
1 Finance Manager	2 Accountants II	2 Administrative Assistants IV			
1 Financial Specialist IV	2 Accountants I	4 Administrative Assistants III			
1 Contract Analyst III					
TOTAL POSITIONS					
13 Positions / 13.0 Staff Years					

Goal

To provide management information for controls and compliance reporting to external oversight entities as required by policies and regulations; to collect revenues, process expenditures and service loans on a timely basis; to provide budgetary preparation and control of all agency funds; to maintain accounting records and prepare financial reports in conformance with generally accepted accounting principles to ensure accurate and auditable financial statements.

Real Estate Finance and Grants Management

Funding Summary					
Category	FY 2010 Actual	FY 2011 Adopted Budget Plan	FY 2011 Revised Budget Plan	FY 2012 Advertised Budget Plan	FY 2012 Adopted Budget Plan
Authorized Positions/Staff Years					
Regular	2 / 2	2 / 2	2 / 2	2 / 2	2 / 2
Total Expenditures	\$66,295	\$272,603	\$272,603	\$272,603	\$272,603

Position Summary					
1 Housing/Community Developer IV					
1 Housing/Community Developer I					
TOTAL POSITIONS					
2 Positions / 2.0 Staff Years					

Goal

To plan, implement and maintain community-based and agency-based support services designed to improve the quality of life for residents in low- and moderate-income communities, and to provide financial services in order to facilitate the preservation and development of affordable housing.

Department of Housing and Community Development

Community Improvement

Funding Summary					
Category	FY 2010 Actual	FY 2011 Adopted Budget Plan	FY 2011 Revised Budget Plan	FY 2012 Advertised Budget Plan	FY 2012 Adopted Budget Plan
Authorized Positions/Staff Years					
Regular	5 / 5	5 / 5	5 / 5	5 / 5	5 / 5
Total Expenditures	\$463,858	\$374,394	\$374,394	\$374,394	\$374,394

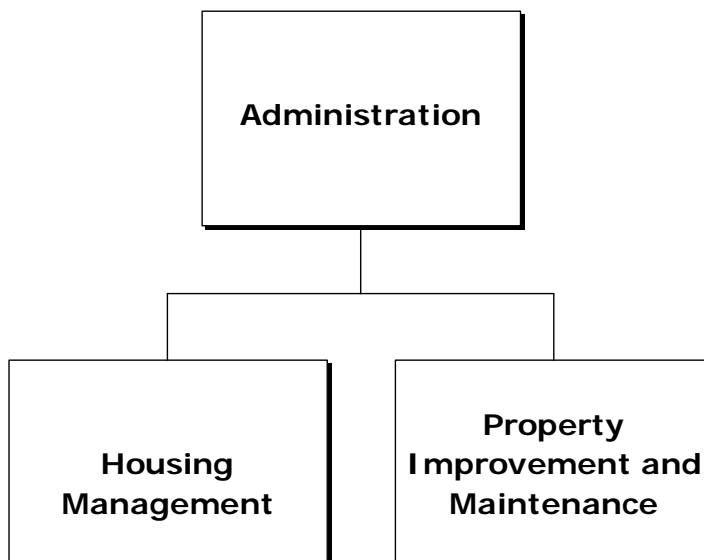
Position Summary		
1 Deputy Director	1 Finance Manager	1 Administrative Assistant IV
1 HCD Division Director	1 Housing/Community Developer IV	
TOTAL POSITIONS		
5 Positions / 5.0 Staff Years		

Goal

To address current program needs associated with countywide residential improvement and repair projects within the Department of Housing and Community Development.

Fund 141

Elderly Housing Programs



Mission

To manage affordable rental housing acquired by the FCRHA for the benefit of the elderly, and to maintain and preserve the units for long-term rental availability.

Focus

Fund 141, Elderly Housing Programs, accounts for personnel, operating, and equipment costs related to the County's support of the operation of the three locally funded elderly housing developments owned or leased by the Fairfax County Redevelopment and Housing Authority (FCRHA) and managed by staff of the Department of Housing and Community Development. The three elderly housing developments funded in Fund 141, Elderly Housing Programs, are: Lewinsville Senior Residences in McLean (Dranesville District), Little River Glen in Fairfax (Braddock District) and Lincolnia Senior Center and Residences (Mason District). Funding for four facilities, Gum Springs Glen (Mount Vernon District), Morris Glen (Lee District) and Herndon Harbor House (Dranesville District), are not presented in Fund 141, Elderly Housing Programs. Although they are owned by a limited partnership of which the FCRHA is the managing general partner, the facilities are managed by a private firm. In addition, Braddock Glen, a 60-unit assisted living facility, is not presented in Fund 141 as it is owned by the FCRHA but managed by a private firm. Housing and Community Development staff administers the contracts between the FCRHA and the private firms hired to manage its facilities. Together, in FY 2012, these eight facilities will provide for 610 congregate housing units, four Adult Day Health Care Centers, a 52-bed Adult Care Residence and a 60-unit assisted living facility that is affordable to low-income elderly. When completed, the Little River Glen campus is proposed to include 270 units for independent elderly, 60 units for assisted-living elderly, two Senior Centers and an Adult Day Care Center.

In FY 2012, the operation of the Elderly Housing Programs will be supported in part with rental income, a state auxiliary grant for indigent care in the Adult Care Residence component at the Lincolnia Center, County support, and Federal HOME funds. The County's General Fund transfer of approximately \$1.99 million supports approximately 48 percent of expenditures in Fund 141. The housing programs at Gum Springs Glen, Morris Glen, Herndon Harbor and Olley Glen facilities are self-supporting and do not require County General Fund support in Fund 141.

Fund 141

Elderly Housing Programs

Other costs related to the County's housing program at these sites, including the operating costs of senior centers, adult day care centers, and a Congregate Food Program, are reflected in the agency budgets for the Department of Neighborhood and Community Services, the Health Department, Fund 102, Federal/State Grant Fund, and the County and Schools Consolidated Debt Service Fund.

The elderly projects are briefly described below:

- ◆ Lewinsville Senior Residences is a housing facility in McLean (Dranesville District) comprised of 22 efficiency units and a congregate living area serving the residential needs of low-to-moderate income elderly. The Department of Housing and Community Development (HCD) manages the residential facility, reviews applications to determine eligibility requirements, and provides maintenance services. A congregate meal program is funded through Fund 102, Federal/State Grant Fund. The FCRHA leases a portion of this facility from the County for the elderly housing program. In addition, the facility also houses a senior recreation program serving an average of 55 senior citizens, which is run by the County's Department of Neighborhood and Community Services, and an Adult Day Health Care program run by the Health Department serving an average of 35 senior citizens. FY 2012 funding provided in Fund 141 for the operation of the elderly housing component of this facility is \$249,136.

- ◆ Little River Glen is a 120-unit facility which opened in the fall of 1990 is spread over five buildings on an eight-acre site, the Glens at Little River, in the Braddock District and serves the residential needs of low-to-moderate income elderly. Four different models of one-bedroom units are available. The space is designed for senior citizens who are capable of living independently and desire to participate in social and recreational opportunities provided on-site. There is a Senior Center with lounges, recreation/activity rooms, and a commercial kitchen. The Department of Neighborhood and Community Services operates the Senior Center and Fund 102, Federal/State Grant Fund funds the congregate meal program. FY 2012 funding provided in Fund 141 for the operation of this facility is \$1,447,528.

- ◆ Lincolnia Center is a multi-purpose facility which opened in January 1990 in the Mason District in response to the residential needs of low-income and indigent elderly. It consists of two separate residential areas: a Congregate Residence of 26 units which provides independent living for senior citizens with limited means and a 52-bed Adult Care Residence for elderly residents who require assistance with the activities of daily living. The FCRHA leases the residential portion of this facility for the elderly housing program from the County. Funding for a management contract in the amount of approximately \$0.9 million for the Lincolnia Adult Care Residence will cover the costs of care giving staffs that provide services 24 hours a day for that component of the Lincolnia facility. The Lincolnia Center also houses a Senior Center with recreation/activity rooms, a commercial kitchen, lounges, and an Adult Day Health Care Center. The Department of Neighborhood and Community Services administers the Senior Center, and the Health Department operates the Adult Day Health Care Center. A congregate meal program is administered by HCD and funded in Fund 102, Federal/State Grant Fund, for all program participants and residents. FY 2012 funding provided in Fund 141 for the operation of this facility is \$2,462,837.

- ◆ Braddock Glen is a 60-unit affordable, assisted living facility which opened in July 2006. This facility is managed and maintained by a private contractor and the Adult Day Care Center is operated by the Fairfax County Health Department. The senior recreation program which is conducted inside the facility is operated by a private contractor, and a congregate meal program is funded by Fund 102, Federal/State Grant Fund.

Fund 141

Elderly Housing Programs

The elderly projects owned by Limited Partnerships are briefly described below:

- ◆ Morris Glen is a 60-unit garden apartment community located in the Lee District in the Manchester Lakes Community. The facility was completed in December 1995 for moderate-income seniors capable of independent living. Morris Glen consists of two two-story residential buildings and a small community building. Four different models of one-bedroom units are available as well as an attractive common area in each residential building. This facility is managed and maintained by a private contractor, with HCD staff serving as contract administrator, and expenditures are completely supported by rental income.
- ◆ Herndon Harbor House is a senior community developed in three phases and is located in the Town of Herndon in the Dranesville District. Opened in October 1998, Herndon Harbor House I is a 60-unit community that includes two 30-unit residential buildings. The facility is managed and maintained by a private contractor with HCD staff serving as contract administrator. Expenditures are supported by rental income. Herndon Harbor House II includes an additional 60 units of congregate housing, for a total of 120 units, and an Adult Day Health Care Center, both of which were opened in FY 2001. Phase III is a Senior Center completed in May 2005.
- ◆ Gum Springs Glen is a 60-unit retirement community for independent seniors which opened in May 2003, in the Mount Vernon District. Gum Springs Glen consists of two two-story buildings with 30 apartments plus common space in each building. There are 56 one-bedroom residential apartments of approximately 425 square feet and four two-bedroom apartments with approximately 550 square feet. This facility is managed and maintained by a private contractor, with HCD staff serving as contract administrator, and expenditures are completely supported by rental income. In addition to the residential units, the lower level of Gum Springs Glen provides space for a Head Start program and training center which is operated by the Department of Family Services, Office for Children.
- ◆ Olley Glen is a new 90-unit active senior development on the FCRHA's Glens at Little River senior housing campus in the Braddock District. The total development cost for the project was \$24,037,988 including \$17,033,859 in non-County funds, as well as \$704,129 from the Housing Trust Fund and the \$6,300,000 Penny for Affordable Housing Fund investment. The project was completed in October 2010. This property is owned as a partnership and is managed by the FCRHA and budgeted in Fund 950, Housing Partnerships.

Certain expenses reflected in this fund are not directly related to housing operations. The FCRHA, as landlord of these facilities, has inter-agency agreements, which provide for budgeting by HCD for common area expenses for utilities, telecommunications, maintenance, custodial services, and contracts. The facilities provide space for general community use, as well as for services provided by other County agencies.

Fund 141

Elderly Housing Programs

Budget and Staff Resources

Agency Summary					
Category	FY 2010 Actual	FY 2011 Adopted Budget Plan	FY 2011 Revised Budget Plan	FY 2012 Advertised Budget Plan	FY 2012 Adopted Budget Plan
Authorized Positions/Staff Years					
Regular	16 / 16	15 / 15	15 / 15	15 / 15	15 / 15
Expenditures:					
Personnel Services	\$1,160,052	\$1,106,001	\$1,106,001	\$1,048,665	\$1,048,665
Operating Expenses	2,375,986	3,080,705	4,095,766	3,110,836	3,110,836
Capital Equipment	0	0	0	0	0
Total Expenditures	\$3,536,038	\$4,186,706	\$5,201,767	\$4,159,501	\$4,159,501

Position Summary					
HOUSING MANAGEMENT					
1 Director of Senior Housing	1	Housing Services Specialist II		1	General Building Maintenance Worker I
1 Housing Services Specialist V	1	Housing Services Specialist I		1	Administrative Assistant V
1 Housing Services Specialist IV	1	Senior Mechanical Systems Supervisor		1	Maintenance Trade Helper II
1 Housing Services Specialist III	1	Trades Supervisor		1	Maintenance Trade Helper II
	1	Electrician II		3	Facility Attendants II
TOTAL POSITIONS					
15 Positions / 15.0 Staff Years					

FY 2012 Funding Adjustments

The following funding adjustments reflect all changes to the FY 2011 Adopted Budget Plan, as approved by the Board of Supervisors on April 26, 2011.

- ◆ **Employee Compensation** \$0
It should be noted that no funding is included for pay for performance or market rate adjustments in FY 2012.

- ◆ **Personnel Services** (\$57,336)
A decrease of \$57,336 in Personnel Services is based on projected FY 2012 actual salaries.

- ◆ **Operating and Maintenance Costs** \$30,131
A net increase of \$30,131 in Operating Expenses is primarily associated with increased costs based on prior years' actual expenses.

Changes to FY 2011 Adopted Budget Plan

The following funding adjustments reflect all approved changes in the FY 2011 Revised Budget Plan since passage of the FY 2011 Adopted Budget Plan. Included are all adjustments made as part of the FY 2010 Carryover Review, FY 2011 Third Quarter Review, and all other approved changes through April 12, 2011.

- ◆ **Carryover Adjustments** \$1,015,061
As part of the FY 2010 Carryover Review, the Board of Supervisors approved an increase of \$1,015,061 in Operating Expenses due to encumbered carryover primarily associated with management fees for Lincolnia Senior Living Facility and maintenance and operating expenses for utilities and building repair at all properties.

Fund 141

Elderly Housing Programs

FUND STATEMENT

Fund Type H14, Special Revenue Funds

Fund 141, Elderly Housing Programs

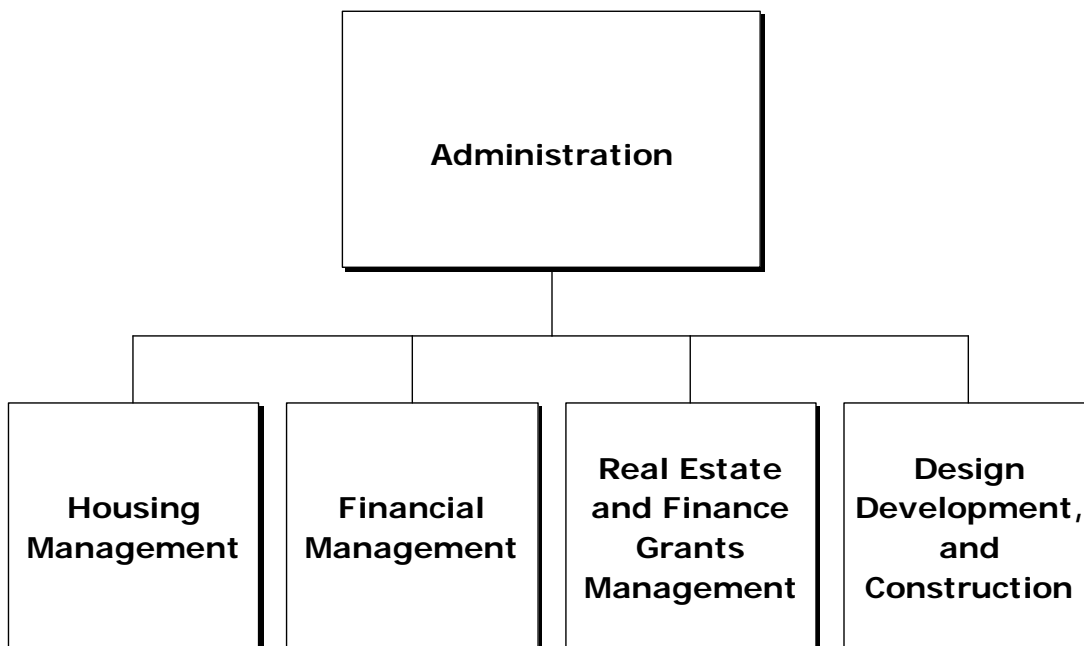
	FY 2010 Actual	FY 2011 Adopted Budget Plan	FY 2011 Revised Budget Plan	FY 2012 Advertised Budget Plan	FY 2012 Adopted Budget Plan
Beginning Balance	\$963,920	\$520,087	\$1,843,707	\$1,362,771	\$1,205,345
Revenue:					
Rental Income ¹	\$2,196,634	\$1,870,536	\$2,211,771	\$2,085,153	\$2,085,153
Miscellaneous Revenue ¹	85,966	18,702	18,702	18,702	18,702
Section 8 Rental Assistance ¹	100,000	343,707	343,707	245,584	245,584
Total Revenue	\$2,382,600	\$2,232,945	\$2,574,180	\$2,349,439	\$2,349,439
Transfer In:					
General Fund (001)	\$2,033,225	\$1,989,225	\$1,989,225	\$1,989,225	\$1,989,225
Total Transfer In	\$2,033,225	\$1,989,225	\$1,989,225	\$1,989,225	\$1,989,225
Total Available	\$5,379,745	\$4,742,257	\$6,407,112	\$5,701,435	\$5,544,009
Expenditures:					
Personnel Services ¹	\$1,160,052	\$1,106,001	\$1,106,001	\$1,048,665	\$1,048,665
Operating Expenses ¹	2,375,986	3,080,705	4,095,766	3,110,836	3,110,836
Total Expenditures	\$3,536,038	\$4,186,706	\$5,201,767	\$4,159,501	\$4,159,501
Total Disbursements	\$3,536,038	\$4,186,706	\$5,201,767	\$4,159,501	\$4,159,501
Ending Balance²	\$1,843,707	\$555,551	\$1,205,345	\$1,541,934	\$1,384,508
Replacement Reserve	\$1,843,707	\$555,551	\$1,205,345	\$1,541,934	\$1,384,508
Unreserved Ending Balance	\$0	\$0	\$0	\$0	\$0

¹In order to account for revenues and expenditures in the proper fiscal year, audit adjustments totaling a net decrease of \$157,425.65 have been reflected as an increase of \$74,527.62 in FY 2010 revenues to record accrual adjustments, and an increase of \$231,953.27 in FY 2010 expenditures to record accrued expenses for contracts, building supplies, and repairs and maintenance in the appropriate fiscal year. These audit adjustments have been included in the FY 2010 Comprehensive Annual Financial Report (CAFR). Details of the FY 2010 audit adjustments were included in the FY 2011 Third Quarter Package.

²Ending Balances fluctuate due to program adjustments, carryover of operating expenditures, audit adjustments and adjustments in the General Fund transfer.

Fund 142

Community Development Block Grant



Mission

To conserve and upgrade low- and moderate-income neighborhoods through the provision of public facilities, home improvements, public services, and economic development, and to stimulate the development and preservation of low- and moderate-income housing.

Focus

Fund 142, Community Development Block Grant (CDBG), seeks to stimulate the development and preservation of low- and moderate-income housing and the provision of loans, public facilities, and improvements directed toward conserving and upgrading low- and moderate-income neighborhoods. It also supports the provision of special outreach and assistance services to low- and moderate-income households. Fairfax County receives an annual Community Development Block Grant through the U.S. Department of Housing and Urban Development (HUD). The use of these funds is subject to eligibility criteria established by Congress for the program and must meet one of three national objectives: (1) benefit to the low- and moderate-income population of the County; (2) elimination of slums and blight; and (3) meet urgent needs. Specific uses of each annual grant are outlined in the Consolidated Plan One-Year Action Plan. The Board of Supervisors has designated the Consolidated Community Funding Advisory Committee, (CCFAC) as the citizen advisory group charged with overseeing the Consolidated Plan process. The Consolidated Plan also incorporates the recommendations of the Fairfax County Redevelopment and Housing Authority (FCRHA) concerning the use of CDBG funds. The CCFAC forwards the Plan to the Board of Supervisors for a public hearing and adoption. The Plan is then forwarded to HUD for approval and final grant award.

During deliberations on the FY 2011 federal budget, significant adjustments were made to the initial funding projections for Fund 142. Appropriate revisions to the current FY 2012 Fund 142, Community Development Block Grant budget will be made as part of the *FY 2011 Carryover Review* subsequent to final federal approval of Fairfax County's actual award.

Fund 142

Community Development Block Grant

Historically, CDBG funds have been used for:

- development and preservation of affordable housing;
- neighborhood improvements in communities designated as Conservation or Redevelopment Areas by the Board of Supervisors;
- programs providing needed services to the low- and moderate-income population;
- financial and technical assistance to homeowners for housing rehabilitation and repair;
- payments on loans used for affordable housing development; and
- costs to administer this grant and related programs.

Additional funding in the amount of \$1,610,504 was received during FY 2010. This funding was part of the American Recovery and Reinvestment Act of 2009. The grant program is a supplemental appropriation and is commonly referred to as the CDBG Recovery Program (CDBG-R). The funding was used to rehabilitate FCRHA rental, group homes, and elderly properties. The carryover balance of the funding will be expended prior to September 2012.

FY 2012 Initiatives

Funding in the amount of \$6,463,133 is estimated for FY 2012. After HUD and BOS approval of the final award, necessary project adjustments will be made. The following identifies some of the projected funding initiatives:

- ◆ A portion of the County's CDBG entitlement will be combined with County General Funds and the Community Services Block Grant into a Consolidated Community Funding Pool (CCFP), providing funds through a competitive process to non-profit organizations for human services and affordable housing development and preservation. In FY 2012, it is initially projected that an amount of \$2,082,914 will be available for the CCFP.
- ◆ An amount of \$57,512 is currently projected for the Fair Housing Program implementation, including conducting and reporting on fair housing tests, filing fair housing complaints, training rental agents and housing counselors in the County's rental market, establishing and staffing the Fair Housing Task Force, and continuing to study and report on the County's fair housing needs.
- ◆ An amount of \$297,518 is projected to support staff and operating costs for the Home Repair for the Elderly Program. This program provides minor home repairs to low-income elderly or disabled residents to enable these individuals to live in safe and sanitary housing.
- ◆ Funding of \$1,510,996 is projected to be available for payments on Section 108 Loans. These loans, approved by the Board of Supervisors and HUD, are designated for affordable housing preservation and development, the reconstruction of Washington Plaza, and road and storm drainage improvements in five conservation areas: Baileys, Fairhaven, Gum Springs, James Lee, and Jefferson Manor.

Fund 142

Community Development Block Grant

- ◆ Also included in Fund 142 is support for staff and operating costs to provide federally-mandated relocation and advisory services to individuals affected by federally-funded County and FCRHA programs. In addition, funding is provided for staff support and operating costs for overall program management and planning for Community Development Block Grant and Section 108 Loan programs. This includes preparation of the annual HUD Consolidated Plan and other program reports, administration and monitoring of non-profit contracts, evaluation of program performance, and planning of the development of affordable housing in the County. In FY 2012, funding for these services is estimated to be \$1,477,911 (Planning and Urban Design, General Administration and Housing Program Relocation projects).

- ◆ The Homeownership Assistance Program provides funding in the amount of \$354,085 for the support of staff in the Relocation Services Branch, who provides support to the First-Time Homebuyer and Moderate Income Direct Sales Programs. The main duties of these positions include application data entry, waiting list maintenance, application processing, conducting lotteries, annual occupancy certifications, counseling applicants and program compliance.

- ◆ It is anticipated that funding in the amount of \$682,197 for Contingency Fund requirements will be available for allocation to rehabilitation, revitalization and loan programs, which is outlined in the Consolidated Plan One-Year Action Plan for FY 2012.

Fund 142

Community Development Block Grant

Budget and Staff Resources

Agency Summary					
Category	FY 2010 Actual	FY 2011 Adopted Budget Plan	FY 2011 Revised Budget Plan	FY 2012 Advertised Budget Plan	FY 2012 Adopted Budget Plan
Authorized Positions/Staff Years					
Regular	21 / 21	21 / 21	22 / 22	22 / 22	22 / 22
Home Improvement Loan					
Program	\$155,326	\$0	\$443,740	\$0	\$0
Housing Development					
Corporation	0	0	19,710	0	0
Home Repair for the Elderly	258,498	286,463	452,623	297,518	297,518
General Administration	719,056	670,409	1,269,376	654,951	654,951
MIDS Resale Project	(27)	0	0	0	0
Good Shepherd Housing	213,900	81,440	658,387	0	0
Housing Program Relocation	264,859	286,530	578,521	294,625	294,625
RPJ Transitional Housing	41,001	0	14,267	0	0
Bilingual Rehabilitation					
Specialist	101,685	89,380	119,661	0	0
Section 108 Loan Payments	1,343,463	1,270,001	2,189,714	1,510,996	1,510,996
FACETS Family Enrichment	249,161	232,030	308,608	0	0
Reston Interfaith	63,000	0	0	0	0
Ethiopian Development					
Council	86,059	73,000	82,250	0	0
New Hope Housing, Inc.	64,819	71,250	150,527	0	0
Fair Housing Program	56,303	57,512	184,117	57,512	57,512
Homeownership Assistance					
Program	334,879	316,279	710,999	354,085	354,085
Community Havens	0	0	102,000	0	0
Senior/Disabled Housing					
Development	0	0	146,342	0	0
Affordable/Workforce					
Housing Projects	0	0	70,682	0	0
Rehabilitation of FCRHA					
Properties	0	0	1,134,464	0	0
Accessibility Modifications	0	0	500,000	0	0
The Brain Foundation	0	300,000	310,000	0	0
Magnet Housing	0	0	146,407	0	0
Neighborhood Stabilization					
Program	1,419,054	0	1,387,083	0	0
Neighborhood Stabilization					
Program (State)	463,391	0	536,609	0	0
Mondloch House	45,450	0	1,234,526	0	0
Pathway Homes	0	0	220,149	0	0
Planning and Urban Design	455,995	468,540	957,289	528,335	528,335

Fund 142

Community Development Block Grant

Agency Summary					
Category	FY 2010 Actual	FY 2011 Adopted Budget Plan	FY 2011 Revised Budget Plan	FY 2012 Advertised Budget Plan	FY 2012 Adopted Budget Plan
Child Care Center Grant Program	\$0	\$0	\$98,182	\$0	\$0
Christian Relief Services	86,127	117,690	283,631	0	0
RPJ Housing Acquisition	5,827	0	417,866	0	0
Contingency Fund	0	615,780	68,205	682,197	682,197
Reston Interfaith Housing Corporation	467,750	481,000	648,851	0	0
Newcomer Community Service	67,127	0	0	0	0
Revitalization	44,973	0	41,631	0	0
Capital Projects:					
Other ¹	\$0	\$0	\$0	\$2,082,914	\$2,082,914
Huntington Community Center	0	0	12,311	0	0
Bailey's Road Improvements	0	0	53,031	0	0
Fairhaven Public Improvements	0	0	49,095	0	0
Gum Springs Public Improvements	0	0	45,971	0	0
James Lee Road Improvements	624	0	96,340	0	0
North Hill	0	0	156,271	0	0
Little River Glen	370,000	0	0	0	0
Wesley/Coppermine	63,000	110,000	226,017	0	0
Homestretch	135,568	455,000	488,333	0	0
Lincolnia Center	0	0	156,271	0	0
West Ox	0	0	200,000	0	0
Lewinsville Expansion	0	0	152,876	0	0
Total Expenditures	\$7,576,868	\$5,982,304	\$17,122,933	\$6,463,133	\$6,463,133

¹ Please note that FY 2012 funding will be combined with County General Funds and the Community Services Block Grant into a Consolidated Community Funding Pool to provide funds through a competitive process to non-profit organizations for human services and affordable housing development and preservation.

Position Summary		
ADMINISTRATION 1 GIS Analyst II HOUSING MANAGEMENT 1 Housing Services Specialist IV 3 Housing Services Specialists II 1 Administrative Assistant IV	REAL ESTATE FINANCE AND GRANTS MANAGEMENT 1 Real Estate/Grant Manager 3 Housing/Community Developers IV 2 Housing/Community Developers III 1 Senior Maintenance Supervisor 2 General Bldg. Maint. Workers I 1 Administrative Assistant IV	FINANCIAL MANAGEMENT 1 Accountant III 1 Administrative Assistant V 1 Hsg./Community Developer V DESIGN, DEVELOPMENT AND CONSTRUCTION 2 H/C Developers IV 1 Administrative Assistant IV
TOTAL POSITIONS 22 Positions / 22.0 Staff Years		

Fund 142

Community Development Block Grant

FY 2012 Funding Adjustments

The following funding adjustments reflect all changes to the FY 2011 Adopted Budget Plan, as approved by the Board of Supervisors on April 26, 2011.

- ◆ **Employee Compensation** **\$0**
It should be noted that no funding is included for pay for performance or market rate adjustments in FY 2012.

- ◆ **U.S. Department of Housing and Urban Development (HUD) Award** **\$480,829**
An increase of \$480,829 is associated with the FY 2011 HUD award that was used to project expenditures for this fund in FY 2012.

Changes to FY 2011 Adopted Budget Plan

The following funding adjustments reflect all approved changes in the FY 2011 Revised Budget Plan since passage of the FY 2011 Adopted Budget Plan. Included are all adjustments made as part of the FY 2010 Carryover Review, and all other approved changes through December 31, 2010:

- ◆ **Carryover Adjustments** **\$11,127,793**
As part of the *FY 2010 Carryover Review*, the Board of Supervisors approved an increase of \$11,127,793 due to carryover of \$10,297,741 in unexpended project balances, appropriation of \$349,223 in unanticipated program income received in FY 2010, and \$480,829 due to the amended U.S. Department of Housing and Urban Development (HUD) award approved by the Board of Supervisors on April 27, 2010.

- ◆ **Position Adjustment** **\$0**
As part of the FY 2011 review of County position categories, a conversion of 1/1.0 SYE position has been made. The status of limited term positions was reviewed in light of recent changes to federal regulations related to health care and other federal tax requirements. As a result of this review a number of existing limited term positions have been converted to Merit Regular status.

- ◆ **Third Quarter Adjustment** **(\$27)**
As part of the *FY 2011 Third Quarter Review*, the Board of Supervisors approved a decrease of \$27 due to an accounting correction to the *FY 2011 Revised Budget Plan*.

Fund 142

Community Development Block Grant

FUND STATEMENT

Fund Type H14, Special Revenue Funds

Fund 142, Community Development
Block Grant

	FY 2010 Actual	FY 2011 Adopted Budget Plan	FY 2011 Revised Budget Plan	FY 2012 Advertised Budget Plan	FY 2012 Adopted Budget Plan
Beginning Balance	\$390,382	\$0	\$496,240	\$0	\$0
Revenue:					
Community Development Block Grant (CDBG) ¹	\$6,876,393	\$5,982,304	\$15,473,299	\$6,463,133	\$6,463,133
American Recovery and Reinvestment Act of 2009 CDBG Program Income	457,110	0	1,153,394	0	0
	349,223	0	0	0	0
Total Revenue	\$7,682,726	\$5,982,304	\$16,626,693	\$6,463,133	\$6,463,133
Total Available	\$8,073,108	\$5,982,304	\$17,122,933	\$6,463,133	\$6,463,133
Expenditures:					
CDBG Projects ¹	\$7,576,868	\$5,982,304	\$17,122,933	\$6,463,133	\$6,463,133
Total Expenditures	\$7,576,868	\$5,982,304	\$17,122,933	\$6,463,133	\$6,463,133
Total Disbursements	\$7,576,868	\$5,982,304	\$17,122,933	\$6,463,133	\$6,463,133
Ending Balance²	\$496,240	\$0	\$0	\$0	\$0

¹ In order to account for revenues and expenditures in the proper fiscal year, audit adjustments totaling a net decrease of \$874,962.49 have been reflected as a decrease of \$887,825.01 in FY 2010 revenues to record deferred bond proceeds, and a net decrease of \$12,862.52 in FY 2010 expenditures to record accrued expenses. FY 2011 revenues and expenditures were adjusted by commensurate amounts. These audit adjustments have been included in the FY 2010 Comprehensive Annual Financial Report (CAFR). Details of the FY 2010 audit adjustments were included in the FY 2011 Third Quarter Package.

² Capital projects are budgeted based on the total project costs. Most projects span multiple years, from design to construction completion. Therefore, funding for capital projects is carried forward each fiscal year, and ending balances fluctuate, reflecting the carryover of these funds.

Fund 143

Homeowner and Business Loan Programs

Mission

The overall goals of the Homeowner and Business Loan Programs are to enhance the quality of life and economic base of the County by providing support for homeownership, to repair and upgrade existing housing and to assist small and minority businesses. One focus is to provide a means and opportunity for low- and moderate-income households to become homeowners in the County through the First-Time Homebuyers Program operated by the Fairfax County Redevelopment and Housing Authority (FCRHA) and offering units through the Moderate Income Direct Sales (MIDS) Program and Fairfax County's Affordable Dwelling Unit (ADU) Ordinance. The second focus is to provide affordable loans for housing improvement and rehabilitation to qualifying low-income homeowners or homeowners living in areas targeted for improvement, resulting in the elimination of health and safety code violations, enhancing the quality and appearance of existing housing and retaining existing affordable housing. The third focus is to provide business assistance and counseling services as well as direct loans to qualified minority businesses.

Focus

Fund 143, Homeowner and Business Loan Programs, is comprised of three programs designed to meet the agency mission as detailed below:

- ◆ The First-Time Homebuyers Program is designed to provide affordable homeownership opportunities for low- to moderate-income families who otherwise could not afford to purchase a home. The Moderate Income Direct Sales (MIDS) program that was initially established in 1978 allows units acquired or constructed by the FCRHA to be sold to moderate-income families, with the purchase made possible by the provision of second trust loans. The resale price of the unit is limited, and the FCRHA has the right of first refusal when the home is resold. Since 1993, the FCRHA has been marketing units that are provided under provisions of Fairfax County's Affordable Dwelling Unit Ordinance. These units also serve low- and moderate-income households who are first-time homebuyers earning at least \$25,000. Homes range in price from \$70,000 to \$160,000. Restrictive covenants apply that limit the sales price, and require owners to occupy the home. Homes purchased currently have a 30-year control period. The FCRHA has the right of repurchase or the right to assign the purchase to a new homebuyer. Applicants for both ADU units and MIDS units are required to participate in homeownership education classes and obtain a pre-conditional approval from a lender to participate in drawings to receive these homes.

- ◆ The Home Improvement Loan Program (HILP) provides loans to low- and moderate-income individuals to repair, modernize, or expand the living space for their families to help alleviate overcrowded conditions. Funds are also loaned to homeowners who are cited for health and housing code violations, and for replacement housing, if necessary. Grants are provided for low-income elderly or disabled residents through the Elderly Home Repair Program to make needed repairs and provide for handicapped accessibility, to prevent displacement, and to allow these individuals to live in safe and sanitary housing. County appropriated funds within Fund 143, Homeowner and Business Loan Programs are used in conjunction with federal funding in Fund 142, Community Development Block Grant, to increase the assistance available to County residents.

Fund 143

Homeowner and Business Loan Programs

- ◆ The Small and Minority Business Loan program was initiated in FY 1996, and Fund 143, Homeowner and Business Loan Programs, was expanded to include the receipt of federal funds for the operations of this program which provides loans to qualified small and minority businesses. Program funds are administered by the Community Business Partnership (formerly the South Fairfax Regional Business Partnership, Inc.) through an agreement with the Department of Housing and Community Development. Loan repayments from the business loans will be received as revenue in Fund 143, Homeowner and Business Loan Programs, and will be used to pay debt service on the Section 108 Loan 7.

Program income from the HILP and MIDS programs will provide direct loans, consistent with the business plan approved by the FCRHA and the Board of Supervisors.

FY 2012 revenues are projected to be \$4,514,316 with the actual results reliant upon economic conditions, participants' ability to repay rehabilitation loans and the real estate market environment for MIDS and ADU resale properties and second trusts. The Section 108 loans will be repaid according to scheduled payments. In addition to the funding in Fund 143, the HILP Program initiated a two percent loan origination fee as of July 1, 1996 on all loans settled by the program. The revenue generated by this program goes directly into Fund 940, FCRHA General Operating to support staff costs associated with the program.

Budget and Staff Resources

Agency Summary					
Category	FY 2010 Actual	FY 2011 Adopted Budget Plan	FY 2011 Revised Budget Plan	FY 2012 Advertised Budget Plan	FY 2012 Adopted Budget Plan
Expenditures:					
Operating Expenses	\$5,358,888	\$3,883,825	\$8,629,710	\$4,514,316	\$4,514,316
Total Expenditures	\$5,358,888	\$3,883,825	\$8,629,710	\$4,514,316	\$4,514,316

FY 2012 Funding Adjustments

The following funding adjustments reflect all changes to the FY 2011 Adopted Budget Plan, as approved by the Board of Supervisors on April 26, 2011.

- ◆ **Moderate Income and Direct Sales Program** \$620,930
An increase of \$620,930 is due to higher expenditures for an increased number of units and cost per unit purchased and resold.
- ◆ **Home Improvement Loan Program** \$11,108
An increase of \$11,108 is due to higher expenditures for loan repayments and administrative costs based on a previous three-year average of activity.
- ◆ **Small and Minority Business Loan Program** (\$1,547)
A decrease of \$1,547 is due to lower expenditures for U.S. Department of Housing and Urban Development Section 108 Loan 7 repayments based on the repayment schedule.

Fund 143

Homeowner and Business Loan Programs

Changes to FY 2011 Adopted Budget Plan

The following funding adjustments reflect all approved changes in the FY 2011 Revised Budget Plan since passage of the FY 2011 Adopted Budget Plan. Included are all adjustments made as part of the FY 2010 Carryover Review, FY 2011 Third Quarter Review, and all other approved changes through April 12, 2011.

- ◆ **Carryover Adjustments** **\$4,745,885**
As part of the *FY 2010 Carryover Review*, the Board of Supervisors approved an increase of \$4,745,885 due to the carryover of FY 2010 balances in the County Rehabilitation Loan Program and Small and Minority Business Loan Program, as well as the appropriation of MIDS program income received in FY 2010.

Fund 143

Homeowner and Business Loan Programs

FUND STATEMENT

Fund Type H14, Special Revenue Funds

Fund 143, Homeowner and Business Loan Programs

	FY 2010 Actual	FY 2011 Adopted Budget Plan	FY 2011 Revised Budget Plan	FY 2012 Advertised Budget Plan	FY 2012 Adopted Budget Plan
Beginning Balance	\$4,078,937	\$0	\$3,876,924	\$3,265,439	\$3,263,192
Revenue:					
Program Income (MIDS)	\$4,823,516	\$3,721,341	\$5,986,780	\$4,342,271	\$4,342,271
County Rehabilitation Loan Repayments	266,797	113,349	1,333,166	124,457	124,457
Business Loan Program ¹	66,562	49,135	696,032	47,588	47,588
Total Revenue	\$5,156,875	\$3,883,825	\$8,015,978	\$4,514,316	\$4,514,316
Total Available	\$9,235,812	\$3,883,825	\$11,892,902	\$7,779,755	\$7,777,508
Expenditures:					
Moderate Income Direct Sales Program (MIDS) ¹	\$4,945,738	\$3,721,341	\$5,181,676	\$4,342,271	\$4,342,271
Rehabilitation Loans and Grants	363,154	113,349	2,527,691	124,457	124,457
Business Loan Program ¹	49,996	49,135	920,343	47,588	47,588
Total Expenditures	\$5,358,888	\$3,883,825	\$8,629,710	\$4,514,316	\$4,514,316
Total Disbursements	\$5,358,888	\$3,883,825	\$8,629,710	\$4,514,316	\$4,514,316
Ending Balance²	\$3,876,924	\$0	\$3,263,192	\$3,265,439	\$3,263,192

¹In order to account for revenues and expenditures in the proper fiscal year, audit adjustments totaling a net decrease of \$2,246.50 have been reflected as an increase of \$4,452.50 in FY 2010 revenues to record accrual adjustment for interest income, and an increase of \$6,699.00 in FY 2010 expenditures to record accrued expenses for debt service and operating expenses in the appropriate fiscal year. These audit adjustments have been included in the FY 2010 Comprehensive Annual Financial Report (CAFR). Details of the FY 2010 audit adjustments were included in the FY 2011 Third Quarter Package.

²Projects are budgeted based on the total program costs and most programs span multiple years. Therefore, funding is carried forward each fiscal year and ending balances fluctuate, reflecting the carryover of these funds.

Fund 144

Housing Trust Fund

Focus

Fund 144, Housing Trust Fund, was created in FY 1990 to reflect the expenditures and revenues of funds earmarked to encourage and support the acquisition, preservation, development and redevelopment of affordable housing by the Fairfax County Redevelopment and Housing Authority (FCRHA), non-profit sponsors and private developers. The fund is intended to promote endeavors that will furnish housing to low- and moderate-income individuals in Fairfax County by providing low-cost debt and equity capital in the form of loans, grants and equity contributions. Only capitalized costs are eligible for funding from the Housing Trust Fund.

Under the criteria approved by the FCRHA and the Board of Supervisors for the Housing Trust Fund, highest priority is assigned to projects which enhance existing County and FCRHA programs, acquire, construct or preserve housing which will be maintained for lower income occupants over the long term, promote affordable housing and leverage private funds.

In FY 1996, the Board of Supervisors authorized the FCRHA to implement a pre-development fund as a component of the Housing Trust Fund.

On behalf of the County, the FCRHA administers the Housing Trust Fund, and on an on-going basis, accepts and reviews applications from non-profit corporations and private developers for contributions from this source. The FCRHA forwards its recommendations of projects to be funded to the Board of Supervisors based on this review. The FCRHA itself may submit proposals meeting the Housing Trust Fund criteria to the Board of Supervisors at any time for the Board's approval.

In FY 2012, revenues are estimated to be \$348,814, a decrease of \$491,186 or 58.5 percent less than the FY 2011 Adopted Budget Plan. This decrease is primarily attributable to lower proffered contributions based on an average of the past three years' actuals. FY 2012 expenditures of \$348,814 will be allocated to three projects; the Rehabilitation of FCRHA Properties, Affordable Housing Partnership Program - Tier Three and the Undesignated Project for reallocation to specific projects when identified and approved.

Changes to FY 2011 Adopted Budget Plan

The following funding adjustments reflect all approved changes in the FY 2011 Revised Budget Plan since passage of the FY 2011 Adopted Budget Plan. Included are all adjustments made as part of the FY 2010 Carryover Review, FY 2011 Third Quarter Review, and all other approved changes through April 12, 2011.

- ◆ **Carryover Adjustments** **\$3,545,008**
As part of the *FY 2010 Carryover Review*, the Board of Supervisors approved an increase of \$3,545,008 due to the carryover of unexpended project balances in the amount of \$4,154,038, offset by a reduction of \$609,030. The reduction primarily reflects a reduction in estimated revenues in FY 2011.

- ◆ **Third Quarter Adjustment** **(\$150,000)**
As part of the *FY 2011 Third Quarter Review*, the Board of Supervisors approved a decrease of \$150,000 due to revised estimates for proffer income which are less than originally projected.

A Fund Statement and a Summary of Capital Projects are provided on the following pages. The Summary of Capital Projects may include some projects without a Total Project Estimate amount. These projects are considered "continuing" projects or projects for which funding is necessary on an ongoing basis (e.g., a contingency or planning project).

Fund 144 Housing Trust Fund

FUND STATEMENT

Fund Type H14, Special Revenue Funds

Fund 144, Housing Trust Fund

	FY 2010 Actual	FY 2011 Adopted Budget Plan	FY 2011 Revised Budget Plan	FY 2012 Advertised Budget Plan	FY 2012 Adopted Budget Plan
Beginning Balance	\$6,160,757	\$229,060	\$4,239,692	\$229,060	\$229,060
Revenue:					
Proffered Contributions	\$90,850	\$815,000	\$200,000	\$225,000	\$225,000
Investment Income	33,003	25,000	25,000	25,000	25,000
Miscellaneous Revenue	132,117	0	0	98,814	98,814
Total Revenue	\$255,970	\$840,000	\$225,000	\$348,814	\$348,814
Total Available	\$6,416,727	\$1,069,060	\$4,464,692	\$577,874	\$577,874
Expenditures:					
Capital Projects ¹	\$2,177,035	\$840,000	\$4,235,632	\$348,814	\$348,814
Total Expenditures	\$2,177,035	\$840,000	\$4,235,632	\$348,814	\$348,814
Total Disbursements	\$2,177,035	\$840,000	\$4,235,632	\$348,814	\$348,814
Ending Balance²	\$4,239,692	\$229,060	\$229,060	\$229,060	\$229,060
Reserved Fund Balance ³	\$229,060	\$229,060	\$229,060	\$229,060	\$229,060
Unreserved Ending Balance	\$4,010,632	\$0	\$0	\$0	\$0

¹ In order to account for revenues and expenditures in the proper fiscal year, audit adjustments totaling an increase of \$623.88 have been reflected as a decrease of \$623.88 in FY 2010 expenditures associated with project accruals. FY 2011 expenditures were adjusted by a commensurate amount. These audit adjustments have been included in the FY 2010 Comprehensive Annual Financial Report (CAFR). Details of the FY 2010 audit adjustments were included in the FY 2011 Third Quarter Package.

² Capital projects are budgeted based on the total project costs. Most projects span multiple years, from design to construction completion. Therefore, funding for capital projects is carried forward each fiscal year, and ending balances fluctuate, reflecting the carryover of these funds.

³ The Reserved Fund Balance reflects revenue receivable to the Housing Trust Fund for interest owed by Reston Interfaith on an equity lien held by the FCRHA.

Fund 144

Housing Trust Fund

FY 2012 Summary of Capital Projects

Fund: 144, Housing Trust Fund

Project #	Description	Total Project Estimate	FY 2010 Actual Expenditures	FY 2011 Revised Budget	FY 2012 Advertised Budget Plan	FY 2012 Adopted Budget Plan
003875	Island Walk Cooperative	\$548,634	\$0.00	\$0.00	\$0	\$0
003892	Briarcliff Phase I	500,000	0.00	0.00	0	0
003910	James Lee Road Improvements	624	0.00	623.88	0	0
003969	Lewinsville Elderly Facility	159,947	0.00	0.00	0	0
013808	Herndon Harbor House Phase I	2,050,378	0.00	0.00	0	0
013827	Strawbridge Square	50,000	0.00	0.00	0	0
013854	Founders Ridge/Kingstowne NV	599,877	0.00	0.00	0	0
013889	Chain Bridge Gateway/Moriarty Place	1,595,984	0.00	0.00	0	0
013901	Tavener Lane	503,331	0.00	0.00	0	0
013906	Undesignated Project		0.00	37,059.21	48,814	48,814
013908	West Ox Group Home	119,852	0.00	0.00	0	0
013914	Cedar Ridge	38,053	0.00	0.00	0	0
013935	Mt. Vernon Mental Group Home	123,847	0.00	0.00	0	0
013939	Wesley Housing Development Corporation	225,700	0.00	0.00	0	0
013948	Little River Glen Phase II	8,269,071	20,701.77	85,679.24	0	0
013951	Patrick Street Transitional Group Home	22,000	0.00	0.00	0	0
013966	Glenwood Mews	3,200,878	0.00	0.00	0	0
014013	Tier One Predevelopment		0.00	50,000.00	0	0
014040	Herndon Harbor Phase II	529,555	0.00	0.00	0	0
014042	Tier Two Predevelopment		0.00	50,000.00	0	0
014046	Olley Glen	704,129	0.00	0.00	0	0
014049	Rogers Glen	13,917	0.00	0.00	0	0
014051	Mixed Greens	881,789	0.00	0.00	0	0
014056	Gum Springs Glen	2,431,326	0.00	0.00	0	0
014098	HTF Magnet Housing	20,349	0.00	0.00	0	0
014116	AHPP Tier III		0.00	0.00	200,000	200,000
014134	Habitat at Stevenson Street	300,000	0.00	0.00	0	0
014138	Chesterbrook Residences	1,603,999	0.00	0.00	0	0
014140	Lewinsville Expansion	2,932,752	19,800.00	1,997,270.07	0	0
014142	HTF RSRV/Emergencies & Opportunities		12,357.13	150,131.48	0	0
014143	HTF Land/Unit Acquisition		0.00	140,794.00	0	0
014144	Transitional Housing	1,000,000	0.00	1,000,000.00	0	0
014148	Westbriar Plaza Condominiums	107,457	0.00	0.00	0	0
014166	Katherine K. Hanley Family Shelter	2,044,936	0.00	0.00	0	0
014188	Westcott Ridge	516,000	0.00	0.00	0	0
014191	Rehabilitation of FCRHA Properties		52,096.01	83,487.74	100,000	100,000
014198	Madison Ridge	5,100,000	0.00	0.00	0	0
014199	Route 50 / West Ox Magnet Housing	907,033	0.00	256,880.39	0	0
014234	Willow Oaks	272,430	0.00	0.00	0	0
014250	Fairfield at Fair Chase	93,889	0.00	0.00	0	0
014268	Wedgewood	1,900,000	1,900,000.00	0.00	0	0
014271	Bond Release Projects		4,783.00	50,752.78	0	0
014305	Charleston Square	109,206	109,206.00	0.00	0	0
014310	The Brain Foundation		58,091.00	0.00	0	0
014316	Mondloch House	332,953	0.00	332,953.40	0	0
VA1951	Tavener Lane Apartments	271,934	0.00	0.00	0	0
VA1952	Water's Edge	780,551	0.00	0.00	0	0
Total		\$40,862,381	\$2,177,034.91	\$4,235,632.19	\$348,814	\$348,814

Fund 145

HOME Investment Partnership Grant

Mission

The goal of the HOME Investment Partnership Program (HOME) is to provide affordable housing through acquisition, rehabilitation, new construction and tenant-based rental assistance.

Focus

In FY 2012, funding of \$2,692,612 represents an estimated award from the U.S. Department of Housing and Urban Development (HUD). FY 2012 funding will provide for the Tenant Based Rental Assistance program and various other new and ongoing projects. Details for specific projects in Program Year 20 (FY 2012) was approved by the Board of Supervisors (BOS) on April 26, 2011 and submitted to HUD as part of the Consolidated Plan One-Year Action Plan: Use of Funds for FY 2012.

During deliberations on the FY 2011 federal budget, adjustments were made to the initial funding projections for Fund 145. Appropriate revisions to the current FY 2012 Fund 145, HOME Investment Partnership Grant budget will be made as part of the *FY 2011 Carryover Review* subsequent to final federal approval of Fairfax County's actual award.

The HOME Program was established as part of the Cranston-Gonzalez National Affordable Housing Act of 1990. HOME funds are allocated on an annual basis to eligible participating jurisdictions based on a formula allocation system. The HOME Program requires a 25 percent local match from the participating jurisdiction. The local match can come from any Housing and Community Development project, regardless of funding source that is HOME eligible. Any expenditure beginning in October 1992 in qualifying projects can be considered as part of the required matching funds. In FY 2012, the County will have adequate matching funds from all eligible projects to satisfy the requirement. Therefore, no additional local funds will need to be allocated to meet this requirement.

Budget and Staff Resources

Agency Summary					
Category ¹	FY 2010 Actual	FY 2011 Adopted Budget Plan	FY 2011 Revised Budget Plan	FY 2012 Advertised Budget Plan	FY 2012 Adopted Budget Plan
Authorized Positions/Staff Years					
Regular	1 / 1	1 / 1	2 / 2	2 / 2	2 / 2
Expenditures:					
Housing Capital	\$0	\$0	\$2,030,086	\$0	\$0
Homeless/Special Needs	450,426	2,030,743	4,777,579	2,019,459	2,019,459
Community Housing					
Development Organizations	639,163	406,149	1,406,403	403,892	403,892
Administration	163,329	270,765	855,605	269,261	269,261
Total Expenditures	\$1,252,918	\$2,707,657	\$9,069,673	\$2,692,612	\$2,692,612

¹ Categories as required by the U.S. Department of Housing and Urban Development (HUD) for reporting purposes.

Position Summary	
DESIGN, DEVELOPMENT AND CONSTRUCTION	
1 Housing Community Developer IV	1 Housing Services Specialist II
TOTAL POSITION	
2 Positions / 2.0 Staff Years	

Fund 145

HOME Investment Partnership Grant

FY 2012 Funding Adjustments

The following funding adjustments reflect all changes to the FY 2011 Adopted Budget Plan, as approved by the Board of Supervisors on April 26, 2011.

- ◆ **Employee Compensation** **\$0**
It should be noted that no funding is included for pay for performance or market rate adjustments in FY 2012.

- ◆ **U.S. Department of Housing and Urban Development (HUD) Award** **(\$15,045)**
A decrease of \$15,045 is associated with the FY 2011 HUD award that was used to project expenditures for this fund in FY 2012.

Changes to FY 2011 Adopted Budget Plan

The following funding adjustments reflect all approved changes in the FY 2011 Revised Budget Plan since passage of the FY 2011 Adopted Budget Plan. Included are all adjustments made as part of the FY 2010 Carryover Review, FY 2011 Third Quarter Review, and all other approved changes through April 12, 2011.

- ◆ **Carryover Adjustments** **\$6,359,388**
As part of the FY 2010 Carryover Review, the Board of Supervisors approved an increase of \$6,359,388 due to carryover of \$6,330,180 in unexpended project balances, the appropriation of \$44,253 in additional revenue received in FY 2010 due to program income, and a decrease of \$15,045 due to the amended U.S. Department of Housing and Urban Development (HUD) award approved by the Board of Supervisors on April 27, 2010.

- ◆ **Position Adjustment** **\$0**
As part of the FY 2011 review of County position categories, a conversion of 1/1.0 SYE position has been made. The status of limited term positions was reviewed in light of recent changes to federal regulations related to health care and other federal tax requirements. As a result of this review a number of existing limited term positions have been converted to Merit Regular status.

A Fund Statement and a Summary of Capital Projects are provided on the following pages. The Summary of Capital Projects may include some projects without a Total Project Estimate amount. These projects are considered "continuing" projects or projects for which funding is necessary on an ongoing basis (e.g., a contingency or planning project).

Fund 145

HOME Investment Partnership Grant

FUND STATEMENT

Fund Type H14, Special Revenue Funds

Fund 145, HOME Investment
Partnership Grant

	FY 2010 Actual	FY 2011 Adopted Budget Plan	FY 2011 Revised Budget Plan	FY 2012 Advertised Budget Plan	FY 2012 Adopted Budget Plan
Beginning Balance	\$63,945	\$0	\$16,318	\$0	\$0
Revenue:					
HOME Grant Funds ¹	\$1,161,037	\$2,707,657	\$9,053,355	\$2,692,612	\$2,692,612
HOME Program Income	44,254	0	0	0	0
Total Revenue	\$1,205,291	\$2,707,657	\$9,053,355	\$2,692,612	\$2,692,612
Total Available	\$1,269,236	\$2,707,657	\$9,069,673	\$2,692,612	\$2,692,612
Expenditures:					
HOME Projects ^{1,2}	\$1,252,918	\$2,707,657	\$9,069,673	\$2,692,612	\$2,692,612
Total Expenditures	\$1,252,918	\$2,707,657	\$9,069,673	\$2,692,612	\$2,692,612
Total Disbursements	\$1,252,918	\$2,707,657	\$9,069,673	\$2,692,612	\$2,692,612
Ending Balance³	\$16,318	\$0	\$0	\$0	\$0

¹ In order to account for revenues and expenditures in the proper fiscal year, audit adjustments totaling a net increase of \$890,453.64 have been reflected as an increase of \$887,825.01 in FY 2010 revenues to record accrual adjustments, and a decrease of \$2,628.63 in FY 2010 expenditures associated with project accruals. FY 2011 revenues and expenditures were adjusted by commensurate amounts. These audit adjustments have been included in the FY 2010 Comprehensive Annual Financial Report (CAFR). Details of the FY 2010 audit adjustments were included in the FY 2011 Third Quarter Package.

² FY 2012 HOME funding projections include \$1,541,827 for the Silver Lining Initiative; a planning factor of \$477,632 for Tenant-Based Rental Assistance and Partnership for Affordable Housing; a set-aside of at least 15 percent, \$403,892, mandated under HOME regulations, from the County's total HOME allocation for eligible Community Housing Development Organizations (CHDOs); and a 10 percent set-aside of \$269,261 for administrative expenses as permitted under HOME regulations (including \$24,427 for the Fair Housing Program).

³ Capital projects are budgeted based on the total project costs. Most projects span multiple years, from design to construction completion. Therefore, funding for capital projects is carried forward each fiscal year, and ending balances fluctuate, reflecting the carryover of these funds.

Fund 145

HOME Investment Partnership Grant

FY 2012 Summary of Capital Projects

Fund: 145, HOME Investment Partnership Grant

Project #	Description	Total Project Estimate	FY 2010 Actual Expenditures	FY 2011 Revised Budget	FY 2012 Advertised Budget Plan	FY 2012 Adopted Budget Plan
003875	Island Walk Cooperative	\$1,000,000	\$0.00	\$0.00	\$0	\$0
013808	Herndon Harbor House Phase I	553,853	0.00	0.00	0	0
013854	Founders Ridge/Kingstowne NV	31,927	0.00	0.00	0	0
013856	Birmingham Green	1,250,000	0.00	0.00	0	0
013868	Good Shepherd Housing	902,202	0.00	540,000.00	0	0
013883	Old Mill Road	59,500	0.00	0.00	0	0
013886	RPJ Transitional Housing	776,860	0.00	88,360.00	0	0
013901	Tavener Lane	734,600	0.00	0.00	0	0
013912	Stevenson Street	570,000	0.00	0.00	0	0
013933	Reston Interfaith Townhouses	1,305,644	0.00	386.40	0	0
013954	CHDO Undesignated		0.00	777,656.90	403,892	403,892
013969	Castellani Meadows	1,039,961	0.00	0.00	0	0
013971	Tenant-Based Rental Assistance		73,041.00	658,370.52	245,584	245,584
013974	HOME Development Costs		0.00	36,477.57	0	0
013975	HOME Administration		153,661.60	789,798.33	244,834	244,834
014034	Fair Housing Program		9,666.66	65,806.85	24,427	24,427
014040	Herndon Harbor Phase II	2,533,802	0.00	0.00	0	0
014056	Gum Springs Glen	2,612,665	0.00	0.00	0	0
014129	Senior/Disabled Housing Development		0.00	32,224.48	0	0
014134	Habitat at Stevenson Street	216,000	0.00	0.00	0	0
014137	Little River Glen III	2,788,471	0.00	0.00	0	0
014144	Transitional Housing	407,000	23,521.28	94,580.86	0	0
014190	American Dream Downpayment Initiative		0.00	46,650.52	0	0
014191	Rehabilitation of FCRHA Properties		0.00	514,655.00	0	0
014238	Holly Acres	144,500	0.00	0.00	0	0
014254	East Market	145,000	0.00	0.00	0	0
014255	Lorton Valley	264,117	0.00	0.00	0	0
014265	Partnership for Permanent Housing		231,464.00	733,112.25	232,048	232,048
014275	Silver Lining Initiative		122,400.00	3,244,864.55	1,541,827	1,541,827
014310	The Brain Foundation		458,992.00	0.00	0	0
014316	Mondloch House	1,446,729	0.00	1,446,729.00	0	0
014949	Reston Interfaith Housing Corporation		180,171.00	0.00	0	0
Total		\$18,782,832	\$1,252,917.54	\$9,069,673.23	\$2,692,612	\$2,692,612

Fund 319

The Penny for Affordable Housing Fund

Focus

Fund 319, The Penny for Affordable Housing Fund, formerly known as the Housing Flexibility Fund, was established in FY 2006 and is designed to serve as a readily available local funding source with the flexibility to address emerging local affordable housing needs. For fiscal years 2006 through 2009, the Board of Supervisors dedicated revenue commensurate with the value of one cent from the Real Estate tax rate to the Preservation of Affordable Housing, a major County priority. In FY 2010, the Board of Supervisors reduced The Penny for Affordable Housing Fund by 50 percent to reallocate funding for critical human services and public safety program restorations in order to balance the FY 2010 budget. From FY 2006 through FY 2011, the fund has provided a total of \$104.9 million for affordable housing in Fairfax County; a total of \$14.7 million is provided in FY 2012.

Between 1980 and 2005, the assessed value of housing in Fairfax County rose more than 300 percent. The foreclosure crisis in Fairfax County precipitated a significant decline in sales prices from their unprecedented highs in 2005 and 2006. However, according to the George Mason University Center for Regional Analysis, despite these declines in sales prices, the average housing price in 2009 remained equivalent to that of February 2004, or approximately 84 percent higher than 2000. Thus, homeownership remains out of reach for most low- and moderate-income households in Fairfax County.

Rents have also been driven up by the significant and growing demand for housing in the County. Although current market conditions have seen decreases in residential real estate prices, the recent recession has not had an impact on rent affordability. Between 2002 and 2010, Fairfax County lost approximately 8,051 non-subsidized rental units affordable to households earning up to 70 percent of the Area Median Income (AMI), or \$72,450 for a family of four in FY 2010. The percentage of rental units affordable at 70 percent of AMI fell from 75 percent in 2002 to 56 percent in 2008, and was held constant at 56 percent in 2010. The AMI for Fairfax County in FY 2010, as published by the United States Department of Housing and Urban Development (HUD), is \$103,500. In fact, the annual income needed to afford a two bedroom apartment at the HUD-published fair market rate of \$1,461 per month was estimated to be \$58,440 in FY 2011. This is over 50 percent of the AMI, meaning that there are many wage earners for whom living in Fairfax County is a significant financial struggle.

In addition, according to the 2009 HUD Comprehensive Housing Affordability Strategy (CHAS) data estimates, 37,847 rental households earning 80 percent of AMI and below had "housing problems", meaning they were cost burdened or paying more than 30 percent of their gross income for housing, or in overcrowded or substandard housing. The Center for Regional Analysis at George Mason University estimates that there is a need for 63,660 net new affordable units for households earning up to 120 percent of the AMI by 2025, including 40,338 net new units affordable to households earning 80 percent of the AMI and below, based on projected job growth. Taken together, this represents a need for over 100,000 units of affordable workforce housing in Fairfax County within the next 15 years.

Fund 319 represents the County's financial commitment to preserving and creating affordable housing opportunities by dedicating a portion of its revenue specifically for affordable and workforce housing. To maximize the effectiveness of these funds, the Board of Supervisors recommended a minimum leverage ratio of 3:1 with non-County funds and that units funded by Fund 319 remain affordable at a minimum for a period of time consistent with the County's Affordable Dwelling Unit Ordinance, which was amended to be 30 years effective February 2006.

Fund 319

The Penny for Affordable Housing Fund

As of May 2011, a total of 2,436 affordable units have been preserved for both homeownership and rental purposes in a variety of large and small projects. Of that number, 252 units are preserved as affordable housing for periods of five years or less, and 2,184 units are preserved for 20 years or longer. A variety of funding sources were used to preserve these units; however, Fund 319 funds were critical for the preservation efforts associated with five large multifamily complexes that were purchased by private nonprofits and which represent a significant portion of the units preserved: 216 units in Madison Ridge in Centreville (Sully District), 148 units in Hollybrooke II and III in the Seven Corners area of Falls Church (Mason District), 90 units in Sunset Park Apartments in Falls Church (Mason District), 319 units in Janna Lee Villages in the Hybla Valley area (Lee District) and 105 units in Coralain Gardens located on Arlington Boulevard (Route 50) in Falls Church (Mason District). Fund 319 was also instrumental in preserving two large complexes: 180 units at the Crescent apartment complex in Reston (Hunter Mill District) and 672 units at the Wedgewood apartment complex in Annandale (Braddock District). These projects were purchased by the County and are being managed by the Fairfax County Redevelopment and Housing Authority as part of the low- and moderate-income rental program. Without the availability of Fund 319, both of these apartment complexes may have been lost as affordable housing.

More recently, the Board of Supervisors has used the flexibility of The Penny for Affordable Housing Fund to address emerging local affordable housing opportunities and needs other than preservation. In FY 2009, the fund provided \$6.3 million for the construction of 90 units of affordable active senior living at Olley Glen (Braddock District). The fund also provided \$0.8 million in FY 2009 to support the Silver Lining Initiative, which provides below-market second trusts to income-qualified Fairfax County first-time homebuyers purchasing bank-owned foreclosed homes. From June 2008 through June 2010, a total of 67 foreclosed homes were purchased by first-time homebuyers using federal, state and local funds, including Fund 319.

During its retreat in June 2009, the Board of Supervisors reaffirmed the County's commitment to affordable housing and discussed the use of affordable housing resources in future fiscal years. In response to these discussions, the "Housing Blueprint" was presented to the Board at its Housing Committee meeting of January 19, 2010. The Blueprint was a collaborative effort among County agencies, non-profits and advocates and laid out the priorities for housing, including four principal goals: 1) To end homelessness in 10 years; 2) To provide affordable housing options to those with special needs; 3) To reduce the waiting lists for affordable housing by half in 10 years; and 4) To produce workforce housing sufficient to accommodate projected job growth. The effort also supports the shift of emphasis from preserving affordable housing to: 1) providing housing for those in greatest need, 2) partnering with non-profits, 3) refocusing existing resources, 4) bridging the affordability gap, 5) completing projects in the pipeline and 6) promoting workforce housing through land use policy and private sector partnerships. The Board formally adopted the Housing Blueprint on January 26, 2010.

The collaborative process that resulted in the Blueprint also helped to create specific FY 2011 metrics for each of the four overarching Blueprint goals. In addition to re-focusing existing resources and other efforts, the FY 2011 Blueprint metrics called for the creation of a locally-funded "Bridging Affordability" program to address the homelessness and waiting list goals. The Board subsequently provided, as part of the FY 2011 budget process, a total of \$4.1 million in project revenue from the County-owned Wedgewood Apartments complex for the Bridging Affordability program.

Fund 319

The Penny for Affordable Housing Fund

The Bridging Affordability program is designed to provide funding to non-profits, via a competitive process, for use as rental subsidies and capital for the acquisition of additional affordable units. In FY 2011, it is expected that these funds will serve approximately 48 homeless individuals and families, and about 364 households on the County's affordable housing waiting lists. A request for proposals for the Bridging Affordability program was issued in the fall of 2010; contract award is expected by June 2011.

In FY 2012, Fund 319 funding of \$14,668,400, comprised of \$9,650,000 in Real Estate Tax Revenue and \$5,018,400 in operating revenue from the Wedgewood and Crescent Apartments, is allocated as follows: \$5,775,000 for Wedgewood for the annual debt service; \$4,318,400 to fund the Bridging Affordability Program portion of the Housing Blueprint; \$3,900,000 for Crescent Apartments for the annual debt service; and \$675,000 to be allocated to Affordable/Workforce Housing Projects for reallocation to specific projects when authorized by the Board of Supervisors.

Changes to FY 2011 Adopted Budget Plan

The following funding adjustments reflect all approved changes in the FY 2011 Revised Budget Plan since passage of the FY 2011 Adopted Budget Plan. Included are all adjustments made as part of the FY 2010 Carryover Review, FY 2011 Third Quarter Review, and all other approved changes through April 12, 2011.

- ◆ **Carryover Adjustments** **\$6,406,499**
As part of the *FY 2010 Carryover Review*, the Board of Supervisors approved an increase of \$6,406,499 due to the carryover of \$5,274,677 in unexpended project balances, \$900,000 to account for the annual debt service payment required for Crescent Apartments as approved by the Board of Supervisors on January 28, 2008, and \$231,822 to appropriate additional revenue received in FY 2010 from a loan repayment.

A Fund Statement and a Summary of Capital Projects are provided on the following pages. The Summary of Capital Projects may include some projects without a Total Project Estimate amount. These projects are considered "continuing" projects or projects for which funding is necessary on an ongoing basis (e.g., a contingency or planning project).

Fund 319

The Penny for Affordable Housing Fund

FUND STATEMENT

Fund Type G30, Capital Project Funds

Fund 319, The Penny for Affordable
Housing Fund

	FY 2010 Actual	FY 2011 Adopted Budget Plan	FY 2011 Revised Budget Plan	FY 2012 Advertised Budget Plan	FY 2012 Adopted Budget Plan
Beginning Balance	\$10,681,953	\$0	\$5,506,499	\$0	\$0
Revenue:					
Real Estate Tax Revenue Associated with The Penny for Affordable Housing	\$10,270,000	\$9,340,000	\$9,340,000	\$9,650,000	\$9,650,000
Miscellaneous	2,741,075	4,118,400	5,018,400	5,018,400	5,018,400
Total Revenue	\$13,011,075	\$13,458,400	\$14,358,400	\$14,668,400	\$14,668,400
Total Available	\$23,693,028	\$13,458,400	\$19,864,899	\$14,668,400	\$14,668,400
Total Expenditures	\$18,186,529	\$13,458,400	\$19,864,899	\$14,668,400	\$14,668,400
Total Disbursements	\$18,186,529	\$13,458,400	\$19,864,899	\$14,668,400	\$14,668,400
Ending Balance¹	\$5,506,499	\$0	\$0	\$0	\$0

¹ Capital projects are budgeted based on the total project costs. Many projects span multiple years, and therefore, funding for those projects are carried forward each fiscal year, and ending balances fluctuate, reflecting the carryover of these funds.

Fund 319

The Penny for Affordable Housing Fund

FY 2012 Summary of Capital Projects

Fund: 319, The Penny for Affordable Housing Fund

Project #	Description	Total Project Estimate	FY 2010 Actual Expenditures	FY 2011 Revised Budget	FY 2012 Advertised Budget Plan	FY 2012 Adopted Budget Plan
014046	Olley Glen	\$6,300,000	\$0.00	\$0.00	\$0	\$0
014196	Affordable/Workforce Housing Projects		321,258.90	961,463.66	675,000	675,000
014198	Madison Ridge	2,500,000	0.00	0.00	0	0
014232	Hollybrooke II Apartments	3,350,000	0.00	0.00	0	0
014237	Yorkville Apartments	64,932	0.00	0.00	0	0
014239	Crescent Apartments	72,024,180	3,883,455.75	4,452,169.16	3,900,000	3,900,000
014240	Sunset Park Apartments	5,000,000	0.00	0.00	0	0
014250	Fairfield at Fair Chase	306,555	0.00	0.00	0	0
014252	Janna Lee Village I	13,000,000	0.00	0.00	0	0
014253	Janna Lee Village II	5,377,810	0.00	0.00	0	0
014254	East Market	145,395	0.00	0.00	0	0
014258	Hollybrooke III Apartments	3,100,000	0.00	0.00	0	0
014261	Reston Glen	2,375,000	0.00	0.00	0	0
014262	Coralain Gardens	5,300,000	0.00	0.00	0	0
014263	Bryson at Woodland Park	108,000	0.00	0.00	0	0
014264	Fair Oaks Landing	188,000	0.00	0.00	0	0
014268	Wedgewood	37,191,250	13,417,998.08	9,643,669.93	5,775,000	5,775,000
014269	Northampton	207,977	207,976.68	0.00	0	0
014270	Stockwell Manor	182,746	182,746.19	0.00	0	0
014273	Halstead	172,593	172,593.28	0.00	0	0
014275	Silver Lining Initiative		500.00	689,196.00	0	0
014277	Bridging Affordability Program		0.00	4,118,400.00	4,318,400	4,318,400
Total		\$156,894,439	\$18,186,528.88	\$19,864,898.75	\$14,668,400	\$14,668,400

Fund 340

Housing Assistance Program

Focus

The Housing Assistance Program has been a source of funds for the development of low- and moderate-income housing and support of public improvement projects in low- and moderate-income neighborhoods. In addition, proceeds from the U.S. Department of Housing and Urban Development (HUD) Section 108 Loan provide for public improvement projects in five of the County's Conservation Areas: Bailey's, Fairhaven, Gum Springs, James Lee and Jefferson Manor.

In FY 2012, a General Fund transfer provides \$515,000 for current program needs, staffing and other activities associated with countywide residential improvement and repair projects within the Department of Housing and Community Development.

Changes to FY 2011 Adopted Budget Plan

The following funding adjustments reflect all approved changes in the FY 2011 Revised Budget Plan since passage of the FY 2011 Adopted Budget Plan. Included are all adjustments made as part of the FY 2010 Carryover Review, FY 2011 Third Quarter Review, and all other approved changes through April 12, 2011.

- ◆ **Carryover Adjustments** **\$7,940,286**
As part of the *FY 2010 Carryover Review*, the Board of Supervisors approved an increase of \$7,940,286 due to the carryover of unexpended project balances in the amount of \$7,939,656 and an increase of \$688 to appropriate revenues received in FY 2010, offset by a decrease of \$58 due to project closeouts.

- ◆ **Third Quarter Adjustment** **(\$99,410)**
As part of the *FY 2011 Third Quarter Review*, the Board of Supervisors approved a decrease of \$99,410 to close out the Lorton Arts Center Economic Development Initiative Grant. The grant funds will be received directly by the Lorton Arts Center.

A Fund Statement and a Summary of Capital Projects are provided on the following pages. The Summary of Capital Projects may include some projects without a Total Project Estimate amount. These projects are considered "continuing" projects or projects for which funding is necessary on an ongoing basis (e.g., a contingency or planning project).

Fund 340

Housing Assistance Program

FUND STATEMENT

Fund Type H34, Capital Project Funds

Fund 340, Housing Assistance Program

	FY 2010 Actual	FY 2011 Adopted Budget Plan	FY 2011 Revised Budget Plan	FY 2012 Advertised Budget Plan	FY 2012 Adopted Budget Plan
Beginning Balance	(\$3,162,227)	\$23,037	(\$3,852,467)	\$23,095	\$23,095
Revenue:					
Miscellaneous Revenues	\$688	\$0	\$0	\$0	\$0
Bond Proceeds ^{1,2}	0	0	4,356,833	0	0
Grant Proceeds	168,873	0	517,746	0	0
Section 108 Proceeds	0	0	6,841,859	0	0
Total Revenue	\$169,561	\$0	\$11,716,438	\$0	\$0
Transfer In:					
General Fund (001)	\$515,000	\$515,000	\$515,000	\$515,000	\$515,000
Total Transfer In	\$515,000	\$515,000	\$515,000	\$515,000	\$515,000
Total Available	(\$2,477,666)	\$538,037	\$8,378,971	\$538,095	\$538,095
Expenditures:					
Capital Projects	\$1,074,560	\$515,000	\$8,355,876	\$515,000	\$515,000
Total Expenditures	\$1,074,560	\$515,000	\$8,355,876	\$515,000	\$515,000
Transfer Out:					
County Construction (303)	\$300,241	\$0	\$0	\$0	\$0
Total Transfer Out	\$300,241	\$0	\$0	\$0	\$0
Total Disbursements	\$1,374,801	\$515,000	\$8,355,876	\$515,000	\$515,000
Ending Balance³	(\$3,852,467)	\$23,037	\$23,095	\$23,095	\$23,095

¹ In order to account for revenues and expenditures in the proper fiscal year, an audit adjustment totaling a decrease of \$242,200.32 has been reflected as a decrease of \$242,200.32 in FY 2010 revenues for bond proceeds. FY 2011 revenues were adjusted by a commensurate amount. These audit adjustments have been included in the FY 2010 Comprehensive Annual Financial Report (CAFR). Details of the FY 2010 audit adjustments were included in the FY 2011 Third Quarter Package.

² It should be noted that in the Fall of 1988 a Commercial and Development Bond Referendum was approved, of which \$9.7 million was designated for the redevelopment of the Woodley-Nightingale mobile home park. The amount of \$4,356,833 represents the authorized but unissued bond proceeds for this project.

³ Capital projects are budgeted based on the total project costs. Most projects span multiple years, from design to construction completion. Therefore, funding for capital projects is carried forward each fiscal year, and ending balances fluctuate, reflecting the carryover of these funds. The FY 2010 Actual negative balance is attributed to a delay in receipt of: bond proceeds for Project 003836, Woodley-Nightingale; Section 108 proceeds to cover the expenditures incurred for Project 003848, Fairhaven Public Improvements and Project 013918, Jefferson Manor Public Improvements; and Economic Development Initiative grant funds from HUD to support Project 014244, Annandale Community Cultural Center and Project 014247, Magnet Housing. The Section 108 proceeds were received from the U.S. Department of Housing and Urban Development (HUD) in February 2011 and are sufficient to cover the negative balance. The bond proceeds and grant funds are projected to be received in FY 2011.

Fund 340

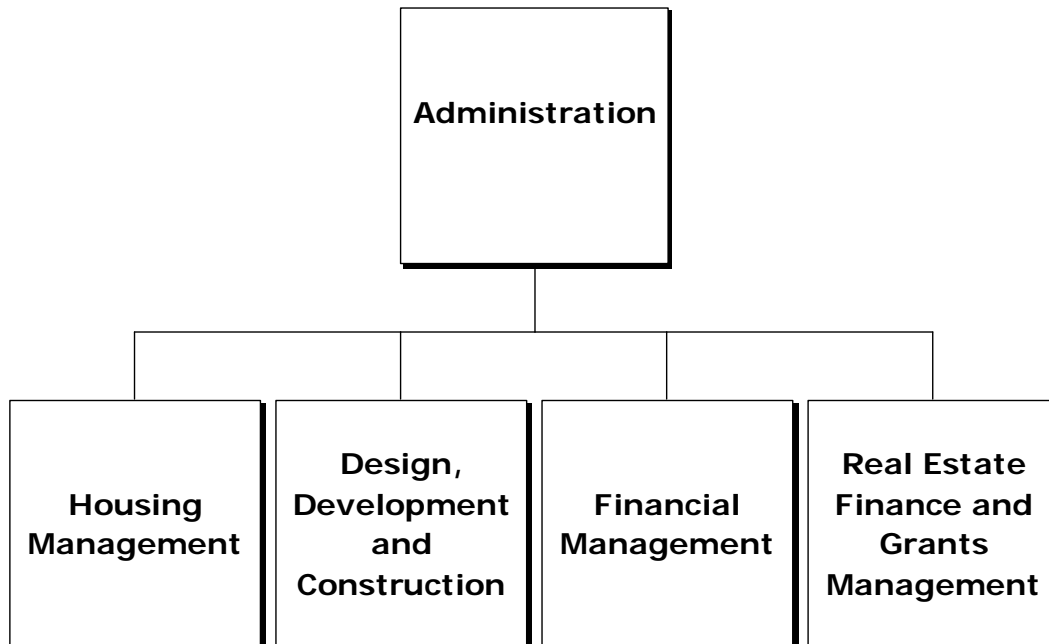
Housing Assistance Program

FY 2012 Summary of Capital Projects

Fund: 340, Housing Assistance Program

Project #	Description	Total	FY 2010	FY 2011	FY 2012	FY 2012
		Project Estimate	Actual Expenditures	Revised Budget	Advertised Budget Plan	Adopted Budget Plan
003817	Bailey's Community Center	\$121,378	\$0.00	\$0.00	\$0	\$0
003836	Woodley-Nightingale	3,351,250	242,200.32	2,433,719.66	0	0
003844	Emergency Housing	578,448	775.00	96,418.58	0	0
003846	Bailey's Road Improvements	586,783	0.00	45,824.49	0	0
003848	Fairhaven Public Improvements	1,796,863	0.00	395,983.69	0	0
003875	Island Walk Cooperative	49,997	0.00	0.00	0	0
003905	Gum Springs Public Improvements	1,825,391	1,900.00	5,517.35	0	0
003907	James Lee Community Center	642,729	0.00	3,441.20	0	0
003910	James Lee Road Improvements	352,092	0.00	41,382.53	0	0
003978	Lincolnia Center	7,743,067	0.00	0.00	0	0
003993	Little River Glen	3,569,435	0.00	0.00	0	0
013808	Herndon Harbor House Phase I	25,180	0.00	0.00	0	0
013831	FCRHA Office Building	(201)	(200.90)	0.00	0	0
013846	Murraygate Village	1,038,750	0.00	0.00	0	0
013905	Creighton Square/Lockheed Blvd.	53,365	0.00	0.00	0	0
013912	Stevenson Street	64,863	0.00	0.00	0	0
013914	Cedar Ridge	13,250	0.00	0.00	0	0
013918	Jefferson Manor Public Imp.	8,210,707	155,600.00	1,767,947.92	0	0
013944	Gum Springs Community Center	9,785	0.00	0.00	0	0
013948	Little River Glen Phase II	9,384	0.00	0.00	0	0
013963	Section 108 Loan Issuance Costs		0.00	115,808.00	0	0
013966	Glenwood Mews	36,908	0.00	0.00	0	0
013969	Castellani Meadows	9,875	0.00	0.00	0	0
014010	Commercial Revitalization		33,680.00	19,724.24	0	0
014020	Stonegate Village Phase II	13,379	0.00	0.00	0	0
014045	McLean Revitalization	100,000	0.00	0.00	0	0
014047	Lake Anne Reston	50,000	0.00	0.00	0	0
014048	Revitalization Spot Blight Abatement		35,153.33	0.00	0	0
014050	Herndon Senior Center	55,877	0.00	0.00	0	0
014100	Commerce Street Redevelopment	2,158,422	0.00	2,079,049.23	0	0
014101	Kings Crossing Redevelopment	27,979	0.00	0.00	0	0
014102	Gallows Road Streetscape	32,330	0.00	0.00	0	0
014103	Richmond Hwy. Facade Improvements	214,346	0.00	0.00	0	0
014115	Sacramento Community Center	882	0.00	0.00	0	0
014117	Richmond Highway Corridor	100,000	5,276.86	23,364.51	0	0
014122	Allen Street	75,000	0.00	0.00	0	0
014125	David R. Pinn Community Center	97,417	0.00	0.00	0	0
014141	Mason District Park - EDI	89,802	0.00	0.00	0	0
014156	Merrifield Town Center Urban Park	2,000,000	0.00	0.00	0	0
014157	Annandale Façade Imp. Program	83,890	0.00	0.00	0	0
014159	Baileys 7 Corners Streetscape Imp.	135,041	0.00	0.00	0	0
014160	Baileys SE Quad. Town Ctr. Comm.	75,000	0.00	367.13	0	0
014161	Revitalization Field Services		0.00	5,476.60	0	0
014242	Richmond Highway Town Center	99,410	0.00	79,528.00	0	0
014244	Annandale Community Cultural Center	90,000	0.00	0.00	0	0
014245	Lorton Arts Center		0.00	0.00	0	0
014247	Magnet Housing	347,935	40,839.00	98,632.29	0	0
014252	Janna Lee Village I	622,190	0.00	0.00	0	0
014272	Community Improvement Program Costs	2,060,000	514,820.00	515,180.00	515,000	515,000
014306	EDI Housing Information Technology	99,000	43,216.54	40,587.01	0	0
014313	Huntington Flood Insurance Program		0.00	295,224.00	0	0
014314	EDI-SRO Housing		1,300.20	292,699.80	0	0
VA1940	Reston Towne Center	615,000	0.00	0.00	0	0
Total		\$39,332,198	\$1,074,560.35	\$8,355,876.23	\$515,000	\$515,000

Fund 940
Fairfax County Redevelopment and
Housing Authority General Operating



Mission

To preserve and increase opportunities for affordable housing in Fairfax County based on need, community priorities and the policy of the Board of Supervisors and the Fairfax County Redevelopment and Housing Authority (FCHRA). Driven by community vision, to lead efforts to revitalize older areas of Fairfax County, to spur private reinvestment, maximize existing infrastructure and public investment, reverse negative perceptions and create employment opportunities.

Focus

This fund includes all FCRHA revenues generated by financing fees earned from the issuance of bonds, monitoring and service fees charged to developers, management fees, investment income, project reimbursements, consultant fees and ground rents on land leased to developers. Revenue supports operating expenses for the administration of the private activity bonds, Home Improvement Loan Program (HILP) staff, and other administrative costs, which crosscut many or all of the housing programs.

In FY 2012, revenue projections for Fund 940, FCRHA General Operating, are \$2,602,535, an increase of \$195,781 or 8.1 percent over the FY 2011 Adopted Budget Plan amount. The revenue increase is primarily attributable to anticipated increases in developer fees and program income offset by decreases in investment income and reimbursement revenue related to a write off of a Federal Financing Bank note. Expenditures are \$2,516,625, an increase of \$109,871 or 4.6 percent over the FY 2011 Adopted Budget Plan due primarily to an increase in Personnel Services expenditures due to position adjustments for project-based budgeting.

Fund 940

Fairfax County Redevelopment and Housing Authority General Operating

A portion of the staff costs associated with the FCRHA Home Improvement Loan Program and FCRHA real estate development and financing activities are supported by the financing and development fees generated by these activities. In FY 2012, Fund 340, Housing Assistance Program, will continue to provide \$515,000 for community revitalization activities to address current program needs for staffing and other efforts associated with countywide residential improvement and repair projects within the Department of Housing and Community Development.

The FCRHA will continue to make tax-exempt financing available and earn related financing fees. The financing will be used for the agency's own development as well as for the construction or preservation of qualified multi-family housing owned by other developers. However, because many types of projects must compete for an allocation of tax-exempt bond authority from the limited pool of such authority available in the Commonwealth of Virginia, the number of FCRHA tax-exempt bond issues in any year is limited and will vary significantly from year to year. Under this financing mechanism, a percentage of the units in a housing development must meet lower income occupancy requirements. Since 1986, there have been two alternate standards for meeting these requirements. Either 20 percent of the units must be occupied by households with incomes at 50 percent or less of the Washington D.C./Baltimore Metropolitan Statistical Area (MSA) median income (adjusted for household size), or 40 percent of the units must be occupied by households with 60 percent or less of the MSA median income. In addition, the FCRHA will continue to monitor existing tax-exempt financed multi-family housing projects to assure continuing developer compliance with program guidelines.

In FY 2011, HCD established the Bridging Affordability program. It was conceived during the development of the Housing Blueprint, and is intended to provide local rental subsidies to individuals and families experiencing homelessness and households currently on Fairfax County's affordable housing waiting lists, including those managed by the FCRHA, the Fairfax-Falls Church Community Services Board, the Office to End and Prevent Homelessness and the homeless shelters. In FY 2011, it is expected that the Bridging Affordability Program will serve 48 homeless individuals and families and 364 households on the County's waiting lists. Per the Board's direction in the Housing Blueprint, the Bridging Affordability Program will be administered by HCD with specific grants made to one or more of the County's non-profit partners. HCD will provide program compliance, inspect units and administer the contracts with non-profit partners. As designated by the Housing Blueprint, a portion of the operations revenue at the County-owned Wedgewood property will be used to fund two merit positions that will support this program.

Fund 940

Fairfax County Redevelopment and Housing Authority General Operating

Budget and Staff Resources

Agency Summary					
Category	FY 2010 Actual	FY 2011 Adopted Budget Plan	FY 2011 Revised Budget Plan	FY 2012 Advertised Budget Plan	FY 2012 Adopted Budget Plan
Authorized Positions/Staff Years					
Regular	25 / 25	24 / 24	24 / 24	24 / 24	24 / 24
Expenditures:					
Personnel Services	\$1,601,658	\$1,611,139	\$1,763,275	\$1,804,340	\$1,804,340
Operating Expenses	661,561	795,615	742,233	712,285	712,285
Capital Equipment	0	0	0	0	0
Total Expenditures	\$2,263,219	\$2,406,754	\$2,505,508	\$2,516,625	\$2,516,625

Position Summary		
ADMINISTRATION 1 Information Officer III 1 Information Officer II 3 Administrative Assistants IV 1 Administrative Assistant III 1 Administrative Assistant II 1 Housing/Community Developer V FINANCIAL MANAGEMENT 1 Financial Specialist IV 1 Financial Specialist III 1 Accountant III 1 Accountant II 1 Administrative Assistant II	DESIGN, DEVELOPMENT AND CONSTRUCTION 1 Division Director 1 Housing/Community Developer V 2 Housing/Community Developers III 1 Housing/Community Developer II HOUSING MANAGEMENT 1 Housing Services Specialist IV 1 Housing Services Specialist II 1 Assistant Supervisor Facilities Support	REAL ESTATE FINANCE AND GRANTS MANAGEMENT 2 Housing/Community Developers V 1 Management Analyst III
TOTAL POSITIONS 24 Positions/ 24.0 Staff Years		

FY 2012 Funding Adjustments

The following funding adjustments reflect all changes to the FY 2011 Adopted Budget Plan, as approved by the Board of Supervisors on April 26, 2011.

- ◆ **Employee Compensation**
\$0

It should be noted that no funding is included for pay for performance or market rate adjustments in FY 2012.

- ◆ **Project-Based Budgeting Adjustments and Operating Requirements**
\$112,147

An increase of \$112,147 is associated with an increase of \$195,477 in Personnel Services necessary to support project-based budgeting efforts, offset by a decrease of \$83,330 in Operating Expenses based on a three-year average of prior years' actuals.

Fund 940

Fairfax County Redevelopment and Housing Authority General Operating

- ◆ **Other Post Employment Benefits** **(\$2,276)**
A decrease of \$2,276 is required to reflect costs associated with providing Other Post-Employment Benefits (OPEBs) to retirees, including the Retiree Health Benefits Subsidy. Before FY 2011, costs related to these benefits were paid solely by the General Fund; however, these annual costs are now spread across funds in order to more appropriately reflect benefit-related expenses for the employees within each fund. For more information on Other Post-Employment Benefits, please refer to Fund 603, OPEB Trust Fund, in Volume 2 of the FY 2012 Adopted Budget Plan.

Changes to FY 2011 Adopted Budget Plan

The following funding adjustments reflect all approved changes in the FY 2011 Revised Budget Plan since passage of the FY 2011 Adopted Budget Plan. Included are all adjustments made as part of the FY 2010 Carryover Review, FY 2011 Third Quarter Review, and all other approved changes through April 12, 2011.

- ◆ **Carryover Adjustments** **\$7,609**
As part of the *FY 2010 Carryover Review*, the Board of Supervisors approved an increase of \$7,609 in Operating Expenses due to encumbered carryover primarily associated with requirements for professional consulting services and various program expenses.
- ◆ **Project-Based Budgeting Adjustments** **\$175,000**
Subsequent to the *FY 2010 Carryover Review*, Personnel Services expenses were increased by \$152,136 and Operating Expenses were increased by \$22,864 to support project-based budgeting requirements.
- ◆ **Third Quarter Adjustment** **(\$83,855)**
As part of the *FY 2011 Third Quarter Review*, the Board of Supervisors approved a decrease of \$83,855 reflecting the write off of a Federal Financing Banking note.

Fund 940

Fairfax County Redevelopment and Housing Authority General Operating

FUND STATEMENT

Fund Type H94, FCRHA General Revenue

Fund 940, FCRHA General Operating

	FY 2010 Actual	FY 2011 Adopted Budget Plan	FY 2011 Revised Budget Plan	FY 2012 Advertised Budget Plan	FY 2012 Adopted Budget Plan
Beginning Balance	\$11,606,881	\$11,457,884	\$11,751,863	\$12,076,527	\$11,830,714
Revenue:					
Investment Income ¹	\$55,712	\$194,307	\$55,000	\$88,000	\$88,000
Monitoring/Developer Fees ^{2,3}	580,877	581,507	807,274	760,632	760,632
Rental Income	69,345	73,248	73,248	73,803	73,803
Program Income ^{2,4}	1,238,514	1,155,370	1,330,370	1,371,054	1,371,054
Other Income ^{2,5}	463,753	402,322	318,467	309,046	309,046
Total Revenue	\$2,408,201	\$2,406,754	\$2,584,359	\$2,602,535	\$2,602,535
Total Available	\$14,015,082	\$13,864,638	\$14,336,222	\$14,679,062	\$14,433,249
Expenditures:					
Personnel Services ^{2,6}	\$1,601,658	\$1,611,139	\$1,763,275	\$1,804,340	\$1,804,340
Operating Expenses ^{2,7}	661,561	795,615	742,233	712,285	712,285
Total Expenditures	\$2,263,219	\$2,406,754	\$2,505,508	\$2,516,625	\$2,516,625
Total Disbursements	\$2,263,219	\$2,406,754	\$2,505,508	\$2,516,625	\$2,516,625
Ending Balance	\$11,751,863	\$11,457,884	\$11,830,714	\$12,162,437	\$11,916,624
Debt Service Reserve on					
One University Plaza	\$2,195,925	\$2,195,925	\$2,195,925	\$2,195,925	\$2,195,925
Cash with Fiscal Agent	6,854,000	6,854,000	6,854,000	6,854,000	6,854,000
Unreserved Ending Balance	\$2,701,938	\$2,407,959	\$2,780,789	\$3,112,512	\$2,866,699

¹ The FY 2012 decrease from the FY 2011 Adopted Budget Plan is due to anticipated reductions in investment income for funds held with fiscal agent.

² In order to account for revenues and expenditures in the proper fiscal year, audit adjustments totaling a net decrease of \$17,412.74 have been reflected as an increase of \$1,323.01 in FY 2010 revenues to record accrual adjustments, and an increase of \$18,735.75 in FY 2010 expenditures to record debt service and operating expenses in the appropriate fiscal year. These audit adjustments have been included in the FY 2010 Comprehensive Annual Financial Report (CAFR). Details of the FY 2010 audit adjustments were included in the FY 2011 Third Quarter Package.

³ The FY 2012 increase over the FY 2011 Adopted Budget Plan is due to additional developer fee income.

⁴ The FY 2012 increase primarily reflects support for Bridging Affordability Program administrative expenses.

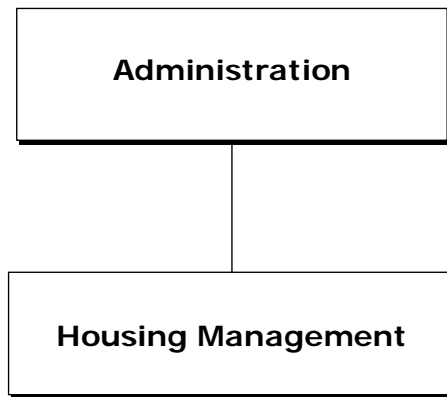
⁵ The FY 2012 decrease is due to a reduction in reimbursement revenue related to the write off of a Federal Financing Bank note.

⁶ The FY 2012 increase in Personnel Services is primarily due to adjustments for project-based budgeting.

⁷ The FY 2012 decrease is primarily based on a three-year average of prior years' actuals.

Fund 941

Fairfax County Rental Program



Mission

To manage affordable rental housing acquired by the Fairfax County Redevelopment and Housing Authority (FCRHA) and to maintain and preserve the units for long term rental availability.

Focus

The Fairfax County Rental Program (FCRP) is a local rental-housing program developed and managed by the Department of Housing and Community Development (HCD) for the Fairfax County Redevelopment and Housing Authority (FCRHA). The FCRP is designed to provide affordable rental housing in the County for low-and-moderate-income families. The FCRP includes projects developed by the FCRHA and other privately developed or rehabilitated housing units acquired by the FCRHA or Fairfax County. In FY 2012, a total of 1,429 multifamily, senior independent, specialized units and beds in FCRHA-owned group homes will receive operating support under Fund 941. This includes a total of five Affordable Dwelling Units (ADU) at the Charleston Square (Springfield District) and Northampton (Lee District) that were acquired by the FCRHA and added to the FCRP multifamily portfolio in FY 2009.

The operation of this program is primarily supported by tenant rents. In FY 2012, the County's General Fund is being charged directly for payments in support of condominium fees in the amount of \$463,500. In addition, debt service contributions are received from Fund 141, Elderly Housing, to provide support for the debt service costs of Little River Glen, an elderly housing development owned by the FCRHA. Accounting procedures require that the debt service for this project be paid out of Fund 941, Fairfax County Rental Program, although the operating costs are reflected in Fund 141, Elderly Housing. Fund 941 is also used to account for debt service payments on two facilities owned by the FCRHA and leased to Fairfax County: the United Community Ministries (UCM) offices and the replacement Mondloch I emergency shelter (Creighton Square project).

In addition, HCD staff administers contracts between the FCRHA and private firms hired to manage Hopkins Glen, Crescent Apartments and Wedgewood Apartments.

Fund 941 Fairfax County Rental Program

The following charts summarize the total number of units in the Rental Program and Group Homes in FY 2012 and the projected operating costs associated with the units:

<u>Project Name</u>	<u>Units</u>	<u>2012 Cost</u>	<u>District</u>
Chatham Town	10	\$92,263	Braddock
Charleston Square	1	9,224	Springfield
Little River Square	45	429,150	Braddock
McLean Hills	25	298,183	Providence
Springfield Green	14	159,625	Lee
Colchester Towne	24	224,202	Lee
Penderbrook	48	571,104	Providence
Island Creek	8	73,863	Lee
Cedar Lakes	3	27,681	Sully
Westbriar	1	9,224	Providence
Faircrest	6	92,025	Sully
Westcott Ridge	10	140,543	Springfield
Laurel Hill	6	92,025	Mt Vernon
Willow Oaks	7	104,730	Sully
Saintsbury Plaza ¹	6	55,358	Providence
ParcReston	23	212,355	Hunter Mill
Holly Acres	2	29,769	Lee
Legato Corner Condominiums	13	185,630	Springfield
East Market	4	57,893	Springfield
Madison Ridge	10	92,264	Sully
Lorton Valley	2	18,455	Mt Vernon
Fair Oaks Landing	3	49,970	Springfield
Bryson at Woodland Park	4	61,302	Hunter Mill
Northampton	4	57,293	Lee
Halstead	4	57,082	Providence
Stockwell Manor	3	47,837	Dranesville
Glenwood Mews	15	135,233	Lee
Coan Pond (Working Singles Housing Program)	19	102,685	Providence
FCRHA Operating ²	NA	32,680	N/A
Fairfax Ridge Condo	1	4,019	Springfield
Stonegate at Faircrest	1	4,010	Springfield
Woodley Homes Mobile Home Park	115	184,283	Mt. Vernon
Hopkins Glen ³	91	0	Providence
Crescent Apartments ³	180	0	Hunter Mill
Wedgewood Apartments ³	672	0	Braddock
United Community Ministries (Debt Service)	NA	37,970	Lee
Mondloch I Shelter (Creighton Square- Debt Service)	NA	83,670	Lee
Little River Glen (Debt Service)	NA	527,512	Braddock
Units Managed Under Fund 941			
Subtotal FCRP Operating	1,380	\$4,361,112	

¹ The six units at Saintsbury Plaza are age restricted and managed as senior properties. Senior independent properties, other than Saintsbury Plaza, that are directly managed by the FCRHA are supported under Fund 141.

Fund 941

Fairfax County Rental Program

²FCRHA operating project tracks occupancy cost allocation to the FCRP.

³The units at Hopkins Glen, Crescent Apartments and Wedgewood Apartments are part of the FCRP Program. The properties are managed and maintained by private contractors. All funding for these units will be budgeted and reported by the property management firm and reported to the agency on a regular basis. It should also be noted that a variety of other FCRP multifamily and senior independent units are owned by FCRHA-controlled partnerships and are either privately managed by third-party entities or are managed directly by the FCRHA under Fund 950.

The Group Homes program is summarized in the following table including the number of beds and the level of FY 2012 funding:

<u>Project Name</u>	<u>Beds/Units</u>	<u>FY 2012 Cost</u>
Minerva Fisher Group Home	12	\$87,417
Rolling Road Group Home	5	31,900
First Stop Group Home (Sojourn House)	8	77,622
Mount Vernon Group Home	8	17,264
Leland Group Home	8	63,244
Patrick Street Group Home	8	29,105
Subtotal Group Homes	49	\$306,552
Total Beds/Fund Expenditures	1,429	\$4,667,664
Less: Debt Service	NA	(\$532,715)
Total Program Operations	1,429	\$4,134,949

Budget and Staff Resources

Agency Summary					
<u>Category</u>	<u>FY 2010 Actual</u>	<u>FY 2011 Adopted Budget Plan</u>	<u>FY 2011 Revised Budget Plan</u>	<u>FY 2012 Advertised Budget Plan</u>	<u>FY 2012 Adopted Budget Plan</u>
Authorized Positions/Staff Years					
Regular	22 / 22	22 / 22	21 / 21	21 / 21	21 / 21
Expenditures:					
Personnel Services	\$2,036,730	\$2,019,549	\$1,912,560	\$1,780,464	\$1,780,464
Operating Expenses	2,464,805	2,702,704	2,960,916	2,887,200	2,887,200
Capital Equipment	0	0	0	0	0
Total Expenditures	\$4,501,535	\$4,722,253	\$4,873,476	\$4,667,664	\$4,667,664

Position Summary					
HOUSING MANAGEMENT					
1	Chief Accounting Fiscal Officer	1	Housing Manager	1	Human Services Assistant
1	Hsg. Community Developer V	1	Trades Supervisor	1	Material Management Specialist III
1	Hsg. Community Developer II	1	Electrician II	3	General Building Maintenance Workers II
1	Housing Services Specialist IV	1	Plumber II	2	General Building Maintenance Workers I
3	Housing Services Specialists II	1	Engineering Technician II	1	Administrative Assistant IV
				1	Administrative Assistant III
TOTAL POSITIONS					
21 Positions/ 21.0 Staff Years					

Fund 941

Fairfax County Rental Program

FY 2012 Funding Adjustments

The following funding adjustments reflect all changes to the FY 2011 Adopted Budget Plan, as approved by the Board of Supervisors on April 26, 2011.

- ◆ **Employee Compensation** \$0
It should be noted that no funding is included for pay for performance or market rate adjustments in FY 2012.

- ◆ **Project-Based Budgeting Adjustments and Operating Requirements** (\$73,242)
A net decrease of \$73,242 includes a reduction of \$257,738 for Personnel Services, primarily associated with program adjustments to support project-based budgeting, offset by an increase of \$184,496 for Operating Expenses, primarily associated with additional requirements for condominium fees and services provided by other Housing funds adjusted for decreases in professional and consulting services and repair and maintenance based on prior year actual expenses.

- ◆ **Other Post Employment Benefits** \$18,653
An increase of \$18,653 is required to reflect increased costs associated with providing Other Post-Employment Benefits (OPEBs) to retirees, including the Retiree Health Benefits Subsidy. Before FY 2011, costs related to these benefits were paid solely by the General Fund; however, these annual costs are now spread across funds in order to more appropriately reflect benefit-related expenses for the employees within each fund. For more information on Other Post-Employment Benefits, please refer to Fund 603, OPEB Trust Fund, in Volume 2 of the FY 2012 Adopted Budget Plan.

Changes to FY 2011 Adopted Budget Plan

The following funding adjustments reflect all approved changes in the FY 2011 Revised Budget Plan since passage of the FY 2011 Adopted Budget Plan. Included are all adjustments made as part of the FY 2010 Carryover Review, FY 2011 Third Quarter Review, and all other approved changes through April 12, 2011.

- ◆ **Carryover Adjustments** \$95,934
As part of the FY 2010 Carryover Review, the Board of Supervisors approved an increase of \$95,934 which is primarily associated with utilities, building repairs and maintenance, and other operating requirements.

- ◆ **Position Adjustment** \$0
Subsequent to the FY 2010 Carryover Review, 1/1.0 SYE Housing Community Developer III position was abolished. Funding adjustments will be made at a subsequent budget review process associated with project-based budgeting requirements.

- ◆ **Third Quarter Adjustments** \$55,289
As part of the FY 2011 Third Quarter Review, the Board of Supervisors approved an increase of \$55,289 due to decreases of \$106,989 in Personnel Services associated with position reallocations necessary to support project-based budgeting requirements; offset by an increase of \$162,278 in Operating Expenses due to increases in condominium fees.

Fund 941

Fairfax County Rental Program

FUND STATEMENT

Fund Type H94, Local Rental Housing Program

Fund 941, Fairfax County Rental Program

	FY 2010 Actual	FY 2011 Adopted Budget Plan	FY 2011 Revised Budget Plan	FY 2012 Advertised Budget Plan	FY 2012 Adopted Budget Plan
Beginning Balance	\$4,567,426	\$5,346,890	\$6,337,784	\$6,642,066	\$6,164,270
Revenue:					
Dwelling Rents ^{1,2}	\$3,862,864	\$4,062,007	\$3,925,342	\$4,062,522	\$4,062,522
Investment Income ¹	59,374	80,067	30,000	58,429	58,429
Other Income ¹	1,168,314	235,801	235,801	197,128	197,128
Intergovernmental Income ³	672,522	0	0	0	0
Debt Service Contribution (Little River Glen)	508,819	508,819	508,819	508,819	508,819
Total Revenue	\$6,271,893	\$4,886,694	\$4,699,962	\$4,826,898	\$4,826,898
Total Available	\$10,839,319	\$10,233,584	\$11,037,746	\$11,468,964	\$10,991,168
Expenditures:					
Personnel Services ¹	\$2,036,730	\$2,019,549	\$1,912,560	\$1,780,464	\$1,780,464
Operating Expenses ¹	2,464,805	2,702,704	2,960,916	2,887,200	2,887,200
Total Expenditures	\$4,501,535	\$4,722,253	\$4,873,476	\$4,667,664	\$4,667,664
Total Disbursements	\$4,501,535	\$4,722,253	\$4,873,476	\$4,667,664	\$4,667,664
Ending Balance⁴	\$6,337,784	\$5,511,331	\$6,164,270	\$6,801,300	\$6,323,504
Replacement Reserve	\$5,754,097	\$4,927,644	\$5,580,583	\$6,217,613	\$5,739,817
Cash with Fiscal Agent	583,687	583,687	583,687	583,687	583,687
Unreserved Ending Balance	\$0	\$0	\$0	\$0	\$0

¹ In order to account for revenues and expenditures in the proper fiscal year, audit adjustments totaling a net decrease of \$498,880.87 have been reflected as a decrease of \$74,512.67 in FY 2010 revenues to record accrual adjustments, and an increase of \$424,368.20 in FY 2010 expenditures to record personnel services, contractual services, management fees and operating expenses in the appropriate fiscal year. These audit adjustments have been included in the FY 2010 Comprehensive Annual Financial Report (CAFR). Details of the FY 2010 audit adjustments were included in the FY 2011 Third Quarter Package.

² FY 2012 rental revenues are estimated to increase by approximately \$137,180, or 3.5 percent, over the FY 2011 Revised Budget Plan due to anticipated rent increases.

³ The intergovernmental income received in FY 2010 was a non-recurring revenue to pay for mortgage expenses at Halstead, Stockwell and Northampton properties.

⁴ Ending balances fluctuate due to adjustments in revenues and expenditures, as well as the carryover of balances each fiscal year.

Fund 945

FCRHA Non-County Appropriated Rehabilitation Loan Program

Mission

To enhance the quality of existing housing in the County through the provision of affordable loans for housing improvement and rehabilitation to qualifying low-income homeowners or homeowners living in areas targeted for improvement.

Focus

Fund 945, FCRHA Non-County Appropriated Rehabilitation Loan Program, provides the Fairfax County Redevelopment and Housing Authority (FCRHA) portion of funding for the Home Improvement Loan Program (HILP). The Home Improvement Loan Program



provides financial and technical assistance to low- and moderate-income homeowners for rehabilitation of their property. The program is designed to preserve the affordable housing stock in the County and to upgrade neighborhoods through individual home improvements. Resources in Fund 945 include bank loans, homeowners' contributions to the cost of rehabilitation and payments on outstanding home improvement loans made through this fund. Additional funding for the Home Improvement Loan Program is provided in Fund 142, Community Development Block Grant, and Fund 143, Homeowner and Business Loan Programs.

Budget and Staff Resources

Agency Summary					
Category	FY 2010 Actual	FY 2011 Adopted Budget Plan	FY 2011 Revised Budget Plan	FY 2012 Advertised Budget Plan	FY 2012 Adopted Budget Plan
Expenditures:					
Operating Expenses	\$0	\$25,000	\$25,000	\$25,000	\$25,000
Total Expenditures	\$0	\$25,000	\$25,000	\$25,000	\$25,000

Fund 945
FCRHA Non-County Appropriated
Rehabilitation Loan Program

FY 2012 Funding Adjustments

The following funding adjustments reflect all changes to the FY 2011 Adopted Budget Plan, as approved by the Board of Supervisors on April 26, 2011.

- ◆ FY 2012 funding remains at the same level as the FY 2011 Adopted Budget Plan.

Changes to FY 2011 Adopted Budget Plan

The following funding adjustments reflect all approved changes in the FY 2011 Revised Budget Plan since passage of the FY 2011 Adopted Budget Plan. Included are all adjustments made as part of the FY 2010 Carryover Review, FY 2011 Third Quarter Review, and all other approved changes through April 12, 2011.

- ◆ There have been no adjustments to this fund since approval of the FY 2011 Adopted Budget Plan.

Fund 945

FCRHA Non-County Appropriated Rehabilitation Loan Program

FUND STATEMENT

Fund Type H94, Rehabilitation Loan Funds

Fund 945, Non-County Appropriated
Rehabilitation Loan Program

	FY 2010 Actual	FY 2011 Adopted Budget Plan	FY 2011 Revised Budget Plan	FY 2012 Advertised Budget Plan	FY 2012 Adopted Budget Plan
Beginning Balance	\$231,157	\$237,176	\$232,515	\$239,298	\$239,298
Revenue:					
Other (Pooled Interest, etc.)	\$1,358	\$6,783	\$6,783	\$2,869	\$2,869
Homeowners Contributions	0	5,000	5,000	5,000	5,000
Fairfax City Rehab. Loans ¹	0	20,000	20,000	20,000	20,000
Total Revenue	\$1,358	\$31,783	\$31,783	\$27,869	\$27,869
Total Available	\$232,515	\$268,959	\$264,298	\$267,167	\$267,167
Expenditures:					
Homeowners Contributions	\$0	\$5,000	\$5,000	\$5,000	\$5,000
Fairfax City Rehab. Loans ¹	0	20,000	20,000	20,000	20,000
Total Expenditures	\$0	\$25,000	\$25,000	\$25,000	\$25,000
Total Disbursements	\$0	\$25,000	\$25,000	\$25,000	\$25,000
Ending Balance	\$232,515	\$243,959	\$239,298	\$242,167	\$242,167

¹ It is estimated that a deferred Fairfax City loan of approximately \$20,000 will be paid off in FY 2012.

Fund 946

FCRHA Revolving Development

Focus

Fund 946, Fairfax County Redevelopment and Housing Authority (FCRHA) Revolving Development Fund provides initial funds in the form of advances for projects for which federal, state, or private financing is later available. Initial project costs, such as development support for new site investigations for proposed projects, architectural and engineering plans, studies and fees, are advanced from this fund and are later included in permanent financing plans for repayment to this fund. This funding mechanism ensures that sufficient funding is available to provide adequate plans and proposals for individual projects prior to obtaining construction and permanent project financing.

This fund is supported by multiple revenue sources, including income from investments and repayment of advances on behalf of two projects, Mt. Pleasant and Charleston Square.

No funding for advances is currently required for Fund 946 in FY 2012. As projects that require Revolving Development funds are identified and approved by the FCRHA, adjustments will be made through allocations during the year. Repayment of two previously advanced loans totaling \$8,298 is anticipated in FY 2012.

Changes to FY 2011 Adopted Budget Plan

The following funding adjustments reflect all approved changes in the FY 2011 Revised Budget Plan since passage of the FY 2011 Adopted Budget Plan. Included are all adjustments made as part of the FY 2010 Carryover Review, FY 2011 Third Quarter Review, and all other approved changes through April 12, 2011.

- ◆ **Carryover Adjustments** **\$1,531,959**
As part of the *FY 2010 Carryover Review*, the Board of Supervisors approved an increase of \$1,531,959 due to the carryover of unexpended project balances of \$2,346,209 for continuing projects, offset by a decrease of \$814,250 to close out a project.

- ◆ **Third Quarter Adjustments** **\$558,091**
As part of the *FY 2011 Third Quarter Review*, the Board of Supervisors approved an increase of \$558,091 primarily to allocate funding for site planning and engineering costs.

A Fund Statement and a Summary of Capital Projects are provided on the following pages. The Summary of Capital Projects may include some projects without a Total Project Estimate amount. These projects are considered "continuing" projects or projects for which funding is necessary on an ongoing basis (e.g., a contingency or planning project).

Fund 946

FCRHA Revolving Development

FUND STATEMENT

Fund Type H94, FCRHA Development Support

Fund 946, FCRHA Revolving
Development

	FY 2010 Actual	FY 2011 Adopted Budget Plan	FY 2011 Revised Budget Plan	FY 2012 Advertised Budget Plan	FY 2012 Adopted Budget Plan
Beginning Balance	\$2,846,380	\$520,031	\$4,549,229	\$3,045,317	\$2,487,226
Revenue:					
Investment Income	\$20,190	\$6,390	\$6,390	\$22,718	\$22,718
Repayment of Advances	1,726,871	23,657	23,657	8,298	8,298
Total Revenue	\$1,747,061	\$30,047	\$30,047	\$31,016	\$31,016
Total Available	\$4,593,441	\$550,078	\$4,579,276	\$3,076,333	\$2,518,242
Expenditures:					
Advances ¹	\$44,212	\$0	\$2,092,050	\$0	\$0
Total Expenditures	\$44,212	\$0	\$2,092,050	\$0	\$0
Total Disbursements	\$44,212	\$0	\$2,092,050	\$0	\$0
Ending Balance²	\$4,549,229	\$550,078	\$2,487,226	\$3,076,333	\$2,518,242

¹ In order to account for revenues and expenditures in the proper fiscal year, audit adjustments totaling an increase of \$2,000 have been reflected as a decrease of \$2,000 in FY 2010 expenditures associated with project accruals. FY 2011 expenditures were adjusted by a commensurate amount. These audit adjustments have been included in the FY 2010 Comprehensive Annual Financial Report (CAFR). Details of the FY 2010 audit adjustments were included in the FY 2011 Third Quarter Package.

² Ending balances fluctuate due to increases and decreases in investment income and the repayment of advances.

Fund 946

FCRHA Revolving Development

FY 2012 Summary of Capital Projects

Fund: 946, FCRHA Revolving Development

Project #	Description	Total Project Estimate	FY 2010 Actual Expenditures	FY 2011 Revised Budget	FY 2012 Advertised Budget Plan	FY 2012 Adopted Budget Plan
003817	Bailey's Community Center	\$214,907	\$0.00	\$0.00	\$0	\$0
003844	Emergency Housing	124,999	0.00	0.00	0	0
003907	James Lee Community Center	698,845	0.00	0.00	0	0
003978	Lincolnia Center	600,000	0.00	600,000.00	0	0
013831	FCRHA Office Building	108,420	0.00	0.00	0	0
013854	Founders Ridge/Kingstowne NV	369,987	0.00	0.00	0	0
013883	Old Mill Road	65,728	0.00	0.00	0	0
013889	Chain Bridge Gateway/Moriarty Place	765,894	0.00	0.00	0	0
013901	Tavener Lane	91,873	0.00	0.00	0	0
013905	Creighton Square/Lockheed Blvd.	206,852	0.00	0.00	0	0
013908	West Ox Group Home	861,464	0.00	0.00	0	0
013914	Cedar Ridge	289,475	0.00	0.00	0	0
013938	Fairfield House	1,303,211	0.00	0.00	0	0
013944	Gum Springs Community Center	299,641	(2,000.00)	2,000.00	0	0
013948	Little River Glen Phase II	156,028	0.00	0.00	0	0
013951	Patrick Street Transitional Group Home	20,337	0.00	0.00	0	0
013966	Glenwood Mews	973,426	12,728.87	134,517.95	0	0
013969	Castellani Meadows	250,404	0.00	4,122.59	0	0
013983	Memorial Street	75,910	0.00	0.00	0	0
013985	Willow Spring Elementary School	91,330	0.00	0.00	0	0
013990	Washington Plaza	129,894	0.00	0.00	0	0
014002	Spring Street Site Working Singles	18,838	0.00	0.00	0	0
014023	Island Creek	10,602	0.00	0.00	0	0
014031	South Meadows Condominium	221,172	0.00	0.00	0	0
014050	Herndon Senior Center	668,751	0.00	0.00	0	0
014051	Mixed Greens	665,248	0.00	0.00	0	0
014056	Gum Springs Glen	334,532	0.00	0.00	0	0
014060	Elden Terrace Apts	12,192	0.00	0.00	0	0
014061	Leland Road	55,000	0.00	0.00	0	0
014062	Windsor Mews / Price Club	4,401	0.00	0.00	0	0
014063	Herndon Fortnightly	90,114	0.00	0.00	0	0
014130	Southgate Community Center	148,434	0.00	0.00	0	0
014137	Little River Glen III	1,185,750	0.00	1,000,000.00	0	0
014196	Affordable/Workforce Housing Projects		0.00	50,000.00	0	0
014234	Willow Oaks	922,241	0.00	0.00	0	0
014237	Yorkville Apartments	31,303	0.00	0.00	0	0
014238	Holly Acres	283,522	0.00	0.00	0	0
014250	Fairfield at Fair Chase	53,371	0.00	0.00	0	0
014254	East Market	561,304	0.00	0.00	0	0
014257	Crescent Redevelopment	300,000	0.00	300,000.00	0	0
014263	Bryson at Woodland Park	376,304	0.00	0.00	0	0
014264	Fair Oaks Landing	434,163	0.00	0.00	0	0
014269	Northampton	553,583	0.00	0.00	0	0
014270	Stockwell Manor	431,698	0.00	0.00	0	0
014273	Halstead	417,216	0.00	0.00	0	0
014305	Charleston Square	140,822	0.00	0.00	0	0
014307	Ox Road	1,100,000	33,482.63	1,409.72	0	0
VA1942	Old Mill Site	368,421	0.00	0.00	0	0
VA1945	Ragan Oaks	255,749	0.00	0.00	0	0
VA1951	Tavener Lane Apartments	263,918	0.00	0.00	0	0
VA1956	Scattered ADU'S	736,052	0.00	0.00	0	0
Total		\$18,343,324	\$44,211.50	\$2,092,050.26	\$0	\$0

Fund 948

FCRHA Private Financing

Focus

Fund 948, FCRHA Private Financing, was established to budget and report costs for capital projects which are supported in full or in part by funds borrowed by the Fairfax County Redevelopment and Housing Authority (FCRHA) through the FCRHA sale of notes or bonds, or through equity financing received through the sale of federal low-income housing tax credits. Housing development and improvement projects may be financed with funds borrowed from private lenders, the Virginia Housing Development Authority, or the federal government. At times, the FCRHA has invested in short-term notes of the County to provide an interim source of financing until permanent financing from one of these sources can be secured. Fund 948, FCHRA Private Financing, permits accounting for the receipt of funds from the lender and disbursements made by the FCRHA so that the total cost of a project can be maintained in the County's financial system and can be reflected on the FCRHA balance sheet.

An amount of \$720,962 is included in FY 2012 for payment of debt service for three Section 108 Loans (Loans 3, 4 and 5) paid by this fund. Debt service payments, in the amount of \$287,582, are budgeted in Fund 142, Community Development Block Grant (CDBG), and are received as revenue in Fund 948. The expenditures are made from Fund 948 to accommodate accounting requirements. The remaining debt service of \$433,380 will be paid from two sources: a scheduled repayment on Loan 5A (partial payment) and remaining loan proceeds from Section 108 Loans 4A/B and 5.

In FY 2012, necessary adjustments will be made to Fund 948 to track revenue and disbursements, as new projects and additional plans that require private financing are developed and approved by the FCRHA and the Board of Supervisors.

Changes to FY 2011 Adopted Budget Plan

The following funding adjustments reflect all approved changes in the FY 2011 Revised Budget Plan since passage of the FY 2011 Adopted Budget Plan. Included are all adjustments made as part of the FY 2010 Carryover Review, FY 2011 Third Quarter Review, and all other approved changes through April 12, 2011.

- ◆ **Carryover Adjustments** **\$2,308,513**
As part of the *FY 2010 Carryover Review*, the Board of Supervisors approved an increase of \$2,308,513 due to the carryover of unexpended project balances of \$2,412,993 for continuing projects and to appropriate \$6,629 in unanticipated investment earnings received in FY 2010, offset by a decrease of \$111,109 to close out project balances.

A Fund Statement and a Summary of Capital Projects are provided on the following pages. The Summary of Capital Projects may include some projects without a Total Project Estimate amount. These projects are considered "continuing" projects or projects for which funding is necessary on an ongoing basis (e.g., a contingency or planning project).

Fund 948 FCRHA Private Financing

FUND STATEMENT

Fund Type H94, FCRHA Development Support

Fund 948, FCRHA Private Financing

	FY 2010 Actual	FY 2011 Adopted Budget Plan	FY 2011 Revised Budget Plan	FY 2012 Advertised Budget Plan	FY 2012 Adopted Budget Plan
Beginning Balance	\$7,490,906	\$5,200,053	\$7,524,097	\$5,241,353	\$5,255,584
Revenue:					
Section 108 Debt Service	\$0	\$774,232	\$774,232	\$720,962	\$720,962
Investment Income	6,629	0	0	0	0
Miscellaneous Income ¹	910,220	0	40,000	0	0
Total Revenue	\$916,849	\$774,232	\$814,232	\$720,962	\$720,962
Total Available	\$8,407,755	\$5,974,285	\$8,338,329	\$5,962,315	\$5,976,546
Expenditures:					
Capital Projects	\$883,658	\$774,232	\$3,082,745	\$720,962	\$720,962
Total Expenditures	\$883,658	\$774,232	\$3,082,745	\$720,962	\$720,962
Total Disbursements	\$883,658	\$774,232	\$3,082,745	\$720,962	\$720,962
Ending Balance²	\$7,524,097	\$5,200,053	\$5,255,584	\$5,241,353	\$5,255,584

¹ In order to account for revenues and expenditures in the proper fiscal year, an audit adjustment totaling an increase of \$14,231.09 has been reflected as an increase of \$14,231.09 in FY 2010 revenues to record accrual adjustments. These audit adjustments have been included in the FY 2010 Comprehensive Annual Financial Report (CAFR). Details of the FY 2010 audit adjustments were included in the FY 2011 Third Quarter Package.

² Capital projects are budgeted based on the total project costs. Most projects span multiple years, from design to construction completion. Therefore, funding for capital projects is carried forward each fiscal year, and ending balances fluctuate, reflecting the carryover of these funds.

Fund 948

FCRHA Private Financing

FY 2012 Summary of Capital Projects

Fund: 948, FCRHA Private Financing

Project #	Description	Total Project Estimate	FY 2010 Actual Expenditures	FY 2011 Revised Budget	FY 2012 Advertised Budget Plan	FY 2012 Adopted Budget Plan
003817	Bailey's Community Center	\$4,468,781	\$0.00	\$0.00	\$0	\$0
003829	Mott Community Center	2,025,228	0.00	0.00	0	0
003907	James Lee Community Center	18,464,058	0.00	0.00	0	0
003923	Undesignated Projects		12,286.00	2,210,199.16	0	0
003928	Springfield Green	115,579	0.00	0.00	0	0
003969	Lewinsville Elderly Facility	137,107	0.00	0.00	0	0
003993	Little River Glen	11,111,238	0.00	0.00	0	0
013808	Herndon Harbor House Phase I	3,400,391	0.00	0.00	0	0
013831	FCRHA Office Building	3,793,010	0.00	0.00	0	0
013846	Murraygate Village	8,874,469	0.00	0.00	0	0
013854	Founders Ridge/Kingstowne NV	2,392,291	0.00	0.00	0	0
013883	Old Mill Road	2,439,025	0.00	0.00	0	0
013887	Section 108 Loan Payments		799,301.75	774,232.00	720,962	720,962
013889	Chain Bridge Gateway/Moriarty Place	2,989,731	0.00	0.00	0	0
013901	Tavener Lane	462,411	0.00	0.00	0	0
013905	Creighton Square/Lockheed Blvd.	1,040,000	0.00	25,444.98	0	0
013912	Stevenson Street	832,063	0.00	0.00	0	0
013944	Gum Springs Community Center	3,499,771	0.00	0.00	0	0
013948	Little River Glen Phase II	1,740,576	0.00	0.00	0	0
013966	Glenwood Mews	606,257	0.00	0.00	0	0
013969	Castellani Meadows	2,580,000	0.00	0.00	0	0
013990	Washington Plaza	980,050	0.00	0.00	0	0
014040	Herndon Harbor Phase II	5,617,956	0.00	0.00	0	0
014050	Herndon Senior Center	7,250,492	0.00	0.00	0	0
014051	Mixed Greens	226,015	0.00	0.00	0	0
014056	Gum Springs Glen	8,117,279	0.00	0.00	0	0
014061	Leland Road	608,085	0.00	0.00	0	0
014063	Herndon Fortnightly	2,673,964	0.00	0.00	0	0
014099	Herndon Adult Day Care Center	979,507	0.00	0.00	0	0
014123	Gum Springs Headstart	5,060,000	0.00	0.00	0	0
014130	Southgate Community Center	3,903,710	0.44	0.00	0	0
014188	Westcott Ridge	800,000	0.00	0.00	0	0
014251	Braddock Glen Adult Day Health Care Center	3,780,000	63,539.57	72,868.43	0	0
014253	Janna Lee Village II	5,500,000	0.00	0.00	0	0
014308	"HELP" Resale		8,530.48	0.00	0	0
VA1942	Old Mill Site	640,249	0.00	0.00	0	0
Total		\$117,109,293	\$883,658.24	\$3,082,744.57	\$720,962	\$720,962

Fund 949

FCRHA Internal Service Fund

Focus

Fund 949, Fairfax County Redevelopment and Housing Authority (FCRHA) Internal Service Fund, was established in FY 1998 to charge for goods and services that are shared among several housing funds. These costs include items such as office supplies, telephones, postage, copying and audits, which have been budgeted in and expensed from one of the FCRHA's funds, and then allocated out to other funds proportionate to their share of the costs. It also includes costs associated with the maintenance and operation of FCRHA housing developments such as service contracts for extermination, custodial work, elevator maintenance and grounds maintenance. The fund allows one purchasing document to be established for each vendor, as opposed to multiple purchase orders in various funds. Reimbursed charges incurred on behalf of other Department of Housing and Community Development funds are recorded as revenue.

FY 2012 Funding Adjustments

The following funding adjustments reflect all changes to the FY 2011 Adopted Budget Plan, as approved by the Board of Supervisors on April 26, 2011.

- ◆ **Program Adjustments** **(\$347,412)**
A net decrease of \$347,412 is associated with expenditure projections for goods and services shared among several housing funds.

Changes to FY 2011 Adopted Budget Plan

The following funding adjustments reflect all approved changes in the FY 2011 Revised Budget Plan since passage of the FY 2011 Adopted Budget Plan. Included are all adjustments made as part of the FY 2010 Carryover Review, FY 2011 Third Quarter Review, and all other approved changes through April 12, 2011.

- ◆ **Carryover Adjustments** **\$287,546**
As part of the FY 2010 Carryover Review, the Board of Supervisors approved an increase of \$287,546 due to encumbered carryover.

Fund 949

FCRHA Internal Service Fund

FUND STATEMENT

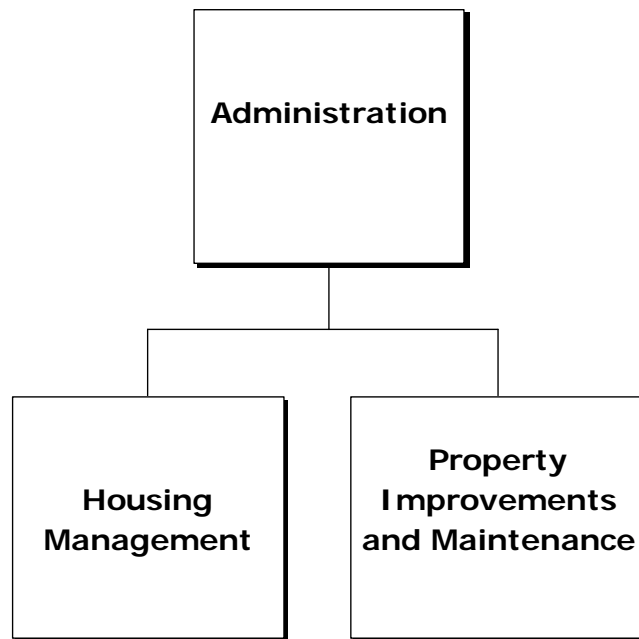
Fund Type H94, FCRHA Development Support Fund 949, FCRHA Internal Service Fund

	FY 2010 Actual	FY 2011 Adopted Budget Plan	FY 2011 Revised Budget Plan	FY 2012 Advertised Budget Plan	FY 2012 Adopted Budget Plan
Beginning Balance	\$0	\$0	\$0	\$0	\$0
Revenue:					
Reimbursement from Other Funds ¹	\$3,902,344	\$4,212,326	\$4,499,872	\$3,864,914	\$3,864,914
Total Revenue	\$3,902,344	\$4,212,326	\$4,499,872	\$3,864,914	\$3,864,914
Total Available	\$3,902,344	\$4,212,326	\$4,499,872	\$3,864,914	\$3,864,914
Expenditures:					
Operating Expenses ¹	\$3,902,344	\$4,212,326	\$4,499,872	\$3,864,914	\$3,864,914
Total Expenditures	\$3,902,344	\$4,212,326	\$4,499,872	\$3,864,914	\$3,864,914
Total Disbursements	\$3,902,344	\$4,212,326	\$4,499,872	\$3,864,914	\$3,864,914
Ending Balance²	\$0	\$0	\$0	\$0	\$0

¹In order to account for revenues and expenditures in the proper fiscal year, audit adjustments totaling a net increase of \$0.47 have been reflected as an increase of \$640.02 in FY 2010 revenues to record accrual adjustments, and an increase of \$639.55 in FY 2010 expenditures to record reclassified expenses in the appropriate fiscal year. These audit adjustments have been included in the FY 2010 Comprehensive Annual Financial Report (CAFR). Details of the FY 2010 audit adjustments were included in the FY 2011 Third Quarter Package.

²The Ending Balance is reserved for inventory and represents goods to be sold.

Fund 950 Housing Partnerships



Mission

To provide affordable rental housing through partnerships between the Fairfax County Redevelopment and Housing Authority (FCRHA) and private investors.

Focus

Fund 950, Housing Partnerships, was created in FY 2002 to allow the FCRHA to efficiently track partnership properties in the Federal Low Income Housing Tax Credit program, which promotes private investment in affordable housing through partnerships with nonprofit entities such as the FCRHA. The Housing Partnerships Fund supports a portion of the operating expenses for local rental-housing programs that are owned by limited partnerships of which the FCRHA is the managing general partner. In FY 2012, the FCRHA will directly manage five partnership properties: Castellani Meadows, The Green, Tavenner Lane, Murraygate Village and Olley Glen. Some costs of the operation of these five properties are tracked through the County's mainframe financial system; however, a separate FCRHA software system, Yardi, is required to maintain partnership accounts and meet partnership calendar year reporting schedules. The operation of these developments is primarily supported by tenant rents with a County contribution for real estate taxes. The revenue collected from rents and property excess income is also monitored by Yardi and utilized by the partnerships to reimburse the FCRHA for expenses incurred to support salaries, maintenance and other operating expenses as identified in Fund 950.

Substantial completion of the construction of Olley Glen, a 90-unit independent living facility, was achieved in August 2010 with operations beginning in October 2010. This project is located in the Braddock District and serves the residential needs of low-to-moderate income elderly.

Six other partnership properties receive a County contribution for real estate taxes, but are managed by a private management company and are not reported in the County's mainframe financial system. These other partnership properties include: Herndon Harbor I & II, Gum Springs Glen, Morris Glen, Stonegate, and Cedar Ridge.

Fund 950 Housing Partnerships

The following chart summarizes the total number of units in the FCRHA managed portion of the Partnership Program in FY 2012 and the projected operating costs associated with the units:

<u>Project Name</u>	<u>Units</u>	<u>FY 2012 Cost</u>	<u>District</u>
Castellani Meadows	24	\$120,299	Sully
The Green ¹	24	274,299	Providence, Hunter Mill, and Sully
Tavenner Lane ²	12	100,239	Lee
Murraygate Village	199	896,110	Lee
Olley Glen	90	349,200	Braddock
Total Partnership Program	349	\$1,740,147	

¹ An additional 50 units counted as part of The Green Partnership property are part of the federally assisted Public Housing program and are reflected in Fund 967, Public Housing Projects Under Management. However, operating expenses for all 74 units are included in Fund 950 since they are all owned by a limited partnership.

² An additional 12 units at Tavenner Lane are part of the federally assisted Public Housing program and are reflected in Fund 967, Public Housing Projects Under Management. However, operating expenses for all 24 units are included in Fund 950 since they are all owned by a limited partnership.

Budget and Staff Resources

Agency Summary					
<u>Category</u>	<u>FY 2010 Actual</u>	<u>FY 2011 Adopted Budget Plan</u>	<u>FY 2011 Revised Budget Plan</u>	<u>FY 2012 Advertised Budget Plan</u>	<u>FY 2012 Adopted Budget Plan</u>
Authorized Positions/Staff Years					
Regular	8 / 8	10 / 10	9 / 9	9 / 9	9 / 9
Expenditures:					
Personnel Services	\$509,209	\$650,962	\$650,962	\$690,045	\$690,045
Operating Expenses	807,631	1,047,758	1,397,473	1,050,102	1,050,102
Capital Equipment	0	0	0	0	0
Total Expenditures	\$1,316,840	\$1,698,720	\$2,048,435	\$1,740,147	\$1,740,147

Position Summary					
HOUSING MANAGEMENT	1	HVAC II	1	Administrative Assistant III	
2 Housing Services Specialists II	2	General Building Maintenance Workers II	1	Administrative Assistant II	
1 Housing Services Specialist I	1	Plumber I			
TOTAL POSITIONS					
9 Positions / 9.0 Staff Years					

Fund 950

Housing Partnerships

FY 2012 Funding Adjustments

The following funding adjustments reflect all changes to the FY 2011 Adopted Budget Plan, as approved by the Board of Supervisors on April 26, 2011.

- ◆ **Employee Compensation** **\$0**
It should be noted that no funding is included for pay for performance or market rate adjustments in FY 2012.

- ◆ **Project-Based Budgeting Adjustments and Operating Requirements** **\$39,031**
An increase of \$39,031 includes \$36,687 for Personnel Services, primarily associated with program adjustments and other necessary adjustments to support project-based budgeting, and \$2,344 for Operating Expenses, primarily associated with additional requirements for repair and maintenance and custodial services.

- ◆ **Other Post Employment Benefits** **\$2,396**
An increase of \$2,396 is required to reflect increased costs associated with providing Other Post-Employment Benefits (OPEBs) to retirees, including the Retiree Health Benefits Subsidy. Before FY 2011, costs related to these benefits were paid solely by the General Fund; however, these annual costs are now spread across funds in order to more appropriately reflect benefit-related expenses for the employees within each fund. For more information on Other Post-Employment Benefits, please refer to Fund 603, OPEB Trust Fund, in Volume 2 of the FY 2012 Adopted Budget Plan.

Changes to FY 2011 Adopted Budget Plan

The following funding adjustments reflect all approved changes in the FY 2011 Revised Budget Plan since passage of the FY 2011 Adopted Budget Plan. Included are all adjustments made as part of the FY 2010 Carryover Review, FY 2011 Third Quarter Review, and all other approved changes through April 12, 2011.

- ◆ **Carryover Adjustments** **\$205,865**
As part of the *FY 2010 Carryover Review*, the Board of Supervisors approved an increase of \$205,865 due to encumbered carryover for grounds maintenance, custodial work and repair and maintenance.

- ◆ **Position Adjustment** **\$0**
Subsequent to the *FY 2010 Carryover Review*, 1/1.0 SYE Housing Services Specialist III position was abolished. Funding adjustments will be made at a subsequent budget review process associated with project-based budgeting requirements.

- ◆ **Third Quarter Adjustments** **\$143,850**
As part of the *FY 2011 Third Quarter Review*, the Board of Supervisors approved an increase of \$143,850 associated with professional extermination services, and repair and maintenance requirements in FCRHA properties.

Fund 950 Housing Partnerships

FUND STATEMENT

Fund Type H94, FCRHA Development Support

Fund 950, Housing Partnerships

	FY 2010 Actual	FY 2011 Adopted Budget Plan	FY 2011 Revised Budget Plan	FY 2012 Advertised Budget Plan	FY 2012 Adopted Budget Plan
Beginning Balance	\$225,288	\$48,522	\$32,016	\$21,513	\$21,513
Revenue:					
FCRHA Reimbursements	\$1,123,568	\$1,671,959	\$2,037,932	\$1,737,751	\$1,737,751
Total Revenue	\$1,123,568	\$1,671,959	\$2,037,932	\$1,737,751	\$1,737,751
Total Available	\$1,348,856	\$1,720,481	\$2,069,948	\$1,759,264	\$1,759,264
Expenditures:					
Personnel Services ¹	\$509,209	\$650,962	\$650,962	\$690,045	\$690,045
Operating Expenses ¹	807,631	1,047,758	1,397,473	1,050,102	1,050,102
Total Expenditures	\$1,316,840	\$1,698,720	\$2,048,435	\$1,740,147	\$1,740,147
Total Disbursements	\$1,316,840	\$1,698,720	\$2,048,435	\$1,740,147	\$1,740,147
Ending Balance²	\$32,016	\$21,761	\$21,513	\$19,117	\$19,117
Replacement Reserve	\$32,016	\$21,761	\$21,513	\$19,117	\$19,117
Unreserved Ending Balance	\$0	\$0	\$0	\$0	\$0

¹ In order to account for revenues and expenditures in the proper fiscal year, audit adjustments totaling a decrease of \$89,551.82 have been reflected as an increase of \$89,551.82 in FY 2010 expenditures to reclassify expenses in the appropriate fiscal year. These audit adjustments have been included in the FY 2010 Comprehensive Annual Financial Report (CAFR). Details of the FY 2010 audit adjustments were included in the FY 2011 Third Quarter Package.

² The Housing Partnerships Fund maintains fund balances at adequate levels relative to projected operating and maintenance requirements. These costs change annually, therefore, funding is carried forward each fiscal year, and ending balances fluctuate, reflecting the carryover of these funds.

Fund 965 Housing Grants

Mission

To provide the residents of the County with safe, decent, and more affordable housing for low- and moderate-income households.

Focus

Fund 965, Housing Grants, separately tracks grants which are awarded to the Fairfax County Redevelopment and Housing Authority (FCRHA). This fund currently provides accounting for the Resident Opportunity and Self Sufficiency (ROSS) Grant received by the FCRHA from the U.S. Department of Housing and Urban Development (HUD). The ROSS grant is a three-year grant that provides and coordinates supportive services that help public housing residents' move toward self-sufficiency. In FY 2011, the Department of Housing and Community Development is administering three ROSS programs, the Public Housing Family Self-Sufficiency Program, the Progress Center, and the Family and Homeownership Program.

HUD's Public Housing Family Self-Sufficiency Program provides funds for the FCRHA to support one grant-funded program coordinator position. The coordinator is responsible for leveraging public and private support services for selected Public Housing families to help them achieve economic independence and self-sufficiency.

HCD established the Progress Center in FY 2011 to focus on a number of critical areas including employment and training opportunities and services related to affordable health insurance, emergency medical intervention, adult protective services, mental health services, and physical and sensory disabilities for program residents. The key to connecting FCRHA residents to these services and resources will be partnerships established with other County agencies. Such partnerships already exist but are in the process of being formalized. They include partnerships with the Northern Virginia Workforce Investment Board and its non-profit employment training and job placement, The SkillSource Group, inc. (Skillsource) and partnerships with County agencies including the Fairfax County Department of Family Services and the Fairfax-Falls Church Community Services Board. The ROSS grant totaling \$480,000 will be used to support this program including two grant-funded program coordinator positions.

The Family and Homeownership Program provides funds for the FCRHA to offer housing counseling services to Public Housing residents and supports one grant-funded program coordinator position.

No FY 2012 funding is included for Fund 965 at this time. Funding will be allocated at the time of the award from HUD.

Agency Summary					
Category	FY 2010 Actual	FY 2011 Adopted Budget Plan	FY 2011 Revised Budget Plan	FY 2012 Advertised Budget Plan	FY 2012 Adopted Budget Plan
Authorized Positions/Staff Years					
Grant	2 / 2	2 / 2	4 / 4	4 / 4	4 / 4
Expenditures:					
Personnel Services	\$113,800	\$0	\$492,953	\$0	\$0
Operating Expenses	65,557	0	158,614	0	0
Capital Equipment	0	0	0	0	0
Total Expenditures	\$179,357	\$0	\$651,567	\$0	\$0

Fund 965 Housing Grants

Position Summary			
HOUSING MANAGEMENT			
2	Housing Services Specialists III 2G	1	Housing Services Specialist II G
		1	Housing Comm. Developer III G
TOTAL POSITIONS			
4 Positions /4.0 Staff Years		G Denotes Grant Positions	

FY 2012 Funding Adjustments

The following funding adjustments reflect all changes to the FY 2011 Adopted Budget Plan, as approved by the Board of Supervisors on April 26, 2011.

- ◆ FY 2012 funding remains at the same level as the FY 2011 Adopted Budget Plan.

- ◆ **Employee Compensation** \$0
It should be noted that no funding is included for pay for performance or market rate adjustments in FY 2012.

Changes to FY 2011 Adopted Budget Plan

The following funding adjustments reflect all approved changes in the FY 2011 Revised Budget Plan since passage of the FY 2011 Adopted Budget Plan. Included are all adjustments made as part of the FY 2010 Carryover Review, FY 2011 Third Quarter Review, and all other approved changes through April 12, 2011.

- ◆ **Carryover Adjustments** \$668,570
As part of the *FY 2010 Carryover Review*, the Board of Supervisors approved an increase of \$668,570 due to the carryover of unexpended FY 2010 grant balances and to appropriate additional revenue received from the allocation of a ROSS Service Coordinators Grant from the U. S. Department of Housing and Urban Development (HUD). The ROSS Service Coordinators Grant provides for two additional grant positions, 1/1.0 SYE Housing Services Specialist III and 1/1.0 SYE Housing Community Developer III.

Fund 965 Housing Grants

FUND STATEMENT

Fund Type H94, FCRHA Development Support

Fund 965, Housing Grants

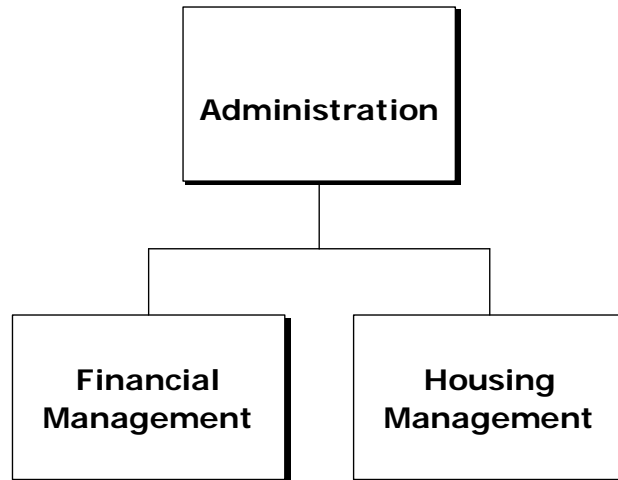
	FY 2010 Actual	FY 2011 Adopted Budget Plan	FY 2011 Revised Budget Plan	FY 2012 Advertised Budget Plan	FY 2012 Adopted Budget Plan
Beginning Balance	\$0	\$0	\$0	\$0	\$0
Revenue:					
ROSS Grant ¹	\$179,357	\$0	\$651,567	\$0	\$0
Total Revenue	\$179,357	\$0	\$651,567	\$0	\$0
Total Available	\$179,357	\$0	\$651,567	\$0	\$0
Expenditures:					
ROSS Grant ¹	\$179,357	\$0	\$651,567	\$0	\$0
Total Expenditures	\$179,357	\$0	\$651,567	\$0	\$0
Total Disbursements	\$179,357	\$0	\$651,567	\$0	\$0
Ending Balance²	\$0	\$0	\$0	\$0	\$0

¹ In order to account for revenues and expenditures in the proper fiscal year, audit adjustments totaling a net adjustment of \$0 have been reflected as an increase of \$17,003.63 in FY 2010 revenues to record accrual adjustments, and an increase of \$17,003.63 in FY 2010 expenditures to record accrued expenses. FY 2011 revenues and expenditures were adjusted by commensurate amounts. These audit adjustments have been included in the FY 2010 Comprehensive Annual Financial Report (CAFR). Details of the FY 2010 audit adjustments were included in the FY 2011 Third Quarter Package.

² Grant projects are budgeted based on the total grant costs. Most grants span multiple years, therefore, funding for grant projects is carried forward each fiscal year, and ending balances fluctuate, reflecting the carryover of these funds.

Fund 966

Section 8 Annual Contribution



Mission

To ensure that participants in the Federal Section 8 Program, also known as the Housing Choice Voucher (HCV) program, are provided with decent, safe and affordable private market housing.

Focus

The Section 8 program is a Federal Housing Assistance Program for lower income families seeking housing in the private market place. The United States Department of Housing and Urban Development (HUD) provides funds to pay a portion of the family's rent. In most cases, this subsidy is the difference between 30 percent of the eligible family's income and a HUD-approved Fair Market Rent (FMR) for a housing unit, although FMRs are different for the Housing Choice Voucher (HCV) program and the project-based components of the program. The rent subsidy payments are made pursuant to a Housing Assistance Payments (HAP) Contract with the owner of the housing. Housing authorities administer the contract for these subsidy funds on behalf of HUD, which involves making the monthly subsidy payments, verifying that those benefiting from the subsidy are eligible and monitoring compliance with federal regulations. This is done pursuant to an Annual Contribution Contract between the Fairfax County Redevelopment and Housing Authority (FCRHA) and HUD. Administrative fees are established by HUD and earned from HUD by the FCRHA. The administrative fee earned is used to cover expenses associated with administering the Section 8 program.

Under Fund 966, Section 8 Annual Contribution, rental subsidies are provided by HUD to cover the difference between a market-established rent and the rent which is determined to be affordable at a given family's income level. In some cases, the subsidies are associated with a particular housing development and in other cases they are transferable with the tenant. Private developers, local housing authorities and state housing finance agencies all participate in different aspects of the HCV program. Within the Portability Program, one aspect of the HCV program, a tenant from another locality finds housing in Fairfax County and the FCRHA pays the subsidy portion of the rent on behalf of the originating housing authority. A subsequent reimbursement from the originating housing authority is received by the FCRHA as Portability Program Revenue to cover the subsidy payment as well as 80 percent of the originating Housing Authority's administrative fee to cover administrative costs.

Fund 966

Section 8 Annual Contribution

The FY 2012 funding level of \$50,911,987 consists of housing assistance payments of \$47,378,066 and administrative expenses of \$3,533,921 to support 3,493 Housing Choice Voucher units as part of the Federal Housing Assistance Program for lower income families. The FY 2012 request for this program is based on the Calendar Year 2010 HUD budget for July 2010 through December 2010 and projected for the full fiscal year for HAP and Administrative Fees. In FY 2010, the FCRHA was awarded 35 vouchers to serve homeless veterans and their families who are referred by the Veterans Affairs (VA) Administration to HCD. These 35 veterans are assigned a VA case manager who works with the veteran to improve the veteran's general health and mental health, and to enhance the veteran's ability to remain stable, housed, and community integrated.

The FY 2012 revenue projection is \$51,382,654, an increase of \$7,240,884 over the FY 2011 Adopted Budget Plan as a result of an increase in leasing by HCV Portability participants, and also the recently awarded Annual Contribution Contract from HUD for an additional 74 Enhanced Vouchers increasing the total number of vouchers to 209 over the FY 2011 Adopted Budget Plan. In accordance with the HUD funding formula that became effective January 1, 2008, administrative fees are earned by the FCRHA for the lease-up of authorized FCRHA vouchers. The formula is based on a graduated scale for leased units rather than a fixed rate.

During deliberations on the FY 2011 federal budget, adjustments were made to the initial funding projections for Fund 966. Appropriate revisions to the current FY 2012 Fund 966 budget will be made as part of the *FY 2011 Carryover Review* subsequent to final federal approval of Fairfax County's actual award.

The current income limits for most components of the HCV Program as established by HUD, effective as of May 14, 2010, are shown below:

Household Size	Very Low Income	Lower Income
1	\$36,250	\$45,100
2	\$41,400	\$51,550
3	\$46,600	\$58,000
4	\$51,750	\$64,400
5	\$55,900	\$69,600
6	\$60,050	\$74,750
7	\$64,200	\$79,900
8+	\$68,350	\$85,050

FY 2012 SUMMARY OF PROJECTS	
PROJECTS	NUMBER OF UNITS
Consolidated Vouchers ¹	3,493
Total Contract P-2509 Fund 966	3,493

¹ Actual number of vouchers issued may be lower than HUD-approved count due to local market conditions.

Fund 966

Section 8 Annual Contribution

Fund 966 covers the following components in FY 2012:

- ◆ **Housing Choice Vouchers – 3,493 issued through the FCRHA**
Under this component of the Section 8 housing program, local or state housing authorities contract with HUD for housing assistance payment subsidy funds and issue vouchers to eligible households who may lease any appropriately sized, standard quality rental unit from a participating landlord.
- ◆ The housing authority maintains a waiting list of those seeking a Housing Choice Voucher, verifies applicant income eligibility before issuing a voucher, inspects the unit the family selects to ensure compliance with HCV Housing Quality Standards, computes the portion of rent the family must pay or the maximum subsidy, contracts with the landlord to pay the subsidy, recertifies eligibility annually, and maintains required financial records and reports. The owner of the housing (landlord), not the housing authority, selects the families to whom the landlord will rent, and renews or terminates the family's lease in accordance with the terms of the lease.
- ◆ The FY 2012 Adopted Budget Plan is based on the maximum funding available in FY 2011 under the Annual Contributions (ACC) contract with HUD for the Housing Choice Voucher program at the time of budget preparation.

Budget and Staff Resources

Agency Summary					
Category	FY 2010 Actual	FY 2011 Adopted Budget Plan	FY 2011 Revised Budget Plan	FY 2012 Advertised Budget Plan	FY 2012 Adopted Budget Plan
Authorized Positions/Staff Years					
Regular	34 / 34	34 / 34	35 / 35	35 / 35	35 / 35
Grant	4 / 4	4 / 4	4 / 4	4 / 4	4 / 4
Expenditures:					
Personnel Services	\$2,712,000	\$2,551,002	\$2,981,091	\$2,944,864	\$2,944,864
Operating Expenses	41,769,899	41,056,616	46,392,213	47,967,123	47,967,123
Capital Equipment	0	0	0	0	0
Total Expenditures	\$44,481,899	\$43,607,618	\$49,373,304	\$50,911,987	\$50,911,987

Position Summary		
ADMINISTRATION	HOUSING MANAGEMENT	2 Administrative Assistants III
1 Network/Telecom Analyst III	3 Housing Svcs. Specialists V 1G	1 Administrative Assistant II
	5 Housing Svcs. Specialists III	
	24 Housing Svcs. Specialists II 3G	
FINANCIAL MANAGEMENT	1 Human Services Assistant	
1 Accountant II		
1 Administrative Assistant IV		
TOTAL POSITIONS		
39 Positions / 39.0 Staff Years		
G Denotes Grant Positions		

Fund 966

Section 8 Annual Contribution

FY 2012 Funding Adjustments

The following funding adjustments reflect all changes to the FY 2011 Adopted Budget Plan, as approved by the Board of Supervisors on April 26, 2011.

- ◆ **Employee Compensation** **\$0**
It should be noted that no funding is included for pay for performance or market rate adjustments in FY 2012.

- ◆ **Housing Assistance Program** **\$6,939,518**
An increase of \$6,939,518 in Housing Assistance Payments is based primarily on increased leasing in the portability program and an increase in maximum monthly voucher count in the Housing Choice Voucher Program from 3,284 to 3,493.

- ◆ **Ongoing Administrative Expenses** **\$265,716**
An increase of \$265,716 in Ongoing Administrative Expenses is primarily due to the net impact of a Personnel Services increase of \$294,727 associated with realigning positions within the Department of Housing and Community Development to correspond with the U.S. Department of Housing and Urban Development project-based budgeting model offset by a decrease in anticipated Operating Expenses of \$29,011.

- ◆ **Other Post Employment Benefits** **\$99,135**
An increase of \$99,135 is required to reflect increased costs associated with providing Other Post-Employment Benefits (OPEBs) to retirees, including the Retiree Health Benefits Subsidy. Before FY 2011, costs related to these benefits were paid solely by the General Fund; however, these annual costs are now spread across funds in order to more appropriately reflect benefit-related expenses for the employees within each fund. For more information on Other Post-Employment Benefits, please refer to Fund 603, OPEB Trust Fund, in Volume 2 of the FY 2012 Adopted Budget Plan.

Changes to FY 2011 Adopted Budget Plan

The following funding adjustments reflect all approved changes in the FY 2011 Revised Budget Plan since passage of the FY 2011 Adopted Budget Plan. Included are all adjustments made as part of the FY 2010 Carryover Review, FY 2011 Third Quarter Review, and all other approved changes through April 12, 2011.

- ◆ **Carryover Adjustments** **\$4,296,955**
As part of the FY 2010 Carryover Review, the Board of Supervisors approved an increase of \$4,296,955 due to an increase for the Housing Choice Voucher (HCV) housing assistance payment funding based on the recently released U. S. Department of Housing and Urban Development (HUD) HCV Annual Contributions funding renewal notice, encumbrances for professional contracts, and Personnel Services increases attributed to reductions in contributions previously provided by the Department of Housing and Community Development General Fund.

- ◆ **Position Adjustment** **\$0**
As part of the FY 2011 review of County position categories, a conversion of 1/1.0 SYE position has been made. The status of limited term positions was reviewed in light of recent changes to federal regulations related to health care and other federal tax requirements. As a result of this review a number of existing limited term positions have been converted to Merit Regular status.

Fund 966

Section 8 Annual Contribution

- ◆ **Third Quarter Adjustments** **\$1,468,731**

As part of the *FY 2011 Third Quarter Review*, the Board of Supervisors approved an increase of \$1,468,731 associated with an increase of \$1,306,443 in Operating Expenses based primarily on an increase in the Housing Assistance Payments (HAP) leasing activity and a new U.S. Department of Housing and Urban Development allocation of 74 units offset by a decrease in the Portability Program leasing activity. In addition, an increase of \$162,288 in Ongoing Administrative Expenses is primarily due to an unanticipated increase in Fringe Benefits and Other Post Employment Benefits expenses.

Fund 966

Section 8 Annual Contribution

FUND STATEMENT

Fund Type H96, Annual Contribution Contract

Fund 966, Section 8 Annual Contribution

	FY 2010 Actual	FY 2011 Adopted Budget Plan	FY 2011 Revised Budget Plan	FY 2012 Advertised Budget Plan	FY 2012 Adopted Budget Plan
Beginning Balance	\$3,887,046	\$6,274,216	\$6,430,593	\$6,380,061	\$5,991,194
Revenue:					
Annual Contributions ¹	\$44,833,934	\$40,792,562	\$46,340,936	\$46,922,352	\$46,922,352
Investment Income ²	16,354	40,818	22,497	16,354	16,354
Portability Program ^{3,4}	2,075,140	3,211,406	2,508,142	4,398,815	4,398,815
Miscellaneous Revenue ³	100,018	96,984	62,330	45,133	45,133
Total Revenue	\$47,025,446	\$44,141,770	\$48,933,905	\$51,382,654	\$51,382,654
Total Available	\$50,912,492	\$50,415,986	\$55,364,498	\$57,762,715	\$57,373,848
Expenditures:					
Housing Assistance Payments ^{3,5}	\$41,276,285	\$40,438,548	\$45,770,295	\$47,378,066	\$47,378,066
Ongoing Admin. Expenses ³	3,205,614	3,169,070	3,603,009	3,533,921	3,533,921
Total Expenditures	\$44,481,899	\$43,607,618	\$49,373,304	\$50,911,987	\$50,911,987
Total Disbursements	\$44,481,899	\$43,607,618	\$49,373,304	\$50,911,987	\$50,911,987
Ending Balance⁶	\$6,430,593	\$6,808,368	\$5,991,194	\$6,850,728	\$6,461,861
HAP Reserve	\$4,906,795	\$5,057,778	\$4,319,472	\$4,848,438	\$4,272,886
Operating Reserve ⁷	1,523,798	1,750,590	1,671,722	2,002,290	2,188,975
Unreserved Ending Balance	\$0	\$0	\$0	\$0	\$0

¹The FY 2012 Adopted Budget Plan is based on the calendar year 2010 HUD budget for Annual Contributions from July 2010 through December 2010, and projected for the period January 2011 through June 2011. Adjustments to projected Annual Contributions, if necessary, will be made at a future quarterly review.

²The FY 2012 decrease in Investment Income is based on FY 2010 actuals.

³In order to account for revenues and expenditures in the proper fiscal year, audit adjustments totaling a net increase of \$188,844.50 have been reflected as an increase of \$94,920.86 in FY 2010 revenues to record accrual adjustments, and a decrease of \$93,923.64 in FY 2010 expenditures to record accrued expenses for salaries, fringe benefits and operating expenses in the appropriate fiscal year. These audit adjustments have been included in the FY 2010 Comprehensive Annual Financial Report (CAFR). Details of the FY 2010 audit adjustments were included in the FY 2011 Third Quarter Package.

⁴Portability In tenants are being billed to the local originating housing authorities. The increase in Recovered Costs and Servicing Fees is due to an increase in the lease rate anticipated based on actual Portability In monthly leasing activity. Revenue for FY 2012 is based on Recovered Costs of 100 percent for Portability Housing Assistance Payment (HAP) and Utility Allowance Payment (UAP) expenses. A servicing fee will also be earned equal to 80 percent of the originating housing authority's administrative fees.

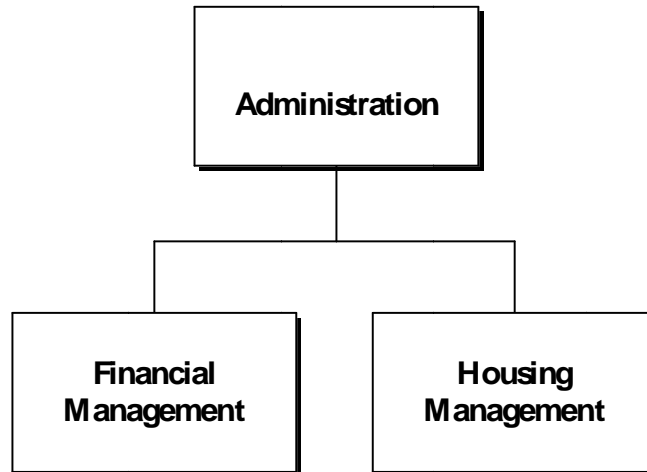
⁵The FY 2012 increase of \$6,939,518 over the FY 2011 Adopted Budget Plan is based primarily on an increase in leasing for the portability program and an increase in the maximum monthly voucher count of the Housing Choice Voucher Program from 3,284 to 3,493.

⁶The fluctuations in the Ending Balance are primarily a result of projected adjustments in leasing trends.

⁷The increase in the projected FY 2012 Operating Reserve is the net result of additional administrative fees earned from an increased Housing Choice Voucher leasing rate and increased servicing fees earned for the portability program.

Fund 967

Public Housing Projects Under Management



Mission

To ensure that all tenants of Fairfax County Redevelopment and Housing Authority's (FCRHA) owned and operated public housing units are provided with decent, safe and adequate housing; maintenance and management; social services referrals; and housing counseling.

Focus

The Federal Public Housing Program is administered by the U.S. Department of Housing and Urban Development (HUD) to provide funds for rental housing serving low income households owned and operated by local housing authorities such as the Fairfax County Redevelopment and Housing Authority (FCRHA). There are two components of this program with each having separate funding for operations and capital improvements. Fund 967, Public Housing Program Projects Under Management, is for management and maintenance of public housing properties and includes an annual federal operating subsidy from HUD. Fund 969, Public Housing Under Modernization, provides funds for capital improvements and repairs of existing public housing through an annual Capital Fund Grant (formerly the Comprehensive Grant).

Revenues are derived from dwelling rents, payments for utilities in excess of FCRHA established standards, investment income, maintenance charges, late fees and HUD provided contributions and subsidies. Projected FY 2012 revenues of \$9,732,845 represent an increase of \$452,825 or 4.9 percent over the FY 2011 Adopted Budget Plan primarily due to increases in the projected HUD Operating Subsidy and Dwelling Rental Income. Effective January 1, 2007, the HUD Operating Subsidy calculation is based on HUD's Final Rule (Revisions to Public Housing Operating Fund) published on September 19, 2005, using a formula developed by HUD to provide a mechanism to align expenditures and revenues for Public Housing Authorities.



The FCRHA is required by HUD to be in compliance with Project Based Accounting and Budgeting, which requires separate reporting for the County's Public Housing properties. The 27 Public Housing properties are grouped into 11 Asset Management Projects (AMPs) for HUD Reporting purposes. In

Fund 967

Public Housing Projects Under Management

In addition to the project reporting requirement, Public Housing Authorities are also required to track and report activities of the Central Office, which resulted in the creation of three new cost centers for tracking various types of Central Office expenses such as indirect administrative costs, which are covered by HUD prescribed management fees. The expenses for the AMPs are covered by program revenues, which are mainly Dwelling Rental Income and the HUD Operating Subsidy.

In addition to the public housing support provided in this fund, FY 2012 funds totaling \$829,673 are provided in the General Fund, Agency 38, Department of Housing and Community Development, in support of refuse-collection costs, painting expenses and townhouse/condominium-association fees for a portion of these properties.

During deliberations on the FY 2011 federal budget, adjustments were made to the initial funding projections for Fund 967. Appropriate revisions to the current FY 2012 Fund 967, Public Housing Projects Under Management budget will be made as part of the *FY 2011 Carryover Review* subsequent to final federal approval of Fairfax County's actual award.

The current income limits for the program as established by HUD effective May 14, 2010 are as follows:

INCOME LIMITS		
Number of Persons	Very Low	Low
1	\$36,250	\$45,100
2	\$41,400	\$51,550
3	\$46,600	\$58,000
4	\$51,750	\$64,400
5	\$55,900	\$69,600
6	\$60,050	\$74,750
7	\$64,200	\$79,900
8	\$68,350	\$85,050

The Public Housing projects, as reflected in the following chart, are located throughout the County.

Project Name	HUD Number	Number of Units	Supervisory District
Audubon Apartments	VA 19-01	46	Lee
Rosedale Manor	VA 19-03	97	Mason
Newington Station	VA 19-04	36	Mt. Vernon
The Park	VA 19-06	24	Lee
Shadowood	VA 19-11	16	Hunter Mill
Atrium Apartments	VA 19-13	37	Lee
Villages of Falls Church ¹	VA 19-25	37	Mason
Heritage Woods I	VA 19-26	19	Braddock
Robinson Square	VA 19-27	46	Braddock
Heritage Woods South	VA 19-28	12	Braddock
Sheffield Village	VA 19-29	8	Mt. Vernon
Greenwood	VA 19-30	138	Mason
Briarcliff II	VA 19-31	20	Providence
West Ford II	VA 19-32	22	Mt. Vernon
West Ford I	VA 19-33	24	Mt. Vernon
West Ford III	VA 19-34	59	Mt. Vernon
Barros Circle	VA 19-35	44	Sully

Fund 967

Public Housing Projects Under Management

Project Name	HUD Number	Number of Units	Supervisory District
Belle View	VA 19-36	40	Mt. Vernon
Kingsley Park	VA 19-38	108	Providence
Scattered Sites	VA 19-39	25	Various
Reston Town Center	VA 19-40	30	Hunter Mill
Old Mill	VA 19-42	48	Lee
Ragan Oaks	VA 19-45	51	Sully
Tavenner Lane ²	VA 19-51	12	Lee
Water's Edge	VA 19-52	9	Sully
West Glade ²	VA 19-55	50	Hunter Mill
Scattered ADU Sites	VA 19-56	7	Various
Total Units³		1,065	

¹ This HUD project includes one unit at Heritage Woods South in Braddock District.

² Properties are owned by limited partnerships of which the FCRHA is the managing general partner. Therefore, rental revenue and other expenses for these properties are not reported in Fund 967.

³ There are projected to be 1,065 units of Public Housing; however, only 1,060 are income producing. There are five units off-line, four of which are used for management purposes and the other as a community room. Per HUD guidelines, the community room is not reported to HUD when requesting the HUD Operating Subsidy. Tavenner Lane and West Glade are reported separately when reporting to HUD, since they are partnership properties and have different reporting requirements. The FY 2012 vacancy rate is projected to be approximately 2 percent for public housing properties, primarily due to normal turnover.

Admissions and Occupancy policies for this program are governed by the Quality Housing and Work Responsibility Act of 1998 (which amended the United States Housing Act of 1937) and are consistent with the objectives of Title VI of the Civil Rights Act of 1964. Eligibility for admission and occupancy to Low-Income Housing requires the applicants to fulfill the following general criteria: (1) qualify as a family, (2) have annual income which does not exceed the income limits for admission to a designated development, and (3) qualify under the Local Preference if head of household or spouse is employed, is attending school or participating in a job training program, a combination thereof at least 30 hours per week; or is 62 or older; or is a primary caretaker of a disabled dependent; or meets HUD's definition of being disabled. In addition, the FCRHA approved a new income policy on May 1, 2008, to support the FCRHA's mission to serve low income households. Eligible applicants for Public Housing who live or work in Fairfax County, City of Fairfax, City of Falls Church or Town of Herndon can (4) have household incomes above 50 percent of the AMI and must be from households that pay more than 30 percent of gross income for rent and utilities for the past 90 days (excluding telephone and cable costs) or have household incomes at or below 50 percent of AMI.

Fund 967

Public Housing Projects Under Management

Budget and Staff Resources

Agency Summary					
Category	FY 2010 Actual	FY 2011 Adopted Budget Plan	FY 2011 Revised Budget Plan	FY 2012 Advertised Budget Plan	FY 2012 Adopted Budget Plan
Authorized Positions/Staff Years					
Regular	47 / 47	47 / 47	47 / 47	47 / 47	47 / 47
Grant	1 / 1	1 / 1	1 / 1	1 / 1	1 / 1
Expenditures:					
Personnel Services	\$3,397,796	\$3,440,031	\$3,964,267	\$3,610,431	\$3,610,431
Operating Expenses	5,668,122	5,741,782	5,691,654	6,048,253	6,048,253
Capital Equipment	0	0	0	0	0
Total Expenditures	\$9,065,918	\$9,181,813	\$9,655,921	\$9,658,684	\$9,658,684

Position Summary		
<p>ADMINISTRATION</p> <p>1 Administrative Assistant II</p> <p>FINANCIAL MANAGEMENT</p> <p>1 Financial Specialist IV</p> <p>1 Accountant III</p> <p>2 Administrative Assistants III</p>	<p>HOUSING MANAGEMENT</p> <p>1 HCD Division Director</p> <p>1 Housing Community Developer V</p> <p>1 Human Services Coordinator II</p> <p>1 Management Analyst I</p> <p>2 Housing Services Specialists V</p> <p>2 Housing Services Specialists III, 1G</p> <p>12 Housing Services Specialists II</p> <p>3 Housing Services Specialists I</p> <p>1 Administrative Assistant IV</p>	<p>1 Administrative Assistant III</p> <p>1 Trades Supervisor</p> <p>4 HVACs I</p> <p>6 General Building Maintenance Workers II</p> <p>4 General Building Maintenance Workers I</p> <p>1 Locksmith II</p> <p>2 Plumbers II</p>
<p>TOTAL POSITIONS 48 Positions / 48.0 Staff Years</p> <p style="text-align: right;">G Denotes Grant Position</p>		

FY 2012 Funding Adjustments

The following funding adjustments reflect all changes to the FY 2011 Adopted Budget Plan, as approved by the Board of Supervisors on April 26, 2011.

- ◆ **Employee Compensation** \$0

It should be noted that no funding is included for pay for performance or market rate adjustments in FY 2012.
- ◆ **Project-Based Budgeting Adjustments and Operating Requirements** \$339,832

An increase of \$339,832 is associated with \$33,361 in Personnel Services necessary to support project-based budgeting efforts and \$306,471 in Operating Expenses as a result of costs for the oversight and management of the Capital Fund Program for Public Housing, repairs and maintenance and utility expenses based on prior year actual expenditures.
- ◆ **Other Post Employment Benefits** \$137,039

An increase of \$137,039 is required to reflect increased costs associated with providing Other Post-Employment Benefits (OPEBs) to retirees, including the Retiree Health Benefits Subsidy. Before FY 2011, costs related to these benefits were paid solely by the General Fund; however, these annual costs are now spread across funds in order to more appropriately reflect benefit-related expenses for the employees within each fund. For more information on Other Post-Employment Benefits, please refer to Fund 603, OPEB Trust Fund, in Volume 2 of the FY 2012 Adopted Budget Plan.

Fund 967

Public Housing Projects Under Management

Changes to FY 2011 Adopted Budget Plan

The following funding adjustments reflect all approved changes in the FY 2011 Revised Budget Plan since passage of the FY 2011 Adopted Budget Plan. Included are all adjustments made as part of the FY 2010 Carryover Review, FY 2011 Third Quarter Review, and all other approved changes through April 12, 2011.

- ◆ **Carryover Adjustments** **\$584,013**
As part of the *FY 2010 Carryover Review*, the Board of Supervisors approved an increase of \$584,013 primarily for contractual services, utilities, repairs and maintenance, insurance and furniture and fixtures.

- ◆ **Third Quarter Adjustments** **(\$109,905)**
As part of the *FY 2011 Third Quarter Review*, the Board of Supervisors approved a decrease of \$109,905 due to a decrease of \$291,795 in personnel costs due to restructuring associated with project-based budgeting, offset by operating expense increases of \$181,890 due to rising costs associated with utility fees and the transfer of condominium fee expenses from Agency 38, Department of Housing and Community Development.

Fund 967

Public Housing Projects Under Management

FUND STATEMENT

Fund Type H96, Annual Contribution Contract

Fund 967, Projects Under Management

	FY 2010 Actual	FY 2011 Adopted Budget Plan	FY 2011 Revised Budget Plan	FY 2012 Advertised Budget Plan	FY 2012 Adopted Budget Plan
Beginning Balance	\$3,799,332	\$4,204,480	\$4,564,513	\$4,530,741	\$4,201,515
Revenue:					
Dwelling Rental Income	\$5,071,148	\$4,853,575	\$5,071,148	\$5,208,187	\$5,208,187
Excess Utilities	151,438	178,895	151,438	178,895	178,895
Interest on Investments	38,177	50,066	38,177	44,122	44,122
Other Operating Receipts ¹	135,739	118,323	118,323	147,472	147,472
Management Fee - Capital Fund ²	1,435,790	1,155,362	1,155,362	1,155,362	1,155,362
HUD Annual Contribution ^{1,3}	0	165,324	0	0	0
HUD Operating Subsidy ⁴	2,998,807	2,758,475	2,758,475	2,998,807	2,998,807
Total Revenue	\$9,831,099	\$9,280,020	\$9,292,923	\$9,732,845	\$9,732,845
Total Available	\$13,630,431	\$13,484,500	\$13,857,436	\$14,263,586	\$13,934,360
Expenditures: ⁵					
Administration ¹	\$1,091,883	\$2,223,363	\$1,219,727	\$1,398,180	\$1,398,180
Central Office ¹	746,087	944,073	807,610	941,456	941,456
Central Housing Management ¹	124,179	431,113	277,548	161,698	161,698
Central Maintenance ¹	450,265	241,755	554,185	444,088	444,088
Tenant Services ¹	42,085	30,050	32,050	51,935	51,935
Utilities	1,895,904	2,027,228	2,350,424	2,027,228	2,027,228
Ordinary Maintenance and Operation ¹	4,684,031	3,017,266	4,310,517	4,558,053	4,558,053
General Expenses	35,645	66,658	66,658	36,658	36,658
Non-Routine Expenditures	28,847	34,983	37,202	39,388	39,388
Other Expenses ^{1,3}	(33,008)	165,324	0	0	0
Total Expenditures	\$9,065,918	\$9,181,813	\$9,655,921	\$9,658,684	\$9,658,684
Total Disbursements	\$9,065,918	\$9,181,813	\$9,655,921	\$9,658,684	\$9,658,684
Ending Balance⁶	\$4,564,513	\$4,302,687	\$4,201,515	\$4,604,902	\$4,275,676

¹ In order to account for revenues and expenditures in the proper fiscal year audit adjustments totaling a net decrease of \$452,034.13 have been reflected as a decrease of \$177,059.09 in FY 2010 revenues to record accrual adjustments for the write off of the federal Financing Bank loans and escrow adjustments, and an increase of \$274,975.04 in FY 2010 expenditures to reclassify salary, fringe benefits and operating expenses in the appropriate fiscal year. These audit adjustments have been included in the FY 2010 Comprehensive Annual Financial Report (CAFR). Details of the FY 2010 audit adjustments were included in the FY 2011 Third Quarter Package.

² Revenue associated with fees received for the oversight and management of the Central Office. Management Fee revenues that are based on U.S. Department of Housing and Urban Development (HUD) prescribed fees, consist of property management, bookkeeping, and asset management fees. For FY 2011 and FY 2012, fees from Fund 969, Public Housing Projects Under Modernization are also included.

³ HUD's Federal Financing Bank loans were written off in prior year, therefore no debt service contribution is expected as well as its corresponding debt service expenditure.

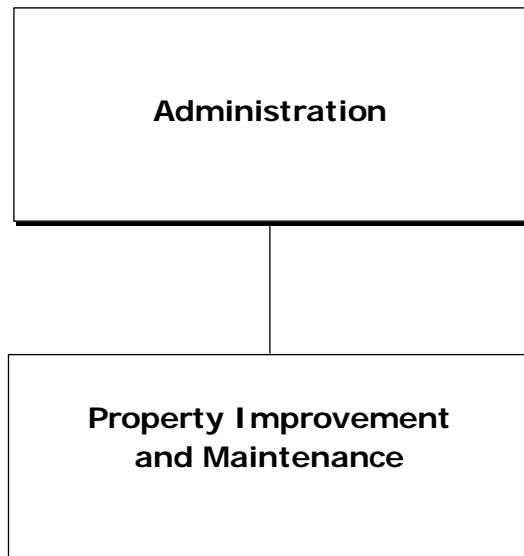
⁴ Category represents a HUD Operating Subsidy based on revenue and expenditure criteria developed by HUD under the Final Rule that was effective January 1, 2007.

⁵ Expenditure categories reflect HUD required cost groupings. Increase in expenditures is primarily associated with costs for the oversight and management of the fund, repairs and maintenance, and increased utility expenses based on prior year actual expenditures.

⁶ The Ending Balance fluctuates due primarily to revenue adjustments for HUD Operating Subsidy and Management Fee Income, as well as expenditures adjustments related to the oversight and management of the fund.

Fund 969

Public Housing Projects Under Modernization



Focus

Fund 969, Public Housing Projects Under Modernization, receives an annual federal grant, determined by formula, to be used for major physical and management improvements to public housing properties owned by the Fairfax County Redevelopment and Housing Authority (FCRHA). This grant program fund which was called the Comprehensive Grant Program (CGP) or the Modernization Program is now referred to as the Capital Fund Program (CFP). It is one of the two components of the Public Housing Program. The other fund supporting this program is Fund 967, Public Housing Under Management, which supports the daily maintenance and management of public housing properties.

Local public housing authorities submit a five-year comprehensive capital and management improvement plan to the U.S. Department of Housing and Urban Development (HUD) as part of the FCRHA's Five-Year Plan. The plan is updated each year as part of the Annual Plan. HUD reviews the plan and releases the annual capital grant amount that supports administrative and planning expenses as well as improvements to one or more projects. Housing authorities may revise the annual plan/budget to substitute projects as long as they are part of the Five-Year Plan.

Three grant positions are supported in this fund for the administration of the program to include monitoring of all construction in process for projects that have been approved by HUD.

The FCRHA submitted an improvement plan in June 2010 for Program Year 39 (FY 2011) funding and received HUD approval for \$1,900,288. Program Year 39 provides for staff administration and capital improvements for five properties: VA1903, Rosedale Manor; VA1913, Atrium; VA1935, Barros Circle; VA1938, Kingsley Park; and VA1955, West Glade (reallocation from VA 1951, Tavenner Lane Apartments).

No FY 2012 funding is included for Fund 969 at this time. During deliberations on the FY 2011 federal budget, initial funding projections were made for Fund 969. Funding will be allocated at the time of the official award from HUD and will provide Program Year 40 funding for new and ongoing projects.

Fund 969

Public Housing Projects Under Modernization

Position Summary	
<u>HOUSING MANAGEMENT</u>	
1	Housing/Community Developer V G
2	Housing/Community Developers III 2G
<u>TOTAL POSITIONS</u>	
3 Positions / 3.0 Staff Years	G Denotes Grant Positions

FY 2012 Funding Adjustments

The following funding adjustments reflect all changes to the FY 2011 Adopted Budget Plan, as approved by the Board of Supervisors on April 26, 2011.

- ◆ FY 2012 funding remains at the same level as the FY 2011 Adopted Budget Plan.

- ◆ **Employee Compensation** \$0
 It should be noted that no funding is included for pay for performance or market rate adjustments in FY 2012.

Changes to FY 2011 Adopted Budget Plan

The following funding adjustments reflect all approved changes in the FY 2011 Revised Budget Plan since passage of the FY 2011 Adopted Budget Plan. Included are all adjustments made as part of the FY 2010 Carryover Review, FY 2011 Third Quarter Review, and all other approved changes through April 12, 2011.

- ◆ **Carryover Adjustments** \$3,220,899
 As part of the FY 2010 Carryover Review, the Board of Supervisors approved an increase of \$3,220,899 due to the carryover of \$1,320,611 in previously unexpended project balances and \$1,900,288 for an award by U.S. Department of Housing and Urban Development (HUD) for five projects: VA1903, Rosedale Manor; VA1913, Atrium; VA1935, Barros Circle; VA1938, Kingsley Park; and VA1951, Tavenner Lane Apartments.

A Fund Statement and a Summary of Capital Projects are provided on the following pages. The Summary of Capital Projects may include some projects without a Total Project Estimate amount. These projects are considered "continuing" projects or projects for which funding is necessary on an ongoing basis (e.g., a contingency or planning project).

Fund 969

Public Housing Projects Under Modernization

FUND STATEMENT

Fund Type H96, Annual Contribution Contract

Fund 969, Projects Under Modernization

	FY 2010 Actual	FY 2011 Adopted Budget Plan	FY 2011 Revised Budget Plan	FY 2012 Advertised Budget Plan	FY 2012 Adopted Budget Plan
Beginning Balance	\$2,173,574	\$0	\$2,173,574	\$0	\$0
Revenue:					
HUD Authorizations	\$0	\$0	\$1,047,325	\$0	\$0
HUD Reimbursements ¹	4,007,098	0	0	0	0
Total Revenue	\$4,007,098	\$0	\$1,047,325	\$0	\$0
Total Available	\$6,180,672	\$0	\$3,220,899	\$0	\$0
Expenditures:					
Administration	\$516,983	\$0	\$516,983	\$0	\$0
Capital/Related Improvements	3,490,115	0	2,703,916	0	0
Total Expenditures	\$4,007,098	\$0	\$3,220,899	\$0	\$0
Total Disbursements	\$4,007,098	\$0	\$3,220,899	\$0	\$0
Ending Balance²	\$2,173,574	\$0	\$0	\$0	\$0

¹ This represents the U.S. Department of Housing and Urban Development (HUD) reimbursements for capital improvements, major repairs/maintenance and modernization of public housing properties.

² Capital projects are budgeted based on the total project costs. Most projects span multiple years, from design to construction completion. Therefore, funding for capital projects is carried forward each fiscal year, and ending balances fluctuate, reflecting the carryover of these funds.

Fund 969

Public Housing Projects Under Modernization

FY 2012 Summary of Capital Projects

Fund: 969, Projects Under Modernization

Project #	Description	Total Project Estimate	FY 2010 Actual Expenditures	FY 2011 Revised Budget	FY 2012 Advertised Budget Plan	FY 2012 Adopted Budget Plan
VA0501	Capital Improvement - Year 28	\$432,080	\$0.00	\$10,569.83	\$0	\$0
VA0502	Capital Improvement - Year 29	788,485	0.00	0.00	0	0
VA0503	Capital Improvement - Year 30	692,526	0.00	0.00	0	0
VA0504	Capital Improvement - Year 31	396,086	0.00	0.00	0	0
VA0505	Capital Improvement - Year 32	100,726	0.00	20,212.35	0	0
VA0506	Capital Improvement - Year 33	929,360	0.00	0.00	0	0
VA0507	Capital Improvement - Year 34	754,516	0.00	0.00	0	0
VA0508	Capital Improvement - Year 35	556,348	0.00	46,523.07	0	0
VA0509	Capital Improvement - Year 36	0	0.00	0.00	0	0
VA0702	Comp Grant - Year Two	346,829	0.00	0.00	0	0
VA0703	Comp Grant - Year Three	374,978	0.00	0.00	0	0
VA0704	Comp Grant - Year Four	386,386	0.00	0.00	0	0
VA0705	Comp Grant - Year Five	288,906	0.00	0.00	0	0
VA0706	Comp Grant - Year Six	276,087	0.00	0.00	0	0
VA0707	Comp Grant - Year Seven	267,251	0.00	0.00	0	0
VA0708	Comp Grant - Year Eight	391,601	0.00	0.00	0	0
VA1900	4500 University Drive	144,939	0.00	125,000.00	0	0
VA1901	Audubon Apartments	1,961,940	15,586.84	30,450.00	0	0
VA1903	Rosedale Manor	2,067,364	324,965.99	243,114.89	0	0
VA1904	Newington Station	1,087,336	0.00	0.00	0	0
VA1905	Green Apartments	2,186,251	0.00	0.00	0	0
VA1906	The Park	1,225,571	66,843.70	82,530.27	0	0
VA1911	Shadowood Condominiums	330,524	171,349.90	3,800.92	0	0
VA1913	Atrium	2,190,414	536,583.11	522,644.00	0	0
VA1925	Villages at Falls Church	261,985	0.00	0.00	0	0
VA1927	Robinson Square	2,640,993	1,126,962.02	16,208.80	0	0
VA1929	Sheffield Village Square	74,915	0.00	0.00	0	0
VA1930	Greenwood Apartments	3,920,385	138,503.76	668,302.24	0	0
VA1931	Briarcliff Phase II	465,742	0.00	0.00	0	0
VA1932	Westford Phase II	1,427,362	0.00	0.00	0	0
VA1933	Westford Phase I	1,247,384	13,291.45	43,863.01	0	0
VA1934	Westford Phase III	2,389,925	669,087.59	147,844.78	0	0
VA1935	Barros Circle	1,600,644	137,850.38	685,349.53	0	0
VA1936	Belle View Condominiums	359,712	0.00	0.00	0	0
VA1938	Kingsley Park	2,576,244	339,169.80	166,743.81	0	0
VA1939	Scattered Acquisitions		3,813.65	31,957.09	0	0
VA1940	Reston Towne Center	773,183	0.00	0.00	0	0
VA1942	Old Mill Site	249,163	169,501.52	57,819.05	0	0
VA1945	Ragan Oaks	116,885	59,617.87	22,924.86	0	0
VA1951	Tavener Lane Apartments	87,111	20,474.37	66,637.09	0	0
VA1952	Water's Edge	119,621	0.00	0.00	0	0
VA1955	West Glade	441,050	212,646.34	228,403.55	0	0
VA1956	Scattered ADU'S	849	849.24	0.00	0	0
Total		\$36,929,658	\$4,007,097.53	\$3,220,899.14	\$0	\$0

FY 2012 ADOPTED REVENUE & RECEIPTS BY FUND SUMMARY OF NON-APPROPRIATED FUNDS

Fund Type/Fund	FY 2010 Actual ¹	FY 2011 Adopted Budget Plan	FY 2011 Revised Budget Plan	FY 2012 Advertised Budget Plan	FY 2012 Adopted Budget Plan	Increase/ (Decrease) Over Revised	% Increase/ (Decrease) Over Revised
HUMAN SERVICES							
G10 Special Revenue Funds							
117 Alcohol Safety Action Program	\$1,710,249	\$1,687,300	\$1,687,300	\$1,687,300	\$1,687,300	\$0	0.00%
NORTHERN VIRGINIA REGIONAL IDENTIFICATION SYSTEM (NOVARIS)							
G70 Agency Funds							
703 Northern Virginia Regional Identification System	\$18,771	\$19,310	\$19,310	\$29,310	\$29,310	\$10,000	51.79%
HOUSING AND COMMUNITY DEVELOPMENT							
H94 Other Housing Funds							
940 FCRHA General Operating	\$2,408,201	\$2,406,754	\$2,584,359	\$2,602,535	\$2,602,535	\$18,176	0.70%
941 Fairfax County Rental Program	6,271,893	4,886,694	4,699,962	4,826,898	4,826,898	126,936	2.70%
945 Non-County Appropriated Rehabilitation Loan	1,358	31,783	31,783	27,869	27,869	(3,914)	(12.31%)
946 FCRHA Revolving Development	1,747,061	30,047	30,047	31,016	31,016	969	3.22%
948 FCRHA Private Financing	916,849	774,232	814,232	720,962	720,962	(93,270)	(11.45%)
949 FCRHA Internal Service Fund	3,902,344	4,212,326	4,499,872	3,864,914	3,864,914	(634,958)	(14.11%)
950 Housing Partnerships	1,123,568	1,671,959	2,037,932	1,737,751	1,737,751	(300,181)	(14.73%)
965 Housing Grants Fund	179,357	0	651,567	0	0	(651,567)	(100.00%)
Total Other Housing Funds	\$16,550,631	\$14,013,795	\$15,349,754	\$13,811,945	\$13,811,945	(\$1,537,809)	(10.02%)
H96 Annual Contribution Contract							
966 Section 8 Annual Contribution	\$47,025,446	\$44,141,770	\$48,933,905	\$51,382,654	\$51,382,654	\$2,448,749	5.00%
967 Public Housing Projects Under Management	9,831,099	9,280,020	9,292,923	9,732,845	9,732,845	439,922	4.73%
969 Public Housing Projects Under Modernization	4,007,098	0	1,047,325	0	0	(1,047,325)	(100.00%)
Total Annual Contribution Contract	\$60,863,643	\$53,421,790	\$59,274,153	\$61,115,499	\$61,115,499	\$1,841,346	3.11%
TOTAL HOUSING AND COMMUNITY DEVELOPMENT	\$77,414,274	\$67,435,585	\$74,623,907	\$74,927,444	\$74,927,444	\$303,537	0.41%

FY 2012 ADOPTED REVENUE & RECEIPTS BY FUND SUMMARY OF NON-APPROPRIATED FUNDS

Fund Type/Fund	FY 2010 Actual ¹	FY 2011 Adopted Budget Plan	FY 2011 Revised Budget Plan	FY 2012 Advertised Budget Plan	FY 2012 Adopted Budget Plan	Increase/ (Decrease) Over Revised	% Increase/ (Decrease) Over Revised
FAIRFAX COUNTY PARK AUTHORITY							
P17 Special Revenue - Park Authority							
170 Park Revenue Fund	\$39,167,789	\$42,641,814	\$42,641,814	\$42,097,806	\$42,097,806	(\$544,008)	(1.28%)
P37 Capital Projects - Park Authority							
371 Park Capital Improvement Fund	\$11,943,435	\$0	\$702,450	\$0	\$0	(\$702,450)	(100.00%)
TOTAL FAIRFAX COUNTY PARK AUTHORITY	\$51,111,224	\$42,641,814	\$43,344,264	\$42,097,806	\$42,097,806	(\$1,246,458)	(2.88%)
TOTAL NON-APPROPRIATED FUNDS							
	\$130,254,518	\$111,784,009	\$119,674,781	\$118,741,860	\$118,741,860	(\$932,921)	(0.78%)
Appropriated from (Added to) Surplus	(\$18,729,221)	(\$807,579)	\$36,137,524	(\$816,172)	(\$816,172)	(\$36,953,696)	(102.26%)
TOTAL AVAILABLE	\$111,525,297	\$110,976,430	\$155,812,305	\$117,925,688	\$117,925,688	(\$37,886,617)	(24.32%)

EXPLANATORY NOTE:

The "Total Available" indicates the revenue in each fiscal year that is to be used to support expenditures. This amount is the total revenue adjusted by the amount of funding that is either appropriated from fund balance or added to fund balance. In some instances, adjustments to fund balance that are not currently reflected in the "Changes in Fund Balance" table also affect the "Total Available." Explanations for these adjustments are provided below. The "Total Available," plus (minus) the effect of these changes matches the expenditure totals by fiscal year on the "Expenditure by Fund/Summary of Non-Appropriated Funds."

¹ Not reflected are the following adjustments to balance which were carried forward from FY 2009 to FY 2010:

Fund 170, Park Revenue Fund, assumption of cash basis accounting reflecting the net effect of deferred revenue of \$45,546 higher than reflected in the County's accounting system.

FY 2012 ADOPTED EXPENDITURES BY FUND SUMMARY OF NON-APPROPRIATED FUNDS

Fund Type/Fund	FY 2010 Actual	FY 2011 Adopted Budget Plan	FY 2011 Revised Budget Plan	FY 2012 Advertised Budget Plan	FY 2012 Adopted Budget Plan	Increase/ (Decrease) Over Revised	% Increase/ (Decrease) Over Revised
HUMAN SERVICES							
G10 Special Revenue Funds							
117 Alcohol Safety Action Program	\$1,679,877	\$1,687,300	\$1,687,300	\$1,687,300	\$1,687,300	\$0	0.00%
NORTHERN VIRGINIA REGIONAL IDENTIFICATION SYSTEM (NOVARIS)							
G70 Agency Funds							
703 Northern Virginia Regional Identification System	\$334	\$18,599	\$18,599	\$34,599	\$34,599	\$16,000	86.03%
HOUSING AND COMMUNITY DEVELOPMENT							
H94 Other Housing Funds							
940 FCRHA General Operating	\$2,263,219	\$2,406,754	\$2,505,508	\$2,516,625	\$2,516,625	\$11,117	0.44%
941 Fairfax County Rental Program	4,501,535	4,722,253	4,873,476	4,667,664	4,667,664	(205,812)	(4.22%)
945 Non-County Appropriated Rehabilitation Loan	0	25,000	25,000	25,000	25,000	0	0.00%
946 FCRHA Revolving Development	44,212	0	2,092,050	0	0	(2,092,050)	(100.00%)
948 FCRHA Private Financing	883,658	774,232	3,082,745	720,962	720,962	(2,361,783)	(76.61%)
949 FCRHA Internal Service Fund	3,902,344	4,212,326	4,499,872	3,864,914	3,864,914	(634,958)	(14.11%)
950 Housing Partnerships	1,316,840	1,698,720	2,048,435	1,740,147	1,740,147	(308,288)	(15.05%)
965 Housing Grants Fund	179,357	0	651,567	0	0	(651,567)	(100.00%)
Total Other Housing Funds	\$13,091,165	\$13,839,285	\$19,778,653	\$13,535,312	\$13,535,312	(\$6,243,341)	(31.57%)
H96 Annual Contribution Contract							
966 Section 8 Annual Contribution	\$44,481,899	\$43,607,618	\$49,373,304	\$50,911,987	\$50,911,987	\$1,538,683	3.12%
967 Public Housing Projects Under Management	9,065,918	9,181,813	9,655,921	9,658,684	9,658,684	2,763	0.03%
969 Public Housing Projects Under Modernization	4,007,098	0	3,220,899	0	0	(3,220,899)	(100.00%)
Total Annual Contribution Contract	\$57,554,915	\$52,789,431	\$62,250,124	\$60,570,671	\$60,570,671	(\$1,679,453)	(2.70%)
TOTAL HOUSING AND COMMUNITY DEVELOPMENT	\$70,646,080	\$66,628,716	\$82,028,777	\$74,105,983	\$74,105,983	(\$7,922,794)	(9.66%)

FY 2012 ADOPTED EXPENDITURES BY FUND SUMMARY OF NON-APPROPRIATED FUNDS

Fund Type/Fund	FY 2010 Actual	FY 2011 Adopted Budget Plan	FY 2011 Revised Budget Plan	FY 2012 Advertised Budget Plan	FY 2012 Adopted Budget Plan	Increase/ (Decrease) Over Revised	% Increase/ (Decrease) Over Revised
FAIRFAX COUNTY PARK AUTHORITY							
P17 Special Revenue - Park Authority							
170 Park Revenue Fund	\$36,830,062	\$41,814,002	\$41,814,002	\$41,244,493	\$41,244,493	(\$569,509)	(1.36%)
P37 Capital Projects - Park Authority							
371 Park Capital Improvement Fund	\$1,607,927	\$0	\$29,435,814	\$0	\$0	(\$29,435,814)	(100.00%)
TOTAL FAIRFAX COUNTY PARK AUTHORITY	\$38,437,989	\$41,814,002	\$71,249,816	\$41,244,493	\$41,244,493	(\$30,005,323)	(42.11%)
TOTAL NON-APPROPRIATED FUNDS	\$110,764,280	\$110,148,617	\$154,984,492	\$117,072,375	\$117,072,375	(\$37,912,117)	(24.46%)

FY 2012 ADOPTED CHANGES IN FUND BALANCE SUMMARY OF NON-APPROPRIATED FUNDS

Fund Type/ Fund	Balance 6/30/09	Balance 6/30/10	Balance 6/30/11	Balance 6/30/12	From/(Added to) Surplus
HUMAN SERVICES					
G10 Special Revenue Funds					
117 Alcohol Safety Action Program	\$22,116	\$52,488	\$52,488	\$52,488	\$0
NORTHERN VIRGINIA REGIONAL IDENTIFICATION SYSTEM (NOVARIS)					
G70 Agency Funds					
703 Northern Virginia Regional Identification System	\$19,273	\$37,710	\$38,421	\$33,132	\$5,289
HOUSING AND COMMUNITY DEVELOPMENT					
H94 Other Housing Funds					
940 FCRHA General Operating	\$11,606,881	\$11,751,863	\$11,830,714	\$11,916,624	(\$85,910)
941 Fairfax County Rental Program	4,567,426	6,337,784	6,164,270	6,323,504	(159,234)
945 Non-County Appropriated Rehabilitation Loan	231,157	232,515	239,298	242,167	(2,869)
946 FCRHA Revolving Development	2,846,380	4,549,229	2,487,226	2,518,242	(31,016)
948 FCRHA Private Financing	7,490,906	7,524,097	5,255,584	5,255,584	0
949 FCRHA Internal Service Fund	0	0	0	0	0
950 Housing Partnerships	225,288	32,016	21,513	19,117	2,396
965 Housing Grants Fund	0	0	0	0	0
Total Other Housing Funds	\$26,968,038	\$30,427,504	\$25,998,605	\$26,275,238	(\$276,633)
H96 Annual Contribution Contract					
966 Section 8 Annual Contribution	\$3,887,046	\$6,430,593	\$5,991,194	\$6,461,861	(\$470,667)
967 Public Housing Projects Under Management	3,799,332	4,564,513	4,201,515	4,275,676	(74,161)
969 Public Housing Projects Under Modernization	2,173,574	2,173,574	0	0	0
Total Annual Contribution Contract	\$9,859,952	\$13,168,680	\$10,192,709	\$10,737,537	(\$544,828)
TOTAL HOUSING AND COMMUNITY DEVELOPMENT	\$36,827,990	\$43,596,184	\$36,191,314	\$37,012,775	(\$821,461)

FY 2012 ADOPTED CHANGES IN FUND BALANCE SUMMARY OF NON-APPROPRIATED FUNDS

Fund Type/ Fund	Balance 6/30/09	Balance 6/30/10	Balance 6/30/11	Balance 6/30/12	From/(Added to) Surplus
FAIRFAX COUNTY PARK AUTHORITY					
P17 Special Revenue - Park Authority					
170 Park Revenue Fund	\$8,437,658	\$9,854,368	\$9,054,367	\$9,054,367	\$0
P37 Capital Projects - Park Authority					
371 Park Capital Improvement Fund	\$21,792,074	\$32,287,582	\$4,354,218	\$4,354,218	\$0
TOTAL FAIRFAX COUNTY PARK AUTHORITY	\$30,229,732	\$42,141,950	\$13,408,585	\$13,408,585	\$0
TOTAL NON-APPROPRIATED FUNDS	\$67,099,111	\$85,828,332	\$49,690,808	\$50,506,980	(\$816,172)

FY 2012 ADOPTED SUMMARY OF EXPENDITURES FOR PROGRAMS WITH APPROPRIATED AND NON-APPROPRIATED FUNDS

Fund Type/ Fund	FY 2010 Actual	FY 2011 Adopted Budget Plan	FY 2011 Revised Budget Plan	FY 2012 Advertised Budget Plan	FY 2012 Adopted Budget Plan	Increase/ (Decrease) Over Revised	% Increase/ (Decrease) Over Revised
HOUSING AND COMMUNITY DEVELOPMENT							
APPROPRIATED FUNDS							
G00 General Fund							
Department of Housing and Community Development	\$6,585,966	\$5,928,757	\$6,030,760	\$5,928,757	\$5,928,757	(\$102,003)	(1.69%)
G30 Capital Project Funds							
319 The Penny for Affordable Housing Fund	\$18,186,529	\$13,458,400	\$19,864,899	\$14,668,400	\$14,668,400	(\$5,196,499)	(26.16%)
H14 Special Revenue - Housing							
141 Elderly Housing Programs	\$3,536,038	\$4,186,706	\$5,201,767	\$4,159,501	\$4,159,501	(\$1,042,266)	(20.04%)
142 Community Development Block Grant	7,576,868	5,982,304	17,122,933	6,463,133	6,463,133	(10,659,800)	(62.25%)
143 Homeowner and Business Loan Programs	5,358,888	3,883,825	8,629,710	4,514,316	4,514,316	(4,115,394)	(47.69%)
144 Housing Trust Fund	2,177,035	840,000	4,235,632	348,814	348,814	(3,886,818)	(91.76%)
145 HOME Investment Partnerships Grant	1,252,918	2,707,657	9,069,673	2,692,612	2,692,612	(6,377,061)	(70.31%)
Total Special Revenue Funds	\$19,901,747	\$17,600,492	\$44,259,715	\$18,178,376	\$18,178,376	(\$26,081,339)	(58.93%)
H34 Capital Projects - Housing							
340 Housing Assistance Program	\$1,074,560	\$515,000	\$8,355,876	\$515,000	\$515,000	(\$7,840,876)	(93.84%)
Total Capital Project Funds	\$1,074,560	\$515,000	\$8,355,876	\$515,000	\$515,000	(\$7,840,876)	(93.84%)
TOTAL APPROPRIATED HOUSING AUTHORITY	\$45,748,802	\$37,502,649	\$78,511,250	\$39,290,533	\$39,290,533	(\$39,220,717)	(49.96%)
NON-APPROPRIATED FUNDS							
H94 Other Housing Funds							
940 FCRHA General Operating	\$2,263,219	\$2,406,754	\$2,505,508	\$2,516,625	\$2,516,625	\$11,117	0.44%
941 Fairfax County Rental Program	4,501,535	4,722,253	4,873,476	4,667,664	4,667,664	(205,812)	(4.22%)
945 Non-County Appropriated Rehabilitation Loan	0	25,000	25,000	25,000	25,000	0	0.00%
946 FCRHA Revolving Development	44,212	0	2,092,050	0	0	(2,092,050)	(100.00%)
948 FCRHA Private Financing	883,658	774,232	3,082,745	720,962	720,962	(2,361,783)	(76.61%)
949 RCRHA Internal Service Fund	3,902,344	4,212,326	4,499,872	3,864,914	3,864,914	(634,958)	(14.11%)
950 Housing Partnerships	1,316,840	1,698,720	2,048,435	1,740,147	1,740,147	(308,288)	(15.05%)
965 Housing Grants Fund	179,357	0	651,567	0	0	(651,567)	(100.00%)
Total Other Housing Funds	\$13,091,165	\$13,839,285	\$19,778,653	\$13,535,312	\$13,535,312	(\$6,243,341)	(31.57%)

FY 2012 ADOPTED SUMMARY OF EXPENDITURES FOR PROGRAMS WITH APPROPRIATED AND NON-APPROPRIATED FUNDS

Fund Type/ Fund	FY 2010 Actual	FY 2011 Adopted Budget Plan	FY 2011 Revised Budget Plan	FY 2012 Advertised Budget Plan	FY 2012 Adopted Budget Plan	Increase/ (Decrease) Over Revised	% Increase/ (Decrease) Over Revised
H96 Annual Contribution Contract							
966 Section 8 Annual Contribution	\$44,481,899	\$43,607,618	\$49,373,304	\$50,911,987	\$50,911,987	\$1,538,683	3.12%
967 Public Housing, Projects Under Management	9,065,918	9,181,813	9,655,921	9,658,684	9,658,684	2,763	0.03%
969 Public Housing, Projects Under Modernization	4,007,098	0	3,220,899	0	0	(3,220,899)	(100.00%)
Total Annual Contribution Contract	\$57,554,915	\$52,789,431	\$62,250,124	\$60,570,671	\$60,570,671	(\$1,679,453)	(2.70%)
TOTAL NON-APPROPRIATED HOUSING AUTHORITY	\$70,646,080	\$66,628,716	\$82,028,777	\$74,105,983	\$74,105,983	(\$7,922,794)	(9.66%)
TOTAL HOUSING AND COMMUNITY DEVELOPMENT	\$116,394,882	\$104,131,365	\$160,540,027	\$113,396,516	\$113,396,516	(\$47,143,511)	(29.37%)
<u>PARKS, RECREATION AND LIBRARIES</u>							
APPROPRIATED FUNDS							
G00 General Fund							
Fairfax County Park Authority	\$23,103,572	\$21,621,388	\$22,112,220	\$21,699,789	\$21,699,789	(\$412,431)	(1.87%)
P37 Capital Projects - Park Authority							
370 Park Authority Bond Construction	\$19,220,896	\$0	\$62,736,313	\$0	\$0	(\$62,736,313)	(100.00%)
TOTAL APPROPRIATED PARK AUTHORITY	\$42,324,468	\$21,621,388	\$84,848,533	\$21,699,789	\$21,699,789	(\$63,148,744)	(74.43%)
NON-APPROPRIATED FUNDS							
P17 Special Revenue - Park Authority							
170 Park Revenue Fund	\$36,830,062	\$41,814,002	\$41,814,002	\$41,244,493	\$41,244,493	(\$569,509)	(1.36%)
P37 Capital Projects - Park Authority							
371 Park Capital Improvement Fund	\$1,607,927	\$0	\$29,435,814	\$0	\$0	(\$29,435,814)	(100.00%)
TOTAL NON-APPROPRIATED PARK AUTHORITY	\$38,437,989	\$41,814,002	\$71,249,816	\$41,244,493	\$41,244,493	(\$30,005,323)	(42.11%)
TOTAL PARKS, RECREATION AND LIBRARIES	\$80,762,457	\$63,435,390	\$156,098,349	\$62,944,282	\$62,944,282	(\$93,154,067)	(59.68%)
TOTAL EXPENDITURES	\$197,157,339	\$167,566,755	\$316,638,376	\$176,340,798	\$176,340,798	(\$140,297,578)	(44.31%)

Fairfax County Park Authority Trust Funds

Overview

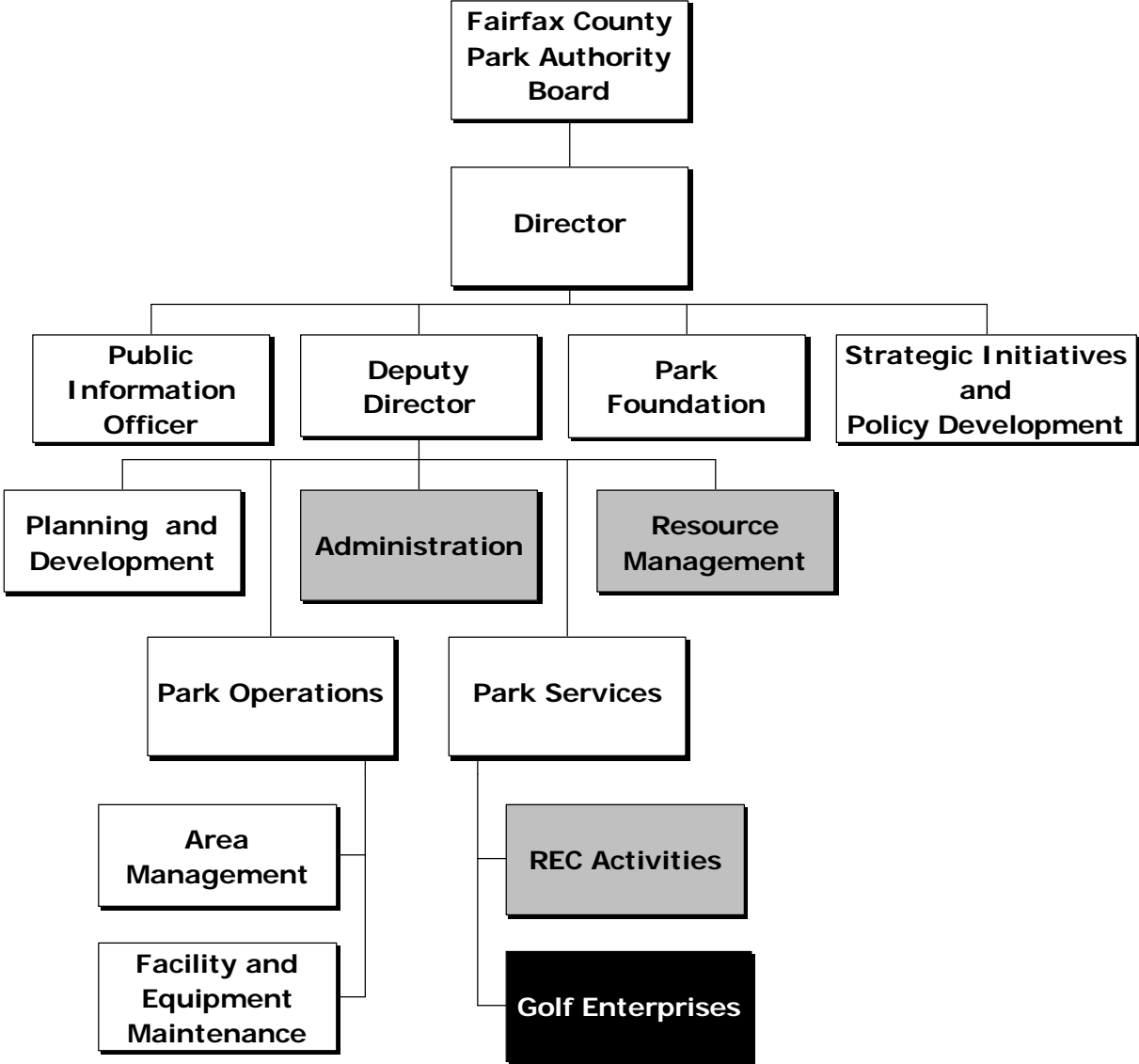
The Fairfax County Park Authority operates two separate and distinct Trust Funds. All funds received by the Park Authority Board under these Trust Funds are held and applied solely to expenditures determined by the Park Authority Board as authorized under the Commonwealth's Park Authorities Act. These funds provide support for the Park Authority which currently maintains and operates 417 parks, and more than 22,000 acres of park land, including recreation centers, historic sites, nature centers, and golf courses.

The Park Authority, in its memorandum of agreement with the Fairfax County Board of Supervisors, adopted budgeting and reporting procedures for its Trust Funds which are used by the General County Government. These two Trust Funds are being published in accordance with this agreement.

- ◆ **Fund 170 - Park Revenue Fund**

- ◆ **Fund 371 - Park Capital Improvement Fund**

Fund 170 Park Revenue Fund



Denotes Cost Centers that are included in both the General Fund and Fund 170, Park Revenue Fund.

Denotes Cost Center that is only in Fund 170, Park Revenue Fund.

Fund 170

Park Revenue Fund

Mission

To set aside public spaces for and assist citizens in the protection and enhancement of environmental values, diversity of natural habitats and cultural heritage; to guarantee that these resources will be available to both present and future generations; to create and sustain quality facilities and services that offer citizens opportunities for recreation, improvement of their physical and mental well-being and enhancement of their quality of life.

Focus

The Fairfax County Park Authority (the Authority), created by legislative action in 1950, serves the most populous jurisdiction in both the Virginia and Washington D.C. metropolitan area with over 1 million people. Under the direction of a Board of Supervisor's appointed 12-member Park Authority Board, the Authority works collaboratively with constituents, partners, stakeholders, and government leaders and appointees to implement Board policies, champion the preservation and protection of natural and cultural resources, and facilitate the development of park and recreation programs and facilities. The Authority oversees operation and management of a County park system with over 22,000 acres, 417 parks, nine recreation centers, eight golf courses, an ice skating rink, 220 playgrounds, 668 public gardens, five nature centers, an equestrian center, 505 Fairfax County Public School owned athletic fields, 287 Park Authority owned athletic fields, 10 historic sites, two waterparks, a horticultural center, and more than 300 miles of trails. The Authority has balanced the dual roles of providing recreational and fitness opportunities to citizens and serving as stewards and interpreters of Fairfax County's natural and cultural resources.

The Authority, a National Gold Medal Award winner and an accredited agency is one of the largest, most diverse park systems in the nation. The agency offers leisure and recreational opportunities through an array of programmed and un-programmed resources which enrich the quality of life for County residents. This is accomplished through the protection and preservation of open space and natural areas, nature centers, RECenters, historic sites, golf courses, athletic fields, public gardens, horticulture sites, trails, and neighborhood, community, district and countywide parks, as well as stewardship education, park programs, classes, camps and tours. Delivering high-quality service in parks is an important focus for the Park Authority as demand and usage continue to grow. The Authority seeks to provide quality recreational opportunities through construction, development, operation, and maintenance of a wide variety of facilities to meet the varied needs and interests of the County's residents. The Authority strives to improve the quality of life for the residents of the County by keeping pace with residents' interests, by continually enhancing the park system, and by demonstrating stewardship for parkland. Notable enhancements include increased open space through land acquisition, protection of critical natural and cultural resources, expanded trails, new inclusive features, and upgraded playability of outdoor facilities.

In FY 2010, the Authority acquired 39 acres of land. These acquisitions included additional acreage for Arrowhead Park, located in the Sully District, and the establishment of the new Ordick Homestead Park. Also, two existing natural turf rectangular fields were converted to synthetic turf which included one at Lee District Park and one at Greenbriar Park. In addition, some significant park infrastructure improvements were completed. New facilities that were completed include: four pavilions at Lake Fairfax Park, various equestrian facilities at Frying Pan Farm Park and Turner Farm Park, and an equipment storage building at Green Springs Gardens. Trail and pedestrian bridge improvements were completed at the Clark's Branch bridge at Riverbend Park, the Lamond pedestrian access bridge, the Wolf Trap Stream Valley bridge and trail, the Tyson's Wood Park connection to the W&OD trail, the Rocky Run Stream Valley trail, the Frog Branch Stream Valley trail, and the Pohick Stream Valley trail. Also,

Fund 170 Park Revenue Fund

athletic field lighting was upgraded at South Run District Park, Poplar Tree Park and Rolling Valley West Park, and lights were added to the existing diamond field at JEB Stuart Park.



The Park Revenue Fund is supported from user fees and charges generated at the Authority's revenue supported facilities and is supplemented by donations and grants. Revenue generating facilities include recreation centers, golf courses, lake parks, nature centers, historic sites and various other major parks. The Authority's enabling legislation states that revenues must be spent exclusively for park purposes. Revenue received from recreation centers and golf courses

are designed to fully recover the annual operating and maintenance costs of programs and services at these facilities, while the revenue received from the lake parks, nature centers, historic sites and various other major parks only cover a portion of the annual costs. The Authority strives to achieve an overall positive net cost recovery in order to contribute to capital repairs for revenue funded facilities necessary to maintain and adapt facilities to meet County residents' service expectations.

Some park operations are funded from both the General Fund and the Park Revenue Fund. For example, the General Fund supports some camps, trips and tours, lakefront park operations and resource management sites and programs. The General Fund also pays for the policy, communication and leadership activities of the Director's Office, the requirements of the Public Information Office, and funds administrative costs for purchasing, accounting, budgeting, and payroll and risk management procedural compliance.

Park Board

The Authority operates under the policy oversight of a Board of Supervisors' appointed 12-member Park Authority Board, in accordance with a Memorandum of Understanding with the County's Board of Supervisors. The Authority manages acquisition, preservation, development, maintenance and operation of its assets and activities through five funds: the Parks General Fund Operating Budget, Park Revenue Fund, County Construction Fund, Park Authority Bond Construction Fund and Park Capital Improvement Fund. The Park Authority Board has direct fiduciary responsibility for the Park Revenue Fund and the Park Capital Improvement Fund, while the County has fiduciary responsibility for the three other funds. The Authority aggressively seeks management initiatives and alternate funding sources to sustain the delivery of quality services and facilities.

Fund 170

Park Revenue Fund

In FY 2010, the Park Authority Board approved its focus areas and identified maintaining fiscal sustainability as its number one priority. Other areas identified were to address park infrastructure, sustain workforce readiness, coordinate communication and marketing, and broaden customer service and diversify partnerships. Maintaining economic vitality is a longstanding component of the Board of Supervisors' vision for Fairfax County. A healthy, functional park system is a critical component of economic vitality and attracts businesses to the County. The Park Authority embraces the County's Cultural Recreational Vision Element and encompasses the Board of Supervisors' Clean, Sustainable Environment Goal Statement.

Current Trends

In FY 2004, to address a growing population and evolving recreation desires of County residents, the Authority implemented a comprehensive Needs Assessment study that resulted in a 10-Year Action Plan, including a phased-in 10-year Capital Improvement Program. Indexed for inflation and adjusted land values, completion of this Plan requires \$435 million. This amount includes an estimated requirement of \$120 million over the next 10 years to address the decline of facilities and infrastructure due to age, high usage, and limited resources to perform required life-cycle maintenance. The Needs Assessment was a significant part of the justification for the 2004, 2006, and 2008 voter approved park bond referendums totaling \$155 million. "Great Parks, Great Communities," a comprehensive park planning effort to develop district-level long range plans, was initiated in 2007 and will continue to serve as a guide for future park development and resource protection to better address changing needs and growth forecasts through 2020.

The Authority continues to be challenged by the current economic situation and resulting budget reductions. Resident demand for services continues to grow due to an increasing population and changing needs and diversity of the community. Parks and park programs also have been a popular recreational outlet during the economic downturn. In order to preserve as many services as possible during the FY 2010 and FY 2011 budget reductions, several program costs were redirected in previous budget years from the General Fund to the Park Revenue Fund; however, this is limiting available funding in the Set Aside Reserve which would be used for renovations and enhancements of revenue producing facilities and programs.

In FY 2012, the Park Revenue Fund continues to face financial challenges due to the general economic downturn that has reduced participation in some of the key revenue-generating activities and created stagnation for participation in some activities. The economic conditions families are facing is also exerting downward or stay-the-course pressure on the pricing of services, limiting the ability to generate additional revenue through fee increases. The Authority must quickly respond to changing expectations in order to maintain customer loyalty and stability in the revenue base. Recent or near-term initiatives include enabling customer-oriented services such as online pass sales/renewals, e-mail classes and camp surveys, electronic distribution of camp registration packets, updated concert series web pages that include new search capability for citizens to find programs, and development of an enhanced *Parktakes* online web portal.

The Authority is undergoing an analysis and review of core/non-core services which will lead to the development of a financial and sustainable model and business plan.

Fund 170 Park Revenue Fund

Budget and Staff Resources

Agency Summary					
Category	FY 2010 Actual	FY 2011 Adopted Budget Plan	FY 2011 Revised Budget Plan	FY 2012 Advertised Budget Plan	FY 2012 Adopted Budget Plan
Authorized Positions/Staff Years					
Regular	237 / 237	237 / 237	245 / 245	245 / 245	245 / 245
Expenditures:					
Personnel Services	\$24,720,737	\$26,762,527	\$26,762,527	\$26,413,216	\$26,413,216
Operating Expenses	11,975,608	14,710,283	14,710,283	14,454,215	14,454,215
Recovered Costs	(992,579)	(1,302,599)	(1,302,599)	(1,302,599)	(1,302,599)
Capital Equipment	57,088	579,500	579,500	617,000	617,000
Bond Costs	1,069,208	1,064,291	1,064,291	1,062,661	1,062,661
Total Expenditures	\$36,830,062	\$41,814,002	\$41,814,002	\$41,244,493	\$41,244,493

FY 2012 Funding Adjustments

The following funding adjustments from the FY 2011 Adopted Budget Plan are necessary to support the FY 2012 program. Included are all adjustments recommended by the County Executive that were approved by the Board of Supervisors, as well as any additional Board of Supervisors' actions, as approved in the adoption of the budget on April 26, 2011:

- ◆ **Employee Compensation** \$0
 It should be noted that no funding is included for pay for performance or market rate adjustments in FY 2012.
- ◆ **Personnel Services** (\$408,784)
 A decrease of \$408,784 in Personnel Services is primarily associated with a decrease in limited term costs to reflect FY 2010 actual experience and the anticipated array of classes and programming scheduled for FY 2012.
- ◆ **Other Post-Employment Benefits** \$59,473
 An increase of \$59,473 is required to reflect increased costs associated with providing Other Post-Employment Benefits (OPEBs) to retirees, including the Retiree Health Benefit Subsidy. Before FY 2011, costs related to these benefits were paid solely by the General Fund; however, these annual costs are now spread across funds in order to more appropriately reflect benefit-related expenses for employees within each fund. For more information on Other Post-Employment Benefits, please refer to Fund 603, OPEB Trust Fund, in Volume 2 of the FY 2012 Adopted Budget Plan.
- ◆ **Operating Expenses** (\$256,068)
 A decrease of \$256,068 in Operating Expenses is primarily associated with a decrease in electric utility costs based on FY 2010 actual experience.
- ◆ **Capital Equipment** \$617,000
 Funding in the amount of \$617,000 is included for Capital Equipment, primarily for the replacement of exercise equipment and golf course maintenance equipment, such as greens mowers and motorized grooming rakes that have outlived their useful life. In addition, \$15,000 is for the replacement of a utility vehicle used for golf maintenance tasks and \$23,000 is for the replacement of

Fund 170 Park Revenue Fund

a heavy utility vehicle used for hauling golf course material, sand and gravel, both of which have surpassed the recommended age and mileage usage and require extensive repairs.

- ◆ **Bond Costs** (\$1,630)
A decrease of \$1,630 in Bond costs is consistent with principal and interest requirements for FY 2012.

Changes to FY 2011 Adopted Budget Plan

The following funding adjustments reflect all approved changes in the FY 2011 Revised Budget Plan since passage of the FY 2011 Adopted Budget Plan. Included are all adjustments made as part of the FY 2010 Carryover Review, FY 2011 Third Quarter Review, and all other approved changes through April 12, 2011:

- ◆ **Position Changes** \$0
As part of the FY 2011 review of County position categories, a conversion of 8/8.0 SYE positions has been made. The status of limited term positions was reviewed in light of recent changes to federal regulations related to health care and other federal tax requirements. As a result of this review a number of existing limited term positions have been converted to Merit Regular status.
- ◆ **Third Quarter** \$0
As part of the FY 2011 Third Quarter Review, the Board of Supervisors approved an increase of \$800,000 in the transfer to Fund 371, Park Capital Improvement Fund for projects approved by the Park Authority Board on February 9, 2011. This funding supports general park improvements including: critical building repairs and enhancements to existing park facilities, supervision and management of park rental buildings, and an increase to the reserve balance necessary for the future support of maintenance and renovation of revenue-operating facilities.

Cost Centers

The four Cost Centers of the Park Revenue Fund are Administration, Golf Enterprises, REC Activities and Resource Management. The Cost Centers work together to fulfill the mission of the Fund and carry out the key initiatives for the Fiscal Year.

Administration

Funding Summary					
Category	FY 2010 Actual	FY 2011 Adopted Budget Plan	FY 2011 Revised Budget Plan	FY 2012 Advertised Budget Plan	FY 2012 Adopted Budget Plan
Authorized Positions/Staff Years					
Regular	13 / 13	13 / 13	15 / 15	15 / 15	15 / 15
Total Expenditures	\$2,028,203	\$2,770,051	\$2,770,051	\$2,771,693	\$2,771,693

Position Summary	
1 Training Specialist III	5 Engineers III
1 Network Telecom Analyst I	1 Planner IV
1 Network Telecom Analyst II	2 Construction Project Managers II
1 Internet/Intranet Architect I	1 Senior Right-of-Way Agent
1 Engineer IV	1 Materials Requirement Specialist
TOTAL POSITIONS	
15 Positions / 15.0 Staff Years	

Fund 170

Park Revenue Fund

Key Performance Measures

Goal

To implement Park Authority Board policies and provide high quality administrative and business support to all levels of the Park Authority in order to assist division management in achieving Park Authority mission-related objectives.

Objectives

- ◆ To manage expenditures, revenues, and personnel and to provide safety and information technology services for the Park Authority, with at least 85 percent customer satisfaction, while achieving at least 75 percent of the approved administration division's work plan objectives.

Indicator	Prior Year Actuals			Current Estimate	Future Estimate
	FY 2008 Actual	FY 2009 Actual	FY 2010 Estimate/Actual	FY 2011	FY 2012
Output:					
Annual budget expenditures administered	\$30,497,647	\$26,214,391	\$33,129,318 / \$25,089,133	\$33,882,491	\$27,298,156
Employees (regular merit and limited term)	3,237	3,284	3,236 / 3,103	3,157	3,200
PC's, servers, and printers	708	716	716 / 716	724	724
Efficiency:					
Expenditure per Purchasing/Finance SYE	\$1,355,451	\$1,165,084	\$1,472,414 / \$1,166,936	\$1,575,930	\$1,269,682
Agency employees served per HR SYE	341	383	381 / 365	451	457
IT Components per IT SYE	118.00	119.00	119.00 / 119.00	145.00	145.00
Service Quality:					
Customer satisfaction	92%	92%	90% / 90%	85%	85%
Outcome:					
Percent of annual work plan objectives achieved	80%	86%	75% / 78%	75%	75%

Performance Measurement Results

Workload has continued to increase as a result of the opening of several facilities over the last several years as well as increased audit requirements. Customer satisfaction for FY 2010 was at 90 percent. This figure is anticipated to decrease to 85 percent in FY 2011 and FY 2012 since administrative support staff has decreased due to budget reductions, while workload has grown. The division accomplished 78 percent of its work plan objectives for FY 2010. Because of budget constraints, the division will work to achieve an objective target of at least 75 percent for both FY 2011 and FY 2012.

Fund 170 Park Revenue Fund

Golf Enterprises

Funding Summary					
Category	FY 2010 Actual	FY 2011 Adopted Budget Plan	FY 2011 Revised Budget Plan	FY 2012 Advertised Budget Plan	FY 2012 Adopted Budget Plan
Authorized Positions/Staff Years					
Regular	78 / 78	78 / 78	78 / 78	78 / 78	78 / 78
Total Expenditures	\$8,614,335	\$9,959,029	\$9,959,029	\$9,844,596	\$9,844,596

Position Summary					
3	Park/Rec Specialists IV	1	Administrative Assistant III	4	Motor Equip. Operators
3	Park/Rec Specialists III	5	Facility Attendants II	2	Automotive Mechanics II
3	Park/Rec Specialists II	1	Park Management Specialist II	3	Golf Course Superintendents III
7	Park/Rec Specialists I	10	Senior Maintenance Workers	1	Golf Course Superintendent II
9	Park/Rec Assistants	22	Maintenance Workers	4	Golf Course Superintendents I
TOTAL POSITIONS					
78 Positions / 78.0 Staff Years					

Key Performance Measures

Goal

To operate and maintain quality golf facilities, programs and services for the use and enjoyment of Fairfax County citizens and visitors; plan for future golf needs countywide; and provide opportunities and programs that enhance the growth of the sport as a life-long leisure activity.

Objectives

- ◆ To maintain the number of golf rounds played at 325,000.
- ◆ To achieve cost recovery of 119 percent.

Indicator	Prior Year Actuals			Current Estimate	Future Estimate
	FY 2008 Actual	FY 2009 Actual	FY 2010 Estimate/Actual	FY 2011	FY 2012
Output:					
Rounds played	322,184	298,631	310,000 / 289,384	325,000	325,000
Gross revenue	\$11,403,317	\$10,520,811	\$12,388,376 / \$10,360,691	\$11,940,162	\$11,643,723
Efficiency:					
Expense/rounds played	\$28.93	\$29.12	\$32.84 / \$29.77	\$30.00	\$30.00
Revenue per round	\$35.39	\$35.23	\$39.96 / \$35.80	\$36.74	\$35.83
Service Quality:					
Percent "Very" Satisfied	NA	NA	NA / NA	NA	NA
Outcome:					
Percent change in rounds played	1.3%	(7.3%)	3.8% / (3.1%)	12.3%	0.0%
Cost recovery percentage	122.30%	120.90%	121.70% / 120.40%	122.00%	119.00%

Fund 170

Park Revenue Fund

Performance Measurement Results

In FY 2010, rounds played decreased 3.1 percent from FY 2009, as a combination of poor golf weather and the effects of the economic recession impacted overall play. Given the extreme winter that resulted in the loss of many operating days at the courses, rounds are expected to increase in FY 2011 and remain flat in FY 2012.

The actual cost recovery of 120.4 percent for FY 2010 was achieved with aggressive cost containment efforts by site managers and the deferral of replacement course maintenance equipment. In addition, no survey has been conducted since FY 2007 due to budget constraints; therefore, the Service Quality measures are not available.

REC Activities

Funding Summary					
Category	FY 2010 Actual	FY 2011 Adopted Budget Plan	FY 2011 Revised Budget Plan	FY 2012 Advertised Budget Plan	FY 2012 Adopted Budget Plan
Authorized Positions/Staff Years					
Regular	134 / 134	134 / 134	136 / 136	136 / 136	136 / 136
Total Expenditures	\$24,582,715	\$27,060,473	\$27,060,473	\$26,661,597	\$26,661,597

Position Summary					
1	Recreation Division Supervisor I	1	Communications Specialist II	8	Prevent. Maintenance Specs.
2	Park Management Specialists II	2	Communications Specialists I	1	Maintenance Crew Chief
2	Park Management Specialists I	1	Management Analyst III	7	Custodians II
10	Park/Rec Specialists IV	2	Management Analysts II	4	Custodians I
3	Park/Rec Specialists III	1	Management Analyst I	1	Electronic Equipment Tech. II
32	Park/Rec Specialists II	1	Contract Analyst II	1	Painter II
12	Park/Rec Specialists I	1	Facility Attendant I	1	Producer/Director
27	Park/Rec Assistants	12	Administrative Assistants III	1	Business Analyst II
1	Publications Assistant	1	Naturalist/Historian Senior Interpreter		
TOTAL POSITIONS					
136 Positions / 136.0 Staff Years					

Key Performance Measures

Goal

To provide financially self-sufficient recreational facilities and services that meet the expectations of the citizens of Fairfax County in order to enhance their quality of life by providing opportunities to develop lifetime leisure pursuits.

Objectives

- ◆ To achieve and maintain a rate of 6.00 service contacts per household in order to provide opportunities for Fairfax County citizens to enhance their recreational, fitness, health, and leisure activities while learning about linkages between these resources and a healthy community and personal life.

Fund 170 Park Revenue Fund

Indicator	Prior Year Actuals			Current Estimate	Future Estimate
	FY 2008 Actual	FY 2009 Actual	FY 2010 Estimate/Actual	FY 2011	FY 2012
Output:					
Service contacts	2,194,563	2,297,479	2,174,413 / 2,353,041	2,354,046	2,347,530
Efficiency:					
Service contacts per household	5.72	5.96	5.60 / 6.10	6.00	6.00
Service Quality:					
Percent "Very" Satisfied	NA	NA	NA / NA	NA	NA
Outcome:					
Percent of households indicating parks/recreation services are "very" important or "extremely" important to their quality of life	NA	NA	NA / NA	NA	NA

Performance Measurement Results

In FY 2010, the Park Authority achieved a rate of 6.10 service contacts per household, higher than the 5.96 contacts per household in FY 2009, demonstrating the continuing popularity of Park Authority programs. Service contacts measure the number of individuals who enter a Park Authority facility such as a RECenter and receive a service. Based on recent history and the addition of programs and facilities, a conservative goal for FY 2011 and FY 2012 of 6.0 service contacts per household has been set. In addition, no survey has been conducted since FY 2007 due to budget constraints; therefore, the Service Quality and Outcome measures are not available.

Resource Management

Funding Summary					
Category	FY 2010 Actual	FY 2011 Adopted Budget Plan	FY 2011 Revised Budget Plan	FY 2012 Advertised Budget Plan	FY 2012 Adopted Budget Plan
Authorized Positions/Staff Years					
Regular	12 / 12	12 / 12	16 / 16	16 / 16	16 / 16
Total Expenditures	\$1,604,809	\$2,024,449	\$2,024,449	\$1,966,607	\$1,966,607

Position Summary					
1	Historian II	2	Park/Rec Specialists I	1	Administrative Assistant III
2	Historians I	2	Naturalists I	2	Facility Attendants II
1	Park/Rec Specialist IV	2	Park/Rec Assistants	1	Custodian II
1	Park/Rec Specialist II	1	Administrative Assistant V		
TOTAL POSITIONS					
16 Positions / 16.0 Staff Years					

Fund 170 Park Revenue Fund

Key Performance Measures

Goal

To maintain and expand the availability of division services, programs, publications and facilities for citizens of Fairfax County and visitors of our parks in order to provide opportunities for education and appreciation of their natural and cultural heritage.

Objectives

- ◆ To increase visitor contacts by 3.5 percent.

Indicator	Prior Year Actuals			Current Estimate	Future Estimate
	FY 2008 Actual	FY 2009 Actual	FY 2010 Estimate/Actual	FY 2011	FY 2012
Output:					
Visitor contacts	226,960	199,799	206,792 / 212,882	220,439	228,265
Efficiency:					
Visitors contacts per household	0.57	0.52	0.55 / 0.55	0.56	0.58
Service Quality:					
Percent of visitors "very" satisfied with programs and services	NA	NA	NA / NA	NA	NA
Outcome:					
Percent of households indicating that natural, cultural and horticultural resources, facilities and services are "extremely" or "very" important to quality of life	NA	NA	NA / NA	NA	NA
Percent change in number of visitor contacts	11.3%	(12.0%)	3.5% / 6.5%	3.5%	3.5%

Performance Measurement Results

In FY 2010, there was a 6.5 percent increase in the amount of visitor contacts. The Park Authority will strive to maintain the goal of increasing visitor contacts by 3.5 percent in FY 2011 and FY 2012. No survey has been conducted since FY 2007 due to budget constraints; therefore, the Service Quality and Outcome measures are not available.

Fund 170 Park Revenue Fund

FUND STATEMENT

Fund Type P17, Non-Appropriated Funds

Fund 170, Park Revenue Fund

	FY 2010 Actual	FY 2011 Adopted Budget Plan	FY 2011 Revised Budget Plan	FY 2012 Advertised Budget Plan	FY 2012 Adopted Budget Plan
Beginning Balance	\$8,437,658	\$9,299,922	\$9,854,368	\$9,854,367	\$9,054,367
Revenue:					
Interest on Bond Proceeds	\$753	\$52,488	\$52,488	\$18,363	\$18,363
Park Fees	38,779,826	41,985,585	41,985,585	41,564,289	41,564,289
Interest	43,942	248,241	248,241	133,734	133,734
Donations	388,814	355,500	355,500	381,420	381,420
Total Revenue¹	\$39,213,335	\$42,641,814	\$42,641,814	\$42,097,806	\$42,097,806
Total Available	\$47,650,993	\$51,941,736	\$52,496,182	\$51,952,173	\$51,152,173
Expenditures:					
Personnel Services	\$24,720,737	\$26,762,527	\$26,762,527	\$26,413,216	\$26,413,216
Operating Expenses	11,975,608	14,710,283	14,710,283	14,454,215	14,454,215
Recovered Costs	(992,579)	(1,302,599)	(1,302,599)	(1,302,599)	(1,302,599)
Capital Equipment	57,088	579,500	579,500	617,000	617,000
Subtotal	\$35,760,854	\$40,749,711	\$40,749,711	\$40,181,832	\$40,181,832
Debt Service: ²					
Fiscal Agent Fee	\$3,233	\$3,233	\$3,233	\$3,233	\$3,233
Accrued Bond Interest Payable	1,065,975	1,061,058	1,061,058	1,059,428	1,059,428
Subtotal	\$1,069,208	\$1,064,291	\$1,064,291	\$1,062,661	\$1,062,661
Total Expenditures	\$36,830,062	\$41,814,002	\$41,814,002	\$41,244,493	\$41,244,493
Transfers Out:					
County Debt Service (200) ³	\$806,563	\$827,813	\$827,813	\$853,313	\$853,313
Park Capital Improvement Fund (371)	160,000	0	800,000	0	0
Total Transfers Out	\$966,563	\$827,813	\$1,627,813	\$853,313	\$853,313
Total Disbursements	\$37,796,625	\$42,641,815	\$43,441,815	\$42,097,806	\$42,097,806
Ending Balance⁴	\$9,854,368	\$9,299,921	\$9,054,367	\$9,854,367	\$9,054,367
Debt Service Reserve	\$1,875,771	\$1,937,368	\$1,937,368	\$1,915,974	\$1,915,974
Managed Reserve ⁵	7,178,597	7,362,553	7,116,999	7,138,393	7,138,393
Set Aside Reserve ⁶	800,000	0	0	800,000	0
Unreserved Ending Balance	\$0	\$0	\$0	\$0	\$0

Fund 170

Park Revenue Fund

¹ This fund statement reflects cash basis accounting. This method differs from the Park Authority's Comprehensive Annual Financial Report (CAFR-FAMIS) which records revenue for unused Park passes in order to be in compliance with Generally Accepted Accounting Principles. The difference in the amount of revenue recognized under the cash basis accounting method used above and not recognized in the Park Authority's CAFR is \$4,437,951. The net effect of deferred revenue is that the FY 2010 Actual Column shown above is \$45,546 higher than reflected in the County's accounting system using accrual basis. This impact is included in the Managed Reserve.

² Debt service represents principle and interest on Park Revenue Bonds which supported the construction of the Twin Lakes and Oak Marr Golf Courses.

³ Debt service payments for the Note Payable which supported the development of the Laurel Hill Golf Club will be made from Fund 200, County Debt Service.

⁴ The Park Revenue Fund maintains fund balances at adequate levels relative to projected operation and maintenance expenses, as well as debt service requirements. These costs change annually; therefore, funding is carried forward each fiscal year, and ending balances fluctuate, reflecting the carryover of these funds.

⁵ The Managed Reserve includes set aside cash flow and emergency reserves for operations as a contingency for unanticipated operating expenses or a disruption in the revenue stream. In addition, more than half of the existing reserve has been set aside over the past 5 years in order to eventually convert to Generally Accepted Accounting Principles (GAAP) accrual basis of accounting (where revenues and expenditures are accounted for in the same fiscal year in which a service is provided). The conversion from the current cash fund statement to an accrual fund statement is anticipated to occur at the end of FY 2011.

⁶ The Set Aside Reserve is used to fund renovations and repairs at various park facilities as approved by the Park Authority Board.

Fund 371

Park Capital Improvement Fund

Focus

This fund was established under the provisions of the Park Authority Act to provide for capital improvements to the agency's revenue-generating facilities and parks, as well as to various park sites. Through a combination of grants, proffers, and donations, this fund provides for specific park improvements. Funding is also derived through lease payments and revenue bonds for golf course development. In recent years, transfers from Fund 170, Park Revenue Fund have supported improvements to park facilities; however, the amount of funding received from Fund 170 fluctuates from year to year.

No funding is included for Fund 371, Park Capital Improvement Fund, in FY 2012. Work will continue on existing and previously funded projects.

Changes to FY 2011 Adopted Budget Plan

The following funding adjustments reflect all approved changes in the FY 2011 Revised Budget Plan since passage of the FY 2011 Adopted Budget Plan. Included are all adjustments made as part of the FY 2010 Carryover Review, FY 2011 Third Quarter Review, and all other approved changes through April 12, 2011.

- ◆ As part of the *FY 2010 Carryover Review*, the Board of Supervisors approved an increase of \$28,265,969 due to the carryover of unexpended project balances in the amount of \$16,701,034 and an adjustment of \$11,564,935. This adjustment includes the appropriation of revenues received in FY 2010 including \$167,756 in interest earnings and \$11,397,179 in capital grants and contributions. The largest component of capital receipts is associated with an amount of \$9,648,305 received in FY 2010 for the sale of 115 acres of the former Lorton Correctional Complex to Vulcan Materials Company.

- ◆ As part of the *Third Quarter Review*, the Board of Supervisors approved an increase of \$1,167,934 in support of ongoing projects. This adjustment includes \$702,450 due to the appropriation of contributions received in FY 2011 and an \$800,000 transfer in from Fund 170, Park Revenue Fund, for projects approved by the Park Authority Board on February 9, 2011. These increases are partially offset by an amount of \$334,516 which was placed in the Facilities and Services Reserve to support future requirements associated with the Park Authority's Needs Assessment study. The Facilities and Services Reserve supports the maintenance and renovation of revenue-generating facilities and services.

A Fund Statement and a Summary of Capital Projects are provided on the following pages. The Summary of Capital Projects may include some projects without a Total Project Estimate amount. These projects are considered "continuing" projects or projects for which funding is necessary on an ongoing basis (e.g., a contingency or planning project).

Fund 371

Park Capital Improvement Fund

FUND STATEMENT

Fund Type P37, Non-Appropriated Funds

Fund 371, Park Capital Improvement Fund

	FY 2010 Actual	FY 2011 Adopted Budget Plan	FY 2011 Revised Budget Plan	FY 2012 Advertised Budget Plan	FY 2012 Adopted Budget Plan
Beginning Balance	\$21,792,074	\$4,019,701	\$32,287,582	\$4,019,701	\$4,354,218
Revenue:					
Interest	\$167,756	\$0	\$0	\$0	\$0
Capital Grants and Contributions ¹	370,000	0	0	0	0
VDOT Revenue	300,423	0	0	0	0
Other Revenue ²	11,105,256	0	702,450	0	0
Total Revenue	\$11,943,435	\$0	\$702,450	\$0	\$0
Transfers In:					
Park Revenue Fund (170) ³	\$160,000	\$0	\$800,000	\$0	\$0
Total Transfers In:	\$160,000	\$0	\$800,000	\$0	\$0
Total Available	\$33,895,509	\$4,019,701	\$33,790,032	\$4,019,701	\$4,354,218
Total Expenditures⁴	\$1,607,927	\$0	\$29,435,814	\$0	\$0
Total Disbursements	\$1,607,927	\$0	\$29,435,814	\$0	\$0
Ending Balance⁵	\$32,287,582	\$4,019,701	\$4,354,218	\$4,019,701	\$4,354,218
Lawrence Trust Reserve ⁶	\$1,507,926	\$1,507,926	\$1,507,926	\$1,507,926	\$1,507,926
Repair and Replacement Reserve ⁷	700,000	700,000	700,000	700,000	700,000
Facilities and Services Reserve ⁸	1,811,775	1,811,775	2,146,292	1,811,775	2,146,292
Unreserved Ending Balance	\$28,267,881	\$0	\$0	\$0	\$0

¹ Reflects revenues received from a Recreation Access Program grant awarded by the Commonwealth of Virginia Transportation Board for improvements at Project 004567, Stratton Woods Park.

² Other revenue reflects easements, donations, monopole revenue, and proffer revenue. In FY 2010 the Park Authority received \$9.65 million associated with the sale of 115 acres of land to the Vulcan Materials Company.

³ This fund periodically receives transfers from Fund 170, Park Revenue Fund in support of park and facility improvements approved by the Park Authority Board.

⁴ In order to account for revenues and expenditures in the proper fiscal year, an audit adjustment in the amount of \$1,911.40 has been reflected as a net decrease to FY 2010 expenditures due to expenditure accruals. This impacts the amount carried forward and results in an increase of \$1,911.40 to the *FY 2011 Revised Budget Plan*. The projects affected by this adjustment are Project 004748, General Park Improvements and Project 004750, Park Proffers. The audit adjustments have been included in the FY 2010 Comprehensive Annual Financial Report (CAFR). Details of the FY 2010 audit adjustment were included in the FY 2011 Third Quarter Package.

⁵ Capital Projects are budgeted based on total project cost. Most projects span multiple years, from design to construction completion. Therefore, funding for capital projects is carried forward each fiscal year, and ending balances fluctuate, reflecting the carryover of these funds.

⁶ This Reserve separately accounts for the Ellanor C. Lawrence monies received for maintenance and renovation to this site. In accordance with the Fairfax County Park Authority Board, the principal amount of \$1,507,926 received from the donation will remain intact, and any interest earned will be used according to the terms of the Trust.

⁷ The Golf Revenue Bond Indenture requires that a repair and replacement security reserve be maintained in the Capital Improvement Plan for repairs to park facilities.

⁸ The Facilities and Services Reserve supports the maintenance and renovation of revenue-generating facilities.

Fund 371

Park Capital Improvement Fund

FY 2012 Summary of Capital Projects

Fund: 371 Park Capital Improvement Fund

Project #	Description	Total Project Estimate	FY 2010 Actual Expenditures	FY 2011 Revised Budget	FY 2012 Advertised Budget Plan	FY 2012 Adopted Budget Plan
004102	Low Impact Development	\$150,000	\$4,080.20	\$2,646.58	\$0	\$0
004103	Stewardship Education	135,000	5,449.74	48,970.69	0	0
004105	GIS/Data/Green Infrastructure	180,000	2,710.50	8,714.31	0	0
004108	Lee District Land Acquisition and Development	542,862	225,454.64	256,702.97	0	0
004109	Countywide Trails	50,894	0.00	36,560.18	0	0
004110	Merrilee Park	17,139	0.00	17,139.00	0	0
004113	Lee District Telecommunications Pimmit Run SV-Area 1 Maint.	133,739	27,327.64	96,071.69	0	0
004115	Facility Confederate Fortifications	100,838	0.00	84,874.94	0	0
004116	Historic Site	69,137	0.00	66,475.18	0	0
004117	Turner Farm Observatory	13,025	0.00	13,025.00	0	0
004119	Vulcan Spring Hill Park - McLean Youth	2,407,277	0.00	2,407,277.00	0	0
004122	Soccer	1,743,673	0.00	71,064.00	0	0
004126	Restitution for VDOT Takings	311,541	91,473.96	220,067.04	0	0
004127	Fort Willard - Fort Restoration Ft. Willard - Park (Non-Fort)	85,000	17,115.21	10,709.23	0	0
004128	Development	37,895	36,879.64	1,015.36	0	0
004129	Lee District Tree House	259,515	70,123.13	61,988.71	0	0
004131	Mt. Vernon Parks - Districtwide	88,573	0.00	88,573.00	0	0
004132	Oakton Community Park	100,000	0.00	100,000.00	0	0
004135	Lake Fairfax Skate Park	2,172	0.00	2,172.00	0	0
004136	Stout Condemnation	10,773,305	0.00	9,648,305.00	0	0
004141	Advertising Study	50,000	0.00	50,000.00	0	0
004143	Mark Bleiweis Memorial Sportsmanship Field	8,500	7,218.20	1,281.80	0	0
004145	Lee Districtwide Parks	82,900	0.00	82,900.00	0	0
004146	Fox Mill Park	116,045	32.00	5,180.90	0	0
004148	Hunter Mill Districtwide Parks	17,500	0.00	17,500.00	0	0
004149	CLEMYJONTRI - Liberty Swing Linway Terrace Park Synthetic	82,070	0.00	82,070.00	0	0
004157	Turf	687,766	6,769.90	680,996.10	0	0
004158	Sully Districtwide Parks Telecommunications -	15,200	0.00	15,200.00	0	0
004159	Administration	20,000	0.00	20,000.00	0	0
004349	South Run Park	277,397	11,378.24	67,076.95	0	0
004380	Beulah Road Park	7,670	0.00	7,670.00	0	0
004493	Robert E. Lee Recreation Center	528,774	24,430.94	27,377.10	0	0
004503	Cub Run S. V. Park	324,186	3,919.47	215,137.27	0	0
004522	Frying Pan Park	233,449	79,520.00	40,491.89	0	0
004528	Riverbend Park	88,525	595.00	49,886.11	0	0
004534	Park Contingency		0.00	3,164,415.16	0	0

Fund 371

Park Capital Improvement Fund

FY 2012 Summary of Capital Projects

Fund: 371 Park Capital Improvement Fund

Project #	Description	Total Project Estimate	FY 2010 Actual Expenditures	FY 2011 Revised Budget	FY 2012 Advertised Budget Plan	FY 2012 Adopted Budget Plan
004538	Park Easement Admin.	2,879,597	272,565.00	841,330.46	0	0
004558	Park Collections	51,217	0.00	3,124.53	0	0
004564	History Special Events	8,000	0.00	2,994.65	0	0
004567	Stratton Woods	1,663,596	4,605.86	702,885.44	0	0
004592	Sully Plantation	790,282	10,354.71	492,358.42	0	0
004593	Green Spring Farm Park	110,000	0.00	60,250.00	0	0
004595	Mason District Park	576,198	10,630.77	245,396.70	0	0
004596	Wakefield	1,964,800	1,382.10	36,986.44	0	0
004626	Stuart Ridge/Sugarland Run Park	24,886	0.00	14,896.00	0	0
004748	Gen. Park Improvements		83,214.19	602,363.71	0	0
004749	Site Information Management	2,842,000	43,582.90	695,093.08	0	0
004750	Park Proffers	11,094,035	368,088.08	5,882,786.03	0	0
004751	Park Rental Bldg. Maint.	1,593,558	55,120.00	324,627.56	0	0
004758	Archaeology Proffers	169,732	0.00	67,903.56	0	0
004759	Stewardship Publications	71,164	4,769.00	43,580.83	0	0
004760	Stewardship Exhibits	13,325	0.00	4,427.33	0	0
004761	Lawrence Trust	484,229	0.00	337,498.16	0	0
004762	Golf Improvements	2,662,740	1,722.00	5,307.98	0	0
004763	Grants		62,297.64	355,213.27	0	0
004764	Mt. Air	46,701	0.00	3,059.92	0	0
004769	Mastenbrook Volunteer Grant Program		3,485.35	81,268.66	0	0
004771	Historic Huntley	454,527	0.00	438,635.83	0	0
004774	Gabrielson Gardens	2,000	0.00	2,000.00	0	0
004775	Open Space Preservation Contributions	593,564	50,000.00	193,564.00	0	0
004778	Land Acquisition Support	156,420	0.00	54,362.43	0	0
004782	CLEMYJONTRI	769,528	20,332.60	1,419.44	0	0
004783	Linway Terrace	5,000	0.00	5,000.00	0	0
004785	Providence Area Park Improvements	83,050	0.00	60,000.00	0	0
004787	McLean Central Park	12,000	1,298.60	0.00	0	0
004788	West County Recenter	435,000	0.00	11,059.16	0	0
004796	South Run S.V. - Mt. Vernon	88,963	0.00	88,963.00	0	0
004797	Arrowhead Park	158,000	0.00	5,453.38	0	0
004799	Wolf Trap	24,000	0.00	2,469.19	0	0
Total		\$49,569,679	\$1,607,927.21	\$29,435,814.32	\$0	\$0

Fund 117

Alcohol Safety Action Program

Alcohol Safety Action Program

Mission

To reduce the incidence of driving under the influence of alcohol (DUI) in Fairfax County through completion of a rehabilitative alcohol/drug education program, case management, public education, and referral to alcohol/drug treatment programs when necessary.

Focus

The Fairfax County Alcohol Safety Action Program (ASAP) serves a probationary function for the Circuit and General District Courts under the supervision of the ASAP Policy Board. The Fairfax ASAP is one of 24 ASAPs in Virginia and clients are court ordered, DMV referred, or participate voluntarily. The core programs are state mandated and address essential needs of clients including: intake, assessment, rehabilitative alcohol/drug education, referral to treatment, and case management to individuals charged with, or convicted of, driving under the influence of alcohol (DUI). In addition, ASAP provides alcohol/drug education programs for habitual offenders, a drug education program for first-time drug possession offenders, and programs for adolescent substance abusers. ASAP also participates in outreach activities to educate the community about its mission. Programs are available in English and Spanish. ASAP's continual focus will be the supervision of DUI offenders as well as the enforcement of the Code of Virginia. The agency also continues to rely on partnerships with the courts, Commonwealth Attorney's office, and treatment providers.

The County is the fiscal agent for the Fairfax ASAP which is administered through the Department of Administration for Human Services. ASAP is expected to be a self-supporting agency, funded entirely by client fees with the County providing indirect support through office space and utilities. The state imposes a \$400 fee ceiling on per client costs for the state mandated core program. The agreement between the ASAP Policy Board and the Board of Supervisors provides that ASAP will endeavor to develop a reserve fund balance sufficient to avoid deficit status during periods where referrals, and therefore client fee revenues to ASAP, decline. Should surplus client fees above and beyond the balance required for a sufficient reserve fund become available in any fiscal year, the ASAP Policy Board will reimburse the County for the indirect costs noted above, or may request permission from the Board of Supervisors to expend such funds on the program.

It should be noted that the overall number of clients served in FY 2010 decreased by 229, due primarily to a reduction in the amount of clients served in the core education program (see performance measures), partially offset by increases in other service types. In order to maintain a balanced budget, the agency worked diligently to maximize fee revenue collection and reduce expenditures in targeted areas resulting in the agency ending the fiscal year with a positive fund balance. In FY 2012, the agency projects an increase of 112 clients, and will continue to focus on maximizing revenue collection and reducing expenditures.

Fund 117

Alcohol Safety Action Program

Budget and Staff Resources

Agency Summary					
Category	FY 2010 Actual	FY 2011 Adopted Budget Plan	FY 2011 Revised Budget Plan	FY 2012 Advertised Budget Plan	FY 2012 Adopted Budget Plan
Authorized Positions/Staff Years					
Regular	16 / 16	16 / 16	21 / 21	21 / 21	21 / 21
Expenditures:					
Personnel Services	\$1,522,130	\$1,525,149	\$1,525,149	\$1,525,149	\$1,525,149
Operating Expenses	157,747	162,151	162,151	162,151	162,151
Capital Equipment	0	0	0	0	0
Total Expenditures	\$1,679,877	\$1,687,300	\$1,687,300	\$1,687,300	\$1,687,300

Position Summary					
1	Probation Supervisor II	1	Probation Counselor III	1	Administrative Associate
1	Probation Supervisor I	9	Probation Counselors II	2	Administrative Assistants IV
		1	Financial Specialist I	5	Administrative Assistants II
TOTAL POSITIONS					
21 Positions / 21.0 Staff Years					

FY 2012 Funding Adjustments

The following funding adjustments from the FY 2011 Adopted Budget Plan are necessary to support the FY 2012 program. Included are all adjustments recommended by the County Executive that were approved by the Board of Supervisors, as well as any additional Board of Supervisors' actions, as approved in the adoption of the budget on April 26, 2011.

- ◆ FY 2012 funding remains at the same level as the FY 2011 Adopted Budget Plan.
- ◆ **Employee Compensation** \$0
It should be noted that no funding is included for pay for performance or market rate adjustments in FY 2012.

Changes to FY 2011 Adopted Budget Plan

The following funding adjustments reflect all approved changes in the FY 2011 Revised Budget Plan since passage of the FY 2011 Adopted Budget Plan. Included are all adjustments made as part of the FY 2010 Carryover Review, FY 2011 Third Quarter Review, and all other approved changes through April 12, 2011.

- ◆ **Redirection of Positions** \$0
As part of the FY 2011 review of County position categories, a conversion of 5/5.0 SYE positions has been made. The status of limited term positions was reviewed in light of recent changes to federal regulations related to health care and other federal tax requirements. As a result of this review a number of existing limited term positions have been converted to Merit Regular status.

Fund 117

Alcohol Safety Action Program

Key Performance Measures

Objectives

- ◆ To deter individuals arrested and convicted of driving under the influence of alcohol (DUI) from becoming repeat offenders by providing a comprehensive alcohol/drug education program that results in 85 percent or more of the individuals successfully completing the program

Indicator	Prior Year Actuals			Current Estimate	Future Estimate
	FY 2008 Actual	FY 2009 Actual	FY 2010 Estimate/Actual	FY 2011	FY 2012
Output:					
Individuals served in ASAP education program	3,779	4,470	4,354 / 3,881	4,043	4,043
Efficiency:					
Cost per individual served	\$390	\$328	\$322 / \$355	\$342	\$342
Service Quality:					
Percent of individuals satisfied	97%	97%	97% / 97%	97%	97%
Outcome:					
Percent of individuals completing the program two years prior who have not recidivated based on Department of Motor Vehicles (DMV) records	92%	92%	92% / 92%	92%	NA
Percent of individuals successfully completing education program	NA	NA	NA / NA	NA	85%

Performance Measurement Results

In FY 2010, the number of individuals served in the ASAP education program decreased by 589, from 4,470 in FY 2009, to 3,881 in FY 2010. In FY 2012, ASAP anticipates that 4,043 clients, 162 more than in FY 2010, will be served in the education program.

The average per client cost for adults referred to the education program in FY 2010 was \$355, but not all clients referred to the program successfully completed it. Those individuals who did not complete the program cost less to serve, and skewed the average per client cost downward. The cost to serve the estimated 3,264 clients who successfully completed the education program was \$422 per client, \$67 more than the average and \$22 more than the \$400 maximum per client fee that is allowed by the State.

The education program is only one of several services that ASAP provides to Fairfax County residents. In FY 2010, the total number of clients referred across all ASAP services was 6,842. In FY 2012, the agency projects that the total number of clients referred will be 6,954.

Client satisfaction with ASAP education classes has remained at the 97 percent level since FY 2001, and is projected to remain at this high level. The percentage of individuals completing the core education program two years prior who have not recidivated has remained at 92 percent and is expected to remain at that level in FY 2011. In FY 2012, and in future fiscal years, a new Outcome indicator will be utilized. The percent of adults that successfully complete all portions of the program will serve as the new indicator. This new indicator will better reflect the goals and mission of the program.

Fund 117

Alcohol Safety Action Program

FUND STATEMENT

Fund Type G10, Special Revenue Funds Fund 117, Alcohol Safety Action Program

	FY 2010 Actual	FY 2011 Adopted Budget Plan	FY 2011 Revised Budget Plan	FY 2012 Advertised Budget Plan	FY 2012 Adopted Budget Plan
Beginning Balance	\$22,116	\$22,114	\$52,488	\$52,488	\$52,488
Revenue:					
Client Fees	\$1,637,680	\$1,597,986	\$1,597,986	\$1,597,986	\$1,597,986
ASAP Client Intake	11,355	12,000	12,000	12,000	12,000
ASAP Client Out	(15,521)	(24,000)	(24,000)	(24,000)	(24,000)
ASAP Restaff	3,530	4,000	4,000	4,000	4,000
Interest Income	0	400	400	400	400
Other Fees	73,205	96,914	96,914	96,914	96,914
Total Revenue	\$1,710,249	\$1,687,300	\$1,687,300	\$1,687,300	\$1,687,300
Total Available	\$1,732,365	\$1,709,414	\$1,739,788	\$1,739,788	\$1,739,788
Expenditures:					
Personnel Services	\$1,522,130	\$1,525,149	\$1,525,149	\$1,525,149	\$1,525,149
Operating Expenses	157,747	162,151	162,151	162,151	162,151
Capital Equipment	0	0	0	0	0
Total Expenditures	\$1,679,877	\$1,687,300	\$1,687,300	\$1,687,300	\$1,687,300
Total Disbursements	\$1,679,877	\$1,687,300	\$1,687,300	\$1,687,300	\$1,687,300
Ending Balance¹	\$52,488	\$22,114	\$52,488	\$52,488	\$52,488

¹ Ending Balance fluctuations are the result of the uncertain nature of client referrals to ASAP-sponsored programs. The agreement between the ASAP Policy Board and the Board of Supervisors provides that ASAP will endeavor to develop a reserve fund balance sufficient to avoid deficit status during periods where referrals, and therefore client fee revenues to ASAP decline.